

GRANTS/CONTINGENT AWARD REQUEST



To: Grants and Loans Office

Date: 5 / 7 / 2012

Project Manager: Jacob Orenberg Phone Number: (916) 654-3888 ext.
Office: Special Projects Division: Fuels and Transportation MS- 23
Project Title: California Based Manufacturing of Advanced Lithium Ion Batteries for Electric Drive Vehicles

Type of Request: (check one)

New Agreement: (include items A-F from below) Agreement Number: ARV-10-015
Program: Leyden Energy, Inc.
Solicitation Name and/or Number: PON-09-605
Legal Name of Recipient: Leyden Energy, Inc.
Recipient's Full Mailing Address: 46840 Lakeview Boulevard
Fremont, CA 94538
Recipient's Project Officer: Aakar Patel Phone Number: (510) 933-3800 ext.
Agreement Start Date: 5 / 2 / 2011 Agreement End Date: 03 / 31 / 2015

Amendment: (Check all that apply) Agreement Number: ARV-10-015
 Term Extension – New End Date: 3 / 31 / 2015
 Work Statement Revision (include Item A from below)
 Budget Revision (include Item B from below)
 Change of Scope (include Items A – F as applicable from below)
 Other: (Specify)

ITEMS TO ATTACH WITH REQUEST:

- A. Work Statement
- B. Budget
- C. Recipient Resolution, if applicable. (Resolution may be requested in Special Conditions if not currently available.)
- D. Special Conditions, if applicable.
- E. CEQA Compliance Form
- F. Other Documents as applicable
 - Copy of Score Sheets
 - Copy of Pre-Award Correspondence
 - Copy of All Other Relevant Documents

California Environmental Quality Act (CEQA)

CEC finds, based on recipient's documentation in compliance with CEQA:
 Project exempt: 15301 NOE filed: / /
 Environmental Document prepared: Type NOD filed: / /
 Other: Explain
 CEC has made CEQA finding described in CEC-280, attached

Funding Information:

*Source #1: _____ Amount: \$ _____ Statute: _____ FY: _____ Budget List #: _____
*Source #2: _____ Amount: \$ _____ Statute: _____ FY: _____ Budget List #: _____
*Source #3: _____ Amount: \$ 0.00 Statute: _____ FY: _____ Budget List #: _____

If federally funded, specify federal agreement number: _____
* Source Examples include ERPA, PIER-E, PIER-NG, FED, GRDA, ARFVT, OTHER.

Business Meeting Approval: (refer to Business Meeting Schedule)

Proposed Business Meeting Date: 6 / 13 / 2012 Consent Discussion
Business Meeting Participant: Jacob Orenberg Time Needed: 0 minutes

Agenda Notice Statement: (state purpose in layperson terms)

Possible approval of an amendment to grant agreement ARV-10-015 with Leyden Energy, LLC. If approved, the no-cost amendment will: (a) remove inactive Key Partners and Key Personnel from the Scope of Work; (b) extend the term of the agreement and Schedule of Products and Due Dates through March 31, 2015; (c) add a definition for "Electric Vehicle" to the Scope of Work, consistent with the definition in the 2010-2011 Investment Plan for the Alternative and Renewable Fuel and Vehicle Technology Program; and (d) correct typographical errors in the Scope of Work.

Project Manager _____ Date _____ Office Manager _____ Date _____ Deputy Director _____ Date _____