CERTIFICATE OF COMPLIANCE	NRCC-PRC-01-E
Process Compliance Forms & Checklists	(Page 1 of 3)
Project Name:	Date Prepared:

A. PROCESS COMPLIANCE DOCUMENTS & WORKSHEETS

Check box if worksheet is included.

For detailed instructions on the use of this and all Energy Standards compliance documents, refer to the 2016 Nonresidential Manual.
Note: The Enforcement Agency may require all forms to be incorporated onto the building plans.

YES	NO	Doc/Worksheet #	Title
☐	☐	PRC-01-E (1 of 2)	Covered Process Certificate of Compliance. Required on plans for all submittals with covered processes.
☐	☐	PRC-01-E (2 of 2)	Certificate of Compliance, Required Acceptance Tests (PRC-02-A to PRC-08-A). Required on plans for all submittals.
☐	☐	PRC-02-E	Certificate of Compliance for Enclosed Parking Garage Exhaust Fans
☐	☐	PRC-03-E	Certificate of Compliance for Commercial Kitchens
☐	☐	PRC-04-E	Certificate of Compliance for Computer Rooms
☐	☐	PRC-05-E	Certificate of Compliance for Commercial Refrigeration
☐	☐	PRC-06-E	Certificate of Compliance for **ALL** Refrigerated Warehouses
☐	☐	PRC-07-E	Certificate of Compliance for Refrigerated Warehouse ≥ 3,000 ft^2
☐	☐	PRC-08-E	Certificate of Compliance for Refrigerated Warehouse Where **Multiple** Spaces that (i) comprise a total of 3,000ft^2 or more; and (ii) are collectively served by the same refrigeration system compressor(s) and condenser(s) (central systems).
☐	☐	PRC-09-E	Certificate of Compliance for Laboratory Exhaust
☐	☐	PRC-10-E	Certificate of Compliance for Compressed Air Systems
☐	☐	PRC-11-E	Certificate of Compliance for Process Boilers
☐	☐	PRC-12-E	Certificate of Compliance for Elevator Lighting and Ventilation Controls
☐	☐	PRC-13-E	Certificate of Compliance for Escalators and Moving Walkways Speed Controls

B. PROCESS ACCEPTANCE DOCUMENTS

Check box for required compliance documents.

Designer:
This compliance document is to be used by the designer and attached to the plans. Listed below are all the acceptance tests for process systems. The designer is required to check the applicable boxes for all acceptance tests that apply and list all equipment that requires an acceptance test. If all equipment of the same type requires a test, list the equipment description and the number of systems.

Installing Contractor:
The contractor who installed the equipment is responsible to either conduct the acceptance test themselves or have a qualified entity run the test for them. If more than one person has responsibility for the acceptance testing, each person shall sign and submit the Certificate of Acceptance applicable to the portion of the construction or installation for which they are responsible.

Enforcement Agency:
Plancheck – The NRCC-PRC-01-E compliance document is not considered a completed document and is not to be accepted by the building department unless the correct boxes are checked.
Inspector - Before occupancy permit is granted all newly installed process systems must be tested to ensure proper operations.

Test Description		PRC-01-A	PRC-02-A	PRC-03-A	PRC-04-A	PRC-05-A	PRC-06-A	PRC-07-A	PRC-08-A	PRC-12-A	PRC-13-A
Equipment Requiring Testing or Verification	# of Units	Compressed Air Systems	Garage Exhaust	Kitchen Exhaust	RHW Evap Fan Motor Controls	RHW Evap Condenser Controls	RHW Air-Cooled Condenser Controls	RHW Variable Speed Compressors	RHW Elect. Underslab Heating	Elevator Lighting and Ventilation Controls	Escalators and Moving Walkways Speed Controls
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CERTIFICATE OF COMPLIANCE	NRCC-PRC-01-E
Process Compliance Forms & Checklists	(Page 3 of 3)
Project Name:	Date Prepared:

DOCUMENTATION AUTHOR'S DECLARATION STATEMENT

1. I certify that this Certificate of Compliance documentation is accurate and complete.

Documentation Author Name:	Documentation Author Signature:
Company:	Signature Date:
Address:	CEA/HERS Certification Identification (if applicable):
City/State/Zip:	Phone:

RESPONSIBLE PERSON'S DECLARATION STATEMENT

I certify the following under penalty of perjury, under the laws of the State of California:

1. The information provided on this Certificate of Compliance is true and correct.
2. I am eligible under Division 3 of the Business and Professions Code to accept responsibility for the building design or system design identified on this Certificate of Compliance (responsible designer).
3. The energy features and performance specifications, materials, components, and manufactured devices for the building design or system design identified on this Certificate of Compliance conform to the requirements of Title 24, Part 1 and Part 6 of the California Code of Regulations.
4. The building design features or system design features identified on this Certificate of Compliance are consistent with the information provided on other applicable compliance documents, worksheets, calculations, plans and specifications submitted to the enforcement agency for approval with this building permit application.
5. I will ensure that a completed signed copy of this Certificate of Compliance shall be made available with the building permit(s) issued for the building, and made available to the enforcement agency for all applicable inspections. I understand that a completed signed copy of this Certificate of Compliance is required to be included with the documentation the builder provides to the building owner at occupancy.

Responsible Designer Name:	Responsible Designer Signature:
Company :	Date Signed:
Address:	License:
City/State/Zip:	Phone:

NRCC-PRC-01-E User Instructions

NRCC-PRC-01-E is the primary process. The purpose of the compliance document is to provide compliance information in a form useful to the enforcement agency's field inspectors.

This compliance document should be included on the plans, usually near the front of the drawings. A copy of these compliance documents should also be submitted to the enforcement agency along with the rest of the compliance submittal at the time of building permit application. With enforcement agency approval, the applicant may use alternative formats of these compliance documents (rather than the Energy Commission's compliance documents), provided the information is the same and in similar format.

Project Description
PROJECT NAME is the title of the project, as shown on the plans and known to the enforcement agency.
DATE is the last revision date of the plans. If the plans are revised after this date, it may be necessary to re-submit the compliance documentation to reflect the altered design. Note that it is the enforcement agency's discretion whether or not to require new compliance documentation.

Section A. Process Compliance Documents & Worksheets
The checkboxes list all applicable compliance documents or worksheets included with the compliance documentation submitted to the enforcement agency.

Sections B Process Acceptance Documents
The Designer is required to list all systems and identify the applicable acceptance testing required. The Designer should think about who will be conducting the tests and list this person in the section titled "Test Performed By" if applicable. Those who are allowed to conduct the tests are the installing contractor, design professional or an agent selected by the owner. Note that a single system may require multiple acceptance tests, depending on the type of system.

Documentation Author's Declaration Statement
The CERTIFICATE OF COMPLIANCE is signed by both the Documentation Author and the Principal Designer who is responsible for preparation of the plans of building. This latter person is also responsible for the energy compliance documentation, even if the actual work is delegated to a different person acting as Documentation Author. It is necessary that the compliance documentation be consistent with the plans.

DOCUMENTATION AUTHOR is the person who prepared the energy compliance documentation and who signs the Declaration Statement. The person's telephone number is given to facilitate response to any questions that arise. A Documentation Author may have additional certifications such as a Certified Energy Analyst or a Home Energy Rating System certification number. Enter number in the CEA# or HERS# field provided.

Declaration Statement of Principle Designer
The Declaration Statement is signed by the person responsible for preparation of the plans for the building and the documentation author. This principal designer is also responsible for the energy compliance documentation, even if the actual work is delegated to someone else (the Documentation Author as described above). It is necessary that the compliance documentation be consistent with the plans. The Business and Professions Code governs who is qualified to prepare plans and therefore to sign this statement. See Section 2.2.2 Permit Application for applicable text from the Business and Professions Code.