

CONTRACT REQUESTS FORM (CRF)

CEC-94 (07/10)

CALIFORNIA ENERGY COMMISSION



New Contract Amendment to Existing Contract: 500-08-053 Amendment Number: 1

Division	Contract Manager:	MS-	Phone	CM Training Date
Energy Research and Development	Dustin Davis	49	916-327-2223	6/26/2008

Contractor's Legal Name	Federal ID Number
The Regents of the University of California, Davis	94-6036494

Title of Project
Realizing Energy Efficient Lighting in California

Term	Start Date	End Date	Amount
New/Original Contract	6/30/2009	5/31/2012	\$ 3,200,000

Line up the Amendment information as best as possible within the following table.

Amendment #	End Date (mm/dd/yy)	Amount
Amendment 1	3/29/2013	\$311,481

Business Meeting Information

Proposed Business Meeting Date	3/23/2011	<input type="checkbox"/> Consent	<input checked="" type="checkbox"/> Discussion
Business Meeting Presenter	Dustin Davis	Time Needed:	5 minutes

Agenda Item Subject and Description

Possible approval of Amendment 1 to Contract 500-08-053 with the Regents of the University of California, Davis to add \$311,481 and a ten-month time extension. The amendment will eliminate three projects, and re-scope four subtasks based on feedback from the Program Advisory Committee. This amendment will also correct typographical errors in the budget.

Business Meeting approval is not required for the following types of contracts: *Executive Director's signature is required in all cases.*

- Contracts less than \$10k (*Policy Committee's signature is also required*)
- Amendment for a no-cost time extension. Must be first extension, less than one year and original contract less than \$100k.
- Contracts less than \$25k for Expert Witness in Energy Facility licensing cases and amendments.

Purpose of Contract or Purpose of Amendment, if applicable

To add \$311,481 and a ten-month time extension. The amendment will eliminate three projects, and re-scope four subtasks based on feedback from the Program Advisory Committee. This feedback was based on changes in the lighting industry since this contract started in 2009, making some projects no longer relevant and not beneficial to the ratepayers of California. This amendment will also correct typographical errors in the budget.

California Environmental Quality Act (CEQA) Compliance

- Is Contract considered a "Project" under CEQA?
 - Yes: skip to question 2
 - No: complete the following (PRC 21065 and 14 CCR 15378):
Explain why contract is not considered a "Project":
- If contract is considered a "Project" under CEQA:
 - a) Contract **IS** exempt. (Draft NOE required)
 - Statutory Exemption. List PRC and/or CCR section number: _____
 - Categorical Exemption. List CCR section number: 14 CCR 15306
 - Common Sense Exemption. 14 CCR 15061 (b) (3)
 Explain reason why contract is exempt under the above section:
 The project involves lighting system development activities that will not result in major disturbances to an environmental resource.
 - b) Contract **IS NOT** exempt. The Contract Manager needs to consult with the Energy Commission attorney assigned to their division and the Siting Office regarding a possible Initial Study.

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Payment Method			
<input checked="" type="checkbox"/> A. Reimbursement in arrears based on:	<input type="checkbox"/> Itemized Monthly	<input checked="" type="checkbox"/> Itemized Quarterly	<input type="checkbox"/> Flat Rate
<input type="checkbox"/> B. Advanced Payment			<input type="checkbox"/> One-time
<input type="checkbox"/> C. Other, explain:			

Retention			
1. Is contract subject to retention?	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes	
If Yes, Do you plan to release retention prior to contract termination?	<input type="checkbox"/> No	<input type="checkbox"/> Yes	

Justification of Rates
The rates charged in this contract by the University of California are UC published rates and the overhead rates are the negotiated rates with the Energy Commission.

Disabled Veteran Business Enterprise Program (DVBE)
1. <input checked="" type="checkbox"/> Not Applicable
2. <input type="checkbox"/> Meets DVBE Requirements DVBE Amount:\$ _____ DVBE %: _____
<input type="checkbox"/> Contractor is Certified DVBE
<input type="checkbox"/> Contractor is Subcontracting with a DVBE: _____
3. <input type="checkbox"/> Requesting DVBE Exemption (attach CEC 95)

Is Contractor a certified Small Business (SB), Micro Business (MB) or DVBE?		<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
If yes, check appropriate box:		<input type="checkbox"/> SB	<input type="checkbox"/> MB <input type="checkbox"/> DVBE

Is Contractor subcontracting any services?		<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes
If yes, give company name and identify if they are a Small Business (SB), Micro Business (MB) and/or DVBE:			
New Buildings Institute, Inc.		<input checked="" type="checkbox"/> No	<input type="checkbox"/> SB <input type="checkbox"/> MB <input type="checkbox"/> DVBE

Miscellaneous Contract Information			
1. Will there be Work Authorizations?	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes	
2. Will there be IT expenditures?	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes	
3. Is the Contractor providing confidential information?	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes	
4. Is the contractor going to purchase equipment?	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	
5. Check frequency of progress reports	<input type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input type="checkbox"/> _____		
6. Will a final report be required?	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	
7. Is the contract, with amendments, longer than a year? If yes, why?	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes	
The Department of General Services has agreed to give the Commission blanket authority to execute multi-year contracts to support the Commission's RD&D Programs.			

The following items should be attached to this CRF		
1. Scope of Work, Attach as Exhibit A.	<input type="checkbox"/> N/A	<input checked="" type="checkbox"/> Attached
2. Budget Detail, Attach as Exhibit B.	<input type="checkbox"/> N/A	<input checked="" type="checkbox"/> Attached
3. CEC 96, NCB Request	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
4. CEC 30, Survey of Prior Work	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
5. CEC 95, DVBE Exemption Request	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
6. Draft CEQA Notice of Exemption (NOE)	<input type="checkbox"/> N/A	<input checked="" type="checkbox"/> Attached
7. Resumes	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached

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Contract Manager Date Office Manager Date Deputy Director Date

The following signatures are only required when contract approval is delegated to the Executive Office and not approved at a Business Meeting. See Business Meeting Information Section.

Presiding Policy Committee Date Associate Policy Committee Date Executive Director Date