

FACILITY DESIGN

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SUMMARY OF CONCLUSIONS

U.S. Bureau of Land Management (BLM) and California Energy Commission staff (hereafter jointly referred to as staff) conclude that the design, construction, and eventual closure of the Ivanpah Solar Electric Generating System (ISEGS) project and its linear facilities would likely comply with applicable engineering laws, ordinances, regulations, and standards. The proposed conditions of certification, below, would ensure compliance with these laws, ordinances, regulations, and standards. Conditions of Certification referred to herein serve the purpose of both the Energy Commission's Conditions of Certification for purposes of the California Environmental Quality Act (CEQA) and BLM's Mitigation Measures for purposes of the National Environmental Policy Act (NEPA).

INTRODUCTION

Facility design encompasses the civil, structural, mechanical, and electrical engineering design of the project. The purpose of this analysis is to:

- verify that the laws, ordinances, regulations, and standards (LORS) that apply to the engineering design and construction of the project have been identified;
- verify that both the project and its ancillary facilities are sufficiently described, including proposed design criteria and analysis methods, in order to provide reasonable assurance that the project will be designed and constructed in accordance with all applicable engineering LORS, in a manner that also ensures the public health and safety;
- determine whether special design features should be considered during final design to address conditions unique to the site that could influence public health and safety; and
- describe the design review and construction inspection process and establish the conditions of certification used to monitor and ensure compliance with the engineering LORS, in addition to any special design requirements.

Subjects discussed in this analysis include:

- identification of the engineering LORS that apply to facility design;
- evaluation of the applicant's proposed design criteria, including identification of criteria essential to public health and safety;
- proposed modifications and additions to the application for certification (AFC) necessary for compliance with applicable engineering LORS; and
- conditions of certification proposed by staff to ensure that the project will be designed and constructed to assure public health and safety and comply with all applicable engineering LORS.

LAWS, ORDINANCES, REGULATIONS, AND STANDARDS (LORS)

Lists of LORS applicable to each engineering discipline (civil, structural, mechanical, and electrical) are described in the AFC (BSE 2007a, Appendix 2.0). Key LORS are listed in **Facility Design Table 1** below.

Facility Design Table 1
Key Engineering Laws, Ordinances, Regulations, and Standards (LORS)

Applicable LORS	Description
Federal	Title 29 Code of Federal Regulations (CFR), Part 1910, Occupational Safety and Health standards
State	2007 California Building Standards Code (CBSC) (also known as Title 24, California Code of Regulations)
Local	San Bernardino County regulations and ordinances
General	American National Standards Institute (ANSI) American Society of Mechanical Engineers (ASME) American Welding Society (AWS) American Society for Testing and Materials (ASTM)

SETTING

The ISEGS would be built on 4,073 acres located in Southern California's Mojave Desert, in San Bernardino County, approximately 3.1 miles west of the California/Nevada border. The site lies in seismic zone 3. For more information on the site and related project description, please see the **Project Description** section of this document. Additional engineering design details are contained in the AFC, Appendix 2.0 (BSE 2007a; CH2ML2008g) and in the applicant's updated Project Description (CH2ML2009f).

ASSESSMENT OF IMPACTS AND DISCUSSION OF MITIGATION

The purpose of this analysis is to ensure that the project would be built to applicable engineering codes and assure public health and life safety. This analysis verifies that applicable engineering LORS have been identified and that the project and its ancillary facilities have been described in adequate detail. It also evaluates the applicant's proposed design criteria, describes the design review and construction inspection process, and establishes conditions of certification that would monitor and ensure compliance with engineering LORS and any other special design requirements. These conditions allow both the California Energy Commission (Energy Commission) compliance project manager (CPM) and the applicant to adopt a compliance monitoring scheme that will verify compliance with these LORS.

PROPOSED PROJECT

SITE PREPARATION AND DEVELOPMENT

Staff has evaluated the proposed design criteria for grading, flood protection, erosion control, site drainage, and site access, in addition to the criteria for designing and constructing linear support facilities such as natural gas and electric transmission interconnections. The applicant proposes the use of accepted industry standards (see BSE 2007a, Appendix 2.0, for a representative list of applicable industry standards), design practices, and construction methods in preparing and developing the site. Staff concludes that this project, including its linear facilities, would most likely comply with all applicable site preparation LORS, and proposes conditions of certification (see below and the **Geology and Paleontology** section of this document) to ensure that compliance.

MAJOR STRUCTURES, SYSTEMS, AND EQUIPMENT

Major structures, systems, and equipment are defined as structures and their associated components or equipment that are necessary for power production; are costly or time consuming to repair or replace; are used for the storage, containment, or handling of hazardous or toxic materials; or could become potential health and safety hazards if not constructed according to applicable engineering LORS. Major structures and equipment are identified in the proposed Condition of Certification **GEN-2**, below. Typically, **Facility Design Table 2** in Condition of Certification **GEN-2** lists the major structures and equipment identified in the AFC and other project related information available before project licensing; this list is based on the preliminary design of the project. The master drawing and master specifications lists described in Condition of Certification **GEN-2**, however, include the project-related documents based on the project's detailed design and may include additional documents for structures and equipment not identified in **Facility Design Table 2**. (Detailed project design typically occurs after project licensing and is not available at this time.)

ISEGS would be designed and constructed to the 2007 California Building Standards Code (CBSC), also known as Title 24, California Code of Regulations, which encompasses the California Building Code (CBC), California Building Standards Administrative Code, California Electrical Code, California Mechanical Code, California Plumbing Code, California Energy Code, California Fire Code, California Code for Building Conservation, California Reference Standards Code, and other applicable codes and standards in effect when the design and construction of the project actually begin. If the initial designs are submitted to the chief building official (CBO) for review and approval after the update to the 2007 CBSC takes effect, the 2007 CBSC provisions would be replaced with the updated provisions.

Certain structures in a power plant may be required, under the CBC, to undergo dynamic lateral force (structural) analysis; others may be designed using the simpler static analysis procedure. In order to ensure that structures are analyzed according to their appropriate lateral force procedure, staff has included Condition of Certification **STRUC-1**, below, which, in part, requires the project CBO's review and approval of the owner's proposed lateral force procedures before construction begins.

PROJECT QUALITY PROCEDURES

The project's AFC (BSE 2007a, § 2.3.2.5) describes a quality program intended to inspire confidence that its systems and components will be designed, fabricated, stored, transported, installed, and tested in accordance with all appropriate power plant technical codes and standards. Compliance with design requirements would be verified through specific inspections and audits. Implementation of this quality assurance/quality control (QA/QC) program would ensure that ISEGS is actually designed, procured, fabricated, and installed as described in this analysis.

COMPLIANCE MONITORING

Under section 104.1 in Appendix Chapter 1 of the CBC, the CBO is authorized and directed to enforce all provisions of the CBC. The Energy Commission itself serves as the building official and has the responsibility to enforce the code for all of the energy facilities it certifies. In addition, the Energy Commission has the power to interpret the CBC and adopt and enforce both rules and supplemental regulations that clarify application of the CBC's provisions.

The Energy Commission's design review and construction inspection process conforms to CBC requirements and ensures that all facility design conditions of certification are met. As provided by section 103.3 in Appendix Chapter 1 of the CBC, the Energy Commission appoints experts to perform design review and construction inspections and act as delegate CBOs on behalf of the Energy Commission. These delegates typically include the local building official and/or independent consultants hired to provide technical expertise that is not provided by the local official alone. The applicant, through permit fees provided by the CBC, section 108 in Appendix Chapter 1, pays the cost of these reviews and inspections. While building permits in addition to Energy Commission certification are not required for this project, the applicant, consistent with CBC section 108, would pay in lieu of CBC permit fees to cover the costs of these reviews and inspections.

Engineering and compliance staff would invite San Bernardino County or a third-party engineering consultant to act as CBO for this project. When an entity has been assigned CBO duties, Energy Commission staff would complete a memorandum of understanding (MOU) with that entity to outline both its roles and responsibilities and those of its subcontractors and delegates.

Staff has developed proposed conditions of certification to ensure public health and safety and compliance with engineering design LORS. Some of these conditions address the roles, responsibilities, and qualifications of the engineers who would design and build the proposed project (Conditions of Certification **GEN-1** through **GEN-8**). These engineers must be registered in California and sign and stamp every submittal of design plans, calculations, and specifications submitted to the CBO. These conditions require that every element of the project's construction (subject to CBO review and approval) be approved by the CBO before it is performed. They also require that qualified special inspectors perform or oversee special inspections required by all applicable LORS.

While the Energy Commission and delegate CBO have the authority to allow some flexibility in scheduling construction activities, these conditions are written so that no element of construction (of permanent facilities subject to CBO review and approval) which could be difficult to reverse or correct could proceed without prior CBO approval. Elements of construction that are not difficult to reverse would be able to proceed without approval of the plans. The applicant would bear the responsibility to fully modify construction elements in order to comply with all design changes resulting from the CBO's subsequent plan review and approval process.

Closure and Decommissioning Impacts and Mitigation The removal of a facility from service (decommissioning) when it reaches the end of its useful life ranges from mothballing, to the removal of all equipment and appurtenant facilities and subsequent restoration of the site. Future conditions that could affect decommissioning are largely unknown at this time.

In order to ensure that decommissioning would be completed in a manner that is environmentally sound and safe and would protect the public health and safety, the applicant would submit a decommissioning plan to the Energy Commission for review and approval before the project's decommissioning begins. The plan would include a discussion of:

- proposed decommissioning activities for the project and all appurtenant facilities that were constructed as part of the project;
- all applicable LORS, local/regional plans, and proof of adherence to those applicable LORS and local/regional plans;
- the activities necessary to restore the site if the plan requires removal of all equipment and appurtenant facilities; and
- decommissioning alternatives other than complete site restoration.

Satisfying the above requirements should serve as adequate protection, even in the unlikely event that the project is abandoned. Staff has proposed general conditions (see **General Conditions**) to ensure that these measures are included in the Facility Closure Plan.

NO PROJECT / NO ACTION ALTERNATIVE

In the No Project / No Action Alternative, the proposed action would not be undertaken. The BLM land on which the project is proposed would continue to be managed within BLM's framework of a program of multiple use and sustained yield, and the maintenance of environmental quality [43 U.S.C. 1781 (b)] in conformance with applicable statutes, regulations, policy and land use plan.

The results of the No Project / No Action Alternative would be the following:

- The impacts of the proposed project would not occur. However, the land on which the project is proposed would become available to other uses that are consistent with BLM's land use plan, including another solar project.
- The benefits of the proposed project in reducing greenhouse gas emissions from gas-fired generation would not occur. Both State and Federal law support the increased use of renewable power generation.

If this project is not approved, renewable projects would likely be developed on other sites in the Mojave Desert or in adjacent states as developers strive to provide renewable power that complies with utility requirements and State/Federal mandates. For example, there are three large solar projects proposed on BLM land in Nevada within a few miles of the Ivanpah site. In addition, there are currently 66 applications for solar projects covering 611,692 acres pending with BLM in the California Desert District. The No Project / No Action Alternative would not cause any impacts associated with Facility Design.

RESPONSE TO AGENCY AND PUBLIC COMMENTS

No comments have been received from agencies or the public.

CONCLUSIONS AND RECOMMENDATIONS

The laws, ordinances, regulations, and standards (LORS) identified in the AFC and supporting documents directly apply to the project.

Staff has evaluated the proposed engineering LORS, design criteria, and design methods in the record, and concludes that the design, construction, and eventual closure of the project will likely comply with applicable engineering LORS.

The proposed conditions of certification will ensure that ISEGS is designed and constructed in accordance with applicable engineering LORS. This would be accomplished through design review, plan checking, and field inspections that would be performed by the CBO or other Energy Commission delegate. Staff would audit the CBO to ensure satisfactory performance.

Though future conditions that could affect decommissioning are largely unknown at this time, it can reasonably be concluded that if the project owner submits a decommissioning plan as required in the **General Conditions** portion of this document prior to decommissioning, decommissioning procedures would comply with all applicable engineering LORS.

Energy Commission staff recommends that:

1. the proposed conditions of certification be adopted to ensure that the project is designed and constructed in a manner that protects the public health and safety and complies with all applicable engineering LORS;
2. the project be designed and built to the 2007 CBSC (or successor standards, if in effect when initial project engineering designs are submitted for review); and
3. the CBO reviews the final designs, checks plans, and performs field inspections during construction and that BLM's Authorized Officer and Energy Commission staff audit and monitor the CBO to ensure satisfactory performance.

MITIGATION MEASURES/PROPOSED CONDITIONS OF CERTIFICATION

GEN-1 The project owner shall design, construct, and inspect the project in accordance with the 2007 California Building Standards Code (CBSC), also known as Title 24, California Code of Regulations, which encompasses the California Building Code (CBC), California Administrative Code, California Electrical Code, California Mechanical Code, California Plumbing Code, California Energy Code, California Fire Code, California Code for Building Conservation, California Reference Standards Code, and all other applicable engineering LORS in effect at the time initial design plans are submitted to the chief building official (CBO) for review and approval (the CBSC in effect is the edition that has been adopted by the California Building Standards Commission and published at least 180 days previously). The project owner shall ensure that all the provisions of the above applicable codes are enforced during the construction, addition, alteration, moving, demolition, repair, or maintenance of the completed facility (2007 CBC, Appendix Chapter 1, section 101.2, Scope). All transmission facilities (lines, switchyards, switching stations, and substations) are covered in the conditions of certification in the **Transmission System Engineering** section of this document.

In the event that the initial engineering designs are submitted to the CBO when the successor to the 2007 CBSC is in effect, the 2007 CBSC provisions shall be replaced with the applicable successor provisions. Where, in any specific case, different sections of the code specify different materials, methods of construction, or other requirements, the most restrictive shall govern. Where there is a conflict between a general requirement and a specific requirement, the specific requirement shall govern.

The project owner shall ensure that all contracts with contractors, subcontractors, and suppliers clearly specify that all work performed and materials supplied comply with the codes listed above.

Verification: Within 30 days following receipt of the certificate of occupancy, the project owner shall submit to BLM's Authorized Officer and the Compliance Project Manager (CPM) a statement of verification, signed by the responsible design engineer, attesting that all designs, construction, installation, and inspection requirements of the applicable LORS and the Energy Commission's decision have been met in the area of facility design. The project owner shall provide BLM's Authorized Officer and the CPM a copy of the certificate of occupancy within 30 days of receipt from the CBO (2007 CBC, Appendix Chapter 1, section 110, Certificate of Occupancy).

Once the certificate of occupancy has been issued, the project owner shall inform BLM's Authorized Officer and the CPM at least 30 days prior to any construction, addition, alteration, moving, demolition, repair, or maintenance to be performed on any portion(s) of the completed facility that requires CBO approval for compliance with the above codes. BLM's Authorized Officer and the CPM will then determine if the CBO needs to approve the work.

GEN-2 Before submitting the initial engineering designs for CBO review, the project owner shall furnish BLM's Authorized Officer, the CPM and the CBO with a schedule of facility design submittals and master drawing and master specifications lists. The schedule shall contain a list of proposed submittal packages of designs, calculations, and specifications for major structures and equipment. To facilitate audits by BLM's Authorized Officer and/or Energy Commission staff, the project owner shall provide specific packages to BLM's Authorized Officer and/or the CPM upon request.

Verification: At least 60 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of rough grading, the project owner shall submit to the CBO, BLM's Authorized Officer and to the CPM the schedule, the master drawing and master specifications lists of documents to be submitted to the CBO for review and approval. These documents shall be the pertinent design documents for the major structures and equipment listed in **Facility Design Table 2**, below. Major structures and equipment shall be added to or deleted from the table only with BLM's Authorized Officer and CPM approval. The project owner shall provide schedule updates in the monthly compliance report.

**Facility Design Table 2
Major Structures and Equipment List**

Equipment/System	Quantity (Plant)
Turbine Generator Foundation and Connections	3
Boiler Structure, Foundation and Connections	10
Air Cooled Condenser Structure, Foundation and Connections	3
Feed Water Preheater Structure, Foundation and Connections	3
Deaerator Structure, Foundation and Connections	3
Steam Distributor Structure, Foundation and Connections	3
Water Treatment Plant, Administration and Electrical Building Structure, Foundation and Connections	4
Water Storage Tanks Structure, Foundation and Connections	3
Maintenance Wing Structure, Foundation and Connections	3
Turbine Lubrication System Foundation and Connections	3
Emergency Generator Foundation and Connections	3
Diesel Fire Pump Foundation and Connections	3
Reheat Tower Structure, Foundation and Connections	3
Emergency Generator Exhaust Structure, Foundation and Connections	3
Pipe Bridge Structure, Foundation and Connections	3
Solar Fields and Towers Structures, Foundations and Connections	3 Lots
Evaporation Pits	3 Lots
Drainage Systems (including sanitary drain and waste)	3 Lots
High Pressure and Large Diameter Piping and Pipe Racks	3 Lots
HVAC and Refrigeration Systems	3 Lots
Temperature Control and Ventilation Systems (including water and sewer connections)	3 Lots
Building Energy Conservation Systems	3 Lots
Switchyard, Buses, and Towers	3 Lots
Substation	1 Lot
Electrical Duct Banks	3 Lots

GEN-3 The project owner shall make payments to the CBO for design review, plan checks, and construction inspections, based upon a reasonable fee schedule to be negotiated between the project owner and the CBO. These fees may be consistent with the fees listed in the 2007 CBC (2007 CBC, Appendix Chapter 1, section 108, Fees; Chapter 1, section 108.4, Permits, Fees, Applications and Inspections), adjusted for inflation and other appropriate adjustments; may be based on the value of the facilities reviewed; may be based on hourly rates; or may be otherwise agreed upon by the project owner and the CBO.

Verification: The project owner shall make the required payments to the CBO in accordance with the agreement between the project owner and the CBO. The project

owner shall send a copy of the CBO's receipt of payment to BLM's Authorized Officer and the CPM in the next monthly compliance report indicating that applicable fees have been paid.

GEN-4 Prior to the start of rough grading, the project owner shall assign a California-registered architect, structural engineer, or civil engineer, as the resident engineer (RE) in charge of the project (2007 California Administrative Code, section 4-209, Designation of Responsibilities). All transmission facilities (lines, switchyards, switching stations, and substations) are addressed in the conditions of certification in the **Transmission System Engineering** section of this document.

The RE may delegate responsibility for portions of the project to other registered engineers. Registered mechanical and electrical engineers may be delegated responsibility for mechanical and electrical portions of the project, respectively. A project may be divided into parts, provided that each part is clearly defined as a distinct unit. Separate assignments of general responsibility may be made for each designated part.

The RE shall:

1. Monitor progress of construction work requiring CBO design review and inspection to ensure compliance with LORS;
2. Ensure that construction of all facilities subject to CBO design review and inspection conforms in every material respect to applicable LORS, these conditions of certification, approved plans, and specifications;
3. Prepare documents to initiate changes in approved drawings and specifications when either directed by the project owner or as required by the conditions of the project;
4. Be responsible for providing project inspectors and testing agencies with complete and up-to-date sets of stamped drawings, plans, specifications, and any other required documents;
5. Be responsible for the timely submittal of construction progress reports to the CBO from the project inspectors, the contractor, and other engineers who have been delegated responsibility for portions of the project; and
6. Be responsible for notifying the CBO of corrective action or the disposition of items noted on laboratory reports or other tests when they do not conform to approved plans and specifications.

The RE shall have the authority to halt construction and to require changes or remedial work if the work does not meet requirements.

If the RE or the delegated engineers are reassigned or replaced, the project owner shall submit the name, qualifications, and registration number of the

newly assigned engineer to the CBO for review and approval. The project owner shall notify BLM's Authorized Officer and the CPM of the CBO's approval of the new engineer.

Verification: At least 30 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of rough grading, the project owner shall submit to the CBO for review and approval, the resume and registration number of the RE and any other delegated engineers assigned to the project. The project owner shall notify BLM's Authorized Officer and the CPM of the CBO's approvals of the RE and other delegated engineer(s) within 5 days of the approval.

If the RE or the delegated engineer(s) is subsequently reassigned or replaced, the project owner has 5 days to submit the resume and registration number of the newly assigned engineer to the CBO for review and approval. The project owner shall notify BLM's Authorized Officer and the CPM of the CBO's approval of the new engineer within 5 days of the approval.

GEN-5 Prior to the start of rough grading, the project owner shall assign at least one of each of the following California registered engineers to the project: a civil engineer; a soils, geotechnical, or civil engineer experienced and knowledgeable in the practice of soils engineering; and an engineering geologist. Prior to the start of construction, the project owner shall assign at least one of each of the following California registered engineers to the project: a design engineer who is either a structural engineer or a civil engineer fully competent and proficient in the design of power plant structures and equipment supports; a mechanical engineer; and an electrical engineer. (California Business and Professions Code section 6704 et seq., and sections 6730, 6731, and 6736 require state registration to practice as a civil engineer or structural engineer in California.) All transmission facilities (lines, switchyards, switching stations, and substations) are handled in "Conditions of Certification" in the **Transmission System Engineering** section of this document.

The tasks performed by the civil, mechanical, electrical, or design engineers may be divided between two or more engineers, as long as each engineer is responsible for a particular segment of the project (for example, proposed earthwork, civil structures, power plant structures, equipment support). No segment of the project shall have more than one responsible engineer. The transmission line may be the responsibility of a separate California registered electrical engineer.

The project owner shall submit, to the CBO for review and approval, the names, qualifications, and registration numbers of all responsible engineers assigned to the project (2007 CBC, Appendix Chapter 1, section 104, Duties and Powers of Building Official).

If any one of the designated responsible engineers is subsequently reassigned or replaced, the project owner shall submit the name, qualifications and registration number of the newly assigned responsible

engineer to the CBO for review and approval. The project owner shall notify BLM's Authorized Officer and the CPM of the CBO's approval of the new engineer.

A. The civil engineer shall:

1. Review the foundation investigations, geotechnical, or soils reports prepared by the soils engineer, the geotechnical engineer, or by a civil engineer experienced and knowledgeable in the practice of soils engineering;
2. Design (or be responsible for the design of), stamp, and sign all plans, calculations, and specifications for proposed site work, civil works, and related facilities requiring design review and inspection by the CBO. At a minimum, these include: grading, site preparation, excavation, compaction, construction of secondary containment, foundations, erosion and sedimentation control structures, drainage facilities, underground utilities, culverts, site access roads and sanitary sewer systems; and
3. Provide consultation to the RE during the construction phase of the project and recommend changes in the design of the civil works facilities and changes to the construction procedures.

B. The soils engineer, geotechnical engineer, or civil engineer experienced and knowledgeable in the practice of soils engineering, shall:

1. Review all the engineering geology reports;
2. Prepare the foundation investigations, geotechnical, or soils reports containing field exploration reports, laboratory tests, and engineering analysis detailing the nature and extent of the soils that could be susceptible to liquefaction, rapid settlement, or collapse when saturated under load (2007 CBC, Appendix J, section J104.3, Soils Report; Chapter 18, section 1802.2, Foundation and Soils Investigations);
3. Be present, as required, during site grading and earthwork to provide consultation and monitor compliance with requirements set forth in the 2007 CBC, Appendix J, section J105, Inspections, and the 2007 California Administrative Code, section 4-211, Observation and Inspection of Construction (depending on the site conditions, this may be the responsibility of either the soils engineer, the engineering geologist, or both); and
4. Recommend field changes to the civil engineer and RE.

This engineer shall be authorized to halt earthwork and to require changes if site conditions are unsafe or do not conform to the predicted conditions used as the basis for design of earthwork or foundations (2007 CBC, Appendix Chapter 1, section 114, Stop Orders).

C. The engineering geologist shall:

1. Review all the engineering geology reports and prepare a final soils grading report; and
2. Be present, as required, during site grading and earthwork to provide consultation and monitor compliance with the requirements set forth in the 2007 California Administrative Code, section 4-211, Observation and Inspection of Construction (depending on the site conditions, this may be the responsibility of either the soils engineer, the engineering geologist, or both).

D. The design engineer shall:

1. Be directly responsible for the design of the proposed structures and equipment supports;
2. Provide consultation to the RE during design and construction of the project;
3. Monitor construction progress to ensure compliance with engineering LORS;
4. Evaluate and recommend necessary changes in design; and
5. Prepare and sign all major building plans, specifications, and calculations.

E. The mechanical engineer shall be responsible for, and sign and stamp a statement with, each mechanical submittal to the CBO, stating that the proposed final design plans, specifications, and calculations conform to all of the mechanical engineering design requirements set forth in BLM's Right-of-Way Decision and the Energy Commission's decision.

F. The electrical engineer shall:

1. Be responsible for the electrical design of the project; and
2. Sign and stamp electrical design drawings, plans, specifications, and calculations.

Verification: At least 30 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of rough grading, the project owner shall submit to the CBO for review and approval, resumes and registration numbers of the responsible civil engineer, soils (geotechnical) engineer, and engineering geologist assigned to the project.

At least 30 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of construction, the project owner shall submit to the CBO for review and approval, resumes and registration numbers of the responsible design engineer, mechanical engineer, and electrical engineer assigned to the project.

The project owner shall notify BLM's Authorized Officer and the CPM of the CBO's approvals of the responsible engineers within 5 days of the approval.

If the designated responsible engineer is subsequently reassigned or replaced, the project owner has 5 days in which to submit the resume and registration number of the newly assigned engineer to the CBO for review and approval. The project owner shall notify BLM's Authorized Officer and the CPM of the CBO's approval of the new engineer within 5 days of the approval.

GEN-6 Prior to the start of an activity requiring special inspection, the project owner shall assign to the project a qualified and certified special inspector(s) who shall be responsible for the special inspections required by the 2007 CBC, Chapter 17, section 1704, Special Inspections; Chapter 17A, section 1704A, Special Inspections; and Appendix Chapter 1, Section 109, Inspections. All transmission facilities (lines, switchyards, switching stations, and substations) are handled in "Conditions of Certification" in the **Transmission System Engineering** section of this document.

A certified weld inspector, certified by the American Welding Society (AWS), and/or American Society of Mechanical Engineers (ASME) as applicable, shall inspect welding performed on site requiring special inspection (including structural, piping, tanks, and pressure vessels).

The special inspector shall:

1. Be a qualified person who shall demonstrate competence, to the satisfaction of the CBO, for inspection of the particular type of construction requiring special or continuous inspection;
2. Observe the work assigned for conformance with the approved design drawings and specifications;
3. Furnish inspection reports to the CBO and RE. All discrepancies shall be brought to the immediate attention of the RE for correction, then, if uncorrected, to the CBO, BLM's Authorized Officer and the CPM for corrective action (2007 CBC, Chapter 17, section 1704.1.2, Report Requirements); and
4. Submit a final signed report to the RE, CBO, BLM's Authorized Officer and CPM, stating whether the work requiring special inspection was, to the best of the inspector's knowledge, in conformance with the approved plans, specifications, and other provisions of the applicable edition of the CBC.

Verification: At least 15 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of an activity requiring special inspection, the project owner shall submit to the CBO for review and approval, with a copy to BLM's Authorized Officer and the CPM, the name(s) and qualifications of the certified weld inspector(s) or other certified special inspector(s) assigned to the project to perform one

or more of the duties set forth above. The project owner shall also submit to BLM's Authorized Officer and the CPM a copy of the CBO's approval of the qualifications of all special inspectors in the next monthly compliance report.

If the special inspector is subsequently reassigned or replaced, the project owner has 5 days in which to submit the name and qualifications of the newly assigned special inspector to the CBO for approval. The project owner shall notify BLM's Authorized Officer and the CPM of the CBO's approval of the newly assigned inspector within 5 days of the approval.

GEN-7 If any discrepancy in design and/or construction is discovered in any engineering work that has undergone CBO design review and approval, the project owner shall document the discrepancy and recommend required corrective actions (2007 CBC, Appendix Chapter 1, section 109.6, Approval Required; Chapter 17, section 1704.1.2, Report Requirements). The discrepancy documentation shall be submitted to the CBO for review and approval. The discrepancy documentation shall reference this condition of certification and, if appropriate, applicable sections of the CBC and/or other LORS.

Verification: The project owner shall transmit a copy of the CBO's approval of any corrective action taken to resolve a discrepancy to BLM's Authorized Officer and the CPM in the next monthly compliance report. If any corrective action is disapproved, the project owner shall advise BLM's Authorized Officer and the CPM, within 5 days, of the reason for disapproval and the revised corrective action to obtain CBO's approval.

GEN-8 The project owner shall obtain the CBO's final approval of all completed work that has undergone CBO design review and approval. The project owner shall request the CBO to inspect the completed structure and review the submitted documents. The project owner shall notify BLM's Authorized Officer and the CPM after obtaining the CBO's final approval. The project owner shall retain one set of approved engineering plans, specifications, and calculations (including all approved changes) at the project site or at an alternative site approved by BLM's Authorized Officer and the CPM during the operating life of the project (2007 CBC, Appendix Chapter 1, section 106.3.1, Approval of Construction Documents). Electronic copies of the approved plans, specifications, calculations, and marked-up as-builts shall be provided to the CBO for retention by BLM's Authorized Officer and the CPM.

Verification: Within 15 days of the completion of any work, the project owner shall submit to the CBO, with a copy to BLM's Authorized Officer and the CPM, in the next monthly compliance report, (a) a written notice that the completed work is ready for final inspection, and (b) a signed statement that the work conforms to the final approved plans. After storing the final approved engineering plans, specifications, and calculations described above, the project owner shall submit to BLM's Authorized Officer and the CPM a letter stating both that the above documents have been stored and the storage location of those documents.

Within 90 days of the completion of construction, the project owner shall provide to the CBO three sets of electronic copies of the above documents at the project owner's

expense. These are to be provided in the form of “read only” (Adobe .pdf 6.0) files, with restricted (password-protected) printing privileges, on archive quality compact discs.

CIVIL-1 The project owner shall submit to the CBO for review and approval the following:

1. Design of the proposed drainage structures and the grading plan;
2. An erosion and sedimentation control plan;
3. Related calculations and specifications, signed and stamped by the responsible civil engineer; and
4. Soils, geotechnical, or foundation investigations reports required by the 2007 CBC, Appendix J, section J104.3, Soils Report, and Chapter 18, section 1802.2, Foundation and Soils Investigation.

Verification: At least 15 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of site grading, the project owner shall submit the documents described above to the CBO for design review and approval. In the next monthly compliance report following the CBO’s approval, the project owner shall submit a written statement certifying that the documents have been approved by the CBO.

CIVIL-2 The resident engineer shall, if appropriate, stop all earthwork and construction in the affected areas when the responsible soils engineer, geotechnical engineer, or the civil engineer experienced and knowledgeable in the practice of soils engineering identifies unforeseen adverse soil or geologic conditions. The project owner shall submit modified plans, specifications, and calculations to the CBO based on these new conditions. The project owner shall obtain approval from the CBO before resuming earthwork and construction in the affected area (2007 CBC, Appendix Chapter 1, section 114, Stop Work Orders).

Verification: The project owner shall notify BLM’s Authorized Officer and the CPM within 24 hours, when earthwork and construction is stopped as a result of unforeseen adverse geologic/soil conditions. Within 24 hours of the CBO’s approval to resume earthwork and construction in the affected areas, the project owner shall provide to BLM’s Authorized Officer and the CPM a copy of the CBO’s approval.

CIVIL-3 The project owner shall perform inspections in accordance with the 2007 CBC, Appendix Chapter 1, section 109, Inspections, and Chapter 17, section 1704, Special Inspections. All plant site-grading operations, for which a grading permit is required, shall be subject to inspection by the CBO.

If, in the course of inspection, it is discovered that the work is not being performed in accordance with the approved plans, the discrepancies shall be reported immediately to the resident engineer, the CBO, BLM’s Authorized Officer and the CPM (2007 CBC, Chapter 17, section 1704.1.2, Report Requirements). The project owner shall prepare a written report, with copies to the CBO, BLM’s Authorized Officer and the CPM, detailing all discrepancies, non-compliance items, and the proposed corrective action.

Verification: Within 5 days of the discovery of any discrepancies, the resident engineer shall transmit to the CBO, BLM's Authorized Officer and the CPM a non-conformance report (NCR) and the proposed corrective action for review and approval. Within 5 days of resolution of the NCR, the project owner shall submit the details of the corrective action to the CBO, BLM's Authorized Officer and the CPM. A list of NCRs for the reporting month shall also be included in the following monthly compliance report.

CIVIL-4 After completion of finished grading and erosion and sedimentation control and drainage work, the project owner shall obtain the CBO's approval of the final grading plans (including final changes) for the erosion and sedimentation control work. The civil engineer shall state that the work within his/her area of responsibility was done in accordance with the final approved plans (2007 CBC, Chapter 17, section 1703.2, Written Approval).

Verification: Within 30 days (or a project owner- and CBO-approved alternative time frame) of the completion of the erosion and sediment control mitigation and drainage work, the project owner shall submit to the CBO, for review and approval, the final grading plans (including final changes) and the responsible civil engineer's signed statement that the installation of the facilities and all erosion control measures were completed in accordance with the final approved combined grading plans and that the facilities are adequate for their intended purposes, along with a copy of the transmittal letter to BLM's Authorized Officer and the CPM. The project owner shall submit a copy of the CBO's approval to BLM's Authorized Officer and the CPM in the next monthly compliance report.

STRUC-1 Prior to the start of any increment of construction of any major structure or component listed in **Facility Design Table 2** of Condition of Certification **GEN-2**, above, the project owner shall submit to the CBO for design review and approval the proposed lateral force procedures for project structures and the applicable designs, plans, and drawings for project structures. Proposed lateral force procedures, designs, plans, and drawings shall be those for the following items (from **Table 2**, above):

1. Major project structures;
2. Major foundations, equipment supports, and anchorage; and
3. Large field-fabricated tanks.

Construction of any structure or component shall not begin until the CBO has approved the lateral force procedures to be employed in designing that structure or component.

The project owner shall:

1. Obtain approval from the CBO of lateral force procedures proposed for project structures;
2. Obtain approval from the CBO for the final design plans, specifications, calculations, soils reports, and applicable quality control procedures. If there are conflicting requirements, the more stringent shall govern (for

example, highest loads, or lowest allowable stresses shall govern). All plans, calculations, and specifications for foundations that support structures shall be filed concurrently with the structure plans, calculations, and specifications (2007 CBC, Appendix Chapter 1, section 109.6, Approval Required);

3. Submit to the CBO the required number of copies of the structural plans, specifications, calculations, and other required documents of the designated major structures prior to the start of on-site fabrication and installation of each structure, equipment support, or foundation (2007 California Administrative Code, section 4-210, Plans, Specifications, Computations and Other Data);
4. Ensure that the final plans, calculations, and specifications clearly reflect the inclusion of approved criteria, assumptions, and methods used to develop the design. The final designs, plans, calculations, and specifications shall be signed and stamped by the responsible design engineer (2007 CBC, Appendix Chapter 1, section 106.3.4, Design Professional in Responsible Charge); and
5. Submit to the CBO the responsible design engineer's signed statement that the final design plans conform to applicable LORS (2007 CBC, Appendix Chapter 1, section 106.3.4, Design Professional in Responsible Charge).

Verification: At least 60 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of any increment of construction of any structure or component listed in **Facility Design Table 2** of Condition of Certification **GEN-2**, above, the project owner shall submit to the CBO the above final design plans, specifications, and calculations, with a copy of the transmittal letter to BLM's Authorized Officer and the CPM.

The project owner shall submit to BLM's Authorized Officer and the CPM, in the next monthly compliance report, a copy of a statement from the CBO that the proposed structural plans, specifications, and calculations have been approved and comply with the requirements set forth in applicable engineering LORS.

STRUC-2 The project owner shall submit to the CBO the required number of sets of the following documents related to work that has undergone CBO design review and approval:

1. Concrete cylinder strength test reports (including date of testing, date sample taken, design concrete strength, tested cylinder strength, age of test, type and size of sample, location and quantity of concrete placement from which sample was taken, and mix design designation and parameters);
2. Concrete pour sign-off sheets;
3. Bolt torque inspection reports (including location of test, date, bolt size, and recorded torques);

4. Field weld inspection reports (including type of weld, location of weld, inspection of non-destructive testing [NDT] procedure and results, welder qualifications, certifications, qualified procedure description or number [ref: AWS}); and
5. Reports covering other structural activities requiring special inspections shall be in accordance with the 2007 CBC, Chapter 17, section 1704, Special Inspections, and Section 1709.1, Structural Observations.

Verification: If a discrepancy is discovered in any of the above data, the project owner shall, within 5 days, prepare and submit an NCR describing the nature of the discrepancies and the proposed corrective action to the CBO, with a copy of the transmittal letter to BLM's Authorized Officer and the CPM (2007 CBC, Chapter 17, section 1704.1.2, Report Requirements). The NCR shall reference the condition(s) of certification and the applicable CBC chapter and section. Within 5 days of resolution of the NCR, the project owner shall submit a copy of the corrective action to the CBO and the CPM.

The project owner shall transmit a copy of the CBO's approval or disapproval of the corrective action to BLM's Authorized Officer and the CPM within 15 days. If disapproved, the project owner shall advise BLM's Authorized Officer and the CPM, within 5 days, the reason for disapproval, and the revised corrective action to obtain CBO's approval.

STRUC-3 The project owner shall submit to the CBO design changes to the final plans required by the 2007 CBC, including the revised drawings, specifications, calculations, and a complete description of, and supporting rationale for, the proposed changes and shall give to the CBO prior notice of the intended filing (2007 CBC, Appendix Chapter 1, section 106.1, Submittal Documents; section 106.4, Amended Construction Documents; 2007 California Administrative Code, section 4-215, Changes in Approved Drawings and Specifications).

Verification: On a schedule suitable to the CBO, the project owner shall notify the CBO of the intended filing of design changes and shall submit the required number of sets of revised drawings and the required number of copies of the other above-mentioned documents to the CBO, with a copy of the transmittal letter to BLM's Authorized Officer and the CPM. The project owner shall notify BLM's Authorized Officer and the CPM, via the monthly compliance report, when the CBO has approved the revised plans.

STRUC-4 Tanks and vessels containing quantities of toxic or hazardous materials exceeding amounts specified in the 2007 CBC, Chapter 3, Table 307.1(2), shall, at a minimum, be designed to comply with the requirements of that chapter.

Verification: At least 30 days (or within a project owner- and CBO-approved alternate time frame) prior to the start of installation of the tanks or vessels containing the above-specified quantities of toxic or hazardous materials, the project owner shall submit to the CBO for design review and approval final design plans, specifications, and calculations, including a copy of the signed and stamped engineer's certification.

The project owner shall send copies of the CBO approvals of plan checks to BLM's Authorized Officer and the CPM in the following monthly compliance report. The project owner shall also transmit a copy of the CBO's inspection approvals to BLM's Authorized Officer and the CPM in the monthly compliance report following completion of any inspection.

MECH-1 The project owner shall submit, for CBO design review and approval, the proposed final design, specifications, and calculations for each plant major piping and plumbing system listed in **Facility Design Table 2**, Condition of Certification **GEN-2**, above. Physical layout drawings and drawings not related to code compliance and life safety need not be submitted. The submittal shall also include the applicable QA/QC procedures. Upon completion of construction of any such major piping or plumbing system, the project owner shall request the CBO's inspection approval of that construction (2007 CBC, Appendix Chapter 1, section 106.1, Submittal Documents; section 109.5, Inspection Requests; section 109.6, Approval Required; 2007 California Plumbing Code, section 301.1.1, Approvals).

The responsible mechanical engineer shall stamp and sign all plans, drawings, and calculations for the major piping and plumbing systems, subject to CBO design review and approval, and submit a signed statement to the CBO when the proposed piping and plumbing systems have been designed, fabricated, and installed in accordance with all of the applicable laws, ordinances, regulations and industry standards (2007 CBC, Appendix Chapter 1, section 106.3.4, Design Professional in Responsible Charge), which may include, but are not limited to:

- American National Standards Institute (ANSI) B31.1 (Power Piping Code);
- ANSI B31.2 (Fuel Gas Piping Code);
- ANSI B31.3 (Chemical Plant and Petroleum Refinery Piping Code);
- ANSI B31.8 (Gas Transmission and Distribution Piping Code);
- Title 24, California Code of Regulations, Part 5 (California Plumbing Code);
- Title 24, California Code of Regulations, Part 6 (California Energy Code, for building energy conservation systems and temperature control and ventilation systems);
- Title 24, California Code of Regulations, Part 2 (California Building Code); and
- San Bernardino County codes.

The CBO may deputize inspectors to carry out the functions of the code enforcement agency (2007 CBC, Appendix Chapter 1, section 103.3, Deputies).

Verification: At least 30 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of any increment of major piping or plumbing construction listed in **Facility Design Table 2**, Condition of Certification **GEN-2**, above,

the project owner shall submit to the CBO for design review and approval the final plans, specifications, and calculations, including a copy of the signed and stamped statement from the responsible mechanical engineer certifying compliance with applicable LORS, and shall send BLM's Authorized Officer and the CPM a copy of the transmittal letter in the next monthly compliance report.

The project owner shall transmit to BLM's Authorized Officer and the CPM, in the monthly compliance report following completion of any inspection, a copy of the transmittal letter conveying the CBO's inspection approvals.

MECH-2 For all pressure vessels installed in the plant, the project owner shall submit to the CBO and California Occupational Safety and Health Administration (Cal/OSHA), prior to operation, the code certification papers and other documents required by applicable LORS. Upon completion of the installation of any pressure vessel, the project owner shall request the appropriate CBO and/or Cal/OSHA inspection of that installation (2007 CBC, Appendix Chapter 1, section 109.5, Inspection Requests).

The project owner shall:

1. Ensure that all boilers and fired and unfired pressure vessels are designed, fabricated, and installed in accordance with the appropriate section of the American Society of Mechanical Engineers (ASME) Boiler and Pressure Vessel Code, or other applicable code. Vendor certification, with identification of applicable code, shall be submitted for prefabricated vessels and tanks; and
2. Have the responsible design engineer submit a statement to the CBO that the proposed final design plans, specifications, and calculations conform to all of the requirements set forth in the appropriate ASME Boiler and Pressure Vessel Code or other applicable codes.

Verification: At least 30 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of on-site fabrication or installation of any pressure vessel, the project owner shall submit to the CBO for design review and approval the above-listed documents, including a copy of the signed and stamped engineer's certification, with a copy of the transmittal letter to BLM's Authorized Officer and the CPM.

The project owner shall transmit to BLM's Authorized Officer and the CPM, in the monthly compliance report following completion of any inspection, a copy of the transmittal letter conveying the CBO's and/or Cal/OSHA inspection approvals.

MECH-3 The project owner shall submit to the CBO for design review and approval the design plans, specifications, calculations, and quality control procedures for any heating, ventilating, air conditioning (HVAC), or refrigeration system. Packaged HVAC systems, where used, shall be identified with the appropriate manufacturer's data sheets.

The project owner shall design and install all HVAC and refrigeration systems within buildings and related structures in accordance with the CBC and other

applicable codes. Upon completion of any increment of construction, the project owner shall request the CBO's inspection and approval of that construction. The final plans, specifications, and calculations shall include approved criteria, assumptions, and methods used to develop the design. In addition, the responsible mechanical engineer shall sign and stamp all plans, drawings, and calculations and submit a signed statement to the CBO that the proposed final design plans, specifications, and calculations conform with the applicable LORS (2007 CBC, Appendix Chapter 1, section 109.3.7, Energy Efficiency Inspections; section 106.3.4, Design Professionals in Responsible Charge).

Verification: At least 30 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of construction of any HVAC or refrigeration system, the project owner shall submit to the CBO the required HVAC and refrigeration calculations, plans, and specifications, including a copy of the signed and stamped statement from the responsible mechanical engineer certifying compliance with the CBC and other applicable codes, with a copy of the transmittal letter to BLM's Authorized Officer and the CPM.

ELEC-1 Prior to the start of any increment of electrical construction for all electrical equipment and systems 480 volts or higher (see a representative list, below), with the exception of underground duct work and any physical layout drawings and drawings not related to code compliance and life safety, the project owner shall submit, for CBO design review and approval, the proposed final design, specifications, and calculations (2007 CBC, Appendix Chapter 1, section 106.1, Submittal Documents). Upon approval, the above-listed plans, together with design changes and design change notices, shall remain on the site or at another accessible location for the operating life of the project. The project owner shall request that the CBO inspect the installation to ensure compliance with the requirements of applicable LORS (2007 CBC, Appendix Chapter 1, section 109.6, Approval Required; section 109.5, Inspection Requests). All transmission facilities (lines, switchyards, switching stations, and substations) are handled in "Conditions of Certification" in the **Transmission System Engineering** section of this document.

A. Final plant design plans shall include:

1. One-line diagrams for the 13.8-kV, 4.16-kV, and 480-volt systems; and
2. System grounding drawings.

B. Final plant calculations must establish:

1. Short-circuit ratings of plant equipment;
2. Ampacity of feeder cables;
3. Voltage drop in feeder cables;
4. System grounding requirements;

5. Coordination study calculations for fuses, circuit breakers and protective relay settings for the 13.8-kV, 4.16-kV, and 480-volt systems;
 6. System grounding requirements; and
 7. Lighting energy calculations.
- C. The following activities shall be reported to BLM's Authorized Officer and the CPM in the monthly compliance report:
1. Receipt or delay of major electrical equipment;
 2. Testing or energization of major electrical equipment; and
 3. A signed statement by the registered electrical engineer certifying that the proposed final design plans and specifications conform to requirements set forth in the Energy Commission decision.

Verification: At least 30 days (or within a project owner- and CBO-approved alternative time frame) prior to the start of each increment of electrical construction, the project owner shall submit to the CBO for design review and approval the above-listed documents. The project owner shall include in this submittal a copy of the signed and stamped statement from the responsible electrical engineer attesting compliance with the applicable LORS and shall send BLM's Authorized Officer and the CPM a copy of the transmittal letter in the next monthly compliance report.

REFERENCES

BSE 2007a – Bright Source Energy/ Solar Partners I, LLC/ J. Woolard (tn: 42174). Application for Certification, Volumes I and II, for the Ivanpah Solar Electric Generating System. Submitted to CEC/Docket Unit on 8/31/2007.

CH2ML2008g – CH2ML HILL/ J. Carrier (tn: 46239). Data Responses Set 1D. Dated on 5/09/2008. Submitted to CEC / Docket Unit on 5/09/2008.

CH2ML2009f – CH2M Hill/J. Carrier (tn: 51597). Data Responses Set 2I. Dated 05/18/2009.