



Please refer to the *Renewables Portfolio Standard Eligibility Guidebook* for additional information for completing this form.

–All information on this form and on any attachments is subject to public disclosure–

For hard copy submission, submit the completed and signed CEC-RPS-3 form to:

**California Energy Commission
Attn: RPS Certification
1516 Ninth Street, MS-45
Sacramento, CA 95814**

And submit the electronic, unsigned Excel file via email to: RPSTrack@energy.ca.gov

Excel File name and email subject line should be of the format:

RPS-3 Aggregated Application of the [Aggregated Unit Name], [RPS ID number if available]

For electronic copy submission, submit the completed Excel file and signed .pdf of the CEC-RPS-3 form to:

RPSTrack@energy.ca.gov

Excel filename and e-mail subject line should be of the format:

RPS-3 Aggregated Application of the [Aggregated Unit Name], [RPS ID number if available]

Aggregated Facility Information

Aggregated Facility Information

- Provide the name of the aggregated unit and specify any other names used by the aggregated unit, presently and in the past.
- Specify the year the individual facilities were first aggregated into a single aggregated unit for the California RPS.
- Specify the current total nameplate capacity of the aggregated unit in kilowatts (kW) of alternating current. This includes all facilities that are active and inactive.
- Specify the total nameplate capacity of the active facilities in the aggregated unit in kilowatts (kW) of alternating current.
- Specify the total number of facilities in the aggregated unit at the time of application. This includes all facilities that are active and inactive.
- Specify the total number of active facilities in the aggregated unit.
- Specify the WREGIS Generating Unit Identification Number (WREGIS GU ID) used by the aggregated unit and the date the unit registration was approved by WREGIS. No aggregated unit can be represented by more than a single WREGIS GU ID.
- Indicate whether the facilities in the aggregated unit will be using a solar photovoltaic or wind resource. All facilities in an aggregated unit must use the same resource.

For more information see the *Renewables Portfolio Standard Eligibility Guidebook*.

STATE OF CALIFORNIA
CERTIFICATION OF AGGREGATED UNITS
CEC-RPS-3 (Revised 12/15)
INSTRUCTIONS

CALIFORNIA ENERGY COMMISSION



Applicant Information

Application Information

Provide the name, title, company, address, and contact information for the applicant.

Additional Authorized Persons

List all additional authorized persons and contact information.

General Information

The California Energy Commission reserves the right to request additional information to confirm or clarify information provided in this form, including any attachments submitted with the form. If the applicant or another authorized person on behalf of the aggregated unit does not respond to the Energy Commission's request for additional information in a timely manner, the facility risks losing its certification status as specified in the Energy Commission's *Renewables Portfolio Standard Eligibility Guidebook*.

The Energy Commission may conduct an audit to verify the accuracy of any information included as part of an application for RPS certification. As part of an audit, an applicant may be required to provide the Energy Commission with any and all information and records necessary to verify the accuracy of any information included in an applicant's application(s) or reports. An applicant may also be required to open its business records for on-site inspection and audit by the Energy Commission or its authorized agents for purposes of verifying the accuracy of any information included in the applicant's applications and reports.

The applicant or another authorized person on behalf of the certified aggregated units must notify the Energy Commission promptly of any changes in information previously submitted to the Energy Commission. Failure to do so may result in revocation of certification status for the entire aggregated unit. Any changes affecting the certification status of the aggregated unit must be reported on an amended CEC-RPS-3 form. If there are any changes to the certification status of the aggregated unit or individual facilities within the aggregated unit, the new information will be posted on the Energy Commission's website.

Aggregated unit Attestation

The attestation must be signed by an authorized officer or agent of the aggregated unit and all electrical generation facility owners in the aggregated unit, who has the authority to attest to the accuracy of the information provided and has read and understands the requirements specified in the *Renewables Portfolio Standard Eligibility Guidebook*.

STATE OF CALIFORNIA
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CEC-RPS-3 (Revised 12/15)
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CALIFORNIA ENERGY COMMISSION



Individual Facility Information

All facilities ever listed as a member of an aggregated unit must be included in this application and associated with the

correct RPS ID suffix, even if the facility is no longer an active member of the aggregated unit.

Facility Identification and Aggregate Status

A. Assigned RPS ID

This column lists the RPS ID assigned to the aggregated unit with the suffix assigned to each facility. This field is automatically populated; be sure to match each facility with its existing RPS ID. For amended certification applications, this column will take the form of "#####R-####A."

First time applications for aggregated units should be submitted without an assigned RPS ID for the aggregated unit. Only the facility specific suffix will be automatically populated. Energy Commission staff will assign an RPS ID to the aggregated unit upon receipt of a completed application for the certification of the aggregated unit. For first time applications this column will be of the form "-####A."

B. Facility Name

Specify the name under which each facility will be certified. Each facility must have a unique name.

C. Facility Status in Aggregated Unit

Specify whether the facility is an active member of the aggregated unit or an inactive member.

Active - Generation from this facility is being tracked, or will be tracked for precertified facilities, as part of the aggregated unit and the certification will include this facility.

Inactive - Generation from this facility is not being tracked as part of the aggregated unit and the certification will not include this facility.

D. Type of Certification (Original or Amended Certification or Precertification)

Certification (Cert.) - Applies to renewable facilities that are on-line who wish to establish eligibility for the RPS.

Amended Certification - Applies to facilities already certified as eligible for the RPS that have undergone material changes since being certified (for example, change of ownership, size of facility, etc.). Facilities that do not notify the Energy Commission in a timely manner of material changes risk disqualification.

E. Eligibility Date as Part of this Aggregated Unit

Specify the eligibility date as part of this aggregated unit, if unknown leave blank. For first time aggregated unit applications or facilities being added to the aggregated unit application, this field should be left blank; Energy Commission Staff will assign this information.

F. Identification Numbers Used by the Facility

List any previously used RPS identification numbers (ID), if any (list only if the RPS ID is different than the assigned RPS ID; if the facility was previously assigned an RPS ID as part of this aggregated unit, the facility should be listed in the same line of the application as it was previously listed).

List internal ID assigned by aggregator, if any.

List other ID, if any. (Please attach documentation explaining this identification number if any is provided.)



INSTRUCTIONS



Facility Operations

G. Commercial Operations Date (mm-dd-yyyy)

Enter the date the facility was interconnected to the electricity grid and began serving all or part of the onsite needs of the host. If these dates are different provide the later of the two dates.

H. Date of Entry into Aggregated Unit (mm-dd-yyyy)

Specify the date the facility's generation was first tracked as part of the aggregated unit.

I. Nameplate Capacity

Indicate the nameplate capacity of the facility in kilowatts (kW) of alternating current.

Other Facility Information

J. Ratepayer Funded Programs

Indicate whether the facility ever received benefits from a rate-payer funded incentive program or plans to receive funds from a rate-payer funded incentive program. Yes or no.

Identify the program from which the facility received funding, NSHP, ERP, SGIP, CSI, Other SB 1 Program, or other. If funding was received from more than one program, indicate this program in an attachment.

If funding was received from another SB 1 program or other ratepayer funded program not listed, specify the program in the "Other" field and attach additional information as necessary.

K. Is This Facility Participating in a Net Metering Tariff?

Indicate whether the facility is participating in a net metering tariff. Yes or no.

L. Does This Facility Satisfy All Metering Requirements?

Participation in the Renewables Portfolio Standard requires each facility to report eligible generation based on a meter with an independently verified accuracy rating of 2 percent or higher. Indicate whether or not the facility meets this requirement. Yes or no.

Facility Location and Contact Information

M. Facility Address

Indicate the street address or other physical address for the facility, including the city, state, and complete nine digit zipcode. Provide the GPS coordinates.

N. Facility Owner Contact Information

The facility contact is the contact person for any questions about the technical information about the facility submitted in the application for certification. Please include name, title, company name, address, phone number, and e-mail address.

