

**CONTRACT REQUESTS FORM (CRF)**

CEC-94 (Revised 5/11)

CALIFORNIA ENERGY COMMISSION



New Contract \_\_\_\_\_  Amendment to Existing Contract: 500-09-026 Amendment Number: 1

Division	Contract Manager:	MS-	Phone	CM Training Date
ERDD - Buildings End-Use Energy Efficiency	Dustin Davis	43	916-327-2223	6/26/2008

Contractor's Legal Name	Federal ID Number
DOE- Lawrence Berkeley National Laboratory	94-2951741

Title of Project
High Performance Building Façade Solutions - Phase 2

Term	Start Date	End Date	Amount
New/Original Contract	3/15/2010	9/30/2013	\$ 3,000,000

Line up the Amendment information as best as possible within the following table.

Amendment #	End Date (mm/dd/yy)	Amount
Amendment 1	3/31/2014	\$0

### Business Meeting Information

Proposed Business Meeting Date	1/9/2013	<input checked="" type="checkbox"/> Consent	<input type="checkbox"/> Discussion
Business Meeting Presenter	Dustin Davis	Time Needed:	5 minutes

### Agenda Item Subject and Description

Possible approval of Amendment 1 to Contract 500-09-026 with Lawrence Berkely National Lab for a no-cost time extension . This extension is needed to complete all technology development and demonstration tasks. This 39 month agreement will be extended by 6 months for a total of 48 months. (PIER Electricity funding) (Contact: Dustin Davis)

**Business Meeting approval is not required for the following types of contracts:** *Executive Director's signature is required in all cases.*

- Contracts less than \$10k (*Policy Committee's signature is also required*)
- Amendment for a no-cost time extension. Must be first extension, less than one year and original contract less than \$100k.
- Contracts less than \$25k for Expert Witness in Energy Facility licensing cases and amendments.

### Purpose of Contract or Purpose of Amendment, if applicable

A no cost time extension is needed to complete all technology development tasks and closeout activities. Technology development activities currently in progress include a thin film applied to the upper portion of a south-facing window to maximize daylighting, and controls for dynamic window systems to optimize lighting and HVAC energy use.

### California Environmental Quality Act (CEQA) Compliance

- Is Contract considered a "Project" under CEQA?
 

Yes: skip to question 2  No: complete the following (PRC 21065 and 14 CCR 15378):

Explain why contract is not considered a "Project":  
Contract will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because .
- If contract is considered a "Project" under CEQA:
 

a) Contract **IS** exempt. (Draft NOE required)

Statutory Exemption. List PRC and/or CCR section number: \_\_\_\_\_

Categorical Exemption. List CCR section number: 14 CCR 15306

Common Sense Exemption. 14 CCR 15061 (b) (3)

Explain reason why contract is exempt under the above section:  
Class 6 - Basic data collection, research, experimental management, and resource evaluation activities that do not result in major disturbances to an environmental resource.

b) Contract **IS NOT** exempt. The Contract Manager needs to consult with the Energy Commission attorney assigned to their division and the Siting Office regarding a possible Initial Study.

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Budgets Information								
Contract Amount Funded		Breakdown by FY			Funding Sources			
Funding Source	Amount	FY	Amount	Approved?	Funding Source	FY	Budget List No.	Amount
ARFVTF	\$		\$					\$
ECAA	\$		\$					\$
State- ERPA	\$		\$					\$
Federal	\$		\$					\$
PIER - E	\$		\$					\$
PIER - NG	\$		\$					\$
Reimbursement	\$		\$					\$
Other	\$		\$					\$
<b>TOTAL:</b>	<b>\$0</b>	<b>TOTAL:</b>	<b>\$0</b>		<b>TOTAL:</b>			<b>\$0</b>
Reimbursement Contract #:					Federal Agreement			

Contractor's Administrator/ Officer		Contractor's Project Manager	
Name:	Betsy Quayle	Name:	Eleanor Lee
Address:	1 CYCLOTRON RD BLDG 90R2000	Address:	LBNL (BT/EETD) 1 Cyclotron Road, 90R3111
City, State, Zip:	BERKELEY, CA 94720-8130	City, State, Zip:	Berkeley, CA 94720-0001
Phone/ Fax:	510-486-4218 / 510-486-4673	Phone/ Fax:	510-486-4997 / 510-486-4089
E-Mail:	bequayle@lbl.gov	E-Mail:	eslee@lbl.gov

Contractor Is
<input type="checkbox"/> Private Company (including non-profits) <input type="checkbox"/> CA State Agency (including UC and CSU) <input checked="" type="checkbox"/> Government Entity (i.e. city, county, federal government, air/water/school district, joint power authorities, university from another state)

Selection Process Used
<input type="checkbox"/> Solicitation <u>Select Type</u> Solicitation #: _____ # of Bids: _____ Low Bid? <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> Non Competitive Bid (Attach CEC 96) <input checked="" type="checkbox"/> Exempt Other Government Entity

Civil Service Considerations
<input type="checkbox"/> Not Applicable (Contract is with a CA State Entity or a membership/co-sponsorship) <input checked="" type="checkbox"/> Public Resources Code 25620, et seq., authorizes the Commission to contract for the subject work. (PIER) <input type="checkbox"/> The Services Contracted: <input type="checkbox"/> are not available within civil service <input type="checkbox"/> cannot be performed satisfactorily by civil service employees <input type="checkbox"/> are of such a highly specialized or technical nature that the expert knowledge, expertise, and ability are not available through the civil service system. <input type="checkbox"/> The Services are of such an: <input type="checkbox"/> urgent <input type="checkbox"/> temporary, or <input type="checkbox"/> occasional nature that the delay to implement under civil service would frustrate their very purpose. <b>Justification:</b> Public Resources Code 25620, et seq., authorizes the Commission to contract for the subject work. (PIER)



# CONTRACT REQUESTS FORM (CRF)



The following items should be attached to this CRF		
1. Scope of Work, Attach as Exhibit A.	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
2. Budget Detail, Attach as Exhibit B.	<input checked="" type="checkbox"/> N/A	<input checked="" type="checkbox"/> Attached
3. CEC 96, NCB Request	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
4. CEC 30, Survey of Prior Work	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
5. CEC 95, DVBE Exemption Request	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
6. Draft CEQA Notice of Exemption (NOE)	<input type="checkbox"/> N/A	<input checked="" type="checkbox"/> Attached
7. Resumes	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
8. CEC 105, Questionnaire for Identifying Conflicts		<input checked="" type="checkbox"/> Attached
9. CEC 106, IT Component Reporting Form		<input type="checkbox"/> Attached

\_\_\_\_\_  
 Contract Manager                      Date                      Office Manager                      Date                      Deputy Director                      Date

The following signatures are only required when contract approval is delegated to the Executive Office and not approved at a Business Meeting. See Business Meeting Information Section.

\_\_\_\_\_  
 Presiding Policy Committee                      Date                      Associate Policy Committee                      Date                      Executive Director                      Date