(TO BE COMPLETED BY PUBLICLY OWNED UTILITIES) COMPLIANCE REPORT to the CALIFORNIA ENERGY COMMISSION ON THE RENEWABLES PORTFOLIO STANDARD PROGRAM

Enter the name of the Local Publicly Owned Electric Utility (POU) submitting this report and the Reporting Year covered by the report.

POU	
Reporting Year Covered in Report	

Reporting for the Renewables Portfolio Standard Program (RPS), by tab:

Static Contract Information: Complete with information only from contracts and ownership agreements that were executed in the previous calendar year or that were not previously reported.

Contracted RPS Facilities Information: Complete with information for facilities associated with reported contracts.

Annual Report Accounting: Complete and update annually for previous, current, and forecasted years.

Compliance Period Accounting: Complete at the end of each compliance period.

E-mail the electronic file of the completed report to the address shown below, with all supporting documentation. Then print out the file, sign the attestation, and mail the report to the address shown below:

California Energy Commission
e-mail: RPSTrack@energy.ca.gov
Renewable Energy Office
Attn: RPS Verification
California Energy Commission
1516 9th Street, MS-45
Sacramento, CA 95814-5555

This report is due on July 1st of each year for retirements made for the previous reporting year.

Energy Commission staff may request additional information from the POU to verify the generation and RPS procurement claims of electricity products reported in this report. If requested, an authorized officer or agent of the POU must submit additional information.

Version 201406

(TO BE COMPLETED BY PUBLICLY OWNED UTILITIES) COMPLIANCE REPORT to the CALIFORNIA ENERGY COMMISSION ON THE RENEWABLES PORTFOLIO STANDARD PROGRAM

Static Contract Information Tab

Instructions - List all executed renewable energy contracts or ownership agreements for facilities that are operational. Only include contracts or ownership agreements that have not been previously reported. If the contract or ownership agreement is amended to change any of the information reported on the static contract information tab or the contracted RPS facilities information tab, complete a new row for the amended contract. The information reported on this spreadsheet should be classified by expected portfolio content category. If you expect multiple categories of electricity products from a single contract or ownership agreement, please indicate "yes" under each expected category. Actual portfolio content category classification for electricity products will be verified by the Energy Commission after it has been reported using the Interim Tracking System and/or WREGIS Compliance Reports. Provide supporting documentation, such as a copy of the contract with confidential information redacted, documenting any data reported here. Note: if an answer is provided that has not been selected from the drop down menu, it will not upload into the Energy Commission database and the POU will be required to revise and refile this form.

FOOTNOTES

1	Contract ID	The Energy Commission will assign an automatically generated ID number to track each contract and ownership agreement. The contract ID number is generated using the POU name entered on the title page tab and the reporting year entered on the static contract info tab. Do not list the same contract more than once. Each contract should have only one Contract ID.
2	Reporting Year	Enter the calendar year in which contract information is first reported in this spreadsheet. For example, a contract that was executed in 2005 would first be reported for the 2011 reporting year, so "2011" would be entered in this field. A contract that was executed in 2012 would first be reported for the 2012 reporting year, so "2012" would be entered in this field.

3	Amendment to Previously Reported Contract?	Select "Yes" or "No" from the drop down list to indicate whether this contract was amended from a contract previously reported to the Energy Commission for the RPS. If the contract is being reported to the Energy Commission's RPS program for the first time, enter "No." The response "Yes" is only for amendments or modifications that are substantive changes. For example, changes that increase nameplate capacity or expected quantities of annual generation, increase the term of the contract, or substitute a different eligible renewable energy resource. For non-substantive amendments, the response should be "No" and a brief explanation should be provided in the Notes column.
4	Contract ID of Previously Reported Contract	If the contract has been amended from a contract previously reported to the Energy Commission's RPS program, enter the Contract ID (see footnote 1) of the previously reported contract. If the contract is new and/or being reported for the first time, leave blank.
5	Portfolio Content Category	Select "Yes" or "No" from the drop down list in each column to indicate whether you plan to classify electricity products from the contract in each category. A contract may result in electricity products classified into more than one Portfolio Content Category.
6	Seller or Utility Owned	Indicate the wholesale seller of electricity products under the contract. If electricity products are from a facility owned by the POU, state "Utility Owned." If purchased from a load-serving entity or third party, state the name of the load-serving entity or third party.
7	Contract Execution Date	Indicate the date the contract was originally executed as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011. If the facility is owned by the POU, indicate the date the ownership agreement was originally executed or when ownership began. For example, if the generating facility was developed by a third party and then purchased by the POU, the contract execution date is the date the POU and the third party executed the purchase agreement. If there are multiple signature dates in the contract, use the last signature date as the execution date. If any other event sets the execution date, such as approval at a meeting, explain in the notes and provide supporting documentation for the execution date.

8	For pre-January 1, 2005, Does the Contract Specify the Ownership of the RECs?	Public Utilities Code Section 399.21, Subdivision (a)(4) states that RECs may not be created for electricity generated pursuant to any electricity purchase contract with a retail seller or POU executed before January 1, 2005, unless the contract contains explicit terms and conditions specifying the ownership or disposition of those RECs. The law requires procurement under those contracts to be tracked through WREGIS and counted toward the RPS procurement requirement of the retail seller or POU purchasing the electricity. Energy Commission staff must track facilities subject to this requirement to ensure that the RECs are not traded and/or claimed by other reporting entities. If there is a contract execution date before January 1, 2005, select "Yes" or "No" from the drop down list to indicate whether the contract contains explicit terms and conditions specifying the ownership or disposition of the RECs. For a contract that does not contain explicit terms and conditions specifying the ownership or disposition of the RECs, these RECs must be used for the RPS by the contracting POU. For all facilities owned by a POU and all contracts executed on or after January 1, 2005, select "N/A."
9	Contract Start Date	Indicate the first date that electricity product(s) were or will be transferred to the POU under the contract as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011. If the facility is owned by the POU, enter the date that electricity product(s) were or will be transferred to the POU. For example, if the agreement was executed on 8/31/2011 and the electricity products are first transferred to the POU on 1/1/2012, the "Contract Execution Date" is 8/31/2011 and the "Contract Start Date" is 1/1/2012.
10	Contract End Date	State the final procurement date of the electricity product(s) being procured under the contract/agreement as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011.
11	Type of Ownership or Contract Arrangement	You must select one of the following from the drop down list: - Full electrical output - Full electrical output during contract - Percent share of electrical output during contract - Fixed amount of facility's electrical output during contract - Incremental generation - full amount of facility's electrical output - Incremental generation - partial amount of facility's electrical output - Other Provide comments in "Notes" column, as needed, to explain contracting, ownership or other facility arrangements.

12	Contract Amount of Annual Total Generation Procured/ Contract Percentage of Annual Total Generation Procured	For each contract complete only one of the two columns associated with this footnote. Enter the annual amount OR percentage of total generation procured under the contract/agreement). If a fixed amount of generation is procured under the contract/agreement, enter total contractual fixed amount (annually if applicable) and provide additional explanations in the notes section as necessary. Enter the fixed amount in MWh, rounded to the nearest ten-thousandth, for example, 8.1234 MWh. If a percentage of generation is procured under the contract/agreement, enter the actual percentage amount, not a decimal or whole number (3.7% versus 0.037, 100% versus 1) and provide additional explanation in the "Notes" field as necessary. Explain in the "Notes" column if the generation amount or percentage being procured changes annually and provide annual specific amounts per calendar year or total amount contracted for over multiple years.
13	Contract Termination Date, if Applicable	If the contract is terminated before the contract end date, enter the termination date as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011. If contract has not been terminated before the end date, leave blank.
14	Bundled purchase by POU?	Select "Yes" or "No" from the drop down list.
15	Scheduling Coordinator	If applicable, indicate the scheduling coordinator as detailed within the scheduling agreement or procurement contract. If more than one party is designated as a scheduling coordinator, please list the primary scheduling coordinator first and add additional scheduling coordinators separated by a comma. If there is no scheduling coordinator, enter N/A.
16	Resale Agreement	Select "Yes" or "No" from the drop down list to indicate if contract is a resale agreement, under which the POU is procuring electricity products from another California RPS-obligated entity. A resale agreement does not include transactions where a POU representative or agent procures electricity products on the POU's behalf.

17	PCC 1 Initial Qualification	For all contracts/agreements that result in PCC 1 procurement, select one of the options below from the drop down list and be prepared to provide supporting documentation with confidential information redacted. For all contracts that do not result in PCC 1 procurement, select "N/A." For purposes of determining PCC 1 qualification: "Interconnected to CBA" refers to a facility that has its first point of interconnection within the metered boundaries of a California Balancing Authority (CBA) Area. "Interconnected to Distribution System" refers to a facility that has its first point of interconnection to an electricity distribution system used to serve end users within the metered boundaries of a California Balancing Authority Area. "Scheduled into CBA" refers to electricity products from a facility that is not directly connected to a CBA and that has generation scheduled on an hourly or subhourly basis into a CBA without substituting electricity from another source. "Dynamic Transfer into CBA" refers to electricity products subject to an agreement between a CBA and the Balancing Authority in which the facility is located to dynamically transfer electricity from the facility into the CBA Area.
18	Incremental to POU	If contract includes procurement of incremental electricity for purposes of PCC 2 qualification, the execution date of the contract/agreement must be on or after the execution date of the contract/agreement for the renewable electricity products. Select "Yes" or "No" from the drop down list to indicate whether the contract for incremental electricity was executed on or after the execution date of the contract/agreement for the renewable electricity products. If the contract does not include incremental electricity for PCC 2 purposes, select "N/A."
19	Notes	Include any additional information about the contract, including information on any amendments to the contract. If a contract has been previously submitted to RPS Energy Commission staff, indicate that the contract has already been submitted. Provide other helpful notes as appropriate. If the contract has been amended to make only non-substantive changes - i.e. the amendment does not increase nameplate capacity or expected quantities of annual generation, increase the term of the contract, or substitute a different eligible energy resource - provide a copy of the contract amendment and list in the notes: Amendment: MM/YYYY - Non-substantive. Include pertinent, high-level summary information, such as: name or ownership changes, update to terms, etc.

Contracted RPS Facilities Information Tab

Instructions - List all applicable facility information for the corresponding contracts listed in the Static Contract Info tab. Note - a new row must be completed for each RPS ID number and WREGIS ID number under a contract. Only one RPS ID number and WREGIS ID number may be entered per row. Fuel sources, if applicable, should be included in the Notes column.

FOOTNOTES

1	Contract ID	Select the appropriate contract ID number from the drop down list of numbers generated in the Static Contract Information tab. If the facility is being added to a previously reported contract and that contract is not available from the dropdown, leave this field blank for that facility and state in the notes the contract ID of the previously reported contract the facility is under.
2	RPS ID	If more than one RPS ID number is associated with the RPS-certified facility under contract, complete one row per RPS ID number.
3	Facility Name	If electricity products will be purchased from one generation facility, indicate the name of the facility. If electricity products will be purchased from multiple generation facilities through a portfolio of assets, list all the facilities included in the portfolio of assets. If the facilities included in the asset are unknown, indicate "unknown."
4	WREGIS ID	If more than one WREGIS ID number is associated with the RPS-certified facility under contract, complete one row per WREGIS ID number.
5	County	Indicate the county where the facility is located. If the facility is located outside of the United States, or if incremental electricity for PCC 2 products is provided from system power purchases, enter N/A.
6	State (or Country if outside US)	Indicate the state where the facility is located. If the facility is located outside of the United States, please enter the country where the facility is located. If incremental electricity for PCC 2 products is provided from system power purchases indicate "system power purchases."
7	Facility Eligibility Date	Indicate the RPS-eligibility date for the facility, as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011, as indicated on the RPS eligibility certificate issued by the Energy Commission. If this is yet to be determined, indicate TBD. The eligibility date, or beginning on date, is the date upon which the Energy Commission deems the first application for a facility received. Generation is typically eligible beginning with the month containing this beginning on date. See Section IV B 3: Eligibility Date, of the *RPS Eligibility Guidebook, Seventh Edition*, for additional information.
8	Facility Online Date	Enter the commercial online date for the facility as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011.
9	Renewable Technology On-line Date	Enter the date when the facility came online using a renewable fuel or technology, if different from the commercial online date, as a "short date" formatted mm/dd/yyyy, for example, 01/01/2011.

10	Facility Source Name - as registered with webRegistry	For facilities not directly connected to a CBA that will be scheduling into a CBA to classify electricity products as PCC 1, enter the facility's source name, as registered with OATI's webRegistry.
11	Notes	Include any additional information about the facility, as needed.

Annual RPS Report Accounting Tab

Instructions - POUs that meet the criteria of Public Utilities Code section 399.30 (j) or RPS POU Regulations section 3204 (a)(8) and 3204 (a)(9) **DO NOT** complete this tab. The Annual Report Accounting tab should be completed every year to show the actual retail sales and RPS procurement retired for the reporting year(s). Forecasted data should be replaced with actual data as necessary, and forecasts may be updated to reflect new information. Cells in white will automatically populate and do not require POU input. Cells under dark blue column headers contain forecasted data.

FOOTNOTES

1	Pre-June 1, 2010 Category 1 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (a) of the regulations.
2	Pre-June 1, 2010 Category 2 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (b) of the regulations.
3	Pre-June 1, 2010 Category 3 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (c) of the regulations.

RPS Compliance Period Accounting Tab

Instructions - POUs that meet the criteria of Public Utilities Code section 399.30 (j) or RPS POU Regulations section 3204 (a)(8) and 3204 (a)(9) **DO NOT** complete this tab. The Compliance Period Accounting tab should be completed at the end of each compliance period to show optional compliance measures, historic carryover and excess procurement applied toward the RPS procurement requirements for the compliance period, as well as excess procurement accumulated during the compliance period. POUs must also indicate how many MWh in each category will be applied toward the RPS procurement target for the compliance period. Cells in white will automatically populate and do not require POU input.

FOOTNOTES

1	Historic Carryover Applied	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of verified historic carryover to be applied toward RPS procurement requirements for the compliance period. If historic carryover has not been verified at the time of reporting, enter the number of MWh of estimated historic carryover for the compliance period.
2	Applied to Target	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), from each listed category to be applied to the RPS procurement target, for the purposes of calculating the portfolio balance requirements and excess procurement. Portfolio Content Category 3 electricity products cannot be counted as excess procurement, so all Category 3 RECs up to the Category 3 balance limitation must be entered in this column. This column should not include RECs that will be eligible for excess procurement.
3	Pre-June 1, 2010 Category 1 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (a) of the regulations.
4	Pre-June 1, 2010 Category 2 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (b) of the regulations.
5	Pre-June 1, 2010 Category 3 RECs Retired	This row shows the application of RECs retired to meet the RPS procurement requirements for the compliance period that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (c) of the regulations. Category 3 cannot be counted as excess procurement, so all pre-June 1, 2010, Category 3 RECs must be applied to the target.

6	Total Historic Carryover	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of historic carryover verified by the Energy Commission. If historic carryover has not been verified at the time of reporting, enter the number of MWh of estimated historic carryover.
7	Excess Procurement Calculation	Allocate the Excess RECs Eligible among the applicable categories. The number of MWh allocated to each category may not exceed the "potential excess" for that category shown in cells E34-E39.
8	Short-Term RECs	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired during the compliance period that meet the criteria of subsection 3202 (a)(1) or subsection 3202 (a)(3) of the regulations and that were procured from contracts of less than 10 years.

List of Narratives to be Attached

Instructions - Select "Yes" or "No" from the drop down list if the listed narrative will be included with the CEC-RPS-POU Compliance Report.

399.30 (j) POU Eligibility Tab

Instructions - Only POUs that meet the criteria of Public Utilities Code section 399.30 (j) should complete this tab. This tab is used to help demonstrate that the POU meets those criteria.

FOOTNOTES

1	Qualifying Hydroelectric Generation (MWh)	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of generation from a facility that meets the following criteria: 1. The facility is located within California; 2. The facility is owned and operated by the POU; 3. The facility is a hydroelectric facility but does not meet the definition of a renewable electrical generation facility and is not RPS certified based on the definition of a renewable electrical generation facility.
2	Electricity Demand (MWh)	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of electricity demand. Electricity demand is the consumption of electricity by all end-use customers and their tenants, including but not limited to the POU itself.

399.30 (j) POU Compliance Tab

Instructions - Only POUs that meet the criteria of Public Utilities Code section 399.30 (j) should complete this tab. The 399.30 (j) Compliance tab should be completed every year to show the actual electricity demand and qualifying hydroelectric generation for the reporting year(s). Forecasted data should be replaced with actual data as necessary, and forecasts may be updated to reflect new information. A POU must also indicate historic carryover and optional compliance measures applied, as well as calculate excess procurement for the compliance period, if applicable. Cells in white will automatically populate and do not require POU input.

FOOTNOTES

1	Pre-June 1, 2010 Category 1 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the reporting year that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (a) of the regulations.
2	Pre-June 1, 2010 Category 2 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the reporting year that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (b) of the regulations.
3	Pre-June 1, 2010 Category 3 RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the reporting year that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (c) of the regulations.
4	Historic Carryover Applied	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of verified historic carryover to be applied toward RPS procurement requirements for the reporting year. If historic carryover has not been verified at the time of reporting, enter the number of MWh of estimated historic carryover for the reporting year.
5	Portfolio Balance Requirements, for the Calculation of Excess Procurement Only (MWh)	This determination of the portfolio balance requirements will be used only to calculate excess procurement. A POU that meets the criteria of Public Utilities Code section 399.30 (j) is exempt from meeting the portfolio balance requirements.

6	Applied to Target	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), from each listed category to be applied to the RPS procurement target, for the purposes of calculating the excess procurement. Portfolio Content Category 3 electricity products cannot be counted as excess procurement, so all Category 3 RECs up to the Category 3 balance limitation must be entered in this column.
7	Pre-June 1, 2010 Category 3 RECs Retired	This row shows the application of RECs retired to meet the RPS procurement requirements for the reporting year that meet both the criteria in subsection 3202 (a)(3) of the regulations and the criteria in subsection 3203 (c) of the regulations. Category 3 cannot be counted as excess procurement, so all pre-June 1, 2010, Category 3 RECs must be applied to the target.
8	Excess Procurement Calculation	Allocate the Excess RECs Eligible among the applicable categories. The number of MWh allocated to each category may not exceed the "potential excess" for that category shown in cells E34-E39.
9	Short-Term RECs	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired during the reporting year that meet the criteria of subsection 3202 (a)(1) or subsection 3202 (a)(3) of the regulations and that were procured from contracts of less than 10 years.
10	Total Historic Carryover	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of historic carryover verified by the Energy Commission. If historic carryover has not been verified at the time of reporting, enter the number of MWh of estimated historic carryover.

3204 Annual Accounting

Instructions - Only POUs that meet the criteria of RPS POU Regulations section 3204 (a)(8) and 3204 (a)(9) should complete this tab. This tab should be completed every year to show the actual retail sales and RPS procurement retired for the reporting year(s). Forecasted data should be replaced with actual data as necessary, and forecasts may be updated to reflect new information. Cells in white will automatically populate and do not require POU input.

FOOTNOTES

1	Bundled RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of Renewable Energy Credits (RECs) retired to meet the RPS procurement requirements for the compliance period that do not meet the criteria in subsection 3202 (a)(2) of the regulations and were procured bundled by the POU.
2	Unbundled RECs Retired	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired to meet the RPS procurement requirements for the compliance period that do not meet the criteria in subsection 3202 (a)(2) of the regulations and were not procured bundled by the POU.

3204 Compliance

Instructions - Only POUs that meet the criteria of RPS POU Regulations section 3204 (a)(8) and 3204 (a)(9) should complete this tab. This tab should be completed at the end of each compliance period to show optional compliance measures, historic carryover and excess procurement applied toward the RPS procurement requirements for the compliance period, as well as excess procurement accumulated during the compliance period. POUs must also indicate how many MWh in each category will be applied toward the RPS procurement target for the compliance period. Cells in white will automatically populate and do not require POU input.

FOOTNOTES

1	Bundled RECs Retired	This field shows the number of RECs retired to meet the RPS procurement requirements for the compliance period that do not meet the criteria in subsection 3202 (a)(2) of the regulations and were procured bundled by the POU.
2	Unbundled RECs Retired	This field shows the number of RECs retired to meet the RPS procurement requirements for the compliance period that do not meet the criteria in subsection 3202 (a)(2) of the regulations and were not procured bundled by the POU.

3	Historic Carryover Applied	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of verified historic carryover to be applied toward RPS procurement requirements for the compliance period. If historic carryover has not been verified at the time of reporting, enter the estimated historic carryover you would like to apply toward the RPS procurement requirements for the compliance period.
4	Applied to Target	Enter the number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), from each listed category to be applied to the RPS procurement target, for the purposes of calculating excess procurement. Unbundled RECs cannot be counted as excess procurement, so all unbundled RECs up to the unbundled RECs percentage limitation must be entered in this column.
5	Excess Procurement Calculation	Allocate the Excess RECs Eligible among the applicable categories. The number of MWhs allocated to each category may not exceed the "potential excess" for that category shown in cells E33-E35.
6	Short-Term RECs	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of RECs retired during the compliance period that do not meet the criteria of subsection 3202 (a)(2) of the regulations and that were procured from contracts of less than 10 years.
7	Total Historic Carryover	Enter the total number of MWh, rounded to the nearest ten-thousandth (for example, 8.1234 MWh), of historic carryover verified by the Energy Commission. If historic carryover has not been verified at the time of reporting, enter the estimated historic carryover.

STATE OF CALIFORNIA

Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 12/2014)

CALIFORNIA ENERGY COMMISSION

Contract ID[1]	Reporting Year[2]	Amendment to Previously Reported Contract?[3]	Contract ID of Previously Reported Contract[4]	Portfolio Content Category[5]					Seller or Utility Owned[6]	Contract Execution Date[7]
				0	1	2 - Ren	2 - Inc	3		

For pre-January 1, 2005, Does the Contract Specify the Ownership of the RECs?[8]	Contract Start Date[9]	Contract End Date[10]	Type of Ownership or Contract Arrangement[11]	Contract Amount of Annual Total Generation Procured (MWh)[12]	Contract Percentage of Annual Total Generation Procured[12]	Contract Termination Date[13]

Bundled purchase by POU?[14]	Scheduling Coordinator[15]	Resale Agreement?[16]	PCC 1 Initial Qualification[17]	Incremental to POU?[18]	Notes[19]

Compliance Report Form for Local Publicly Owned Electric Utilities

CEC-RPS-POU (Revised 12/2014)

CALIFORNIA ENERGY COMMISSION

Contract ID[1]	RPS ID[2]	Facility Name[3]	WREGIS ID[4]	County[5]	State[6]	Facility Eligibility Date[7]	Facility Online Date[8]	Renewable Technology On-line Date[9]

Facility Source Name - as registered with webRegistry[10]	Notes[11]

Annual RPS Report: Accounting

To be completed annually.

	Input Required
	Actual Data
	Forecasted Data

	Compliance Period 2		
	Actual	**Forecasted**	
Annual RPS Procurement and Percentages (MWh)	**2014**	**2015**	**2016**
Annual Retail Sales			
Annual RPS Procurement Retired	0.000	0.000	0.000
Soft Targets	20.00%	20.00%	25.00%

	Compliance Period 2		
Procurement Target (MWh)	**2014**	**2015**	**2016**
Procurement Target	0.000		
Category 0 RECs Retired	0.000	0.000	0.000
Category 1 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 1 RECs Retired[1]	0.000	0.000	0.000
Category 2 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 2 RECs Retired[2]	0.000	0.000	0.000
Category 3 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 3 RECs Retired[3]	0.000	0.000	0.000
Total RECs Retired (Compliance Period)	**0.0000**		

RPS Compliance Period Report: Accounting

To be completed at the end of each compliance period.

	Input Required
	Actual Data

	Compliance Period 2		
	Actual		
Annual RPS Procurement and Percentages (MWh)	**2014**	**2015**	**2016**
Annual Retail Sales	0.000	0.000	0.000
Annual RPS Procurement Retired	0.000	0.000	0.000
Soft Targets	20.00%	20.00%	25.00%
Total RECs Retired for the Compliance Period	**0.000**		

	Compliance Period 2		
Procurement Target (MWh)	**2014**	**2015**	**2016**
Procurement Target	**0.000**		
Category 0 RECs Retired	0.000	0.000	0.000
Category 1 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 1 RECs Retired	0.000	0.000	0.000
Category 2 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 2 RECs Retired	0.000	0.000	0.000
Category 3 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 3 RECs Retired	0.000	0.000	0.000

Historic Carryover (HCO) Applied[1]	0.000
Total RECs Retired Including HCO	**0.000**

	Compliance Period 2		
Portfolio Balance Requirements (MWh)	**Total Retired**	**Applied to Target[2]**	**Potential Excess**
Category 0 RECs Retired	0.000	0.000	0.000
Historic Carryover Applied	0.000	0.000	0.000
Category 1 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 1 RECs Retired[3]	0.000	0.000	0.000
Category 2 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 2 RECs Retired[4]	0.000	0.000	0.000
Category 3 RECs Retired	0.000	0.000	n/a
Pre-June 1, 2010 Category 3 RECs Retired[5]	0.000	0.000	n/a
Total RECs applied to the Target	**0.000**		
Category 1 Balance Requirement	0.000		
Category 3 Balance Limitation	0.000		
Disallowed Category 3 RECs	0.000		

Additional Procurement (MWh)	**Starting Balance**	**Applied to Current Compliance Period**	**Accumulated in Current Compliance Period**
Total Excess Procurement	**0.000**	**0.000**	**0.000**
Excess Category 0 RECs	0.000	0.000	0.000
Excess Category 1 RECs	0.000	0.000	0.000
Excess Pre-June 1, 2010 Cat. 1 RECs	0.000	0.000	0.000
Excess Category 2 RECs	0.000	0.000	0.000
Excess Pre-June 1, 2010 Cat. 2 RECs	0.000	0.000	0.000
Total Historic Carryover[6]	**0.000**	**0.000**	**n/a**

	Compliance Period 2		
RPS Procurement Enforcement (MWh)	**2014**	**2015**	**2016**
Deficit of RECs Necessary to Meet Target	0.000		
Deficit of RECs Necessary to Meet PCC 1 Portfolio Balance Requirement	0.000		

Excess Procurement Calculation (MWh)[7]	***Compliance Period 2***
Total RECS retired, less disallowed PCC 3	0.000
Procurement Target	0.000
Potential Excess	0.000
Short-Term RECs[8]	0.000
Excess RECs Eligible	**0.000**
Excess Category 0 RECs	0.000
Excess Category 1 RECs	0.000
Excess Pre-June 1, 2010 Category 1 RECs	0.000
Excess Category 2 RECs	0.000
Excess Pre-June 1, 2010 Category 2 RECs	0.000

Other Optional Compliance Measures Applied
Cost Limitations
Delay of Timely Compliance
Reduction of PCC 1

Remaining Balance
0.000
0.000
0.000
0.000
0.000
0.000
0.000

Yes/No
No
No
No

List of Narratives to be Attached

Frequency	Narrative*	Attached to 2014 Report (Y/N)	Attached to 2015 Report (Y/N)	Attached to 2016 Report (Y/N)
Annual	Public goods funds collected; description of programs, expenditures, and expected or actual results.(Section 3207(c)(2)(G))			
Annual	Explanation of any potential delay of timely compliance events. (Section 3207(c)(2)(H))			
Annual	Explanation of actions taken or planned to minimize impacts of delay. (Section 3207(c)(2)(H))			
Annual	Demonstration of Reasonable Progress for actions taken. (Section 3207(c)(3))			
Annual	Demonstration of Reasonable Progress for actions planned. (Section 3207(c)(4))			
As needed	Documentation justifying application of adopted optional compliance measures			
As needed	Documentation providing applied cost limitation in dollars expended during the compliance period, estimated total cost to meet RPS procurement requirements in dollars expended during the compliance period, and POU actions taken in response to meeting or exceeding the cost limitation. (Section 3207(d)(6)(A))			

*Note: If the narrative information was previously provided to the Energy Commission in an existing report, the POU may reference the narrative information by identifying the name, submittal date, and page number of the existing report, and including a copy of each page of the report that is referenced. Any narratives not provided will be treated as an affirmative response that the POU did not undertake the associated activity.

POU Eligibility Under Section 399.30(j)

Use this form to determine the eligibility of a POU for the alternative compliance obligations of Public Utilities Code section 399.30(j) in accordance with section 3204 (a)(7) of the Energy Commission's regulations, *Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities*.

This form is due 90 calendar days after the end of each compliance period thereafter to determine eligibility for the subsequent compliance period.

1.	Does the POU provide electric services to a local government that is both a city and county of California?	

2.	Provide the total annual Qualifying Hydroelectric Generation from the facilities listed in Question 3 and the POU's total annual electricity demand for each of the seven calendar years immediately preceding the end of the compliance period.

	Qualifying Hydroelectric Generation (MWh)[1]	**Electricity Demand (MWh)[2]**
2007		
2008		
2009		
2010		
2011		
2012		
2013		
TOTAL	0.0000	0.0000
Average	0.00%	

RPS Annual Compliance Report for POUs meeting the criteria of Public Utilities Code section 399.30 (j)

To be completed annually.

	Input Required
	Actual Data
	Forecasted Data

Annual RPS Procurement Target (MWh)	2014	2015	2016
Current Year Total Electricity Demand	0.000	0.000	0.000
Current Year Qualifying Hydroelectric Genera	0.000	0.000	0.000
Electricity Demand Not Met by Hydroelectric	0.000	0.000	0.000
Soft Target	20.00%	20.00%	25.00%
Annual RPS Procurement Target	**0.000**	**0.000**	**0.000**

RECs Retired (MWh)	2014	2015	2016
Category 0 RECs Retired	0.000	0.000	0.000
Category 1 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 1 RECs Retired[1]	0.000	0.000	0.000
Category 2 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 2 RECs Retired[2]	0.000	0.000	0.000
Category 3 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 3 RECs Retired[3]	0.000	0.000	0.000

Total RECs Retired (Compliance Period)	**0.000**	**0.000**	**0.000**
Procurement Target	**0.000**	**0.000**	**0.000**

RPS Procurement Enforcement (MWh)	2014	2015	2016
Deficit of RECs Necessary to Meet Target	0.000	0.000	0.000

Other Optional Measures Applied	Yes/No
Cost Limitations	No
Delay of Timely Compliance	No

	2014		
Portfolio Balance Requirements, for the calculation of Excess Procurement only (MWh)[5]	**Total Retired**	**Applied to Target[6]**	**Potential Excess**
Category 0 RECs Retired	0.000	0.000	0.000
Historic Carryover Applied	0.000	0.000	0.000
Category 1 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 1 RECs Retired	0.000	0.000	0.000
Category 2 RECs Retired	0.000	0.000	0.000
Pre-June 1, 2010 Category 2 RECs Retired	0.000	0.000	0.000
Category 3 RECs Retired*	0.000	0.000	n/a
Pre-June 1, 2010 Category 3 RECs Retired[7]	0.000	0.000	n/a
Total RECs applied to the Target	**0.000**		
Category 3 Balance Limitation	0.000		
Disallowed Category 3 RECs	0.000		

	2014
Excess Procurement Calculation (MWh)[8]	
Total RECS retired, less disallowed PCC 3	0.000
Procurement Target	0.000
Potential Excess	0.000
Short-Term RECs[9]	0.000
Excess RECs Eligible	**0.000**
Excess Category 0 RECs	0.000
Excess Category 1 RECs	0.000
Excess Pre-June 1, 2010 Category 1 RECs	0.000
Excess Category 2 RECs	0.000
Excess Pre-June 1, 2010 Category 2 RECs	0.000

Additional Procurement	Starting Balance	Applied to Current Reporting Year	Accumulated in Current Reporting Year	Remaining Balance
Total Excess Procurement	**0.000**	**0.000**	**0.000**	**0.000**
Excess Category 0 RECs	0.000	0.000	0.000	0.000
Excess Category 1 RECs	0.000	0.000	0.000	0.000
Excess Pre-June 1, 2010 Category 1 RECs	0.000	0.000	0.000	0.000
Excess Category 2 RECs	0.000	0.000	0.000	0.000
Excess Pre-June 1, 2010 Category 2 RECs	0.000	0.000	0.000	0.000
Total Historic Carryover[10]	**0.000**	**0.000**	**n/a**	**0.000**

3204 (a)(8) and (a)(9) Annual RPS Report: Accounting

To be completed at the end of each compliance period ONLY by POUs meeting the criteria of section 3204 (a)(8) or (a)(9) of the *Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities.*

	Input Required
	Actual Data
	Forecasted Data

	Compliance Period 2		
	Actual	**Forecasted**	
Annual RPS Procurement and Percentages (MWh)	**2014**	**2015**	**2016**
Annual Retail Sales			
Annual RPS Procurement Retired	0.000	0.000	0.000
Soft Targets	20.00%	20.00%	25.00%

	Compliance Period 2		
Procurement Target (MWh)	**2014**	**2015**	**2016**
Procurement Target		0.000	
Category 0 RECs Retired	0.000	0.000	0.000
Bundled RECs Retired[1]	0.000	0.000	0.000
Unbundled RECs Retired[2]	0.000	0.000	0.000
Forecasted Total RECs Retired (Compliance Period)		**0.000**	

3204 (a)(8) and 3204 (a)(9) RPS Compliance Period Report

To be completed at the end of each compliance period ONLY by POUs meeting the criteria of section 3204 (a)(8) or (a)(9) of the *Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities.*

	Input Required
	Actual Data

	Compliance Period 2		
	Actual		
Annual RPS Procurement and Percentages (MWh)	**2014**	**2015**	**2016**
Annual Retail Sales	0.000	0.000	0.000
Annual RPS Procurement Retired	0.000	0.000	0.000
Soft Targets	20.00%	20.00%	25.00%
Total RECs Retired for the Compliance Period	**0.000**		

	Compliance Period 2		
Procurement Target (MWh)	**2014**	**2015**	**2016**
Procurement Target	**0.000**		
Category 0 RECs Retired	0.000	0.000	0.000
Bundled RECs Retired[1]	0.000	0.000	0.000
Unbundled RECs Retired[2]	0.000	0.000	0.000
Historic Carryover (HCO) Applied[3]	0.000		
Total RECs Retired Including HCO	**0.000**		

	Compliance Period 2		
RECs Applied to Target (MWh)	**Total Retired**	**Applied to Target[4]**	**Potential Excess**
Category 0 RECs Retired	0.000	0.000	0.000
Historic Carryover applied	0.000	0.000	0.000
Bundled RECs Retired	0.000	0.000	0.000
Unbundled RECs Retired	0.000	0.000	n/a
Total RECs applied to the Target	**0.000**		

Additional Procurement (MWh)	Starting Balance	Applied to Current Compliance Period	Accumulated in Current Compliance Period	Remaining Balance
Total Excess Procurement	**0.000**	**0.000**	**0.000**	**0.000**
Excess Category 0 RECs	0.000	0.000	0.000	0.000
Excess Bundled RECs	0.000	0.000	0.000	0.000
Total Historic Carryover[7]	0.000	0.000	n/a	0.000

	Compliance Period 2		
RPS Procurement Enforcement (MWh)	**2014**	**2015**	**2016**
Deficit of RECs Necessary to Meet Target	0.000		

	Compliance Period 2
Excess Procurement Calculation (MWh)[5]	
Total RECS retired	0.000
Procurement Target	0.000
Potential Excess	0.000
Unbundled RECs Percentage Limitation	0.000
Unbundled RECs Ineligible for Excess	0.000
Short-Term RECs[6]	0.000
Excess RECs Eligible	**0.000**
Excess Category 0 RECs	0.000
Excess Bundled RECs	0.000

Other Optional Compliance Measures Applied	Yes/No
Cost Limitations	No
Delay of Timely Compliance	No
Reduction of PCC 1	No

(TO BE COMPLETED BY PUBLICLY OWNED UTILITIES)
Compliance Report to the California Energy Commission on the Renewables Portfolio Standard Program Attestation Form

I am an authorized officer or agent of the local publicly owned electric utility (POU), as noted below, and hereby submit this report and attest to the following on behalf of the POU:

1) I am an authorized officer or agent of the POU for which this report was prepared, and have authority to submit this report on behalf of the POU;
2) The information and data provided in this report, including information provided in any supporting documents submitted with the report, are submitted to the California Energy Commission in accordance with the Renewables Portfolio Standard Eligibility Guidebook and the Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities, as codified in Title 20, California Code of Regulations, sections 1240 and 3200 - 3208, for use in verifying the POU's procurement claims of electricity products from RPS-certified electrical generation facilities for purposes of the Renewables Portfolio Standard (RPS) ;
3) To the best of my knowledge, none of the electricity generation for procurement claims identified in this report, nor any of the Renewable Energy Certificates, as defined in the Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities, associated with the electricity generation for such claims, have been or will be used more than once to satisfy RPS procurement requirements or requirements of other renewable energy regulatory or market programs;
4) I have read the Renewables Portfolio Standard Eligibility Guidebook and the Enforcement Procedures for the Renewables Portfolio Standard for Local Publicly Owned Electric Utilities and understand the provisions, eligibility criteria, and requirements of the guidebook and regulations, including my responsibilities under the guidebook and regulations to provide additional information for RPS verification purposes if requested by the Energy Commission; and
5) I declare under penalty of perjury that the information and data provided in this report, including information provided in any supporting documents submitted with the report and listed below, are true and correct to the best of my knowledge.

Signed: _____ **Dated:** _____

Authorized Officer or Agent of POU Signing Attestation	
Name:	
Title:	
Phone:	
E-mail:	
Fax:	
POU	
Entity Name:	
Street Address:	
City, State, Zip Code:	
Year POU Established:	
# of CA End-Use Retail Customer Accts:	

List of Supporting Documentation Attached to This Report	
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