

CONTRACT AMENDMENT REQUEST FORM (CARF)

CEC-276 (Revised 02/13)

CALIFORNIA ENERGY COMMISSION



Original Agreement #	700-08-001	Amendment #	5
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Division	Agreement Manager:	MS-	Phone
700 Siting Transmission Environmental Protection	Joseph Merrill	16	916-651-9005

Contractor's Legal Name	Federal ID Number
Aspen Environmental Group	14-4337914

Revisions: (check all that apply)		
<input checked="" type="checkbox"/> Term Extension	New End Date: 5 / 31 / 2016	Include revised schedule and complete items A, B, C, D, & H below.
<input checked="" type="checkbox"/> Budget Augmentation	Amendment Amount: \$ 6,870,000	Include revised budget and complete items A, B, C, D, E, F, & H below.
<input type="checkbox"/> Budget Reallocation		Include revised budget and complete items A, B, C, D, & H below.
<input type="checkbox"/> Scope of Work Revision		Include revised scope of work and complete items A, B, C, D, & H below.
<input type="checkbox"/> Change in Project Location or Demonstration Site		Include revised scope of work and complete items A, B, C, D, G, & H below.
<input type="checkbox"/> DVBE Replacement		Include revised scope of work and complete items A, B, C, D, F, & H below.
<input type="checkbox"/> Novation/Name Change of Prime Contractor/Recipient		Include novation documentation and complete items A, C, D, & H below.
<input type="checkbox"/> Terms and Conditions Modification		Include applicable exhibits with bold/underline/strikeout and complete items A, B, C, D, & H below.

A) Business Meeting Information**Business Meeting approval is not required for the following types of Agreements:**

- Operational agreement (see CAM Manual for list) to be approved by Executive Director
- ARFVTP minor amendments delegated to Executive Director.

Proposed Business Meeting Date	1 / 14 / 2015	<input type="checkbox"/> Consent	<input checked="" type="checkbox"/> Discussion
Business Meeting Presenter	Joseph Merrill	Time Needed:	5 minutes

Please select one list serve. DRECP (Desert Renewable Energy Conservation Plan)

Agenda Item Subject and Description

Possible approval of Amendment 5 to Contract 700-08-001 with Aspen Environmental Group to augment the agreement by \$6,870,000 and extend the term by 12 months to complete the Final DRECP Environmental Impact Report and Environmental Impact Statement (EIR/EIS) and prepare for DRECP implementation. The additional time and funding will be used solely to complete the Final DRECP EIR/EIS and related activities and documents.

B) Amendment Justification (For contract amendments only)

- Non Competitive Bid (Attach CEC 96)
- Exempt Amendment to AE, Title 20 CCR 2566.b

C) List all subcontractors (major and minor) and equipment vendors: (attach additional sheets as necessary)

Legal Company Name:	Budget	SB	MB	DVBE
See attached team roster	\$ 0.00	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$ 0.00	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$ 0.00	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

D) List all key partners: (attach additional sheets as necessary)

Legal Company Name:

E) Budget Information (only include amendment amount information)

Funding Source	Funding Year of Appropriation	Budget List No.	Amount
State - ERPA	2014-15	700.006/700.006B	\$4,100,000
Federal	2014-15	901.002	\$1,000,000
Other	2015-16	700.006/901.002	\$1,770,000
Funding Source			\$
Funding Source			\$
R&D Program Area:	Select Program Area	TOTAL:	\$6,870,000
Explanation for "Other" selection	2015-16 ERPA/Federal funding mix depends on Federal funding awarded		

CONTRACT AMENDMENT REQUEST FORM (CARF)



Reimbursement Contract #: _____ Federal Agreement #: _____

F) Disabled Veteran Business Enterprise Program (DVBE)

1. Exempt (Interagency/Other Government Entity)

2. Meets DVBE Requirements DVBE Amount:\$ _____ DVBE %: _____
 Contractor is Certified DVBE
 Contractor is Subcontracting with a DVBE: Name of DVBE Company

3. Contractor selected through CMAS or MSA with no DVBE participation.

4. Requesting DVBE Exemption (attach CEC 95)

G) California Environmental Quality Act (CEQA) Compliance

1. Is Agreement considered a "Project" under CEQA?
 Yes (skip to question 2) No (complete the following (PRC 21065 and 14 CCR 15378)):
 Explain why Agreement is not considered a "Project":
 Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because _____.

2. If Agreement is considered a "Project" under CEQA:
 a) Agreement **IS** exempt. (Attach draft NOE)
 Statutory Exemption. List PRC and/or CCR section number: _____
 Categorical Exemption. List CCR section number: _____
 Common Sense Exemption. 14 CCR 15061 (b) (3)
 Explain reason why Agreement is exempt under the above section: _____

b) Agreement **IS NOT** exempt. (Consult with the legal office to determine next steps.)
 Check all that apply
 Initial Study Environmental Impact Report
 Negative Declaration Statement of Overriding Considerations
 Mitigated Negative Declaration

H) The following items should be attached to this ARF (as applicable)

1. Exhibit A, Scope of Work	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
2. Exhibit B, Budget Detail	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
3. CEC 96, NCB Request	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
4. CEC 95, DVBE Exemption Request	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
5. CEQA Documentation	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
6. Novation Documentation	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached
7. CEC 105, Questionnaire for Identifying Conflicts	<input type="checkbox"/> N/A	<input type="checkbox"/> Attached

 Agreement Manager Date Office Manager Date Deputy Director Date

Contract 700-08-001 Amendment 5 Attachment – Team Roster

Contract 700-08-001 Contractor/Subcontractor Roster
December 19, 2014

Contractor/Subcontractor	DVBE/Small Business Status
Aspen Environmental Group (Prime Contractor)	
Aerial Information Systems	Small Business
AeroPacific Consulting	
Applied Earthworks, Inc.	Small Business
Arellano Associates	
Ascent Environmental, Inc.	Small Business
ASM Affiliates	Small Business
Black Eagle Consulting, Inc.	
Brown-Buntin Associates, Inc.	
BSA (formerly PastForward)	Small Business
California Native Plant Society	
Center for Energy Efficiency and Renewable Technologies	
Chambers Group, Inc.	DVBE
Conservation Biology Institute (CBI)	
David Harlow	
Desert Research Institute	
Dick Anderson	
Dudek	
EcoBridges Environmental Consulting	
EDM Services, Inc.	Small Business
Environmental Management Associates, Inc.	
Essex Partnership	
Farris, West and Schulz	
Fehr & Peers Associates	
Geotechnical Consultants, Inc.	Small Business
Granite Financial Solutions, Inc.	DVBE, Small Business
Gregg Irwin	
Gregor Cailliet	
Helix Environmental Planning, Inc.	
Horizon Water and Environment, LLC	Small Business
Hydrofocus, Inc.	Small Business
ICF (formerly Jones & Stokes)	
Interpreting Services International	
Joel Reisman	
Katz & Associates	Small Business
Kessler and Associates	
Laura Frank Design	

Contract 700-08-001 Amendment 5
Attachment – Team Roster

Contractor/Subcontractor	DVBE/Small Business Status
Lindsley Architectural Lighting	
Marilyn Davin	
Marine Research Specialists	Small Business
Matt C. Bischoff	
Matthew Trask & Associates	
Maulbetch Consulting	
Michael Clayton & Associates	
Mike Foster	
MRW & Associates	Small Business
North State Resources, Inc.	Small Business
PAR Environmental Services, Inc.	Small Business
Patzek Consulting	
Phillip Williams & Associates, Ltd.	
Plover Group, Inc.	Small Business
PSOMAS	
R.W. Beck	
RECON	
Resource Law Group	
Ricondo and Associates, Inc.	
Rimpo and Associates, Inc.	
Risk Science Associates	
Sandwell Engineering, Inc.	
SC Wildlands	
Steve Melzer	
Susan Sanders Biological Consulting	
Tatsumi & Partners, Inc.	
Terrell Watt Planning Consultants	
The Sanberg Group, Inc.	DVBE/Small Business
Tremaine & Associates, Inc.	
William Kanemoto & Associates	
Wind River Environmental Group	
Zaininger Engineering Company, Inc.	
ZMAssociates, Inc.	DVBE/Small Business

STATE OF CALIFORNIA

STATE ENERGY RESOURCES
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: ASPEN ENVIRONMENTAL GROUP

RESOLVED, that the State Energy Resources Conservation and Development Commission (Energy Commission) adopts the staff CEQA findings contained in the Agreement Amendment Request Form; and

RESOLVED, that the Energy Commission approves Amendment 5 to Agreement 700-08-001 with **Aspen Environmental Group** to augment the agreement by \$6,870,000 and extend the term by 12 months to complete the Final Desert Renewable Energy Conservation Plan (DRECP) Environmental Impact Report and Environmental Impact Statement (EIR/EIS) and prepare for DRECP implementation; and

FURTHER BE IT RESOLVED, that the Executive Director or his/her designee shall execute the same on behalf of the Energy Commission.

CERTIFICATION

The undersigned Secretariat to the Commission does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the California Energy Commission held on January 14, 2015.

AYE: [List of Commissioners]

NAY: [List of Commissioners]

ABSENT: [List of Commissioners]

ABSTAIN: [List of Commissioners]

Harriet Kallemeyn,
Secretariat