

**CONTRACT REQUESTS FORM (CRF)**

CEC-94 (Revised 5/11)

CALIFORNIA ENERGY COMMISSION


 New Contract \_\_\_\_\_  Amendment to Existing Contract: 500-09-039 Amendment Number: 1

Division	Contract Manager:	MS-	Phone	CM Training Date
ERDD - Energy Technology Systems Integration	Consuelo Sichon	43	916-327-2222	9/29/2009

Contractor's Legal Name	Federal ID Number
University Enterprises, Inc.	94-1337638

Title of Project
California Smart Grid Center Research on Customer Premise Networks and the Impacts of Distributed Generation

Term	Start Date	End Date	Amount
New/Original Contract	6/1/2010	7/31/2013	\$ 2,000,000

Line up the Amendment information as best as possible within the following table.

Amendment #	End Date (mm/dd/yy)	Amount
Amendment 1	3/31/2015	\$1,400,000

Business Meeting Information			
Proposed Business Meeting Date	3/20/2013	<input type="checkbox"/> Consent	<input checked="" type="checkbox"/> Discussion
Business Meeting Presenter	Consuelo Sichon	Time Needed:	5 minutes

Agenda Item Subject and Description
UNIVERSITY ENTERPRISES, INC. (AUXILIARY ORGANIZATION TO CALIFORNIA STATE UNIVERSITY, SACRAMENTO) Possible approval of Amendment 1 to contract 500-09-039 with University Enterprises, Inc. to add \$1.4 million, extend the contract term by 20 months to March 31, 2015, and include changes to update the terms and conditions. This amendment will also modify the scope of work and budget to update existing tasks and add three projects: Customer Premise Network Design and Testing, Cyber Security Issue Identification, and Simulations of Distributed Generation Impacts on the Distribution System. (PIER Electricity funding) Contact: Consuelo Sichon (5 minutes).

Business Meeting approval is not required for the following types of contracts: <i>Executive Director's signature is required in all cases.</i>
<input type="checkbox"/> Contracts less than \$10k ( <i>Policy Committee's signature is also required</i> )
<input type="checkbox"/> Amendment for a no-cost time extension. Must be first extension, less than one year and original contract less than \$100k.
<input type="checkbox"/> Contracts less than \$25k for Expert Witness in Energy Facility licensing cases and amendments.

Purpose of Contract or Purpose of Amendment, if applicable
The purpose of this amendment is to extend the contract, add funds to the contract, update existing tasks and terms and conditions, and add tasks to perform three smart grid projects: Customer Premise Network Design and Testing, Cyber Security Issue Identification, and Simulations of Distributed Generation Impacts on the Distribution System. As directed by the Department of General Services, the amendment also removes the Binding Arbitration language from the Special Terms and Conditions, disputes Provision of the agreement.

California Environmental Quality Act (CEQA) Compliance
1. Is Contract considered a "Project" under CEQA? <input type="checkbox"/> Yes: skip to question 2 <input checked="" type="checkbox"/> No: complete the following (PRC 21065 and 14 CCR 15378): Explain why contract is not considered a "Project": Contract will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because it involves research such as paper studies and laboratory testing.
2. If contract is considered a "Project" under CEQA: <input type="checkbox"/> a) Contract <b>IS</b> exempt. (Draft NOE required) <input type="checkbox"/> Statutory Exemption. List PRC and/or CCR section number: _____ <input type="checkbox"/> Categorical Exemption. List CCR section number: _____ <input type="checkbox"/> Common Sense Exemption. 14 CCR 15061 (b) (3) Explain reason why contract is exempt under the above section:  <input type="checkbox"/> b) Contract <b>IS NOT</b> exempt. The Contract Manager needs to consult with the Energy Commission attorney assigned to their division and the Siting Office regarding a possible Initial Study.

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Budgets Information								
Contract Amount Funded		Breakdown by FY			Funding Sources			
Funding Source	Amount	FY	Amount	Approved?	Funding Source	FY	Budget List No.	Amount
ARFVTF	\$	12-13	\$1,400,000	Yes	PIER-E	11-12	501.027J	\$1,400,000
ECAA	\$		\$					\$
State- ERPA	\$		\$					\$
Federal	\$		\$					\$
PIER - E	\$1,400,000		\$					\$
PIER - NG	\$		\$					\$
Reimbursement	\$		\$					\$
Other	\$		\$					\$
TOTAL:	\$1,400,000	TOTAL:	\$1,400,000				TOTAL:	\$1,400,000
Reimbursement Contract #:					Federal Agreement			

Contractor's Administrator/ Officer		Contractor's Project Manager	
Name:	James Scott	Name:	Emir Macari
Address:	6000 J ST. Ste. 3400	Address:	6000 J St. Ste. 3400 Bookstore Bldg. Ste. 3400
City, State, Zip:	Sacramento, CA 95819-6000	City, State, Zip:	Sacramento, CA 95819-6000
Phone/ Fax:	(916) 278-4589 / (916) 278-4886	Phone/ Fax:	916-278-6127 /
E-Mail:	james@csus.edu	E-Mail:	emacari@ecs.csus.edu

Contractor Is
<input type="checkbox"/> Private Company (including non-profits)
<input type="checkbox"/> CA State Agency (including UC and CSU)
<input checked="" type="checkbox"/> Government Entity (i.e. city, county, federal government, air/water/school district, joint power authorities, university from another state)

Selection Process Used
<input type="checkbox"/> Solicitation <u>Select Type</u> Solicitation #: _____ # of Bids: _____ Low Bid? <input type="checkbox"/> No <input type="checkbox"/> Yes
<input type="checkbox"/> Non Competitive Bid (Attach CEC 96)
<input checked="" type="checkbox"/> Exempt <u>Other Government Entity</u>

Civil Service Considerations
<input type="checkbox"/> Not Applicable (Contract is with a CA State Entity or a membership/co-sponsorship)
<input checked="" type="checkbox"/> Public Resources Code 25620, et seq., authorizes the Commission to contract for the subject work. (PIER)
<input type="checkbox"/> The Services Contracted:
<input type="checkbox"/> are not available within civil service
<input type="checkbox"/> cannot be performed satisfactorily by civil service employees
<input type="checkbox"/> are of such a highly specialized or technical nature that the expert knowledge, expertise, and ability are not available through the civil service system.
<input type="checkbox"/> The Services are of such an:
<input type="checkbox"/> urgent
<input type="checkbox"/> temporary, or
<input type="checkbox"/> occasional nature
that the delay to implement under civil service would frustrate their very purpose.
<b>Justification:</b>
Public Resources Code 25620, et seq., authorizes the Commission to contract for the subject work. (PIER)

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Payment Method			
<input checked="" type="checkbox"/> A. Reimbursement in arrears based on:			
<input type="checkbox"/> Itemized Monthly	<input checked="" type="checkbox"/> Itemized Quarterly	<input type="checkbox"/> Flat Rate	<input type="checkbox"/> One-time
<input type="checkbox"/> B. Advanced Payment			
<input type="checkbox"/> C. Other, explain:			

Retention			
1. Is contract subject to retention?		<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes
If Yes, Do you plan to release retention prior to contract termination?		<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes

Justification of Rates
The amended rates are within a reasonable range compared to the original agreement rates.

Disabled Veteran Business Enterprise Program (DVBE)
1. <input type="checkbox"/> Not Applicable
2. <input checked="" type="checkbox"/> Meets DVBE Requirements      DVBE Amount:\$ <u>186,002.00</u> DVBE %: <u>5.47</u>
<input type="checkbox"/> Contractor is Certified DVBE
<input checked="" type="checkbox"/> Contractor is Subcontracting with a DVBE: <u>Power Pros</u>
3. <input type="checkbox"/> Requesting DVBE Exemption (attach CEC 95)

Is Contractor a certified Small Business (SB), Micro Business (MB) or DVBE?		<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
If yes, check appropriate box:		<input type="checkbox"/> SB	<input type="checkbox"/> MB <input type="checkbox"/> DVBE

Is Contractor subcontracting any services?		<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes
If yes, give company name and identify if they are a Small Business (SB), Micro Business (MB) and/or DVBE:			
Power Systems Professionals	<input type="checkbox"/> No	<input checked="" type="checkbox"/> SB	<input checked="" type="checkbox"/> MB <input checked="" type="checkbox"/> DVBE
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB <input type="checkbox"/> DVBE
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB <input type="checkbox"/> DVBE
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB <input type="checkbox"/> DVBE
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB <input type="checkbox"/> DVBE
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	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB <input type="checkbox"/> DVBE
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB <input type="checkbox"/> DVBE
	<input type="checkbox"/> No	<input type="checkbox"/> SB	<input type="checkbox"/> MB <input type="checkbox"/> DVBE

Miscellaneous Contract Information			
1. Will there be Work Authorizations?		<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
2. Is the Contractor providing confidential information?		<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes
3. Is the contractor going to purchase equipment?		<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes
4. Check frequency of progress reports			
<input type="checkbox"/> Monthly		<input checked="" type="checkbox"/> Quarterly	<input type="checkbox"/> _____
5. Will a final report be required?		<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes
6. Is the contract, with amendments, longer than a year? If yes, why?		<input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes
The Department of General Services has agreed to give the Commission blanket authority to execute multi-year contracts to support the Commission's RD&D Programs.			

# CONTRACT REQUESTS FORM (CRF)



The following items should be attached to this CRF			
1. Scope of Work, Attach as Exhibit A.	<input type="checkbox"/> N/A	<input checked="" type="checkbox"/> Attached	
2. Budget Detail, Attach as Exhibit B.	<input type="checkbox"/> N/A	<input checked="" type="checkbox"/> Attached	
3. CEC 96, NCB Request	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached	
4. CEC 30, Survey of Prior Work	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached	
5. CEC 95, DVBE Exemption Request	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached	
6. Draft CEQA Notice of Exemption (NOE)	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached	
7. Resumes	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached	
8. CEC 105, Questionnaire for Identifying Conflicts		<input checked="" type="checkbox"/> Attached	

\_\_\_\_\_  
 Contract Manager                      Date                      Office Manager                      Date                      Deputy Director                      Date

The following signatures are only required when contract approval is delegated to the Executive Office and not approved at a Business Meeting. See Business Meeting Information Section.

\_\_\_\_\_  
 Presiding Policy Committee                      Date                      Associate Policy Committee                      Date                      Executive Director                      Date