

**CONTRACT AMENDMENT REQUEST FORM (CARF)**



Original Agreement #	500-10-045	Amendment #	1
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<b>Division</b>	<b>Agreement Manager:</b>	<b>MS-</b>	<b>Phone</b>
ERDD	David Stoms	43	916-327-2381

<b>Contractor's Legal Name</b>	<b>Federal ID Number</b>
The Regents of the University of California, Santa Barbara	95-6006145

<b>Revisions:</b> (check all that apply)		
<input checked="" type="checkbox"/> Term Extension	New End Date: 3/31/2015	Include revised schedule and complete items A, B, C, D, & H below.
<input type="checkbox"/> Budget Augmentation	Amendment Amount: \$ 0	Include revised budget and complete items A, B, C, D, E, F, & H below.
<input type="checkbox"/> Budget Reallocation		Include revised budget and complete items A, B, C, D, & H below.
<input type="checkbox"/> Scope of Work Revision		Include revised scope of work and complete items A, B, C, D, & H below.
<input type="checkbox"/> Change in Project Location or Demonstration Site		Include revised scope of work and complete items A, B, C, D, G, & H below.
<input type="checkbox"/> DVBE Replacement		Include revised scope of work and complete items A, B, C, D, F, & H below.
<input type="checkbox"/> Novation/Name Change of Prime Contractor/Recipient		Include novation documentation and complete items A, C, D, & H below.
<input type="checkbox"/> Terms and Conditions Modification		Include applicable exhibits with bold/underline/strikeout and complete items A, B, C, D, & H below.

**A) Business Meeting Information**

**Business Meeting approval is not required for the following types of Agreements:**

- Operational agreement (see CAM Manual for list) to be approved by Executive Director
- ARFVTP agreements under \$75K delegated to Executive Director.

Proposed Business Meeting Date	11/13/2013	<input checked="" type="checkbox"/> Consent	<input type="checkbox"/> Discussion
Business Meeting Presenter	David Stoms	Time Needed: 5 minutes	

Please select one list serve. Select

**Agenda Item Subject and Description**

Possible approval of Amendment 1 to Contract 500-10-045 with the University of California, Santa Barbara for a nine-month no-cost time extension for a total of 45 months. The purpose of this project is to estimate the impacts of climate change on hydropower generation in California. The time extension will allow the contractor time to collect and analyze another field season of data needed to accurately parameterize watershed scale models. (PIER electricity funding.) Contact: David Stoms.

**B) Amendment Justification** (For contract amendments only)

- Non Competitive Bid (Attach CEC 96)
- Exempt No-cost time extension

**C) List all subcontractors (major and minor) and equipment vendors:** (attach additional sheets as necessary)

Legal Company Name:	Budget	SB	MB	DVBE
The Regents of the University of California on behalf of the	\$ 0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
University of Utah	\$ 0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**D) List all key partners:** (attach additional sheets as necessary)

Legal Company Name:

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E) Budget Information			
Funding Source	Funding Year of Appropriation	Budget List No.	Amount
			\$
			\$
			\$
			\$
			\$
			\$
R&D Program Area:	EGRO: EA	TOTAL:	\$
Explanation for "Other" selection			
Reimbursement Contract #:		Federal Agreement #:	

**F) Disabled Veteran Business Enterprise Program (DVBE)**

- Exempt (Interagency/Other Government Entity)
- Meets DVBE Requirements DVBE Amount:\$ \_\_\_\_\_ DVBE %: \_\_\_\_\_
  - Contractor is Certified DVBE
  - Contractor is Subcontracting with a DVBE: \_\_\_\_\_
- Contractor selected through CMAS or MSA with no DVBE participation.
- Requesting DVBE Exemption (attach CEC 95)

**G) California Environmental Quality Act (CEQA) Compliance**

- Is Agreement considered a "Project" under CEQA?
  - Yes (skip to question 2)
  - No (complete the following (PRC 21065 and 14 CCR 15378)): Explain why Agreement is not considered a "Project":
- If Agreement is considered a "Project" under CEQA:
  - a) Agreement **IS** exempt. (Attach draft NOE)
    - Statutory Exemption. List PRC and/or CCR section number: \_\_\_\_\_
    - Categorical Exemption. List CCR section number: 14 CCR Section 15306
    - Common Sense Exemption. 14 CCR 15061 (b) (3)
 Explain reason why Agreement is exempt under the above section:  
 The project involves vegetation and stream water sampling activities that do not have the potential to cause a significant environmental impact.
  - b) Agreement **IS NOT** exempt. (Consult with the legal office to determine next steps.)
 Check all that apply
  - Initial Study
  - Negative Declaration
  - Mitigated Negative Declaration
  - Environmental Impact Report
  - Statement of Overriding Considerations

**H) The following items should be attached to this CRF (as applicable)**

1. Exhibit A, Scope of Work	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
2. Exhibit B, Budget Detail	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
3. CEC 96, NCB Request	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
4. CEC 95, DVBE Exemption Request	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
5. CEQA Documentation	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
6. Novation Documentation	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
7. CEC 105, Questionnaire for Identifying Conflicts	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached

Agreement Manager \_\_\_\_\_ Date \_\_\_\_\_ Office Manager \_\_\_\_\_ Date \_\_\_\_\_ Deputy Director \_\_\_\_\_ Date \_\_\_\_\_