



**GRANT REQUEST FORM (GRF)**

CEC-270 (Revised 02/13)

CALIFORNIA ENERGY COMMISSION



<b>List all key partners:</b> (attach additional sheets as necessary)
Legal Company Name:

Budget Information			
Funding Source	Funding Year of Appropriation	Budget List No.	Amount
EPIC	13-14	301.001A	\$862,875
			\$
			\$
			\$
			\$
			\$
R&D Program Area: EGRO: EA		TOTAL:	\$862,875
Explanation for "Other" selection			
Reimbursement Contract #:		Federal Agreement #:	

Recipient's Administrator/ Officer		Recipient's Project Manager	
Name:	Myron Miller	Name:	Erick Rickards
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Selection Process Used	
<input checked="" type="checkbox"/> Competitive Solicitation	Solicitation #: PON-14-309
<input type="checkbox"/> First Come First Served Solicitation	

The following items should be attached to this GRF	
1. Exhibit A, Scope of Work	<input checked="" type="checkbox"/> Attached
2. Exhibit B, Budget Detail	<input checked="" type="checkbox"/> Attached
3. CEC 105, Questionnaire for Identifying Conflicts	<input checked="" type="checkbox"/> Attached
4. Recipient Resolution	<input checked="" type="checkbox"/> N/A <input type="checkbox"/> Attached
5. CEQA Documentation	<input checked="" type="checkbox"/> N/A <input type="checkbox"/> Attached

_____ Agreement Manager	_____ Date	_____ Office Manager	_____ Date	_____ Deputy Director	_____ Date
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## EXHIBIT A Scope of Work

### I. TASK/ACRONYM TERM LISTS

#### A. Task List

Task #	CPR <sup>1</sup>	Task Name
1		General Project Tasks
2		Site Characterization and System Specification
3	X	Design and Lab Test System
4		Assemble and Install Test System
5		Perform System Field Test, Bat Activity Study and Reporting
6		Evaluation of Project Benefits
7		Technology/Knowledge Transfer Activities
8		Production Readiness Plan

#### B. Acronym/Term List

Acronym/Term	Meaning
BIDS	Bat Impact Deterrence System
CAM	Commission Agreement Manager
CAO	Commission Agreement Officer
CF	Capacity Factor
CPR	Critical Project Review
GW	Gigawatts
KW	Kilowatts
KWH	Kilowatts per hour
TAC	Technical Advisory Committee

### II. PURPOSE OF AGREEMENT, PROBLEM/SOLUTION STATEMENT, AND GOALS AND OBJECTIVES

#### A. Purpose of Agreement

The purpose of this Agreement is to fund development and testing of technology that mitigates fatal bat interactions with wind turbines.

#### B. Problem/ Solution Statement

##### Problem

Current methods of mitigating fatal bat interactions with wind turbines are costly and have limited effectiveness. Curtailment, which involves temporarily shutting down turbines or changing

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<sup>1</sup> Please see subtask 1.3 in Part II of the Scope of Work (General Project Tasks) for a description of Critical Project Review (CPR) Meetings.

## **EXHIBIT A**

### **Scope of Work**

operational settings, reduces energy production. Siting wind projects to avoid placement in areas with bat activity significantly limits potential wind power capacity in areas where economic project development is otherwise feasible.

Moreover, curtailment and siting limitations alone can be ineffective. Wind turbine facilities are active for 20 to 30 years. The wind industry in California has tripled in installed capacity (2 GW to 6 GW from 2004-13). Given the unpredictability of changing migratory patterns and dynamics of ecosystem changes as well as the high speed of bat flight, curtailment, operational changes, and siting are insufficient. Continued expansions in the regulatory protective status of bat species, including threatened and endangered listing, compound these challenges.

#### **Solution**

The project will serve to advance technology capable of mitigating impacts between bats and wind turbines. This technology, developed by the Recipient, involves the innovative use of broadband ultrasonic transmitters arranged in an array that is installed in the blades of a wind turbine.

Several studies have demonstrated the ability of ultrasound broadcasts to reduce bat activity. Research-to-date has involved ultrasonic transmitters installed on wind turbine towers and nacelles. The challenge is that the effective distance from the transmitter required to deter bats is 10-20m depending on the required frequency range. The Recipient will develop a system that overcomes this limitation by installing a system for retrofit and installation in new turbines that provides deterrence coverage across the entire turbine swept area.

#### **C. Goals and Objectives of the Agreement**

##### **Agreement Goals**

The goals of this Agreement are to:

- Improve the safety of wind turbines operating at the Bat Impact Deterrence System (BIDS) installation site, for the range of bat species found in the area.
- Demonstrate the effectiveness of a BIDS in lieu of curtailments that reduce wind energy production.
- Based on the demonstration of a viable BIDS, calculate the new wind turbine capacity enabled by installations in regions that were otherwise unavailable due to bat impact concerns.

Ratepayer Benefits:<sup>2</sup> This Agreement will result in the ratepayer benefits of lower costs and greater reliability.

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<sup>2</sup> California Public Resources Code, Section 25711.5(a) requires projects funded by the Electric Program Investment Charge (EPIC) to result in ratepayer benefits. The California Public Utilities Commission, which established the EPIC in 2011, defines ratepayer benefits as greater reliability, lower costs, and increased safety (See CPUC "Phase 2" Decision 12-05-037 at page 19, May 24, 2012, [http://docs.cpuc.ca.gov/PublishedDocs/WORD\\_PDF/FINAL\\_DECISION/167664.PDF](http://docs.cpuc.ca.gov/PublishedDocs/WORD_PDF/FINAL_DECISION/167664.PDF)).

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Wind power cost is a function of productivity because the wind's cost-structure is driven by the high fixed costs of wind turbine projects. The higher the capacity factor (CF)/utilization of turbines, the lower the cost per unit for each kWh generated. A reduction in forced curtailments due to bat activity will, thus, reduce costs to ratepayers. Additionally, by enabling the deployment of a more-productive new generation of wind turbines with larger wind turbine blades, the project will further reduce ratepayer costs.

The project will also serve to improve the reliability of the generation and transmission system. Higher CF/utilization of wind turbines reduces the load on intermittent/peak generators that improve reliability through system redundancy. Higher CFs also improve reliability by improving the predictability and efficiency of the electricity transmission system.

Technological Advancement and Breakthroughs:<sup>3</sup> This Agreement will lead to technological advancement and breakthroughs to overcome barriers to the achievement of the State of California's statutory energy goals by creating the wind industry's first BIDS that can effectively prevent fatal bat interactions with wind turbines.

Success of the project has potential to yield a transformational impact on the field of bat impact mitigation. An effective, practical, cost effective system design and test can enable commercialization and broad deployment of this technology. As a system that can be retrofitted into existing turbines and installed in new wind turbines, this technology could materially overcome a significant challenge of the wind power industry by reducing bat fatalities and injuries from turbine strikes.

### Agreement Objectives

The objectives of this Agreement are to:

- Design and fabricate a system to prevent fatal bat interactions with wind turbines
- Assess the effectiveness of the installed system in reducing bat fatalities
- Create processes for installation on the population of operating turbines and new turbines
- Create system controller settings optimized for a range of bat species.

## III. TASK 1 GENERAL PROJECT TASKS

### PRODUCTS

#### Subtask 1.1 Products

The goal of this subtask is to establish the requirements for submitting project products (e.g., reports, summaries, plans, and presentation materials). Unless otherwise specified by the Commission Agreement Manager (CAM), the Recipient must deliver products as required below by the dates listed in the **Project Schedule (Part IV)**. Products that require a draft version are indicated by marking “**(draft and final)**” after the product name in the “Products” section of the

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<sup>3</sup> California Public Resources Code, Section 25711.5(a) also requires EPIC-funded projects to lead to technological advancement and breakthroughs to overcome barriers that prevent the achievement of the state's statutory and energy goals.

## EXHIBIT A Scope of Work

task/subtask. If “(draft and final)” does not appear after the product name, only a final version of the product is required. With respect to due dates within this Scope of Work, “**days**” means working days.

### **The Recipient shall:**

- Submit all draft products to the CAM for review and comment in accordance with the Project Schedule (Part IV). The CAM will provide written comments to the Recipient on the draft product within 15 days of receipt, unless otherwise specified in the task/subtask for which the product is required.
- Submit the final product to the CAM once agreement has been reached on the draft. The CAM will provide written approval of the final product within 15 days of receipt, unless otherwise specified in the task/subtask for which the product is required.
- If the CAM determines that the final product does not sufficiently incorporate his/her comments, submit the revised product to the CAM within 10 days of notice by the CAM, unless the CAM specifies a longer time period.
- Submit all data and documents required as products in accordance with the following Instructions for Submitting Electronic Files and Developing Software:

- **Electronic File Format**

Submit all data and documents required as products under this Agreement in an electronic file format that is fully editable and compatible with the Energy Commission’s software and Microsoft (MS)-operating computing platforms, or with any other format approved by the CAM. Deliver an electronic copy of the full text of any Agreement data and documents in a format specified by the CAM, such as memory stick or CD-ROM.

The following describes the accepted formats for electronic data and documents provided to the Energy Commission as products under this Agreement, and establishes the software versions that will be required to review and approve all software products:

- Data sets will be in MS Access or MS Excel file format (version 2007 or later), or any other format approved by the CAM.
- Text documents will be in MS Word file format, version 2007 or later.
- Documents intended for public distribution will be in PDF file format. The Recipient must also provide the native Microsoft file format.
- Project management documents will be in Microsoft Project file format, version 2007 or later.

- **Software Application Development**

Use the following standard Application Architecture components in compatible versions for any software application development required by this Agreement (e.g., databases, models, modeling tools), unless the CAM approves other software applications such as open source programs:

- Microsoft ASP.NET framework (version 3.5 and up). Recommend 4.0.
- Microsoft Internet Information Services (IIS), (version 6 and up)

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Recommend 7.5.

- Visual Studio.NET (version 2008 and up). Recommend 2010.
- C# Programming Language with Presentation (UI), Business Object and Data Layers.
- SQL (Structured Query Language).
- Microsoft SQL Server 2008, Stored Procedures. Recommend 2008 R2.
- Microsoft SQL Reporting Services. Recommend 2008 R2.
- XML (external interfaces).

Any exceptions to the Electronic File Format requirements above must be approved in writing by the CAM. The CAM will consult with the Energy Commission's Information Technology Services Branch to determine whether the exceptions are allowable.

#### **MEETINGS**

##### **Subtask 1.2 Kick-off Meeting**

The goal of this subtask is to establish the lines of communication and procedures for implementing this Agreement.

##### **The Recipient shall:**

- Attend a "Kick-off" meeting with the CAM, the Commission Agreement Officer (CAO), and any other Energy Commission staff relevant to the Agreement. The Recipient will bring its Project Manager and any other individuals designated by the CAM to this meeting. The administrative and technical aspects of the Agreement will be discussed at the meeting. Prior to the meeting, the CAM will provide an agenda to all potential meeting participants. The meeting may take place in person or by electronic conferencing (e.g., WebEx), with approval of the CAM.

The administrative portion of the meeting will include discussion of the following:

- Terms and conditions of the Agreement;
- Administrative products (subtask 1.1);
- CPR meetings (subtask 1.3);
- Match fund documentation (subtask 1.7);
- Permit documentation (subtask 1.8);
- Subcontracts (subtask 1.9); and
- Any other relevant topics.

The technical portion of the meeting will include discussion of the following:

- The CAM's expectations for accomplishing tasks described in the Scope of Work;
- An updated Project Schedule;
- Technical products (subtask 1.1);
- Progress reports and invoices (subtask 1.5);
- Final Report (subtask 1.6);
- Technical Advisory Committee meetings (subtasks 1.10 and 1.11); and

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- Any other relevant topics.
  
- Provide an *Updated Project Schedule*, *List of Match Funds*, and *List of Permits*, as needed to reflect any changes in the documents.

#### **The CAM shall:**

- Designate the date and location of the meeting.
- Send the Recipient a *Kick-off Meeting Agenda*.

#### **Recipient Products:**

- Updated Project Schedule (*if applicable*)
- Updated List of Match Funds (*if applicable*)
- Updated List of Permits (*if applicable*)

#### **CAM Product:**

- Kick-off Meeting Agenda

#### **Subtask 1.3 Critical Project Review (CPR) Meetings**

The goal of this subtask is to determine if the project should continue to receive Energy Commission funding, and if so whether any modifications must be made to the tasks, products, schedule, or budget. CPR meetings provide the opportunity for frank discussions between the Energy Commission and the Recipient. As determined by the CAM, discussions may include project status, challenges, successes, advisory group findings and recommendations, final report preparation, and progress on technical transfer and production readiness activities (if applicable). Participants will include the CAM and the Recipient, and may include the CAO and any other individuals selected by the CAM to provide support to the Energy Commission.

CPR meetings generally take place at key, predetermined points in the Agreement, as determined by the CAM and as shown in the Task List on page 1 of this Exhibit. However, the CAM may schedule additional CPR meetings as necessary. The budget will be reallocated to cover the additional costs borne by the Recipient, but the overall Agreement amount will not increase. CPR meetings generally take place at the Energy Commission, but they may take place at another location, or may be conducted via electronic conferencing (e.g., WebEx) as determined by the CAM.

#### **The Recipient shall:**

- Prepare a *CPR Report* for each CPR meeting that: (1) discusses the progress of the Agreement toward achieving its goals and objectives; and (2) includes recommendations and conclusions regarding continued work on the project.
- Submit the CPR Report along with any other *Task Products* that correspond to the technical task for which the CPR meeting is required (i.e., if a CPR meeting is required for Task 2, submit the Task 2 products along with the CPR Report).
- Attend the CPR meeting.
- Present the CPR Report and any other required information at each CPR meeting.

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#### **The CAM shall:**

- Determine the location, date, and time of each CPR meeting with the Recipient's input.
- Send the Recipient a *CPR Agenda* and a *List of Expected CPR Participants* in advance of the CPR meeting. If applicable, the agenda will include a discussion of match funding and permits.
- Conduct and make a record of each CPR meeting. Provide the Recipient with a *Schedule for Providing a Progress Determination* on continuation of the project.
- Determine whether to continue the project, and if so whether modifications are needed to the tasks, schedule, products, or budget for the remainder of the Agreement. If the CAM concludes that satisfactory progress is not being made, this conclusion will be referred to the Deputy Director of the Energy Research and Development Division.
- Provide the Recipient with a *Progress Determination* on continuation of the project, in accordance with the schedule. The Progress Determination may include a requirement that the Recipient revise one or more products.

#### **Recipient Products:**

- CPR Report(s)
- Task Products (draft and/or final as specified in the task)

#### **CAM Products:**

- CPR Agenda
- List of Expected CPR Participants
- Schedule for Providing a Progress Determination
- Progress Determination

#### **Subtask 1.4 Final Meeting**

The goal of this subtask is to complete the closeout of this Agreement.

#### **The Recipient shall:**

- Meet with Energy Commission staff to present project findings, conclusions, and recommendations. The final meeting must be completed during the closeout of this Agreement. This meeting will be attended by the Recipient and CAM, at a minimum. The meeting may occur in person or by electronic conferencing (e.g., WebEx), with approval of the CAM.

The technical and administrative aspects of Agreement closeout will be discussed at the meeting, which may be divided into two separate meetings at the CAM's discretion.

- The technical portion of the meeting will involve the presentation of findings, conclusions, and recommended next steps (if any) for the Agreement. The CAM will determine the appropriate meeting participants.
- The administrative portion of the meeting will involve a discussion with the CAM and the CAO of the following Agreement closeout items:
  - Disposition of any state-owned equipment.
  - Need to file a Uniform Commercial Code Financing Statement (Form UCC-1) regarding the Energy Commission's interest in patented technology.

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- The Energy Commission's request for specific "generated" data (not already provided in Agreement products).
  - Need to document the Recipient's disclosure of "subject inventions" developed under the Agreement.
  - "Surviving" Agreement provisions such as repayment provisions and confidential products.
  - Final invoicing and release of retention.
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- Prepare a *Final Meeting Agreement Summary* that documents any agreement made between the Recipient and Commission staff during the meeting.
  - Prepare a *Schedule for Completing Agreement Closeout Activities*.
  - Provide *All Draft and Final Written Products* on a CD-ROM or USB memory stick, organized by the tasks in the Agreement.

### Products:

- Final Meeting Agreement Summary (*if applicable*)
- Schedule for Completing Agreement Closeout Activities
- All Draft and Final Written Products

## REPORTS AND INVOICES

### Subtask 1.5 Progress Reports and Invoices

The goals of this subtask are to: (1) periodically verify that satisfactory and continued progress is made towards achieving the project objectives of this Agreement; and (2) ensure that invoices contain all required information and are submitted in the appropriate format.

### The Recipient shall:

- Submit a monthly *Progress Report* to the CAM. Each progress report must:
  - Summarize all Agreement activities conducted by the Recipient for the preceding month, including an assessment of the ability to complete the Agreement within the current budget and any anticipated cost overruns. See the Progress Report Format Attachment for the recommended specifications.
  - Provide a synopsis of the project progress, including accomplishments, problems, milestones, products, schedule, fiscal status, and any evidence of progress such as photographs.
- Submit a monthly or quarterly *Invoice* that follows the instructions in the "Payment of Funds" section of the terms and conditions. In addition, each invoice must document and verify:
  - Energy Commission funds received by California-based entities;
  - Energy Commission funds spent in California (*if applicable*); and
  - Match fund expenditures.

### Products:

- Progress Reports
- Invoices

## **EXHIBIT A**

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#### **Subtask 1.6 Final Report**

The goal of this subtask is to prepare a comprehensive Final Report that describes the original purpose, approach, results, and conclusions of the work performed under this Agreement. The CAM will review and approve the Final Report, which will be due at least **two months** before the Agreement end date. When creating the Final Report Outline and the Final Report, the Recipient must use a Style Manual provided by the CAM.

#### **Subtask 1.6.1 Final Report Outline**

##### **The Recipient shall:**

- Prepare a *Final Report Outline* in accordance with the *Style Manual* provided by the CAM.
- Submit a draft of the outline to the CAM for review and comment.
- Once agreement has been reached on the draft, submit the final outline to the CAM. The CAM will provide written approval of the final outline within 10 days of receipt.

##### **Recipient Products:**

- Final Report Outline (draft and final)

##### **CAM Product:**

- Style Manual

#### **Subtask 1.6.2 Final Report**

##### **The Recipient shall:**

- Prepare a *Final Report* for this Agreement in accordance with the approved Final Report Outline and the Style Manual provided by the CAM.
- Submit a draft of the report to the CAM for review and comment. Once agreement on the draft report has been reached, the CAM will forward the electronic version for Energy Commission internal approval. Once the CAM receives approval, he/she will provide written approval to the Recipient.
- Submit one bound copy of the Final Report to the CAM.

##### **Products:**

- Final Report (draft and final)

### **MATCH FUNDS, PERMITS, AND SUBCONTRACTS**

#### **Subtask 1.7 Match Funds**

The goal of this subtask is to ensure that the Recipient obtains any match funds planned for this Agreement and applies them to the Agreement during the Agreement term.

While the costs to obtain and document match funds are not reimbursable under this Agreement, the Recipient may spend match funds for this task. The Recipient may only spend match funds during the Agreement term, either concurrently or prior to the use of Energy Commission funds. Match funds must be identified in writing, and the Recipient must obtain any

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associated commitments before incurring any costs for which the Recipient will request reimbursement.

### **The Recipient shall:**

- Prepare a *Match Funds Status Letter* that documents the match funds committed to this Agreement. If no match funds were part of the proposal that led to the Energy Commission awarding this Agreement and none have been identified at the time this Agreement starts, then state this in the letter.

If match funds were a part of the proposal that led to the Energy Commission awarding this Agreement, then provide in the letter:

- A list of the match funds that identifies:
  - The amount of cash match funds, their source(s) (including a contact name, address, and telephone number), and the task(s) to which the match funds will be applied.
  - The amount of each in-kind contribution, a description of the contribution type (e.g., property, services), the documented market or book value, the source (including a contact name, address, and telephone number), and the task(s) to which the match funds will be applied. If the in-kind contribution is equipment or other tangible or real property, the Recipient must identify its owner and provide a contact name, address, telephone number, and the address where the property is located.
- A copy of a letter of commitment from an authorized representative of each source of match funding that the funds or contributions have been secured.
- At the Kick-off meeting, discuss match funds and the impact on the project if they are significantly reduced or not obtained as committed. If applicable, match funds will be included as a line item in the progress reports and will be a topic at CPR meetings.
- Provide a *Supplemental Match Funds Notification Letter* to the CAM of receipt of additional match funds.
- Provide a *Match Funds Reduction Notification Letter* to the CAM if existing match funds are reduced during the course of the Agreement. Reduction of match funds may trigger a CPR meeting.

### **Products:**

- Match Funds Status Letter
- Supplemental Match Funds Notification Letter (*if applicable*)
- Match Funds Reduction Notification Letter (*if applicable*)

### **Subtask 1.8 Permits**

The goal of this subtask is to obtain all permits required for work completed under this Agreement in advance of the date they are needed to keep the Agreement schedule on track. Permit costs and the expenses associated with obtaining permits are not reimbursable under this Agreement, with the exception of costs incurred by University of California recipients. Permits must be identified and obtained before the Recipient may incur any costs related to the use of the permit(s) for which the Recipient will request reimbursement.

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### The Recipient shall:

- Prepare a *Permit Status Letter* that documents the permits required to conduct this Agreement. If no permits are required at the start of this Agreement, then state this in the letter. If permits will be required during the course of the Agreement, provide in the letter:
  - A list of the permits that identifies: (1) the type of permit; and (2) the name, address, and telephone number of the permitting jurisdictions or lead agencies.
  - The schedule the Recipient will follow in applying for and obtaining the permits.

The list of permits and the schedule for obtaining them will be discussed at the Kick-off meeting (subtask 1.2), and a timetable for submitting the updated list, schedule, and copies of the permits will be developed. The impact on the project if the permits are not obtained in a timely fashion or are denied will also be discussed. If applicable, permits will be included as a line item in progress reports and will be a topic at CPR meetings.

- If during the course of the Agreement additional permits become necessary, then provide the CAM with an *Updated List of Permits* (including the appropriate information on each permit) and an *Updated Schedule for Acquiring Permits*.
- Send the CAM a *Copy of Each Approved Permit*.
- If during the course of the Agreement permits are not obtained on time or are denied, notify the CAM within 5 days. Either of these events may trigger a CPR meeting.

### Products:

- Permit Status Letter
- Updated List of Permits (*if applicable*)
- Updated Schedule for Acquiring Permits (*if applicable*)
- Copy of each Approved Permit (*if applicable*)

### Subtask 1.9 Subcontracts

The goals of this subtask are to: (1) procure subcontracts required to carry out the tasks under this Agreement; and (2) ensure that the subcontracts are consistent with the terms and conditions of this Agreement.

### The Recipient shall:

- Manage and coordinate subcontractor activities in accordance with the requirements of this Agreement.
- Incorporate this Agreement by reference into each subcontract.
- Include any required Energy Commission flow-down provisions in each subcontract, in addition to a statement that the terms of this Agreement will prevail if they conflict with the subcontract terms.
- If required by the CAM, submit a draft of each *Subcontract* required to conduct the work under this Agreement.
- Submit a final copy of the executed subcontract.
- Notify and receive written approval from the CAM prior to adding any new subcontractors (see the discussion of subcontractor additions in the terms and conditions).

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#### **Products:**

- Subcontracts (*draft if required by the CAM*)

#### **TECHNICAL ADVISORY COMMITTEE**

##### **Subtask 1.10 Technical Advisory Committee (TAC)**

The goal of this subtask is to create an advisory committee for this Agreement. The TAC should be composed of diverse professionals. The composition will vary depending on interest, availability, and need. TAC members will serve at the CAM's discretion. The purpose of the TAC is to:

- Provide guidance in project direction. The guidance may include scope and methodologies, timing, and coordination with other projects. The guidance may be based on:
  - Technical area expertise;
  - Knowledge of market applications; or
  - Linkages between the agreement work and other past, present, or future projects (both public and private sectors) that TAC members are aware of in a particular area.
- Review products and provide recommendations for needed product adjustments, refinements, or enhancements.
- Evaluate the tangible benefits of the project to the state of California, and provide recommendations as needed to enhance the benefits.
- Provide recommendations regarding information dissemination, market pathways, or commercialization strategies relevant to the project products.

The TAC may be composed of qualified professionals spanning the following types of disciplines:

- Researchers knowledgeable about the project subject matter;
- Members of trades that will apply the results of the project (e.g., designers, engineers, architects, contractors, and trade representatives);
- Public interest market transformation implementers;
- Product developers relevant to the project;
- U.S. Department of Energy research managers, or experts from other federal or state agencies relevant to the project;
- Public interest environmental groups;
- Utility representatives;
- Air district staff; and
- Members of relevant technical society committees.

#### **The Recipient shall:**

- Prepare a *List of Potential TAC Members* that includes the names, companies, physical and electronic addresses, and phone numbers of potential members. The list will be discussed at the Kick-off meeting, and a schedule for recruiting members and holding the first TAC meeting will be developed.
- Recruit TAC members. Ensure that each individual understands member obligations and the TAC meeting schedule developed in subtask 1.11.

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- Prepare a *List of TAC Members* once all TAC members have committed to serving on the TAC.
- Submit *Documentation of TAC Member Commitment* (such as Letters of Acceptance) from each TAC member.

#### **Products:**

- List of Potential TAC Members
- List of TAC Members
- Documentation of TAC Member Commitment

#### **Subtask 1.11 TAC Meetings**

The goal of this subtask is for the TAC to provide strategic guidance for the project by participating in regular meetings, which may be held via teleconference.

#### **The Recipient shall:**

- Discuss the TAC meeting schedule with the CAM at the Kick-off meeting. Determine the number and location of meetings (in-person and via teleconference) in consultation with the CAM.
- Prepare a *TAC Meeting Schedule* that will be presented to the TAC members during recruiting. Revise the schedule after the first TAC meeting to incorporate meeting comments.
- Prepare a *TAC Meeting Agenda* and *TAC Meeting Back-up Materials* for each TAC meeting.
- Organize and lead TAC meetings in accordance with the TAC Meeting Schedule. Changes to the schedule must be pre-approved in writing by the CAM.
- Prepare *TAC Meeting Summaries* that include any recommended resolutions of major TAC issues.

#### **Products:**

- TAC Meeting Schedule (draft and final)
- TAC Meeting Agendas (draft and final)
- TAC Meeting Back-up Materials
- TAC Meeting Summaries

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#### **IV. TECHNICAL TASKS**

##### ***TASK 2: Site characterization and system specification***

The goal of this task are to research bat activity studies to-date to create a system specification to be used as a key input in the bat impact deterrence system design process.

##### **The Recipient shall:**

- Review prior bat activity research: Bat activity, including fatality rates, has been well characterized at Hatchet Ridge Wind Facility or other site deemed appropriate by the CAM.
- Compile system specification inputs to inform the bat deterrence mitigation system design process.
- Develop a *Bat Activity Research Summary and Specification Data Report* that includes bat species active at the site, typical periods of bat activity (daily) and typical periods of bat activity (seasonally) and prioritizes turbines for retrofit with bat deterrence systems.

##### **Recipient Products:**

- Bat Activity Research Summary and Specification Data Report

##### ***TASK 3: Design and Lab Test System***

The goal of this task is to design a wind turbine bat impact deterrence system, including acoustic design and testing, at the Recipient's facility in Rocklin, CA or other site deemed appropriate by the CAM.

##### **Subtask 3.1 Select key system components**

##### **The Recipient shall:**

- Select ultrasonic broadband transmitter that is optimized for repelling observed bat species;
- Design wire-harness material suitable to system requirements;
- Select/design controller/amplifier capable of controlling/driving multiple transmitters.

##### **Subtask 3.2 Perform acoustic performance lab testing**

##### **The Recipient shall:**

- Create an *Acoustic Performance Lab Test Plan* that includes component test layout, test stand design and test equipment;
- Procure/rent test components and assemble test configuration, which shall include at least one transmitter and a controller/driver amplifier;
- Perform acoustic testing to measure and validate transmitter and controller performance;
- Perform environmental testing of temperature and moisture.
- Create an *Acoustic Performance Lab Test Report* that includes but is not limited to the following:
  - Acoustic performance versus distance and projection angle vs. transmitter location, including frequency and sound pressure;

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- Range of transmission frequencies of transmitter with specified amplifier/driver and controller.

#### **Subtask 3.3 Develop and apply acoustic model**

##### **The Recipient shall:**

- Develop acoustic coverage model;
  - Develop acoustic model/tool that incorporates observed data to determine optimal number and on-blade locations of transmitters;
  - Apply the model to create a test layout for sample blade that is representative of those deployed in a multi-MW class modern wind turbine; this layout shall include number and location of transmitters to achieve acoustic performance specifications.

#### **Subtask 3.4 Gather data for field test turbine**

##### **The Recipient shall:**

- Research and gather available design data for field test blade;
- Perform survey of available design specifications for the test blade. Where design data is unavailable, or incomplete the Recipient will perform a visual inspection of the test blade and related turbine systems, including power distribution and lightning protection;
- Create blade specification for test turbine blades. This shall include but not be limited to a listing of blade dimensions and description of blade interior configuration and relevant sub-systems.

#### **Subtask 3.5 System design and test blade integration hardware and processes for blade retrofit system**

##### **The Recipient shall:**

- Design/specify hardware to attach transmitter to turbine blade, includes capability to prevent inflow of moisture;
- Design system to attach wire harness to blade structure, includes resistance to vibration;
- Design enclosure and mounting hardware for system controller, including resistance to environmental conditions;
- Create integration processes to modify wind turbine blade for retrofit installation;
- Develop methods for integrating bat impact deterrence system with existing turbine systems, including power and lightning subsystems.
- Develop system algorithms for test turbine based on baseline bat survey.

## **EXHIBIT A**

### **Scope of Work**

#### **Subtask 3.6 Perform laboratory testing of turbine integration system**

**The Recipient shall:**

- Create *Turbine Integration Lab Test Plan* for laboratory blade integration testing;
- Fabricate test blade section;
- Procure, fabricate and assemble test units and blade mounting hardware;
- Install test units in wind turbine blade test section;
- Perform acoustic performance testing;
- Perform environmental, and accelerated life testing;
- Produce *Turbine Integration Lab Test Report*.

#### **Subtask 3.7 Create final design for field test system**

**The Recipient shall:**

- Determine number of transmitters and other system components and their placement in field test turbine rotor (blade-set);
- Develop design specification for integration/mounting of system in wind turbine system;
- Develop specification/programming for bat mitigation deterrent control system;
- Create *Final Test Turbine System Design Report*.

**Recipient Products:**

- Acoustic Performance Lab Test Plan
- Acoustic Performance Lab Test Report
- Turbine Integration Lab Test Plan
- Turbine Integration Lab Test Report
- Final Test Turbine System Design Report (draft and final)

#### **Subtask 3.8 Critical Project Reviews**

- Please see subtask 1.3 in Part II of the Scope of Work (General Project Tasks) for a description of Critical Project Review Meetings. Preparation of CPR report and participation in CPR meeting.

### ***TASK 4: Assemble and Install System***

#### **Subtask 4.1 Assemble field test system**

**The Recipient shall:**

- Procure subcomponents as per the design in subtask 3.7 for 11 turbines;
- Customize components to test turbine system requirements, including wire harness.
- Assemble system components;
- Complete Controller programming;
- Quality test system to assure;
- Package system for shipping to test site.

## **EXHIBIT A**

### **Scope of Work**

#### **Subtask 4.2 Install system in field test turbine**

##### **The Recipient shall:**

- Create staging/system installation area at test site at Hatchet Ridge Wind Facility or other site deemed appropriate by the CAM;
- Install/retrofit test system in wind turbine blades for 11 turbines as per priorities from Task 2;
- Perform integration with wind turbine sub-systems;
- Commission and validate system operation
- Create *System Checkout and Commissioning Report*.

##### **Recipient Products:**

- System Checkout and Commissioning Report

#### ***TASK 5: Perform System Field Tests Perform System Field Test, Bat Activity Study and Reporting***

The goals of this task are to: (1) Operate system for test period and validate acoustic performance; and (2) Perform bat activity monitoring to validate system performance.

#### **Subtask 5.1 Validate acoustic performance of system**

##### **The Recipient shall:**

- Create an *Acoustic Performance Test Plan*;
- Operate system with automated control for test period;
- Perform acoustic testing/survey, including the use of microphones to validate coverage by frequency and sound pressure for wind turbine volumes;
- Validate range of controller programs, including improvements based on ongoing observed field test performance;
- Develop an *Acoustic Performance Test Report* on system performance, including the ability to maintain effective ultrasonic sound broadcasts in a range of environmental conditions (temperature ranges, precipitation, etc.).

##### **Recipient Products:**

- Acoustic Performance Test Plan
- Acoustic Performance Test Report

#### **Subtask 5.2 Validate Performance Of Bat Deterrence System**

##### **The Recipient shall:**

- Operate the post-installation bat activity study
- Create a *Bat Deterrence Test Plan* that shall include but is not limited to the following:

## **EXHIBIT A**

### **Scope of Work**

- A bat injury and fatality monitoring program, including durations and method for carcass searching
- Search plot layout
- Statistical methodology, including determination of searcher efficiency and carcass persistence time.
- Establish and designate search plots;
- Conduct carcass searches;
- Perform statistical analysis of bat survey results;
- Develop a *Bat Deterrence Test Report*.

#### **Recipient Products:**

- Bat Deterrence Test Plan
- Bat Deterrence Test Report

#### **TASK 6 Evaluation of Project Benefits**

The goal of this task is to report the benefits resulting from this project.

#### **The Recipient shall:**

- Complete three Project Benefits Questionnaires that correspond to three main intervals in the Agreement: (1) *Kick-off Meeting Benefits Questionnaire*; (2) *Mid-term Benefits Questionnaire*; and (3) *Final Meeting Benefits Questionnaire*.
- Provide all key assumptions used to estimate projected benefits, including targeted market sector (e.g., population and geographic location), projected market penetration, baseline and projected energy use and cost, operating conditions, and emission reduction calculations. Examples of information that may be requested in the questionnaires include:
  - For Product Development Projects and Project Demonstrations:
    - Published documents, including date, title, and periodical name.
    - Estimated or actual energy and cost savings, and estimated statewide energy savings once market potential has been realized. Identify all assumptions used in the estimates.
    - Greenhouse gas and criteria emissions reductions.
    - Other non-energy benefits such as reliability, public safety, lower operational cost, environmental improvement, indoor environmental quality, and societal benefits.
    - Data on potential job creation, market potential, economic development, and increased state revenue as a result of the project.

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### **Scope of Work**

- A discussion of project product downloads from websites, and publications in technical journals.
- A comparison of project expectations and performance. Discuss whether the goals and objectives of the Agreement have been met and what improvements are needed, if any.
- Additional Information for Product Development Projects:
  - Outcome of product development efforts, such copyrights and license agreements.
  - Units sold or projected to be sold in California and outside of California.
  - Total annual sales or projected annual sales (in dollars) of products developed under the Agreement.
  - Investment dollars/follow-on private funding as a result of Energy Commission funding.
  - Patent numbers and applications, along with dates and brief descriptions.
- Additional Information for Product Demonstrations:
  - Outcome of demonstrations and status of technology.
  - Number of similar installations.
  - Jobs created/retained as a result of the Agreement.
- For Information/Tools and Other Research Studies:
  - Outcome of project.
  - Published documents, including date, title, and periodical name.
  - A discussion of policy development. State if the project has been cited in government policy publications or technical journals, or has been used to inform regulatory bodies.
  - The number of website downloads.
  - An estimate of how the project information has affected energy use and cost, or have resulted in other non-energy benefits.
  - An estimate of energy and non-energy benefits.
  - Data on potential job creation, market potential, economic development, and increased state revenue as a result of project.
  - A discussion of project product downloads from websites, and publications in technical journals.
  - A comparison of project expectations and performance. Discuss whether the goals and objectives of the Agreement have been met and what improvements are needed, if any.
- Respond to CAM questions regarding responses to the questionnaires.

The Energy Commission may send the Recipient similar questionnaires after the Agreement term ends. Responses to these questionnaires will be voluntary.

#### **Products:**

- Kick-off Meeting Benefits Questionnaire
- Mid-term Benefits Questionnaire
- Final Meeting Benefits Questionnaire

## **EXHIBIT A**

### **Scope of Work**

#### **TASK 7 Technology/Knowledge Transfer Activities**

The goal of this task is to develop a plan to make the knowledge gained, experimental results, and lessons learned available to the public and key decision makers.

##### **The Recipient shall:**

- Prepare an *Initial Fact Sheet* at start of the project that describes the project. Use the format provided by the CAM.
- Prepare a *Final Project Fact Sheet* at the project's conclusion that discusses results. Use the format provided by the CAM.
- Prepare a *Technology/Knowledge Transfer Plan* that includes:
  - An explanation of how the knowledge gained from the project will be made available to the public, including the targeted market sector and potential outreach to end users, utilities, regulatory agencies, and others.
  - A description of the intended use(s) for and users of the project results.
  - Published documents, including date, title, and periodical name.
  - Copies of documents, fact sheets, journal articles, press releases, and other documents prepared for public dissemination. These documents must include the Legal Notice required in the terms and conditions. Indicate where and when the documents were disseminated.
  - A discussion of policy development. State if project has been or will be cited in government policy publications, or used to inform regulatory bodies.
  - The number of website downloads or public requests for project results.
  - Additional areas as determined by the CAM.
- Conduct technology transfer activities in accordance with the Technology/Knowledge Transfer Plan. These activities will be reported in the Progress Reports.
- When directed by the CAM, develop *Presentation Materials* for an Energy Commission-sponsored conference/workshop on the results of the project.
- Prepare a *Technology/Knowledge Transfer Report* on technology transfer activities conducted during the project.

##### **Products:**

- Initial Fact Sheet (draft and final)
- Final Project Fact Sheet (draft and final)
- Presentation Materials (draft and final)
- Technology/Knowledge Transfer Plan (draft and final)
- Technology/Knowledge Transfer Report (draft and final)

#### **TASK 8 Production Readiness Plan**

The goal of this task is to determine the steps that will lead to the manufacturing of technologies developed in this project or to the commercialization of the project's results.

## **EXHIBIT A**

### **Scope of Work**

#### **The Recipient shall:**

- Prepare a *Production Readiness Plan*. The degree of detail in the plan should be proportional to the complexity of producing or commercializing the proposed product, and to its state of development. As appropriate, the plan will discuss the following:
  - Critical production processes, equipment, facilities, personnel resources, and support systems needed to produce a commercially viable product.
  - Internal manufacturing facilities, supplier technologies, capacity constraints imposed by the design under consideration, design-critical elements, and the use of hazardous or non-recyclable materials. The product manufacturing effort may include “proof of production processes.”
  - The estimated cost of production.
  - The expected investment threshold needed to launch the commercial product.
  - An implementation plan to ramp up to full production.
  - The outcome of product development efforts, such as copyrights and license agreements.
  - Patent numbers and applications, along with dates and brief descriptions.
  - Other areas as determined by the CAM.

#### **Products:**

- Production Readiness Plan (draft and final)

## **EXHIBIT A Scope of Work**

### **V. PROJECT SCHEDULE**

Please see the attached Excel spreadsheet.

STATE OF CALIFORNIA

STATE ENERGY RESOURCES  
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: FRONTIER WIND

**RESOLVED**, that the State Energy Resources Conservation and Development Commission (Energy Commission) adopts the staff CEQA findings contained in the Agreement Request Form; and

**RESOLVED**, that the Energy Commission approves Agreement EPC-14-071 from PON-14-309 with **Frontier Wind** for an **\$862,875** grant to design, lab test, and field test an innovative bat deterrence system to be installed directly on the blades of wind turbines. This technology could lead to greater wind power capacity in existing and new wind power resource areas; and

**FURTHER BE IT RESOLVED**, that the Executive Director or his/her designee shall execute the same on behalf of the Energy Commission.

**CERTIFICATION**

The undersigned Secretariat to the Commission does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the California Energy Commission held on May 13, 2015.

AYE: [List of Commissioners]

NAY: [List of Commissioners]

ABSENT: [List of Commissioners]

ABSTAIN: [List of Commissioners]

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Harriet Kallemeyn,  
Secretariat