

**GRANT AMENDMENT REQUEST FORM (GARF)**

CEC-277 (Revised 02/13)

CALIFORNIA ENERGY COMMISSION



Original Agreement #	PIR-10-004	Amendment #	1
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<b>Division</b>	<b>Agreement Manager:</b>	<b>MS-</b>	<b>Phone</b>
ERDD	Michael Sokol	43	916-327-1416

<b>Recipient's Legal Name</b>	<b>Federal ID Number</b>
Sacramento Municipal Utility District	94-6001157

<b>Revisions:</b> (check all that apply)		
<input checked="" type="checkbox"/> Term Extension	New End Date: 3/31/2015	Include revised schedule and complete items A, B, C, & F below.
<input type="checkbox"/> Budget Augmentation	Amendment Amount: \$ 0	Include revised budget and complete items A, B, C, D & F below.
<input checked="" type="checkbox"/> Budget Reallocation		Include revised budget and complete items A, B, C, & F below.
<input type="checkbox"/> Scope of Work Revision		Include revised scope of work and complete items A, B, C, E & F below.
<input type="checkbox"/> Change in Project Location or Demonstration Site		Include revised scope of work and complete items A, B, C, E & F below.
<input type="checkbox"/> Novation/Name Change of Prime Contractor/Recipient		Include novation documentation and complete items A, B, C, & F below.
<input type="checkbox"/> Terms and Conditions Modification		Include applicable exhibits with bold/underline/strikeout and complete items A, B, C, & F below.

**A) Business Meeting Information****Business Meeting approval is not required for the following types of Agreements:**

<input type="checkbox"/> ARFVTP agreements under \$75K delegated to Executive Director.			
Proposed Business Meeting Date	9/11/2013	<input type="checkbox"/> Consent	<input checked="" type="checkbox"/> Discussion
Business Meeting Presenter	Michael Sokol	Time Needed:	5 minutes
Please select one list serve. Research (Energy RDD / PIER program)			

**Agenda Item Subject and Description**

SACRAMENTO MUNICIPAL UTILITY DISTRICT (SMUD). Possible approval of Amendment 1 to Grant Agreement PIR-10-004 with SMUD for a nine-month no cost extension to accommodate a U.S. Department of Energy (DOE) term extension, update job classifications, and reallocate the budget. This agreement is a cost-share award with the DOE under the American Recovery and Reinvestment Act (ARRA) of 2009 to demonstrate and evaluate energy storage in a high-penetration solar community. The term will increase from 36 months to 45 months. The total match funding amount will increase from \$5,929,986 to \$6,016,174, for an increase of \$86,188. The PIER funding total and DOE total will not change. (PIER electric funding) Contact: Michael Sokol (5 minutes)

**B) List all subcontractors (major and minor) and equipment vendors:** (attach additional sheets as necessary)

Legal Company Name:	Budget
SunPower Corporation	\$ 69,000
GridPoint	\$ 0
DOE- National Renewable Energy Laboratory	\$ 0
Navigant Consulting, Inc.	\$ 0

**c) List all key partners:** (attach additional sheets as necessary)

Legal Company Name:

**D) Budget Information**

Funding Source	Funding Year of Appropriation	Budget List No.	Amount
			\$
			\$
			\$
R&D Program Area:	EGRO: Renewables	TOTAL:	\$
Explanation for "Other" selection			
Reimbursement Contract #:		Federal Agreement #:	



**E) California Environmental Quality Act (CEQA) Compliance**

1. Is Agreement considered a "Project" under CEQA?  
 Yes (skip to question 2)       No (complete the following (PRC 21065 and 14 CCR 15378)):  
 Explain why Agreement is not considered a "Project":

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2. If Agreement is considered a "Project" under CEQA:  
 a) Agreement **IS** exempt. (Attach draft NOE)  
      Statutory Exemption. List PRC and/or CCR section number: \_\_\_\_\_  
      Categorical Exemption. List CCR section number: 14 CCR 15301 and 15306  
      Common Sense Exemption. 14 CCR 15061 (b) (3)  
 Explain reason why Agreement is exempt under the above section:  
 The project involves technology installation and data collection/analysis activities that will not result in a significant environmental impact.

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b) Agreement **IS NOT** exempt. (Consult with the legal office to determine next steps.)  
 Check all that apply

<input type="checkbox"/> Initial Study	<input type="checkbox"/> Environmental Impact Report
<input type="checkbox"/> Negative Declaration	<input type="checkbox"/> Statement of Overriding Considerations
<input type="checkbox"/> Mitigated Negative Declaration	

**F) The following items should be attached to this GARF (as applicable)**

1. Exhibit A, Scope of Work	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
2. Exhibit B, Budget Detail	<input type="checkbox"/> N/A	<input checked="" type="checkbox"/> Attached
3. CEQA Documentation	<input type="checkbox"/> N/A	<input checked="" type="checkbox"/> Attached
4. Novation Documentation	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> Attached
5. CEC 105, Questionnaire for Identifying Conflicts		<input checked="" type="checkbox"/> Attached

_____	_____	_____	_____	_____	_____
Agreement Manager	Date	Office Manager	Date	Deputy Director	Date