

**CONTRACT REQUESTS FORM (CRF)**

CEC-94 (07/10)

CALIFORNIA ENERGY COMMISSION



New Contract       Amendment to Existing Contract: 500-98-014      Amendment Number: 7

Division	Contract Manager:	MS-	Phone	CM Training Date
Energy Research and Development	Patrick McCarthy	43	916-327-2457	7/26/2010

Contractor's Legal Name	Federal ID Number
Trustees of the California State University - San Diego	94-6002123

Title of Project
CSU - Small Grant Program

Term	Start Date	End Date	Amount
New/Original Contract	9/30/1998	9/30/2000	\$ 5,000,000

Line up the Amendment information as best as possible within the following table.

Amendment #	End Date (mm/dd/yy)	Amount
Amendment 1	9/30/2002	\$5,000,000
Amendment 2	9/30/2004	\$6,000,000
Amendment 3	9/30/2005	\$3,000,000
Amendment 4	9/30/2007	\$8,000,000
Amendment 5	9/30/2009	\$9,500,000
Amendment 6	9/30/2012	\$13,750,000
Amendment 7	9/30/2012	\$1,750,000

Business Meeting Information			
Proposed Business Meeting Date	4/20/11	<input type="checkbox"/> Consent	<input checked="" type="checkbox"/> Discussion
Business Meeting Presenter	Patrick McCarthy	Time Needed:	5 minutes

Agenda Item Subject and Description
Possible approval of this amendment to contract 500-98-014 with Trustees of the California State University - San Diego to add natural gas research funds totaling \$1,750,000 to continue the Energy Innovations Small Grant program and fund innovative concepts that benefit ratepayers. (PIER Natural Gas) Contact: Patrick McCarthy (10 minutes)

Business Meeting approval is not required for the following types of contracts: <i>Executive Director's signature is required in all cases.</i>
<input type="checkbox"/> Contracts less than \$10k ( <i>Policy Committee's signature is also required</i> )
<input type="checkbox"/> Amendment for a no-cost time extension. Must be first extension, less than one year and original contract less than \$100k.
<input type="checkbox"/> Contracts less than \$25k for Expert Witness in Energy Facility licensing cases and amendments.

Purpose of Contract or Purpose of Amendment, if applicable
The purpose of this amendment is to continue the PIER funded Energy Innovations Small Grant (EISG) program. The EISG program provides up to \$95,000 for hardware projects and \$50,000 for modeling projects to small businesses, non-profits, individuals and academic institutions to conduct research that establishes the feasibility of new, innovative energy concepts. Research projects must target one of the PIER R&D areas, address a California energy problem and provide a potential benefit to California electric and natural gas ratepayers.



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- The Services Contracted:
  - are not available within civil service
  - cannot be performed satisfactorily by civil service employees
  - are of such a highly specialized or technical nature that the expert knowledge, expertise, and ability are not available through the civil service system
- The Services are of such an:
  - urgent
  - temporary, or
  - occasional nature
 that the delay to implement under civil service would frustrate their very purpose.

**Justification:**

Public Utilities Code, sec. 895 and Public Resources Code 25620, et seq. authorizes the Commission to contract for the subject work.

**Payment Method**

- A. Reimbursement in arrears based on:
  - Itemized Monthly       Itemized Quarterly       Flat Rate       One-time
- B. Advanced Payment
- C. Other, explain:

**Retention**

- 1. Is contract subject to retention?       No       Yes
- If Yes, Do you plan to release retention prior to contract termination?       No       Yes

**Justification of Rates**

As the EISG research program has matured, its success has grown exponentially, which has warranted an increased investment. The rates charged are the standard CSU rates for appropriate personnel.

**Disabled Veteran Business Enterprise Program (DVBE)**

- 1.  Not Applicable
- 2.  Meets DVBE Requirements      DVBE Amount:\$ \_\_\_\_\_ DVBE %: \_\_\_\_\_
  - Contractor is Certified DVBE
  - Contractor is Subcontracting with a DVBE: \_\_\_\_\_
- 3.  Requesting DVBE Exemption (attach CEC 95)

**Is Contractor a certified Small Business (SB), Micro Business (MB) or DVBE?**

- No       Yes
- If yes, check appropriate box:       SB       MB       DVBE

**Is Contractor subcontracting any services?**

		<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes		
If yes, give company name and identify if they are a Small Business (SB), Micro Business (MB) and/or DVBE:					
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB	<input type="checkbox"/> DVBE	
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB	<input type="checkbox"/> DVBE	
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB	<input type="checkbox"/> DVBE	
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB	<input type="checkbox"/> DVBE	
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB	<input type="checkbox"/> DVBE	
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB	<input type="checkbox"/> DVBE	
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB	<input type="checkbox"/> DVBE	
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB	<input type="checkbox"/> DVBE	
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB	<input type="checkbox"/> DVBE	

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### Miscellaneous Contract Information

- 1. Will there be Work Authorizations?  No  Yes
- 2. Will there be IT expenditures?  No  Yes
- 3. Is the Contractor providing confidential information?  No  Yes
- 4. Is the contractor going to purchase equipment?  No  Yes
- 5. Check frequency of progress reports  
 Monthly  Quarterly  \_\_\_\_\_
- 6. Will a final report be required?  No  Yes
- 7. Is the contract, with amendments, longer than a year? If yes, why?  No  Yes

The Department of General Services has agreed to give the Commission blanket authority to execute multi-year contracts to support the Commission's RD&D Programs.

### The following items should be attached to this CRF

- 1. Scope of Work, Attach as Exhibit A.  N/A  Attached
- 2. Budget Detail, Attach as Exhibit B.  N/A  Attached
- 3. CEC 96, NCB Request  N/A  Attached
- 4. CEC 30, Survey of Prior Work  N/A  Attached
- 5. CEC 95, DVBE Exemption Request  N/A  Attached
- 6. Draft CEQA Notice of Exemption (NOE)  N/A  Attached
- 7. Resumes  N/A  Attached

\_\_\_\_\_  
 Contract Manager                      Date                      Office Manager                      Date                      Deputy Director                      Date

The following signatures are only required when contract approval is delegated to the Executive Office and not approved at a Business Meeting. See Business Meeting Information Section.

\_\_\_\_\_  
 Presiding Policy Committee                      Date                      Associate Policy Committee                      Date                      Executive Director                      Date