

**CONTRACT REQUESTS FORM (CRF)**

CEC-94 (07/10)

CALIFORNIA ENERGY COMMISSION


 New Contract       Amendment to Existing Contract: \_\_\_\_\_ Amendment Number: \_\_\_\_\_

| Division                        | Contract Manager: | MS- | Phone        | CM Training Date |
|---------------------------------|-------------------|-----|--------------|------------------|
| Energy Research and Development | David Weightman   | 49  | 916-327-1631 | 12/4/2008        |

| Contractor's Legal Name                             | Federal ID Number |
|---|-------------------|
| The Regents of the University of California, - CIEE | 94-3067788        |

| Title of Project                                      |
|---|
| State Partnership for Energy Efficient Demonstrations |

| Term                  | Start Date | End Date   | Amount       |
|-----------------------|------------|------------|--------------|
| New/Original Contract | 6/30/2011  | 12/30/2014 | \$ 2,515,918 |

Line up the Amendment information as best as possible within the following table.

| Amendment # | End Date (mm/dd/yy) | Amount |
|-------------|---------------------|--------|
|             |                     |        |

### Business Meeting Information

|                                |                 |                                  |  |
|--------------------------------|-----------------|----------------------------------|--|
| Proposed Business Meeting Date | 5/18/2011       | <input type="checkbox"/> Consent | <input checked="" type="checkbox"/> Discussion |
| Business Meeting Presenter     | David Weightman | Time Needed:                     | 5 minutes                                      |

### Agenda Item Subject and Description

Possible approval of Contract 500-10-049 for \$2,515,918.00 with The Regents of the University of California, California Institute for Energy and Environment to demonstrate PIER-developed and other energy efficient emerging technologies at institutions of higher learning and other venues in California and to pilot a wide-scale deployment activity for established technologies that represent best practices for efficiency in energy using systems. (PIER electricity funding.) Contact: David Weightman (5 minutes)

**Business Meeting approval is not required for the following types of contracts:** *Executive Director's signature is required in all cases.*

- Contracts less than \$10k (*Policy Committee's signature is also required*)
- Amendment for a no-cost time extension. Must be first extension, less than one year and original contract less than \$100k.
- Contracts less than \$25k for Expert Witness in Energy Facility licensing cases and amendments.

### Purpose of Contract or Purpose of Amendment, if applicable

To demonstrate PIER-developed and other newer emerging technologies at institutions of higher learning and other venues in California and to pilot a wide-scale deployment program for established PIER technologies that represent best practices in lighting, HVAC, controls and other energy using systems.

### California Environmental Quality Act (CEQA) Compliance

- Is Contract considered a "Project" under CEQA?
  - Yes: skip to question 2       No: complete the following (PRC 21065 and 14 CCR 15378):
  - Explain why contract is not considered a "Project":
  - Contract will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because .
- If contract is considered a "Project" under CEQA:
  - a) Contract **IS** exempt. (Draft NOE required)
    - Statutory Exemption. List PRC and/or CCR section number: \_\_\_\_\_
    - Categorical Exemption. List CCR section number: \_\_\_\_\_
    - Common Sense Exemption. 14 CCR 15061 (b) (3)
  - Explain reason why contract is exempt under the above section:
  - The contract involves deployment and demonstration of PIER technologies at various sites. Deployment and demonstration activities include technology installation, technical assistance, and market outreach.
  - b) Contract **IS NOT** exempt. The Contract Manager needs to consult with the Energy Commission attorney assigned to their division and the Siting Office regarding a possible Initial Study.

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CALIFORNIA ENERGY COMMISSION



| Budgets Information       |             |                 |             |           |                   |       |                 |             |
|---------------------------|-------------|-----------------|-------------|-----------|-------------------|-------|-----------------|-------------|
| Contract Amount Funded    |             | Breakdown by FY |             |           | Funding Sources   |       |                 |             |
| Funding Source            | Amount      | FY              | Amount      | Approved? | Funding Source    | FY    | Budget List No. | Amount      |
| ARFVTF                    | \$          | 10-11           | \$2,515,918 | Yes       | PIER-E            | 09-10 | 501.027         | \$2,515,918 |
| ECAA                      | \$          |                 | \$          |           |                   |       |                 | \$          |
| State- ERPA               | \$          |                 | \$          |           |                   |       |                 | \$          |
| Federal                   | \$          |                 | \$          |           |                   |       |                 | \$          |
| PIER - E                  | \$2,515,918 |                 | \$          |           |                   |       |                 | \$          |
| PIER - NG                 | \$          |                 | \$          |           |                   |       |                 | \$          |
| Reimbursement             | \$          |                 | \$          |           |                   |       |                 | \$          |
| Other                     | \$          |                 | \$          |           |                   |       |                 | \$          |
| TOTAL:                    | \$2,515,918 | TOTAL:          | \$2,515,918 |           |                   |       | TOTAL:          | \$2,515,918 |
| Reimbursement Contract #: |             |                 |             |           | Federal Agreement |       |                 |             |

| Contractor's Administrator/ Officer |                             | Contractor's Project Manager |                             |
|-------------------------------------|-----------------------------|------------------------------|-----------------------------|
| Name:                               | Karl Johnson                | Name:                        | Karl Brown                  |
| Address:                            | 2087 Addison St             | Address:                     | 2087 Addison St             |
| City, State, Zip:                   | Berkeley, CA 94704-1103     | City, State, Zip:            | Berkeley, CA 94704-1103     |
| Phone/ Fax:                         | 650-322-1945 / 510-287-3328 | Phone/ Fax:                  | 510-643-1441 / 510-287-3328 |
| E-Mail:                             | Karl.Johnson@uc-ciee.org    | E-Mail:                      | karl.brown@uc-ciee.org      |

| Contractor Is   |
|---|
| <input type="checkbox"/> Private Company (including non-profits)  |
| <input checked="" type="checkbox"/> CA State Agency (including UC and CSU)  |
| <input type="checkbox"/> Government Entity (i.e. city, county, federal government, air/water/school district, joint power authorities, university from another state) |

| Selection Process Used  |
|---|
| <input type="checkbox"/> Solicitation <u>Select Solicitation Type</u> Solicitation #: _____ |
| <input type="checkbox"/> Non Competitive Bid (Attach CEC 96)                                |
| <input checked="" type="checkbox"/> Exempt <u>Interagency</u>                               |

| Civil Service Considerations   |
|--|
| <input checked="" type="checkbox"/> Not Applicable (Contract is with a CA State Entity or a membership/co-sponsorship)   |
| <input type="checkbox"/> Public Resources Code 25620, et seq., authorizes the Commission to contract for the subject work. (PIER)  |
| <input type="checkbox"/> The Services Contracted:  |
| <input type="checkbox"/> are not available within civil service  |
| <input type="checkbox"/> cannot be performed satisfactorily by civil service employees   |
| <input type="checkbox"/> are of such a highly specialized or technical nature that the expert knowledge, expertise, and ability are not available through the civil service system |
| <input type="checkbox"/> The Services are of such an:  |
| <input type="checkbox"/> urgent  |
| <input type="checkbox"/> temporary, or   |
| <input type="checkbox"/> occasional nature   |
| that the delay to implement under civil service would frustrate their very purpose.  |
| <b>Justification:</b>  |
|  |



| Payment Method  |  |                                    |                                   |
|---|--|------------------------------------|-----------------------------------|
| <input checked="" type="checkbox"/> A. Reimbursement in arrears based on: |  |                                    |                                   |
| <input type="checkbox"/> Itemized Monthly                                 | <input checked="" type="checkbox"/> Itemized Quarterly | <input type="checkbox"/> Flat Rate | <input type="checkbox"/> One-time |
| <input type="checkbox"/> B. Advanced Payment                              |  |                                    |                                   |
| <input type="checkbox"/> C. Other, explain:                               |  |                                    |                                   |

| Retention   |  |  |                              |
|---|--|--|------------------------------|
| 1. Is contract subject to retention?                                    |  | <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes |
| If Yes, Do you plan to release retention prior to contract termination? |  | <input type="checkbox"/> No            | <input type="checkbox"/> Yes |

| Justification of Rates  |
|---|
| Rates charged by the University of California are the standard negotiated overhead rates with the California Energy Commission, and are appropriate for the classification of the researchers involved in this project. |

| Disabled Veteran Business Enterprise Program (DVBE)   |
|---|
| 1. <input checked="" type="checkbox"/> Not Applicable                                       |
| 2. <input type="checkbox"/> Meets DVBE Requirements      DVBE Amount:\$ _____ DVBE %: _____ |
| <input type="checkbox"/> Contractor is Certified DVBE                                       |
| <input type="checkbox"/> Contractor is Subcontracting with a DVBE: _____                    |
| 3. <input type="checkbox"/> Requesting DVBE Exemption (attach CEC 95)                       |

| Is Contractor a certified Small Business (SB), Micro Business (MB) or DVBE? |  | <input checked="" type="checkbox"/> No | <input type="checkbox"/> Yes                              |
|---|--|--|---|
| If yes, check appropriate box:  |  | <input type="checkbox"/> SB            | <input type="checkbox"/> MB <input type="checkbox"/> DVBE |

| Is Contractor subcontracting any services?   |  | <input type="checkbox"/> No | <input checked="" type="checkbox"/> Yes                   |
|--|--|-----------------------------|---|
| If yes, give company name and identify if they are a Small Business (SB), Micro Business (MB) and/or DVBE: |  |                             |   |
| Lawrence Berkeley National Laboratory  | <input checked="" type="checkbox"/> No | <input type="checkbox"/> SB | <input type="checkbox"/> MB <input type="checkbox"/> DVBE |
|  | <input type="checkbox"/> No            | <input type="checkbox"/> SB | <input type="checkbox"/> MB <input type="checkbox"/> DVBE |
|  | <input type="checkbox"/> No            | <input type="checkbox"/> SB | <input type="checkbox"/> MB <input type="checkbox"/> DVBE |
|  | <input type="checkbox"/> No            | <input type="checkbox"/> SB | <input type="checkbox"/> MB <input type="checkbox"/> DVBE |
|  | <input type="checkbox"/> No            | <input type="checkbox"/> SB | <input type="checkbox"/> MB <input type="checkbox"/> DVBE |
|  | <input type="checkbox"/> No            | <input type="checkbox"/> SB | <input type="checkbox"/> MB <input type="checkbox"/> DVBE |
|  | <input type="checkbox"/> No            | <input type="checkbox"/> SB | <input type="checkbox"/> MB <input type="checkbox"/> DVBE |
|  | <input type="checkbox"/> No            | <input type="checkbox"/> SB | <input type="checkbox"/> MB <input type="checkbox"/> DVBE |
|  | <input type="checkbox"/> No            | <input type="checkbox"/> SB | <input type="checkbox"/> MB <input type="checkbox"/> DVBE |
|  | <input type="checkbox"/> No            | <input type="checkbox"/> SB | <input type="checkbox"/> MB <input type="checkbox"/> DVBE |

| Miscellaneous Contract Information  |   |   |
|---|---|---|
| 1. Will there be Work Authorizations?   | <input checked="" type="checkbox"/> No  | <input type="checkbox"/> Yes            |
| 2. Will there be IT expenditures?   | <input checked="" type="checkbox"/> No  | <input type="checkbox"/> Yes            |
| 3. Is the Contractor providing confidential information?  | <input checked="" type="checkbox"/> No  | <input type="checkbox"/> Yes            |
| 4. Is the contractor going to purchase equipment?   | <input type="checkbox"/> No   | <input checked="" type="checkbox"/> Yes |
| 5. Check frequency of progress reports  | <input type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input type="checkbox"/> _____ |   |
| 6. Will a final report be required?   | <input type="checkbox"/> No   | <input checked="" type="checkbox"/> Yes |
| 7. Is the contract, with amendments, longer than a year? If yes, why?   | <input type="checkbox"/> No   | <input checked="" type="checkbox"/> Yes |
| The Department of General Services has agreed to give the Commission blanket authority to execute multi-year contracts to support the Commission's RD&D Programs. |   |   |

# CONTRACT REQUESTS FORM (CRF)



| The following items should be attached to this CRF |   |  |  |
|--|---|--|--|
| 1. Scope of Work, Attach as Exhibit A.             | <input type="checkbox"/> N/A            | <input checked="" type="checkbox"/> Attached |  |
| 2. Budget Detail, Attach as Exhibit B.             | <input type="checkbox"/> N/A            | <input checked="" type="checkbox"/> Attached |  |
| 3. CEC 96, NCB Request                             | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached            |  |
| 4. CEC 30, Survey of Prior Work                    | <input type="checkbox"/> N/A            | <input type="checkbox"/> Attached            |  |
| 5. CEC 95, DVBE Exemption Request                  | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached            |  |
| 6. Draft CEQA Notice of Exemption (NOE)            | <input type="checkbox"/> N/A            | <input checked="" type="checkbox"/> Attached |  |
| 7. Resumes   | <input type="checkbox"/> N/A            | <input checked="" type="checkbox"/> Attached |  |

\_\_\_\_\_  
 Contract Manager                      Date                      Office Manager                      Date                      Deputy Director                      Date

The following signatures are only required when contract approval is delegated to the Executive Office and not approved at a Business Meeting. See Business Meeting Information Section.

\_\_\_\_\_  
 Presiding Policy Committee                      Date                      Associate Policy Committee                      Date                      Executive Director                      Date