

**CONTRACT REQUEST FORM (CRF)**



A) New Agreement 800-14-003 (To be completed by CGL Office)

B) Division	Agreement Manager:	MS-	Phone
800 Electricity Supply Analysis Division	Mike jaske	21	916-654-4777

C) Contractor's Legal Name	Federal ID Number
University of California, Berkeley, Energy Institute at Haas School of Business	-

D) Title of Project
2014 POWER Conference on Energy Research and Policy

E) Term and Amount	Start Date	End Date	Amount
	1 / 01 / 15	5 / 30 / 15	\$ 10,000

**F) Business Meeting Information**

Operational agreement (see CAM Manual for list) to be approved by Executive Director

ARFVTP agreements under \$75K delegated to Executive Director.

Proposed Business Meeting Date	2 / 18 / 2014	<input checked="" type="checkbox"/> Consent	<input type="checkbox"/> Discussion
Business Meeting Presenter		Time Needed:	minutes

Please select one list serve. Select

**Agenda Item Subject and Description**

Possible approval of Agreement 800-14-003 with University of California, Berkeley, Energy Institute at Haas School of Business for a \$10,000 contract to co-sponsor the 2014 POWER Conference on Energy Research and Policy, scheduled in Berkeley, California on March 21, 2014.

The 2014 POWER Conference will be the 19th annual conference focusing on electricity markets and systems. It brings together researchers from around the nation and some foreign countries to present the results of their studies of electricity markets. The purpose is to bring together outstanding scholars and practitioners from around the world to exchange ideas and research results on topics related to electricity markets and regulation.

**G) California Environmental Quality Act (CEQA) Compliance**

1. Is Agreement considered a "Project" under CEQA?  
 Yes (skip to question 2)       No (complete the following (PRC 21065 and 14 CCR 15378)):  
 Explain why Agreement is not considered a "Project":  
 Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because .

2. If Agreement is considered a "Project" under CEQA:  
 a) Agreement **IS** exempt. (Attach draft NOE)  
 Statutory Exemption. List PRC and/or CCR section number: \_\_\_\_\_  
 Categorical Exemption. List CCR section number: \_\_\_\_\_  
 Common Sense Exemption. 14 CCR 15061 (b) (3)  
 Explain reason why Agreement is exempt under the above section: \_\_\_\_\_

b) Agreement **IS NOT** exempt. (Consult with the legal office to determine next steps.)  
 Check all that apply  
 Initial Study       Environmental Impact Report  
 Negative Declaration       Statement of Overriding Considerations  
 Mitigated Negative Declaration

**H) List all subcontractors (major and minor) and equipment vendors: (attach additional sheets as necessary)**

Legal Company Name:	Budget	SB	MB	DVBE
	\$ 0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$ 0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$ 0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**I) List all key partners: (attach additional sheets as necessary)**

Legal Company Name:

\_\_\_\_\_

\_\_\_\_\_

**J) Budget Information**

Funding Source	Funding Year of Appropriation	Budget List No.	Amount
State - ERPA	2014-2015	100.022	\$10,000
Funding Source			\$
R&D Program Area: <input type="text"/> Select Program Area		TOTAL:	\$10,000
Explanation for "Other" selection			
Reimbursement Contract #:		Federal Agreement #:	

**K) Contractor's Administrator/ Officer**

Contractor's Administrator/ Officer				Contractor's Project Manager			
Name:	Energy Institute at Haas			Name:	Karen Notsund		
Address:	2547 Channing Way University of California, Berkeley			Address:	2547 Channing Way University of California, Berkeley		
City, State, Zip:	Berkeley, CA 94720-5180			City, State, Zip:	Berkeley, CA 94720-5180		
Phone:	510-642-9590	Fax:	510-643-5180	Phone:	510-642-9590	Fax:	- -
E-Mail:	ei@haas.berkeley.edu			E-Mail:	karen.notsund@hass.berkeley.edu		

**L) Selection Process Used** (For amendments, address amendment exemption or NCB, do not identify solicitation type of original agreement.)

Solicitation Select Type Solicitation #: \_\_\_\_\_ - \_\_\_\_\_ # of Bids: \_\_\_\_\_ Low Bid?  No  Yes  
 Non Competitive Bid (Attach CEC 96)  
 Exempt Co-Sponsorship

**M) Contractor Entity Type**

Private Company (including non-profits)  
 CA State Agency (including UC and CSU)  
 Government Entity (i.e. city, county, federal government, air/water/school district, joint power authorities, university from another state)

**N) Is Contractor a certified Small Business (SB), Micro Business (MB) or DVBE?**

No  Yes  
 If yes, check appropriate box:  SB  MB  DVBE

**O) Civil Service Considerations**

Not Applicable (Agreement is with a CA State Entity or a membership/co-sponsorship)  
 Public Resources Code 25620, et seq., authorizes the Commission to contract for the subject work. (PIER)  
 The Services Contracted:  
 are not available within civil service  
 cannot be performed satisfactorily by civil service employees  
 are of such a highly specialized or technical nature that the expert knowledge, expertise, and ability are not available through the civil service system.  
 The Services are of such an:  
 urgent  
 temporary, or  
 occasional nature  
 that the delay to implement under civil service would frustrate their very purpose.

**Justification:****P) Payment Method**

A. Reimbursement in arrears based on:  
 Itemized Monthly  Itemized Quarterly  Flat Rate  One-time  
 B. Advanced Payment  
 C. Other, explain:

**Q) Retention**

1. Is Agreement subject to retention?  No  Yes  
 If Yes, Will retention be released prior to Agreement termination?  No  Yes



<b>R) Justification of Rates</b>

<b>S) Disabled Veteran Business Enterprise Program (DVBE)</b>
1. <input checked="" type="checkbox"/> Exempt (Interagency/Other Government Entity)
2. <input type="checkbox"/> Meets DVBE Requirements      DVBE Amount:\$ <u>0</u> DVBE %: _____
<input type="checkbox"/> Contractor is Certified DVBE
<input type="checkbox"/> Contractor is Subcontracting with a DVBE: <u>Name of DVBE Company</u>
3. <input type="checkbox"/> Contractor selected through CMAS or MSA with no DVBE participation.
4. <input type="checkbox"/> Requesting DVBE Exemption (attach CEC 95)

<b>T) Miscellaneous Agreement Information</b>
1. Will there be Work Authorizations? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
2. Is the Contractor providing confidential information? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
3. Is the contractor going to purchase equipment? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
4. Check frequency of progress reports
<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Annual...
5. Will a final report be required? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes
6. Is the Agreement, with amendments, longer than a year? If yes, why? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes

<b>U) The following items should be attached to this CRF (as applicable)</b>
1. Exhibit A, Scope of Work <input type="checkbox"/> N/A <input checked="" type="checkbox"/> Attached
2. Exhibit B, Budget Detail <input type="checkbox"/> N/A <input checked="" type="checkbox"/> Attached
3. CEC 96, NCB Request <input type="checkbox"/> N/A <input type="checkbox"/> Attached
4. CEC 30, Survey of Prior Work <input type="checkbox"/> N/A <input type="checkbox"/> Attached
5. CEC 95, DVBE Exemption Request <input type="checkbox"/> N/A <input type="checkbox"/> Attached
6. CEQA Documentation <input type="checkbox"/> N/A <input type="checkbox"/> Attached
7. Resumes <input type="checkbox"/> N/A <input type="checkbox"/> Attached
8. CEC 105, Questionnaire for Identifying Conflicts <input type="checkbox"/> N/A <input checked="" type="checkbox"/> Attached

\_\_\_\_\_      \_\_\_\_\_      \_\_\_\_\_      \_\_\_\_\_      \_\_\_\_\_      \_\_\_\_\_  
 Agreement Manager      Date      Office Manager      Date      Deputy Director      Date

## **EXHIBIT A**

### **Scope of Work**

#### **PURPOSE**

The purpose of this Agreement is to co-sponsor the 2015 POWER Conference on Energy Research and Policy, scheduled in Berkeley, California on March 20, 2015.

The 2015 POWER Conference will be the 20<sup>th</sup> annual conference focusing on electricity markets and systems. It brings together researchers from around the nation and some foreign countries to present the results of their studies of electricity markets. The purpose is to bring together outstanding scholars and practitioners from around the world to exchange ideas and research results on topics related to electricity markets and regulation.

The co-sponsorship affords the Energy Commission recognition as a supporter of this valuable conference and demonstrates California's leadership in developing an electricity market in which preferred resources play a prominent role and environmental stewardship is paramount.

#### **The Contractor shall:**

- Conduct an Annual Research Conference on electricity markets, various market designs, and assessments of system operations.
- Gather academic researchers, policy-makers, and industry practitioners for a one-day intensive examination of new research on electricity industry restructuring.
- Facilitate the use and adaptation of project research results to the relevant policy questions of the day
- Acknowledge Energy Commission co-sponsorship in conference materials.
- Allow five (5) Energy Commission staff to attend without paying the customary registration fee.
- Disseminate conference results through a set of proceedings and a series of public forums that will engage policy makers and industry participants in critical, careful, public debate of the application of the results to important, contemporary issues

#### **Deliverables:**

- Research Conference Agenda
- Annual Research Conference Proceedings (no draft)

STATE OF CALIFORNIA

STATE ENERGY RESOURCES  
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: UNIVERSITY OF CALIFORNIA, BERKELEY

**RESOLVED**, that the State Energy Resources Conservation and Development Commission (Energy Commission) adopts the staff CEQA findings contained in the Agreement Request Form; and

**RESOLVED**, that the Energy Commission approves Agreement 800-14-003 with **The Regents of the University of California on behalf of the Berkeley Campus, Energy Institute at Haas School of Business** for **\$10,000** contract to co-sponsor the 2015 POWER Conference on Energy Research and Policy scheduled in Berkeley, California on March 20, 2015. The 2015 POWER Conference will be the 20th annual conference focusing on electricity markets and systems; and

**FURTHER BE IT RESOLVED**, that the Executive Director or his/her designee shall execute the same on behalf of the Energy Commission.

**CERTIFICATION**

The undersigned Secretariat to the Commission does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the California Energy Commission held on December 10, 2014

AYE: [List of Commissioners]

NAY: [List of Commissioners]

ABSENT: [List of Commissioners]

ABSTAIN: [List of Commissioners]

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Harriet Kallemeyn,  
Secretariat