

**CONTRACT REQUESTS FORM (CRF)**

CEC-94 (Revised 5/11)

CALIFORNIA ENERGY COMMISSION



New Contract \_\_\_\_\_  Amendment to Existing Contract: 500-10-007 Amendment Number: 1

Division	Contract Manager:	MS-	Phone	CM Training Date
ERDD - Environmental Area	Guido Franco	43	916-327-2392	12/3/1997

Contractor's Legal Name	Federal ID Number
The Regents of the University of California on behalf of the California Institute for Energy and Environment	94-3067788

Title of Project
Visualizing Climate Change Risk and Adaptation Options for California: CalAdapt

Term	Start Date	End Date	Amount
New/Original Contract	8/16/2010	2/25/2013	\$ 549,975

Line up the Amendment information as best as possible within the following table.

Amendment #	End Date (mm/dd/yy)	Amount
Amendment 1	2/25/2014	\$0

### Business Meeting Information

Proposed Business Meeting Date	2/13/2013	<input checked="" type="checkbox"/> Consent	<input type="checkbox"/> Discussion
Business Meeting Presenter	Guido Franco	Time Needed:	5 minutes

### Agenda Item Subject and Description

REGENTS OF THE UNIVERSITY OF CALIFORNIA. Possible approval of Amendment 1 to Agreement 500-10-007 with the Regents of the University of California on behalf of the California Institute for Energy and Environment to extend the contract by 12 months to February 25, 2014 and to update the terms and conditions. The extension will allow Cal-Adapt to continue to operate and provide the same level of services for a longer timeframe. The total contract amount of \$549,975 is unchanged. (PIER electricity funding.) Contact: Guido Franco.

**Business Meeting approval is not required for the following types of contracts:** *Executive Director's signature is required in all cases.*

- Contracts less than \$10k (*Policy Committee's signature is also required*)
- Amendment for a no-cost time extension. Must be first extension, less than one year and original contract less than \$100k.
- Contracts less than \$25k for Expert Witness in Energy Facility licensing cases and amendments.

### Purpose of Contract or Purpose of Amendment, if applicable

The Natural Resources Agency issued an adaptation guidance document to local agencies which includes a strong recommendation to use Cal-Adapt as one of its main tools to obtain climate change information at the local level. A no-cost time extension is needed to allow Cal-Adapt to continue to operate and provide the same level of services as today. Additionally, as directed by the Department of General Services, the amendment removes the Binding Arbitration language from the Special Terms and Conditions, Disputes Provision of the agreement.

### California Environmental Quality Act (CEQA) Compliance

- Is Contract considered a "Project" under CEQA?
  - Yes: skip to question 2
  - No: complete the following (PRC 21065 and 14 CCR 15378):  
 Explain why contract is not considered a "Project":  
 Contract will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because the project involves the maintenance of a website..
- If contract is considered a "Project" under CEQA:
  - a) Contract **IS** exempt. (Draft NOE required)
    - Statutory Exemption. List PRC and/or CCR section number: \_\_\_\_\_
    - Categorical Exemption. List CCR section number: \_\_\_\_\_
    - Common Sense Exemption. 14 CCR 15061 (b) (3)
 Explain reason why contract is exempt under the above section: \_\_\_\_\_
  - b) Contract **IS NOT** exempt. The Contract Manager needs to consult with the Energy Commission attorney assigned to their division and the Siting Office regarding a possible Initial Study.

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Budgets Information								
Contract Amount Funded		Breakdown by FY			Funding Sources			
Funding Source	Amount	FY	Amount	Approved?	Funding Source	FY	Budget List No.	Amount
ARFVTF	\$		\$					\$
ECAA	\$		\$					\$
State- ERPA	\$		\$					\$
Federal	\$		\$					\$
PIER - E	\$		\$					\$
PIER - NG	\$		\$					\$
Reimbursement	\$		\$					\$
Other	\$		\$					\$
<b>TOTAL:</b>	<b>\$0</b>	<b>TOTAL:</b>	<b>\$0</b>		<b>TOTAL:</b>			<b>\$0</b>
Reimbursement Contract #:					Federal Agreement			

Contractor's Administrator/ Officer		Contractor's Project Manager	
Name:	Ken Krich	Name:	Carl Blumstein
Address:	2087 ADDISON ST FL 2	Address:	2087 ADDISON ST FL 2
City, State, Zip:	BERKELEY, CA 94704-1268	City, State, Zip:	BERKELEY, CA 94704-1268
Phone/ Fax:	510-643-5542 / 510-225-2520	Phone/ Fax:	510-643-9321 / 510-643-9324
E-Mail:	ken.krich@uc-ciee.org	E-Mail:	carl.blumstein@uc-ciee.org

Contractor Is
<input type="checkbox"/> Private Company (including non-profits)
<input checked="" type="checkbox"/> CA State Agency (including UC and CSU)
<input type="checkbox"/> Government Entity (i.e. city, county, federal government, air/water/school district, joint power authorities, university from another state)

Selection Process Used
<input type="checkbox"/> Solicitation <u>Select Type</u> Solicitation #: _____ # of Bids: _____ Low Bid? <input type="checkbox"/> No <input type="checkbox"/> Yes
<input type="checkbox"/> Non Competitive Bid (Attach CEC 96)
<input checked="" type="checkbox"/> Exempt Interagency

Civil Service Considerations
<input type="checkbox"/> Not Applicable (Contract is with a CA State Entity or a membership/co-sponsorship)
<input checked="" type="checkbox"/> Public Resources Code 25620, et seq., authorizes the Commission to contract for the subject work. (PIER)
<input type="checkbox"/> The Services Contracted:
<input type="checkbox"/> are not available within civil service
<input type="checkbox"/> cannot be performed satisfactorily by civil service employees
<input type="checkbox"/> are of such a highly specialized or technical nature that the expert knowledge, expertise, and ability are not available through the civil service system.
<input type="checkbox"/> The Services are of such an:
<input type="checkbox"/> urgent
<input type="checkbox"/> temporary, or
<input type="checkbox"/> occasional nature
that the delay to implement under civil service would frustrate their very purpose.
<b>Justification:</b>
Public Resources Code 25620, et seq., authorizes the Commission to contract for the subject work. (PIER)

Payment Method
<input checked="" type="checkbox"/> A. Reimbursement in arrears based on:
<input type="checkbox"/> Itemized Monthly <input checked="" type="checkbox"/> Itemized Quarterly <input type="checkbox"/> Flat Rate <input type="checkbox"/> One-time
<input checked="" type="checkbox"/> B. Advanced Payment
<input type="checkbox"/> C. Other, explain:

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<b>Retention</b>		
1. Is contract subject to retention?	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
If Yes, Do you plan to release retention prior to contract termination?	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes

<b>Justification of Rates</b>		
The Overhead rates charged by the Regents of the University/CIEE are standard negotiated rates with the Energy Commission. The Labor Rates are standard University rates for each classification.		

<b>Disabled Veteran Business Enterprise Program (DVBE)</b>		
1. <input checked="" type="checkbox"/> Not Applicable		
2. <input type="checkbox"/> Meets DVBE Requirements	DVBE Amount:\$ _____	DVBE %: _____
<input type="checkbox"/> Contractor is Certified DVBE		
<input type="checkbox"/> Contractor is Subcontracting with a DVBE:	_____	
3. <input type="checkbox"/> Requesting DVBE Exemption (attach CEC 95)		

<b>Is Contractor a certified Small Business (SB), Micro Business (MB) or DVBE?</b>			<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes	
If yes, check appropriate box:			<input type="checkbox"/> SB	<input type="checkbox"/> MB	<input type="checkbox"/> DVBE

<b>Is Contractor subcontracting any services?</b>			<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes		
If yes, give company name and identify if they are a Small Business (SB), Micro Business (MB) and/or DVBE:						
The Regents of the University of California on behalf of the Berkeley			<input checked="" type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB	<input type="checkbox"/> DVBE

<b>Miscellaneous Contract Information</b>		
1. Will there be Work Authorizations?	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
2. Is the Contractor providing confidential information?	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
3. Is the contractor going to purchase equipment?	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
4. Check frequency of progress reports	<input type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input type="checkbox"/> _____	
5. Will a final report be required?	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes
6. Is the contract, with amendments, longer than a year? If yes, why?	<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes
The Department of General Services has agreed to give the Commission blanket authority to execute multi-year contracts to support the Commission's RD&D Programs.		

<b>The following items should be attached to this CRF</b>		
1. Scope of Work, Attach as Exhibit A.	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
2. Budget Detail, Attach as Exhibit B.	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
3. CEC 96, NCB Request	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
4. CEC 30, Survey of Prior Work	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
5. CEC 95, DVBE Exemption Request	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
6. Draft CEQA Notice of Exemption (NOE)	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
7. Resumes	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
8. CEC 105, Questionnaire for Identifying Conflicts		<input type="checkbox"/> Attached
9. CEC 106, IT Component Reporting Form		<input type="checkbox"/> Attached

\_\_\_\_\_  
Contract Manager                      Date                      Office Manager                      Date                      Deputy Director                      Date

The following signatures are only required when contract approval is delegated to the Executive Office and not approved at a Business Meeting. See Business Meeting Information Section.

\_\_\_\_\_  
Presiding Policy Committee                      Date                      Associate Policy Committee                      Date                      Executive Director                      Date