

CONTRACT REQUEST FORM (CRF)

CEC-94 (Revised 10/2015)

CALIFORNIA ENERGY COMMISSION

A) New Agreement 500-16-001 (To be completed by CGL Office)

B) Division	Agreement Manager:	MS-	Phone
500 Renewable Energy Division	Adam Van Winkle	45	916-654-4070

C) Contractor's Legal Name	Federal ID Number
Clean Energy States Alliance, Inc.	27-0029803

D) Title of Project
Clean Energy States Alliance Membership Renewal

E) Term and Amount	Start Date	End Date	Amount
	7 / 1 / 16	6 / 30 / 17	\$ 50,000

F) Business Meeting Information			
<input type="checkbox"/> Operational agreement (see CAM Manual for list) to be approved by Executive Director			
<input type="checkbox"/> ARFVTP agreements \$75K and under delegated to Executive Director.			
Proposed Business Meeting Date	7 / 13 / 2016	<input checked="" type="checkbox"/> Consent	<input type="checkbox"/> Discussion
Business Meeting Presenter	Adam Van Winkle	Time Needed:	minutes

Please select one list serve. Select

Agenda Item Subject and Description
CLEAN ENERGY STATES ALLIANCE, INC. Proposed resolution approving Agreement 500-16-001 with Clean Energy States Alliance, Inc. (CESA) for a \$50,000 contract to renew the Energy Commission's membership for one year, from July 1, 2016 through June 30, 2017. CESA is a unique multistate collaboration of public clean energy funds and state agencies working together to develop and promote clean energy and low-carbon technologies and expand the market for these technologies. (ERPA funding) Contact: Adam Van Winkle

G) California Environmental Quality Act (CEQA) Compliance
1. Is Agreement considered a "Project" under CEQA? <input type="checkbox"/> Yes (skip to question 2) <input checked="" type="checkbox"/> No (complete the following (PRC 21065 and 14 CCR 15378)): Explain why Agreement is not considered a "Project": Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because this is an agreement for membership in a group that includes states and other entities collaborating on clean energy issues.
2. If Agreement is considered a "Project" under CEQA: <input type="checkbox"/> a) Agreement IS exempt. (Attach draft NOE) <input type="checkbox"/> Statutory Exemption. List PRC and/or CCR section number: _____ <input type="checkbox"/> Categorical Exemption. List CCR section number: _____ <input type="checkbox"/> Common Sense Exemption. 14 CCR 15061 (b) (3) Explain reason why Agreement is exempt under the above section: <input type="checkbox"/> b) Agreement IS NOT exempt. (Consult with the legal office to determine next steps.) Check all that apply <input type="checkbox"/> Initial Study <input type="checkbox"/> Environmental Impact Report <input type="checkbox"/> Negative Declaration <input type="checkbox"/> Statement of Overriding Considerations <input type="checkbox"/> Mitigated Negative Declaration

H) List all subcontractors (major and minor) and equipment vendors: (attach additional sheets as necessary)				
Legal Company Name:	Budget	SB	MB	DVBE
	\$ 0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$ 0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	\$ 0	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I) List all key partners: (attach additional sheets as necessary)
Legal Company Name:



J) Budget Information			
Funding Source	Funding Year of Appropriation	Budget List No.	Amount
State - ERPA	16-17	100.022	\$50,000
Funding Source			\$
R&D Program Area:	Select Program Area	TOTAL:	\$50,000
Explanation for "Other" selection			
Reimbursement Contract #:		Federal Agreement #:	

K) Contractor's Administrator/ Officer				Contractor's Project Manager			
Name:	Maria Blaid Costello			Name:	Warren Leon		
Address:	50 State Street, Suite 1			Address:	50 State Street, Suite 1		
City, State, Zip:	Montpelier, VT 05602			City, State, Zip:	Montpelier, VT 05602		
Phone:	802-223-2554	Fax:	802-223-4967	Phone:	802-223-2554	Fax:	802-223-4967
E-Mail:	maria@cleanegroup.org			E-Mail:	wleon@sleanegroup.org		

L) Selection Process Used	
<i>(For amendments, address amendment exemption or NCB, do not identify solicitation type of original agreement.)</i>	
<input type="checkbox"/> Solicitation	Select Type Solicitation #: _____ - _____ # of Bids: _____ Low Bid? <input type="checkbox"/> No <input type="checkbox"/> Yes
<input type="checkbox"/> Non Competitive Bid	<i>(Attach CEC 96)</i>
<input checked="" type="checkbox"/> Exempt	Membership

M) Contractor Entity Type
<input checked="" type="checkbox"/> Private Company <i>(including non-profits)</i>
<input type="checkbox"/> CA State Agency <i>(including UC and CSU)</i>
<input type="checkbox"/> Government Entity <i>(i.e. city, county, federal government, air/water/school district, joint power authorities, university from another state)</i>

N) Is Contractor a certified Small Business (SB), Micro Business (MB) or DVBE?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
If yes, check appropriate box:	<input type="checkbox"/> SB <input type="checkbox"/> MB <input type="checkbox"/> DVBE

O) Civil Service Considerations
<input checked="" type="checkbox"/> Not Applicable (Agreement is with a CA State Entity or a membership/co-sponsorship)
<input type="checkbox"/> Public Resources Code 25620, et seq., authorizes the Commission to contract for the subject work. (PIER)
<input type="checkbox"/> The Services Contracted:
<input type="checkbox"/> are not available within civil service
<input type="checkbox"/> cannot be performed satisfactorily by civil service employees
<input type="checkbox"/> are of such a highly specialized or technical nature that the expert knowledge, expertise, and ability are not available through the civil service system.
<input type="checkbox"/> The Services are of such an:
<input type="checkbox"/> urgent
<input type="checkbox"/> temporary, or
<input type="checkbox"/> occasional nature
that the delay to implement under civil service would frustrate their very purpose.
Justification:
Categorical Exemption: This contract is for an important membership renewal for the Energy Commission; departmental memberships in professional organizations, provided it is solely a membership and does not include the performance of any personal services, are exempt.

P) Payment Method
<input type="checkbox"/> A. Reimbursement in arrears based on:
<input type="checkbox"/> Itemized Monthly <input type="checkbox"/> Itemized Quarterly <input type="checkbox"/> Flat Rate <input type="checkbox"/> One-time
<input type="checkbox"/> B. Advanced Payment
<input checked="" type="checkbox"/> C. Other, explain: Membership fee of \$50,000 will be paid as a lump sum upon receipt of Contractor's invoice

Q) Retention	
1. Is Agreement subject to retention?	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes
If Yes, Will retention be released prior to Agreement termination?	<input type="checkbox"/> No <input type="checkbox"/> Yes



R) Justification of Rates

The price of membership is established by the Clean Energy States Alliance, Inc. Last year's membership cost was \$50,000 for one year. For this year's membership, CESA again agreed to the same fee of \$50,000. CESA will be maintaining support of the same activities they have provided in the past, but will additionally be providing valuable information through several new projects that will help inform California's renewable energy development.

S) Disabled Veteran Business Enterprise Program (DVBE)

1. Exempt (Interagency/Other Government Entity)
2. Meets DVBE Requirements DVBE Amount:\$ 0 DVBE %: _____
 Contractor is Certified DVBE
 Contractor is Subcontracting with a DVBE: Name of DVBE Company
3. Contractor selected through CMAS or MSA with no DVBE participation.
4. Requesting DVBE Exemption (attach CEC 95)

T) Miscellaneous Agreement Information

1. Will there be Work Authorizations? No Yes
2. Is the Contractor providing confidential information? No Yes
3. Is the contractor going to purchase equipment? No Yes
4. Check frequency of progress reports
 Monthly Quarterly Other: CESA's annual & Board of Directors meetings give status updates
5. Will a final report be required? No Yes
6. Is the Agreement, with amendments, longer than a year? If yes, why? No Yes

U) The following items should be attached to this CRF (as applicable)

- | | | |
|---|---|--|
| 1. Exhibit A, Scope of Work | <input type="checkbox"/> N/A | <input checked="" type="checkbox"/> Attached |
| 2. Exhibit B, Budget Detail | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached |
| 3. CEC 96, NCB Request | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached |
| 4. CEC 95, DVBE Exemption Request | <input type="checkbox"/> N/A | <input checked="" type="checkbox"/> Attached |
| 5. CEQA Documentation | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached |
| 6. Resumes | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached |
| 7. CEC 105, Questionnaire for Identifying Conflicts | | <input checked="" type="checkbox"/> Attached |

 Agreement Manager Date Office Manager Date Deputy Director Date

EXHIBIT A

Scope of Work

PURPOSE

The purpose of this Agreement is for the Energy Commission to enter into a one-year membership with the Clean Energy States Alliance, Inc. (CESA).

This membership will permit the Energy Commission to serve on CESA's Board of Directors; influence CESA's proposed joint, multi-state project work; access to low-cost analytical information services from Lawrence Berkeley National Lab; receive targeted CESA information related to program challenges and issues facing California; collaborate with representatives from other states to exchange information and identify program innovations with other CESA members; attend a nation Renewable Portfolio Standard (RPS) Summit (travel costs included) that provides an important opportunity for California to interact with other states with RPS programs; obtain information from CESA's communication staff; participate in the U.S. Department of Energy/CESA emerging technology co-funding initiatives; and participate in annual meetings and update conference calls.

The following is an outline of general activities performed by CESA and benefits to the Energy Commission as a member of CESA.

1. Joint, Multi-State Projects

Through its joint projects, CESA works to find solutions to key, cross-cutting problems that many CESA members face such as wind facility siting, advancing emerging renewable energy technology, RPS implementation and related legal issues, solar PV market development, use of innovative clean energy finance tools, and benefits of clean energy to low- and moderate-income households.

Active Multi-State Projects

- Combined Heat-and-Power
- DOE/Sandia Energy Storage Technology Advancement Partnership
- Interstate Turbine Advisory Council
- Offshore Wind Accelerator Project
- Renewable Thermal Working Group
- Resilient Power Project
- States Advancing Solar Network
- State-Federal RPS Collaborative
- State Leadership in Clean Energy Awards

2. Member Services

As a benefit of membership, CESA delivers collaborative services, information, and analysis related to clean energy programs, technologies, and markets.

CESA General Member Services

General member services are umbrella services that benefit all members and focus on network development and information sharing between CESA members. These services include:

- CESA website & listserv
- Monthly members-only newsletter on renewable energy topics
- CESA annual meetings
- CESA identification and evaluation of state clean energy program best practices and programs
- Provide information on clean energy-related legal issues
- CESA members monthly webinars on issues of relevance to state clean energy programs
- Partnership development between states and key stakeholders, including federal agencies, trade associations, and non-governmental organizations working on clean energy issues
- Communication efforts to highlight the importance and results of state clean energy programs
- State Leadership in Clean Energy Awards

CESA Individual Member Information Services

Individual member information services are specific assistance provided by CESA staff to individual members for state-specific issues, as part of the membership benefit package. These individual member information services typically fall into four categories:

1. Discussion of individual state program development and analysis issues
2. Requests for specific information of value to a fund such as information from other states or countries, or data acquisition requests
3. Provision of examples of public education and messaging for individual state initiatives
4. Provision of examples of how individual funds can demonstrate and document the value of continued program funding by providing information for legislative or administrative processes

CESA Energy Commission-Specific Activities

The following 2016/17 CESA activities can support active Energy Commission activities:

- Solar PV Policy Innovation. CESA will examine and produce materials related to California's solar policy activities, including:
 - (a) Prepare a market status and trends report on residential Property Assessed Clean Energy (PACE) across the country
 - (b) Prepare a focused case study of residential PACE in California, including analysis of the track record of residential PACE projects in California
 - (c) Work with the Energy Commission and other leading solar states to establish better and more transparent data sharing on solar PV costs across the country, to better understand cost trends and how to drive further cost reductions
 - (d) Share information with the Energy Commission on solar consumer protection issues and practices in other states that could be relevant to California
- Solar Equipment List. CESA will collaborate with the Energy Commission in continuing to explore transitioning the Energy Commission's SB 1 Eligible Equipment Lists to a nationally-supported and nationally-disseminated database by the year 2022. This exploration will include, but will not be limited to, consideration of how a national list should be funded and maintained.
- Clean Energy Programs for Low-Income Residents. CESA will track information about clean energy programs for low and moderate-income (LMI) residents in states across the country and will share information with the Energy Commission as requested. This information can support the Energy Commission's work on low-income resident access to clean energy as mandated by SB 350. CESA will also make inquiries of other states about their programs, as requested by the Energy Commission. Energy Commission staff will be invited to participate in national CESA training sessions on solar for LMI residents and will be offered travel scholarships to attend those training sessions.

3. CESA Information Exchange and Analysis

Through CESA's website, case studies, and reports, CESA provides comprehensive and low-cost analysis on state clean energy programs' efforts across the country to identify and promote best practices. These services include:

- *Documentation of Best Practices*
CESA continually works with states to identify best practices to promote renewable energy technologies. CESA staff regularly surveys CESA members and other states to identify clean energy best practices,

programmatic experience and results, recent program developments, and new state-based strategies to advance the market for clean energy technologies. Key findings are summarized and shared with CESA members.

- *Program Analysis and Performance Reports for Clean Energy and Economic Development*
CESA will continue to serve as a clearinghouse for reports and analysis on clean energy and related economic development issues. Reports will be posted on the CESA website.
- *CESA Work in Washington, D.C.*
CESA ensures that the interests and perspectives of its members are articulated clearly and considered by federal agencies and other public officials as the clean energy sector continues to receive increasing attention at the federal level. CESA will continue to take full advantage of federal opportunities to support the clean energy work of the states.
- *Public Education and Promotion of CESA Members*
CESA ongoing outreach efforts are designed to increase the public profile and awareness of its members through articles, press releases, and other public forums. CESA regularly engages other parties to write about and promote CESA member-related activities and post these articles on the CESA website.

4. CESA Partnership Development

CESA staff work with other public and private partners to create new partnership initiatives on clean energy issues of mutual concern to benefit CESA members. These partnership development opportunities are designed with one goal in mind – to leverage support for the work and objectives of CESA’s member clean energy programs.

CESA partners include federal agencies such as the Department of Energy, Department of Interior, state membership associations such as the National Association of Regulatory Utility Commissioners and the National Association of State Energy Officials, and industry associations such as the National Hydrogen Association, American Wind Energy Association, and Solar Energy Industry Association.

STATE OF CALIFORNIA

STATE ENERGY RESOURCES
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: CLEAN ENERGY STATES ALLIANCE, INC.

RESOLVED, that the State Energy Resources Conservation and Development Commission (Energy Commission) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

RESOLVED, that the Energy Commission approves Agreement 500-16-001 with Clean Energy States Alliance, Inc. (CESA) for a \$50,000 contract to renew the Energy Commission's membership for one year, from July 1, 2016 through June 30, 2017. CESA is a unique multistate collaboration of public clean energy funds and state agencies working together to develop and promote clean energy and low-carbon technologies and expand the market for these technologies; and

FURTHER BE IT RESOLVED, that the Executive Director or his/her designee shall execute the same on behalf of the Energy Commission.

CERTIFICATION

The undersigned Secretariat to the Commission does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the California Energy Commission held on July 13, 2016.

AYE: [List of Commissioners]

NAY: [List of Commissioners]

ABSENT: [List of Commissioners]

ABSTAIN: [List of Commissioners]

Cody Goldthrite,
Secretariat