

GRENIER & ASSOCIATES, INC.

ENVIRONMENTAL PLANNING • LICENSING & PERMITTING • REGULATORY COMPLIANCE

July 22, 2012

Compliance Log #2012-018

Ms. Christine Stora
Compliance Project Manager
California Energy Commission
1516 Ninth Street, MS-2000
Sacramento, CA 95814

Subject: Lodi Energy Center (08-AFC-10C)
Condition of Certification COM-6
Monthly Compliance Report #23

Dear Ms. Stora:

In compliance with Condition of Certification COM-6 as set forth in the California Energy Commission's Final Decision for the Lodi Energy Center Project, enclosed please find one hard copy and one electronic version of the project's twenty-third Monthly Compliance Report for the period June 1-30, 2012.

If you have any questions regarding this submittal, please contact me at (916) 780-1171.

Sincerely,



Andrea Grenier
Environmental Compliance Manager
for the Lodi Energy Center Project

cc: Mike DeBortoli, NCPA



Lodi Energy Center Project



June 2012
Reporting Period

Monthly Compliance Report #23

This document has been prepared by Grenier & Associates, Inc. on behalf of the Northern California Power Agency and represents the twenty-third monthly compliance report for the Lodi Energy Center Project. The information contained in this report covers construction, commissioning, and environmental compliance activities performed during June 2012.

Lodi Energy Center Project

Docket 08-AFC-10C

June 2012
Reporting Period

Monthly Compliance Report #23

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MONTHLY COMPLIANCE REPORT #23

ONE | INTRODUCTION

On April 21, 2010, the California Energy Commission (CEC) issued a license to the Northern California Power Agency (NCPA) for the construction and operation of the Lodi Energy Center Project. The CEC Compliance Project Manager (CPM) issued an Authority to Construct letter to NCPA on July 14, 2010, allowing the start of construction activities for all power plant and related linear facilities.

This document constitutes NCPA's twenty-third Monthly Compliance Report (MCR) for the Lodi Energy Center Project, as required by Condition of Certification COM-6 in the CEC Final Decision for the Project. The information in this report documents the construction, commissioning, and environmental compliance activities that were performed during June 2012.

TWO | OVERALL PROJECT STATUS

NCPA has contracted with several companies to provide the engineering, procurement, and construction services needed to build the Lodi Energy Center Project. WorleyParsons (WP) is performing as NCPA's owners engineer and construction manager, providing engineering, procurement, and design services and overseeing the construction of the project. Siemens is providing the power island equipment, which includes the combustion turbine generator and associated equipment. ARB, Inc. (ARB) is the construction contractor for the power plant and transmission interconnection facilities. Pacific Gas and Electric Company (PG&E) will design, build, and operate the natural gas pipeline associated with the project.

As of the end of June 2012, the project was 97.6 percent complete overall. The following table presents the percent complete numbers for the engineering, procurement, and construction activities as of the end of the month.

Activity	% Complete
Engineering	99.9
Procurement	100.0
Construction/ Commissioning	96.7

The Project has shifted to a systems completion mode as the power systems are brought on-line and mechanical check-outs continue. The turnover of instrument air system and the supporting water systems are near-term focuses, as construction work concentrates on the STG area, Water Treatment area and Steam System hydro testing. During June, partial system operation of Compressed Air and Service Water to support check out and commissioning activities was undertaken. Circulating Water and Closed Cooling Water systems have been

commissioned and are operating. ARB completed installing pipe and supports in the Auxiliary Boiler and Blow Down Tank areas. The Injection Well Pump Skid was aligned and piped. Only the balance of Instrument Air and Service Water Systems remain to be piped. The CTG is being cleaned and closed as it is prepared to be put on Turning Gear. The Steam Turbine alignment has been completed. Back feed of the ST Generator Transformer and Auxiliary Transformer was completed. ARB's insulation contractor (FarWest) continued with insulation and lagging of the HRSG piping, and ST piping in the pipe rack and within the STG enclosure. The painting sub-contractor (Techno) is painting the HRSG, chemical piping, gas piping, and other miscellaneous pipe systems. The Final Paving and Grading Contractor (Granite) mobilized and will begin work in early July. Construction completion QC walk downs, punch listing, and completion of systems including HRSG, Demineralized Water, Condensate, Fuel Gas, Steam Turbine Drains, Blow Down, Auxiliary Steam, Condenser, Air Extraction, and Boiler Feed Water systems continued.

Weekly coordination calls were held during the reporting period between NCPA, WP, and ARB. An updated key events list is included in Exhibit 1. The anticipated commercial operation date for the LEC is September 2012. An aerial view of the project site taken in June is shown in Figure 1. Additional construction photos are provided in Exhibits 2 and 6.



FIGURE 1: AERIAL VIEW OF LEC PROJECT SITE – June 2012

THREE | CONSTRUCTION AND COMMISSIONING ACTIVITIES

This section of the MCR focuses on the construction and commissioning activities that were accomplished during the reporting period. The following list outlines the major construction activities that were accomplished at the LEC project site during June:

- Chem clean start assembling
- Blowing out of air lines for QFB into the CT and HRSG
- PG&E synchronization check for the CT complete
- Backfeed of ST GSU
- Walk down of LBM system
- CT Readiness Review performed by Siemens
- CT Turning Gear final Preparations
- Siemens Performance test review conducted, work list incorporated into commissioning
- Final Work List completed for Chemical Clean
- Furey Coupling installation completed
- Turbine Enclosure cleaned in preparation of Turning Gear
- Rentech TFA field inspection conducted for Aux. Boiler
- Preparations discussed for Granites Permanent Fencing Plan
- Continue commissioning of PGB system
- Installation of Flashback/Dynamics started on CT
- Cleanliness accepted of fuel gas lines that were pigged
- CT Gas Valve/Bleed Valve calibration completed

Key construction activities ***planned for July 2012*** are described below:

- Complete Chemical Clean of HRSG and associated BOP systems
- Continue Commissioning and Turnover of LBB/LBA/LBM/MAG/MAJ system
- Complete exhaust duct cleaning
- Commence Steam Blow Piping Installation
- Complete Fuel Gas Compressor flushes
- Commence Evap. Cooling Flushes
- Preparation for STG Turning Gear
- BOP Readiness Review
- Complete Siemens D.A.I.S Prod Mod

FOUR | COMPLIANCE ACTIVITIES

This section of the monthly compliance report provides input on NCPA's activities related to ensuring that compliance with all the Conditions of Certification as set forth in the CEC's Final Decision for the Lodi Energy Center Project is achieved in a timely and satisfactory manner. The following information is provided per the requirements set forth in Condition of Certification COM-6.

Compliance Matrix

The compliance matrix was updated during the reporting period to reflect the dates that compliance submittals were provided to the CEC and the dates of any approvals by the CBO, CEC CPM, or delegate agency.

Completed Compliance Activities

The following table lists the compliance submittals that were provided to the CEC CPM during June:

Log #	Date Submitted	Condition	Subject
2012-013	6/4/12	S&W-8	Potable water metering device
2012-014	6/15/12	COM-12/13	Temp/Permanent Closure Plan
2012-015	6/19/12	WASTE-06	Operations Waste Mgmt Plan
2012-016	6/19/12	COM-6	MCR #22

Required Documents Submitted With This Report

The Final Decision sets forth specific conditions, many of which include reporting requirements that must be addressed in this MCR. The following paragraphs describe the compliance activities that were completed during the June 2012 reporting period:

AQ-SC1: The Air Quality Construction Mitigation Manager (AQ-CMM) for the project is responsible for directing and documenting compliance with AQ-SC3, AQ-SC4, and AQ-SC5 for the entire project site and linear facility construction. Additional AQ-CMM delegates will be assigned as needed to cover situations when there are multiple tasks occurring simultaneously that require oversight, extended hours of construction, or when the AQ-CMM is unavailable. The AQ-CMM's daily monitoring log is available on site for the CPM's inspection.

AQ-SC2: Construction mitigation measures as set forth in Conditions AQ-SC3, AQ-SC4, and AQ-SC5 as well as in the LEC Air Quality Construction Mitigation Plan were complied with during the reporting period. The AQ-CMM's monthly report is included in Exhibit 5.

AQ-SC3: As is typical, the weather in June has turned hot and heavy use of water (121,500 gallons of construction water from the White Slough Water Pollution Control Facility) were used for dust control purposes to control dust on site. All unpaved and paved roads were kept wet at all times during construction hours to

prevent the formation of dust clouds. The traffic on site has been limited to auto traffic going to and from the existing STIG plant and the movement of forklifts and golf carts to move material and construction personnel on site. There were very few large trucks moving on site. Even with heavy north winds, there were no noted dust events during the month and no dust complaints were received. As usual there were several occurrences of dust drifting onto the site from agricultural work in the area. Work activities requiring dust control are described in the AQCM's monthly report included in Exhibit 5.

AQ-SC4: Dust plume control measures were implemented as necessary and information on their use (if required) is included in the AQCM's monthly report included in Exhibit 5.

AQ-SC5: A summary of the diesel engine certification information required by this condition is included as part of the AQCM's monthly report included in Exhibit 5, along with diesel fuel purchase information. The equipment on site is owned or rented by ARB and has been maintained on site throughout the construction period by ARB's mechanics. A letter to this effect will be provided in the next Monthly Compliance Report.

AQ-3, AQ-106, and AQ-119: Sierra Research submitted a Title V Operating Permit Administrative Amendment to the San Joaquin Valley Air Quality Management District on April 3, 2013. A copy of the letter was transmitted to the CEC CPM on April 3, 2012.

BIO-2: Rick Crowe is the Designated Biologist for the LEC Project. His monthly Biological Resources Mitigation Implementation and Monitoring Report, which provides a summary of the June 2012 construction activities and associated biological monitoring, is included in Exhibit 6.

BIO-5: In accordance with this condition, 26 personnel received the Construction Worker Environmental Awareness Program training during the reporting period, bringing the total trained to date to 1,458. Copies of the worker's certification training and sign-in sheets for the reporting period are included in Exhibit 7.

BIO-6: This condition requires that the Designated Biologist/Biological Monitor's provide monthly documentation on how the biological mitigation measures included in the BRMIMP have been implemented during the monthly reporting period. This information is included in the DB's monthly report (see Exhibit 6). An updated BRMIMP was submitted to the CEC CPM in November 2011 to reflect modifications to the gas pipeline as approved by the CEC on September 29, 2011.

BIO-7: This condition requires that the Designated Biologist/Biological Monitor's provide monthly documentation on how the impact avoidance and minimization measures have been implemented during the monthly reporting period. This information is included in the DB's monthly report (see Exhibit 6).

BIO-8: This condition requires that the Designated Biologist/Biological Monitor's provide monthly documentation on how measures to minimize or avoid harassment or harm to sensitive species have been implemented during the monthly reporting period. This information is included in the DB's monthly report (see Exhibit 6).

BIO-9: This condition requires that the Designated Biologist/Biological Monitor's monthly report include a discussion of implementation of giant garter snake mitigation and avoidance measures. This information is included in the DB's monthly report (see Exhibit 6).

BIO-10: This condition requires that the Designated Biologist/Biological Monitor's monthly report include a discussion of implementation of burrowing owl mitigation and avoidance measures. This information is included in the DB's monthly report (see Exhibit 6).

BIO-11: This condition requires that the Designated Biologist/Biological Monitor's monthly report include a discussion of implementation of Swainson's hawk mitigation and avoidance measures. This information is included in the DB's monthly report (see Exhibit 6).

BIO-12: This condition requires that the Designated Biologist/Biological Monitor's monthly report include a discussion of implementation of migratory bird mitigation and avoidance measures. This information is included in the DB's monthly report (see Exhibit 6).

BIO-13: This condition requires that the Designated Biologist/Biological Monitor's monthly report include a discussion of implementation of northwestern and western pond turtle mitigation and avoidance measures. This information is included in the DB's monthly report (see Exhibit 6).

CIVIL 1-4: Copies of relevant CBO approval letters are provided in Exhibit 3.

COM-5: The updated compliance matrix is provided in Exhibit 4.

CUL-5: In accordance with this condition, 26 personnel received the Construction Worker Environmental Awareness Program training during the reporting period, bringing the total trained to date to 1,458. Copies of the worker's certification training and sign-in sheets for the reporting period are included in Exhibit 7.

CUL-6: The Cultural Resources Specialist's monthly summary report is included in Exhibit 6.

GEN-2: To reduce the size of this MCR, the updated master drawing and spec list is available for viewing by accessing the LEC Project webpage that has been set up by the CBO.

GEN-3: Payments made to the CBO in June amounted to \$ 49,412.

GEN-6: Information related to the approval of any special inspectors and fabricators during the reporting period is included in Exhibit 3.

MECH-1: Information related to inspection approvals of any major piping or plumbing mechanical systems is provided in Exhibit 3.

MECH-2: Information related to the inspection approvals of any HVAC and pressure vessel systems is provided in Exhibit 3.

PAL-4: In accordance with this condition, 26 personnel received the Construction Worker Environmental Awareness Program training during the reporting period, bringing the total trained to date to 1,458. Copies of the worker's certification training and sign-in sheets for the reporting period are included in Exhibit 7.

PAL-5: The Paleontological Resource Specialist's monthly report is included in Exhibit 6.

S&W-2: Information related to the implementation of construction SWPPP activities is included in the Air Quality Construction Mitigation Manager's Monthly Report provided in Exhibit 5.

S&W-7: NCPA continues to work with the CEC CPM to provide the documentation required to satisfy this condition.

S&W-8: The flow/totalizing meter used to measure flow rate and amount of potable has been installed and a photography of the installed meter was provided to the CEC CPM on May 18, 2012.

STRUC-1: Copies of relevant CBO approval letters are provided in Exhibit 3.

STRUC-2: A log of the Non-Compliance Reports is provided in Exhibit 10.

TSE-1: WorleyParsons initial submittal of the master drawing and spec list of the transmission system was approved by the CBO on August 5, 2010. To reduce the size of this MCR, the updated list is available for viewing by accessing the LEC Project webpage that has been set up by the CBO.

TSE-4: This condition requires information related to the following topics: a) receipt or delay of major electrical equipment; b) testing or energization of major electrical equipment; and c) the number of electrical drawings approved, submitted for approval, and still to be submitted. All electrical equipment has been received and testing is underway. Backfeed was successfully completed on December 16, 2011.

TLSN-3: Post-energization EMF surveys will be conducted in September 2012.

VIS-1: No construction-related lighting complaints were received during the reporting period.

VIS-4: Installation of exterior lighting continues. A request for an onsite inspection by the CEC CPM will be requested once the work is completed.

Worker Safety-3: NCPA's Construction Safety Supervisor's monthly safety report is included in Exhibit 8.

Worker Safety-4: The CBO Safety Monitor's monthly report is included in Exhibit 8. On May 25, 2012, NCPA requested CPM approval to limit the CBO's onsite inspector's presence at the site to periodic inspections going. The CPM responded the same day and provided helpful guidance with respect to expectations regarding the expected frequency of the onsite inspector as construction activities wind down and the project conducts more commissioning activities and moves towards commercial operation.

Submittal Deadlines Not Met

None

Approved Changes to Conditions of Certification

No requests for changes to any Conditions of Certification were made during the reporting period.

Filings or Permits Issued by Other Governmental Agencies

None this period.

Projected Compliance Activities for July/August 2012

NCPA will continue to report progress on the compliance activities noted above. In addition, the following compliance documents will continue to be monitored with the CEC or submitted during July/August:

- AQ-44: Source Test Plan/Protocol
- Noise-7: Steam Blow Notification Letter
- TSE-6: CAISO Notification
- VIS-4: Request for CPM Inspection of Permanent Lighting
- VIS-5: Request for CPM Inspection of Surface Treatment
- TLSN-6: Post Energization EMF Measurements

Listing of Additions to Onsite Compliance Files During the Reporting Period

Copies of the documents included in the exhibits to this monthly compliance report have been added to the onsite compliance files.

Requests to Dispose of Items Required To Be In Compliance Files

None this period.

Exhibit 1

Key Events List

KEY EVENTS LIST

PROJECT: LODI ENERGY CENTER

DOCKET #: 08-AFC-10C

COMPLIANCE PROJECT MANAGER: CHRISTINE STORA

EVENT DESCRIPTION	DATE
Certification Date	4/21/10
Obtain Site Control	3/22/10
Online Date	SEPT 2012
POWER PLANT SITE ACTIVITIES	
Start Site Mobilization	7/30/10
Start Ground Disturbance	8/7/10
Start Grading	8/7/10
Start Construction	10/1/10
Begin Pouring Major Foundation Concrete	10/8/10
Begin Installation of Major Equipment	11/1/10
Completion of Installation of Major Equipment	7/1/12
First Combustion of Gas Turbine	7/18/12
Obtain Building Occupation Permit	TBD
Start Commercial Operation	SEPT 2012
Complete All Construction	SEPT 2012
TRANSMISSION LINE ACTIVITIES	
Start T/L Construction	6/8/11
Synchronization with Grid and Interconnection	8/13/12
Complete T/L Construction	7/5/11
FUEL SUPPLY LINE ACTIVITIES	
Start Gas Pipeline Construction and Interconnection	10/1/11
Complete Gas Pipeline Construction	6/28/12
WATER SUPPLY LINE ACTIVITIES	
Start Water Supply Line Construction	11/15/11
Complete Water Supply Line Construction	11/23/11

Exhibit 2

Construction Photographs



Chemical Cleaning Temporary Connections



Chemical Cleaning Equipment



Chemical Cleaning Baker Tanks



Gas Compressors







Hays, Nancy (Sacramento)

From: Stacey Hughes [notifications@trbplus.basecamphq.com]

Sent: Thursday, June 14, 2012 9:39 AM

To: Hays, Nancy (Sacramento)

Subject: [Lodi Energy Center] A new file has been uploaded

Project: [Lodi Energy Center](#)

Company: TRB and Associates

Stacey Hughes uploaded a new file:



MECH-01A 97.0 (REV 4) (120614).zip

APPROVED: Water Treatment Building - Fire Protection

[Download this file](#) 21.2 MB

Category: -Plan Review APPROVALS

[View all files for this project](#)

This message was sent to Ed Warner, Elizabeth Wall, Jeremy Lawson, Joe Bittner, Marc Pelletier, Michael DeBortoli, Nancy Hays, Stacey Hughes, and Susan Christopherson.

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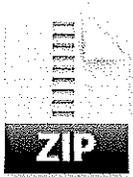
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Hays, Nancy (Sacramento)

From: Stacey Smith [notifications@trbplus.basecamphq.com]
Sent: Wednesday, June 27, 2012 4:57 PM
To: Hays, Nancy (Sacramento)
Subject: [Lodi Energy Center] A new file has been uploaded

Project: [Lodi Energy Center](#)
Company: TRB and Associates

Stacey Smith uploaded a new file:



STRUCT-01A 46.0 (REV 3) (120627).zip

APPROVED: Compressor Water Wash Foundation

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Category: -Plan Review APPROVALS

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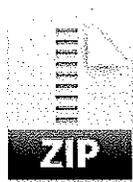
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Hays, Nancy (Sacramento)

From: Stacey Hughes [notifications@trbplus.basecamphq.com]
Sent: Tuesday, June 05, 2012 9:58 AM
To: Hays, Nancy (Sacramento)
Subject: [Lodi Energy Center] A new file has been uploaded

Project: [Lodi Energy Center](#)
Company: TRB and Associates

Stacey Hughes uploaded a new file:



STRUCT-01A 66.0 (REV 0) (120605).zip

COMMENTS: STG Ladders - Drawings and Calc

[Download this file](#) 136 KB

Category: -Plan Review COMMENTS

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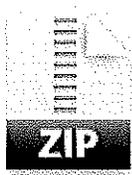
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[Basecamp](#)

Hays, Nancy (Sacramento)

From: Stacey Hughes [notifications@trbplus.basecamphq.com]
Sent: Friday, June 08, 2012 10:47 AM
To: Hays, Nancy (Sacramento)
Subject: [Lodi Energy Center] A new file has been uploaded

Project: [Lodi Energy Center](#)
Company: TRB and Associates

Stacey Hughes uploaded a new file:



MECH-01A 98.0 (REV 1) (120608).zip

COMMENTS: FM-200 Fire Suppression System (Siemens)

[Download this file](#) 327 KB

Category: -Plan Review COMMENTS

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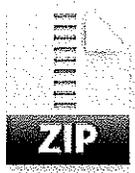
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Hays, Nancy (Sacramento)

From: Stacey Hughes [notifications@trbplus.basecamphq.com]
Sent: Friday, June 08, 2012 2:07 PM
To: Hays, Nancy (Sacramento)
Subject: [Lodi Energy Center] A new file has been uploaded

Project: [Lodi Energy Center](#)
Company: TRB and Associates

Stacey Hughes uploaded a new file:



STRUCT-01A 23.0 (REV 8) (120608).zip

COMMENTS: Water Treatment Chem Feed Area - Revised Calc and Drawings

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Category: -Plan Review COMMENTS

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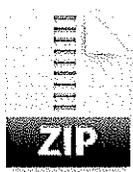
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Hays, Nancy (Sacramento)

From: Stacey Hughes [notifications@trbplus.basecampHQ.com]
Sent: Thursday, June 14, 2012 9:13 AM
To: Hays, Nancy (Sacramento)
Subject: [Lodi Energy Center] A new file has been uploaded

Project: [Lodi Energy Center](#)
Company: TRB and Associates

Stacey Hughes uploaded a new file:



MECH-01A 96.0 (REV 3) (120614).zip

COMMENTS: Steam Turbine Generator - Fire Protection

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Category: -Plan Review COMMENTS

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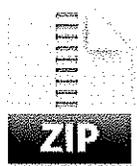
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Hays, Nancy (Sacramento)

From: Stacey Hughes [notifications@trbplus.basecamphq.com]
Sent: Thursday, June 14, 2012 11:05 AM
To: Hays, Nancy (Sacramento)
Subject: [Lodi Energy Center] A new file has been uploaded

Project: [Lodi Energy Center](#)
Company: TRB and Associates

Stacey Hughes uploaded a new file:



MECH-01A 95.0 (REV 5) (120614).zip

COMMENTS: Fire Alarm System (Cupertino)

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Category: -Plan Review COMMENTS

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Hays, Nancy (Sacramento)

From: Stacey Smith [notifications@trbplus.basecampHQ.com]
Sent: Friday, June 22, 2012 3:49 PM
To: Hays, Nancy (Sacramento)
Subject: [Lodi Energy Center] A new file has been uploaded

Project: [Lodi Energy Center](#)
Company: TRB and Associates

Stacey Smith uploaded a new file:



STRUCT-01a 46.0 (REV 2) (120622).zip

COMMENTS: Compressor Water Wash Foundation

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Category: -Plan Review COMMENTS

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Hays, Nancy (Sacramento)

From: Stacey Smith [notifications@trbplus.basecamp.com]

Sent: Thursday, June 28, 2012 3:27 PM

To: Hays, Nancy (Sacramento)

Subject: [Lodi Energy Center] A new file has been uploaded

Project: [Lodi Energy Center](#)

Company: TRB and Associates

Stacey Smith uploaded a new file:



STRUCT-01A 23.0 (REV 9) (120628).zip

COMMENTS: Water Treatment Chem Feed Area

[Download this file](#) 162 KB

Category: -Plan Review COMMENTS

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Exhibit 4

Compliance Matrix

**LODI ENERGY CENTER CONSTRUCTION COMPLIANCE MATRIX
BASED ON CEC FINAL DECISION**

Pre-Const	Construction	Commiss.	Operations	To CEC or Agency	Approved by CEC
-----------	--------------	----------	------------	------------------	-----------------

Cond. #	Sort Code	Description of Project Owner's Responsibilities	Verification/Action/Submittal Required by Project Owner	Timeframe	Date Due to CEC CPM	Lead Respons. Party	Date sent to CEC, CBO or agency	NCPA Log #	CEC Status
SOIL & WATER-06b	CONS	The LEC shall not connect to the COL's recycled water pipeline without the final agreement in place and submitted to the CPM. The project owner shall comply with the requirements of Title 22 and Title 17 of the California Code of Regulations and section 13523 of the California Water Code.	The project owner shall submit to the CPM a copy of the Engineering Report and Cross Connection inspection and approval report from the California Department of Public Health prior to the delivery of recycled water from the COL.	Prior to the delivery of recycled water from the COL.	10/30/11	NCPA	12/5/11	2011-026; copy of RWQCB Approval Letter to COL sent to CPM on 4/19/12	Pending CEC approval
SOIL & WATER-07c	CONS	Submit documentation to the CPM and the RWQCB that well drilling activities were conducted in compliance with Title 23, California Code of Regulations, Chapter 15, Discharges of Hazardous Wastes to Land, (23 CCR, sections 2510 et seq.) requirements and that any onsite drilling sumps used for project drilling activities were removed in compliance with 23 CCR section 2511(c).	Submit required info to the CPM.	No later than 15 days after completion of well	1/15/12	NCPA	5/18/12	2012-010	NCPA is responding to CPM comments
TLSN-03a	COMM	Use a qualified individual to measure the strengths of the electric and magnetic fields from the line at the points of maximum intensity along the proposed route. The measurements shall be made before and after energization according to ANSI/IEEE standard procedures. These measurements shall be completed not later than six months after the start of operations.	File copies of the pre-energization measurements with the CPM	Within 60 days after completion of measurements	1/15/12	NCPA	1/18/12	2012-002	Pending CEC Approval
SOIL & WATER-07c	CONS	Ensure the driller has submitted Well Completion Report for each well installed to CDWR.	Provide a copy of the well completion report to the CPM along with a copy of well drilling logs, water quality analyses, and any inspection reports that may be completed.	No later than 60 days after installation of any water supply well	1/30/12	NCPA	2/29/12	2012-005	Pending CEC approval
AQ-090	COMM	[CONDITIONS AQ-90 through 103 relate to the Acid Rain Program] The owners and operators of each affected source and each affected unit at the source shall have an Acid Rain permit and operate in compliance with all permit requirements. [40 CFR 72]	Submit the Acid Rain Program application to both the District and the CPM.	Prior to first fire	3/30/12	Sierra Research	5/6/09		Intial Acid Rain Notification sent in by SR
AQ-003	CONS	The facility shall submit an application to modify the Title V permit with an administrative amendment in accordance with District Rule 2520 Section 5.3.4.	Submit the Title V Operating Permit application to both the District and CPM.	Prior to operation	4/3/12	Sierra Research	4/3/12	Sent by SR	Pending CEC Approval
AQ-106	CONS	Prior to operating with modifications authorized by this ATC, the facility shall submit an application to modify the Title V permit with an administrative amendment in accordance with District Rule 2520 Section 5.3.4.	Submit to both the District and CPM the Title V Operating Permit application prior to operation.	Prior to operation	4/3/12	Sierra Research	4/3/12	Sent by SR	Pending CEC Approval
AQ-119	COMM	Prior to operating with modifications authorized by this Authority to Construct, the facility shall submit an application to modify the Title V permit with an administrative amendment in accordance with District Rule 2520 Section 5.3.4.	Submit to both the District and CPM the Title V Operating Permit application	Prior to operation	4/3/12	Sierra Research	4/3/12	Sent by SR	Pending CEC Approval

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COM-12a	CONS	Prepare an Unplanned Temporary Facility Closure/On-Site Contingency Plan (see condition for issues that must be addressed in the plan). The approved plan must be in place prior to commercial operation of the facility and shall be kept at the site at all times.	Submit an on-site contingency plan for CPM review and approval.	no less than 60 days prior to COD	6/15/12	NCPA	6/15/12	2012-014	Pending CEC approval
WASTE-06a	COMM	Prepare an Operations Waste Management Plan for all wastes generated during construction of the facility that meets the requirements defined in the condition.	Submit plan to the CPM for review and approval. See Final Decision WASTE-5 for plan requirements.	No less than 30 days prior to start of project operation	6/19/12	NCPA	6/19/12	2012-015	Pending CEC approval
AQ-011	COMM	Commissioning period shall commence when all mechanical, electrical, and control systems are installed and individual system startup has been completed, or when a gas turbine is first fired, whichever occurs first. The commissioning period shall terminate when the plant has completed initial source testing, completed final plant tuning, and is available for commercial operation.	Submit a commissioning plan to the CPM and APCO for approval that describes the procedures to be followed during the commissioning period and the anticipated duration of each commissioning activity.	30 days prior to first fire of the gas turbine	6/27/12	ARB	4/3/12	Sent by CH2	Approved 4/16/12
AQ-013	COMM	During commissioning period, NOx and CO emission rate shall be monitored using installed and calibrated CEMS.	Submit to the CPM and APCO for approval the commissioning plan as required in AQ-11.	30 days prior to first fire of the gas turbine	6/27/12	ARB	4/3/12	Sent to APCO by Sierra Research	Approved 4/16/12
SOIL & WATER-08a	CONS	Install and maintain metering devices as part of the water supply and distribution system to monitor and record in gallons per day the volume of potable and recycled water supplied to the LEC.	Submit to the CPM evidence that metering devices have been installed and are operational on the potable and recycled pipelines serving the project.	At least 60 days prior to use of any water source for operations	7/2/12	ARB	5/18/2012 and 6/4/12	2012-009 for recycled water 2012-013 for domestic water supply	Pending CEC Approval
AQ-044b	COMM	Source testing shall be conducted using the methods and procedures approved by the District.	Notify the District and CPM of the proposed source test date and time.	30 days prior to the proposed source test date and time	7/16/12	Sierra Research			
NOISE-07a	CONS	Notify all residents or business owners within one mile of the site of the planned steam blow activity, and make the notification available to other area residents in an appropriate manner.	The notification may be in the form of letters to the area residences, telephone calls, fliers or other effective means. The notification shall include a description of the purpose and nature of the steam blow(s), the proposed schedule, the expected sound levels, and the explanation that it is a one-time operation and not a part of normal plant operations.	At least 15 days prior to first steam blow(s)	7/17/12	NCPA			
TSE-06	COMM	Provide notice to the Cal-ISO prior to synchronizing the facility with the California transmission system as referenced in items A & B of the condition.	Provide written letter to CAISO 7 days prior to synch and send CPM copy of letter. At least 1 business day before synch, call CAISO's outage coordination department (Monday through Friday, between the hours of 7:00 a.m. and 3:30 p.m. at (916) 351-2300).	One week prior to initial synchronization w/ the grid	7/20/12	NCPA			
NOISE-07b	CONS	Send a letter to the CPM confirming that they have been notified of the planned steam blow activities, including a description of the method(s) of that notification.	Provide the required documentation to the CPM.	Within 5 days of notifying entities	7/22/12	NCPA			
AQ-044a	COMM	Source testing shall be conducted using the methods and procedures approved by the District.	Submit the proposed source test plan or protocol for the source tests to both the District and CPM for approval.	15 days prior to proposed source test date	7/31/12	Sierra Research			

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AQ-045	COMM	Source testing shall be witnessed or authorized by District personnel and samples shall be collected by a California Air Resources Board (CARB) certified testing laboratory or a CARB certified source testing firm.	Submit the proposed protocol for the source tests to both the District and CPM for approval in accordance with condition AQ-44.	15 days prior to proposed source test date	7/31/12	Sierra Research			
AQ-049	COMM	The following test methods shall be used: NOx - EPA Method 7E or 20 or CARB Method 100; CO - EPA Method 10 or 10B or CARB Method 100; VOC - EPA Method 18 or 25; PM10 - EPA Method 5 (front half and back half) or 201 and 202a; ammonia - BAAQMD ST-1B; and O2 - EPA Method 3, 3A, or 20 or CARB Method 100. EPA approved alternative test methods as approved by the District may also be used to address the source testing requirements of this permit.	Submit the proposed protocol for the source tests to both the District and CPM for approval in accordance with condition AQ-44.	15 days prior to proposed source test date	7/31/12	Sierra Research			
AQ-141	COMM	All emissions measurements shall be made with the unit operating either at conditions representative of normal operations or conditions specified in the Permit to Operate. No determination of compliance shall be established within two hours after a continuous period in which fuel flow to the unit is shut off for 30 minutes or longer, or within 30 minutes after a re-ignition as defined in Section 3.0 of District Rule 4306.	Submit the proposed protocol for the source tests to both the District and CPM for approval in accordance with condition AQ-44.	15 days prior to proposed source test date	7/31/12	NCPA			
AQ-142	COMM	Source testing to measure NOx and CO emissions from this unit while fired on natural gas shall be conducted within 60 days of the end of commissioning period of the gas turbine system.	Submit the proposed protocol for the source tests to both the District and CPM for approval in accordance with condition AQ-44.	Within 30 days of testing	7/31/12	ARB			
AQ-143	OPS	Source testing to measure NOx and CO emissions from this unit while fired on natural gas shall be conducted at least once every twelve (12) months. After demonstrating compliance on two (2) consecutive annual source tests, the unit shall be tested not less than once every thirty-six (36) months. If the result of the 36-month source test demonstrates that the unit does not meet the applicable emission limits, the source testing frequency shall revert to at least once every twelve (12) months.	Submit the proposed protocol for the source tests to both the District and CPM for approval in accordance with condition AQ-44. Testing for steady-state emissions shall be conducted upon initial operation and at least once every 12 months or every 36 months as specified by this condition.	Within 30 days of testing	7/31/12	NCPA			
AQ-144	COMM	The source test plan shall identify which basis (ppmv or lb/MMBtu) will be used to demonstrate compliance.	Submit the proposed protocol for the source tests to both the District and CPM for approval in accordance with condition AQ-44.	15 days prior to proposed source test date	7/31/12	Sierra Research			
AQ-145	COMM	Source testing shall be conducted using the methods and procedures approved by the District. The District must be notified at least 30 days prior to any compliance source test, and a source test plan must be submitted for approval at least 15 days prior to testing.	Submit the proposed protocol for the source tests to both the District and CPM for approval in accordance with condition AQ-44.	15 days prior to proposed source test date	7/31/12	Sierra Research			
AQ-146	COMM	NOx emissions for source test purposes shall be determined using EPA Method 7E or CARB Method 100 on a ppmv basis, or EPA Method 19 on a heat input basis.	Submit the proposed protocol for the source tests to both the District and CPM for approval in accordance with condition AQ-44.	15 days prior to proposed source test date	7/31/12	Sierra Research			
AQ-147	COMM	CO emissions for source test purposes shall be determined using EPA Method 10 or CARB Method 100.	Submit the proposed protocol for the source tests to both the District and CPM for approval in accordance with condition AQ-44.	15 days prior to proposed source test date	7/31/12	Sierra Research			
AQ-148	COMM	Stack gas oxygen (O2) shall be determined using EPA Method 3 or 3A or CARB Method 100.	Submit the proposed protocol for the source tests to both the District and CPM for approval in accordance with condition AQ-44.	15 days prior to proposed source test date	7/31/12	Sierra Research			

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AQ-149	COMM	For emissions source testing, the arithmetic average of three 30-consecutive-minute test runs shall apply. If two of three runs are above an applicable limit the test cannot be used to demonstrate compliance with an applicable limit.	Submit the proposed protocol for the source tests to both the District and CPM for approval in accordance with condition AQ-44.	15 days prior to proposed source test date	7/31/12	Sierra Research			
AQ-150	COMM	The results of each source test shall be submitted to the District within 60 days thereafter.	Submit the proposed protocol for the source tests to both the District and CPM for approval in accordance with condition AQ-44.	15 days prior to proposed source test date	7/31/12	Sierra Research			
SOIL & WATER-04	OPS	Comply with the requirements of the General National Pollutant Discharge Elimination System (NPDES) Permit for Discharges of Storm Water Associated with Industrial Activity (WQO 97-03-DWQ).	Develop and submit an Industrial SWPPP for the operation of the LEC. submit copies to the CPM of all correspondence between the project owner and the Central Valley Regional Water Quality Control Board regarding the industrial SWPPP within 10 days of its receipt or submittal.	Prior to commercial ops	8/18/12	NCPA			
VIS-04c	COMM	Notify the CPM that the permanent exterior lighting has been completed and is ready for inspection.	Set up an inspection appointment.	Prior to start of commercial operation	8/18/12	ARB			
VIS-05b	COMM	Notify the CPM that the surface treatment of all listed structures and buildings has been completed and is ready for inspection and submit electronic color photographs taken from the same KOPs	Set up an inspection appointment.	Prior to start of commercial operation	8/18/12	NCPA			
TLSN-03b	COMM	Use a qualified individual to measure the strengths of the electric and magnetic fields from the line at the points of maximum intensity along the proposed route. The measurements shall be made before and after energization according to ANSI/IEEE standard procedures. These measurements shall be completed not later than six months after the start of operations.	File copies of the post-energization measurements with the CPM	Within 60 days after completion of measurements	8/26/12	NCPA			
AQ-103	OPS	The designated representative of an affected source and each affected unit at the source shall submit the reports and compliance certifications required under the Acid Rain Program, including those under 40 CFR 75 Subpart I.	Submit the Acid Rain Program Application to both the District and CPM.	Prior to first fire	8/29/12	Sierra Research	5/6/09		
GEN-01b	CONS	Final Certificate of Occupancy	Provide the CPM a copy of the Final Certificate of Occupancy from the CBO.	Within 30 days after receipt from the CBO	9/30/12	WP			
AQ-007	COMM	Particulate matter emissions from the gas turbine system shall not exceed 0.1 grains/dscf in concentration	Submit the results of source tests to both the District and CPM in accordance with AQ-46.	Within 60 days after testing	9/14/12	ARB			
CIVIL-04	CONS	After completion of finished grading and the erosion and sedimentation control and drainage work, the project owner shall obtain the CBO's approval of the final grading plans (including final changes) for the erosion and sedimentation control work. The civil engineer shall state that the work within his/her area of responsibility was done in accordance with the final approved plans.	Submit to the CBO for review and approval the final grading plans (including final changes) and the responsible civil engineer's signed statement that the installation of the facilities and all erosion control measures were completed in accordance with final approved plans. The project owner shall submit a copy of the CBO's approval to the CPM in the next MCR.	Within 30 days of completion of work	9/14/12	ARB			

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TSE-07	CONS	Inspect the transmission facilities during and after project construction, and for any subsequent CPM- and CBO-approved changes, to ensure conformance with CPUC General Order 95 or National Electric Safety Code (NESC); Title 8 of the California Code and Regulations (Title 8); Articles 35, 36 and 37 of the High Voltage Electric Safety Orders, California ISO standards, National Electric Code (NEC) and related industry standards. In cases of non-conformance, the project owner shall inform the CPM and CBO, in writing and within 10 days of the discovery of such non-conformance, and the actions that will be taken to correct it.	Transmit to the CPM and CBO: "As built" engineering description(s) and one-line drawings of the electrical portion of the facilities signed and sealed by the registered electrical engineer in charge; a statement verifying conformity with the standards set forth in Condition; "as built" engineering description of the mechanical, structural, and civil portion of the transmission facilities signed and sealed by the registered engineer in charge or an acceptable alternative verification; and a summary of inspections of the completed transmission facilities, and identification of any nonconforming work and corrective actions taken, signed and sealed by the registered engineer in charge.	Within 60 days after first synchronization to the grid	9/25/12	NCPA			
BIO-06e	CONS	Prepare a written construction closure report identifying which items of the BRMIMP have been completed, a summary of all modifications to mitigation measures made during the project's site mobilization, ground disturbance, grading, and construction phases, and which mitigation and monitoring items are still outstanding.	Provide construction closure report to the CPM for review and approval.	Within 30 days after completion of construction	10/30/12	Rick Crowe			
BIO-07b	CONS	Submit a written construction termination report identifying how bio mitigation measures have been completed.	Provide construction termination report to the CPM for review and approval.	Within 30 days after completion of construction	10/30/12	Rick Crowe			
BIO-08b	CONS	Submit a written construction termination report identifying how bio mitigation measures have been completed.	Provide construction termination report to the CPM for review and approval.	Within 30 days after completion of construction	10/30/12	Rick Crowe			
GEN-01a	CONS	Design, construct and inspect the project in accordance with the 2007 CBC et al and all other applicable engineering LORS in effect at the time initial design plans are submitted to the CBO for review and approval. The CBSC in effect is the edition that has been adopted by the California Building Standards Commission and published at least 180 days previously.	Submit to the CEC CPM a statement of verification signed by responsible design engineer attesting that all design, construction, installation and inspection requirements of the applicable LORS and CEC Final Decision has been met in the area of facility design.	With 30 days after receipt of Certificate of Occupancy	10/30/12	WP			
AQ-047a	COMM	Source testing to determine compliance with the NOx, CO, VOC, and NH3 emission rates (lb/hr and ppmvd @ 15% O2) and PM10 emission rate (lb/hr) shall be conducted before the end of commissioning period and at least once every 12 months thereafter.	Submit results and field data collected during source tests to the District and CPM according to a pre-approved protocol (AQ-44). Testing for steady-state emissions shall be conducted upon initial operation and at least once every 12 months.	Within 60 days of testing	10/14/12	Sierra Research			
NOISE-04a	COMM	Project design and implementation shall include appropriate noise mitigation measures adequate to ensure that the noise levels due to operation of the project alone will not exceed: an hourly average of 45 dBA, measured at or near monitoring locations M1 (approximately 4,250 feet north of the project site boundary) and M2 (approximately 5,500 feet northeast of the project site boundary); an hourly average of 44 dBA, measured at or near monitoring location M3 approximately 7,000 feet southeast of the project site boundary); and an hourly average of 42 dBA, measured at or near monitoring location M4 (approximately 10,000 feet south of the project site boundary). (See condition for additional information.)	Conduct a community noise survey at monitoring location M4, or at a closer location acceptable to the CPM. This survey during the power plant's full-load operation shall also include measurement of one-third octave band sound pressure levels. Conduct a survey of noise at monitoring locations M1, M2, and M3, or at closer locations acceptable to the CPM. The short-term noise measurements at this location shall be conducted during the nighttime hours of 10:00 p.m. to 7:00 a.m.	Within 30 days of project's first achieving a sustained output of 85% or greater of rated capacity	10/17/12	NCPA			

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AQ-044c	COMM	Source testing shall be conducted using the methods and procedures approved by the District.	Submit source test results to the CEC CPM and District.	No later than 60 days following the source test	10/28/12	Sierra Research			
AQ-046a	COMM	Source testing to measure start-up emission rates of NOx, CO and VOC shall be conducted before the end of commissioning period and at least once every seven years thereafter. CEM relative accuracy for NOx and CO shall be determined during startup and shutdown source testing in accordance with 40 CFR 60, Appendix F (Relative Accuracy Audit). If CEM data is not certifiable to determine compliance with NOx and CO startup emission limits, then startup and shutdown NOx and CO testing shall be conducted every 12 months.	Submit results and field data collected during source tests to the District and CPM.	Within 60 days of testing	10/28/12	Sierra Research			
AQ-046b	COMM	Testing for startup and shutdown emissions shall be conducted upon initial operation and at least once every seven years.	Submit source test results to the CEC CPM and District.	Upon initial operation and at least once every 7 years	10/28/12	Sierra Research			
AQ-046c	OPS	Testing for startup and shutdown emissions shall be conducted at least once every seven years.	Submit source test results to the CEC CPM and District.	At least once every 7 years	10/28/12	NCPA			
AQ-047b	COMM	Testing for steady state emissions shall be conducted upon initial operation .	Submit source test results to the CEC CPM and District.	Upon initial operation	10/28/12	Sierra Research			
AQ-047c	OPS	Testing for steady state emissions shall be conducted upon initial operation and at least once every seven years.	Submit source test results to the CEC CPM and District.	At least once every 7 years	10/28/12	NCPA			
AQ-051	COMM	The results of each source test shall be submitted to the District within 60 days thereafter.	Submit the source test report of results to both the CEC and District.	Within 60 days of testing	10/28/12	Sierra Research			
AQ-004	OPS	Notify the District of any breakdown condition as soon as reasonably possible, but no later than one hour after its detection, unless the owner or operator demonstrates to the District's satisfaction that the longer reporting period was necessary.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR (AQ SC8).	30 days after end of quarter	10/30/12	NCPA			
AQ-005	OPS	The District shall be notified in writing within ten days following the correction of any breakdown condition. The breakdown notification shall include a description of the equipment malfunction or failure, the date and cause of the initial failure, the estimated emissions in excess of those allowed, and the methods utilized to restore normal operations.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-012	COMM	During the commissioning period, emission rates from the gas turbine system shall not exceed any of the following limits: NOx (as NO2) - 400.00 lb/hr and 4,000 lb/day; VOC (as CH4) - 16.00 lb/hr and 192.0 lb/day; CO - 2,000 lb/hr and 20,000 lb/day; PM10 - 9.00 lb/hr and 108.0 lb/day; or SOx (as SO2) - 6.10 lb/hr and 73.1 lb/day.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR (QOR) required by AQ-SC8.	30 days after end of quarter	10/30/12	NCPA	Copy of Air District Variance Petition sent to CEC	2012-017 7/13/12	For CEC Info Only
AQ-014	COMM	Total mass emissions of NOx, VOC, CO, PM10 and SOx that are emitted during the commissioning period shall accrue towards the quarterly emission limits.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-015	COMM	During commissioning period, the owner or operator shall keep records of the natural gas fuel combusted in the gas turbine system on hourly and daily basis.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-016	OPS	The duration of startup or shutdown period shall not exceed 3.0 hours per event for any type of startup event (hot, warm, or cold).	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-017	OPS	The combined startup and shutdown duration for all events shall not exceed 6.0 hours during any one day.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			

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AQ-025	OPS	During start-up and shutdown periods, the emissions shall not exceed any of the following limits: NOx (as NO2) - 160.00 lb/hr; CO - 900.00 lb/hr; VOC (as methane) - 16.00 lb/hr; PM10 - 9.00 lb/hr; SOx (as SO2) - 6.10 lb/hr; or Ammonia (NH3) - 28.76 lb/hr.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-028	OPS	The emission control systems shall be in operation and emissions shall be minimized insofar as technologically feasible during startup and shutdown.	Submit to the District and CPM the startup and shutdown event duration data demonstrating compliance with this condition as part of the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-029	OPS	Except during startup and shutdown periods, emissions from the gas turbine system shall not exceed any of the following limits: NOx (as NO2) - 15.54 lb/hr and 2.0 ppmvd @ 15% O2; CO - 9.46 lb/hr and 2.0 ppmvd @ 15% O2; VOC (as methane) - 3.79 lb/hr and 1.4 ppmvd @ 15% O2; PM10 - 9.0 lb/hr; or SOx (as SO2) - 6.10 lb/hr. NOx (as NO2) emission limits are based on 1-hour rolling average period. All other emission limits are based on 3-hour rolling average period.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-030	OPS	NH3 emissions shall not exceed any of the following limits: 10.0 ppmvd @ 15% O2 over a 24-hour rolling average period, and 28.76 lb/hr while gas turbine system operates.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-032	OPS	Emissions from the gas turbine system, on days when a startup and/or shutdown occurs, shall not exceed the following limits: NOx (as NO2) - 879.7 lb/day; CO - 5,570.3 lb/day; VOC - 164.2 lb/day; PM10 - 216.0 lb/day; SOx (as SO2) - 146.4 lb/day, or NH3 - 690.3 lb/day. Daily emissions shall be compiled for a twenty-four hour period starting and ending at twelve-midnight.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-033	OPS	Emissions from the gas turbine system, on days when a startup and/or shutdown does not occur, shall not exceed the following: NOx (as NO2) - 373.0 lb/day; CO - 227.0 lb/day; VOC - 91.0 lb/day; PM10 - 216.0 lb/day; SOx (as SO2) - 146.4 lb/day, or NH3 - 690.3 lb/day. Daily emissions will be compiled for a twenty-four hour period starting and ending at twelve-midnight.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-034	OPS	Gas turbine system shall be fired on PUC-regulated natural gas with a sulfur content of no greater than 1.0 grain of sulfur compounds per 100 dscf of natural gas.	The result of the natural gas fuel sulfur monitoring data and other fuel sulfur content source data shall be submitted to the District and CPM in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-035	OPS	NOx (as NO2) emissions from the gas turbine system shall not exceed any of the following: 1Q: 38,038 lb; 2Q: 38,411 lb; 3Q: 37,126 lb; 4Q: 37,840 lb.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-036	OPS	CO emissions from the gas turbine system shall not exceed any of the following: 1Q: 142,312 lb; 2Q: 142,539 lb; 3Q: 86,374 lb; 4Q: 113,660 lb.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-037	OPS	VOC emissions from the gas turbine system shall not exceed any of the following: 1Q: 8,086 lb; 2Q: 8,177 lb; 3Q: 8,417 lb; 4Q: 8,323 lb.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-038	OPS	NH3 emissions from the SCR system shall not exceed any of the following: 1Q: 62,122 lb; 2Q: 62,812 lb; 3Q: 63,502 lb; 4Q: 63,502 lb.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-039	OPS	PM10 emissions from the gas turbine system shall not exceed any of the following: 1Q: 19,440 lb; 2Q: 19,656 lb; 3Q: 19,872 lb; 4Q: 19,872 lb.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			

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AQ-040	OPS	SOx (as SO2) emissions from the gas turbine system shall not exceed any of the following: 1Q: 13,176 lb; 2Q: 13,322 lb; 3Q: 13,469 lb; 4Q: 13,469 lb.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-041	OPS	The total CO emissions from the gas turbine system (N-2697-5) and the auxiliary boiler (N-2697-7) shall not exceed 198,000 pounds in any 12-consecutive month rolling period.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR as required by AQSC-08.	30 days after end of quarter	10/30/12	NCPA			
AQ-048	COMM	The sulfur content of each fuel source shall be: (i) documented in a valid purchase contract, a supplier certification, a tariff sheet or transportation contract, or (ii) monitored within 60 days after the end of commissioning period and weekly thereafter. If the sulfur content is less than or equal to 1.0 gr/100 dscf for eight consecutive weeks, then the monitoring frequency shall be every six months. If the result of any six month monitoring demonstrates that the fuel does not meet the fuel sulfur content limit, weekly monitoring shall resume until compliance is demonstrated for eight consecutive weeks.	The result of the natural gas fuel sulfur monitoring data and other fuel sulfur content source data shall be submitted to the District and CPM in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-050	OPS	Fuel sulfur content shall be monitored using one of the following methods: ASTM Methods D1072, D3246, D4084, D4468, D4810, D6228, D6667 or Gas Processors Association Standard 2377.	Results of the natural gas fuel sulfur monitoring data and other fuel sulfur content source data shall be submitted to the District and CPM in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-054	OPS	The NOx and O2 CEMS shall be installed and certified in accordance with the requirements of 40 CFR Part 75. The CO CEMS shall meet the requirements in 40 CFR 60, Appendix F Procedure 1 and Part 60, Appendix B Performance Specification 4A (PS 4A), or shall meet equivalent specifications established by mutual agreement of the District, the CARB, and the EPA.	Submit to the CPM and APCO CEMS audits demonstrating compliance with this condition as part of the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-055	OPS	The CEMS shall complete a minimum of one cycle of operation (sampling, analyzing, and data recording) for each 15-minute quadrant of the hour or shall meet equivalent specifications established by mutual agreement of the District, the CARB and the EPA.	Submit to the CPM and APCO CEMS audits demonstrating compliance with this condition as part of the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-056	OPS	The CEMS data shall be reduced to hourly averages as specified in §60.13(h) and in accordance with §60.4350, or by other methods deemed equivalent by mutual agreement with the District, the CARB, and the EPA.	Submit to the CPM and APCO the CEMS data reduced in compliance with this condition as part of the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-057	OPS	In accordance with 40 CFR Part 60, Appendix F, 5.1, each CO CEMS must be audited at least once each calendar quarter by conducting cylinder gas audits or relative accuracy audits. CGA or RAA may be conducted three of four calendar quarters, but no more than three calendar quarters in succession.	Submit the CEMS audits demonstrating compliance with this condition as part of the QOR to the CPM and APCO.	30 days after end of quarter	10/30/12	NCPA			
AQ-058	OPS	The owner or operator shall perform RATA for NOx, CO and O2 as specified by 40 CFR Part 60, Appendix F, 5.1.1, at least once every four calendar quarters. The permittee shall comply with the applicable requirements for quality assurance testing and maintenance of the continuous emission monitor equipment in accordance with the procedures and guidance specified in 40 CFR Part 60, Appendix F.	Submit the CEMS audits demonstrating compliance with this condition as part of the QOR to the CPM and APCO.	30 days after end of quarter	10/30/12	NCPA			
AQ-059	OPS	The NOx and O2 CEMS shall be audited in accordance with the applicable requirements of 40 CFR Part 75. Linearity reports shall be submitted along with QORs to the District.	Submit the CEMS audits demonstrating compliance with this condition as part of the QOR to the CPM and APCO.	30 days after end of quarter	10/30/12	NCPA			

Cond. #	Sort Code	Description of Project Owner's Responsibilities	Verification/Action/Submittal Required by Project Owner	Timeframe	Date Due to CEC CPM	Lead Respons. Party	Date sent to CEC, CBO or agency	NCPA Log #	CEC Status
AQ-062	OPS	The owner or operator shall maintain the following records: the date, time and duration of any malfunction of the continuous monitoring equipment; dates of performance testing; dates of evaluations, calibrations, checks, and adjustments of the continuous monitoring equipment; date and time period which a continuous monitoring system or monitoring device was inoperative.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-065	OPS	The owner or operator shall maintain records of the following items: 1) hourly and daily emissions, in pounds, for each pollutant listed in this permit on the days startup and or shutdown of the gas turbine system occurs, 2) hourly and daily emissions, in pounds, for each pollutant in this permit on the days startup and or shutdown of the gas turbine system does not occur, 3) quarterly emissions, in pounds, for each pollutant listed in this permit, and and 4) the combined CO emissions (12 consecutive month rolling total) in pounds, for permit unit N-2697-5 and N-2697-7.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-066	OPS	The owner or operator shall maintain a stationary gas turbine system operating log that includes, on a daily basis, the actual local startup and stop time, total hours of operation, the type and quantity of fuel used, mode of start-up (cold, warm, or hot), duration of each start-up, and duration of each shutdown.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-068	OPS	Submit a written report of CEM operations for each calendar quarter to the District. (See condition for list of specific items that need to be included in the report.)	Submit to the District and CPM the report of CEM operations, emission data, and monitor downtime data in the quarterly operation report (AQ-SC8) that follows the definitions of this condition.	30 days after end of quarter	10/30/12	NCPA			
AQ-069	OPS	Submit to the District information correlating the NOx control system operating parameters to the associated measured NOx output. The information must be sufficient to allow the District to determine compliance with the NOx emission limits of this permit when the CEMS is not operating properly.	Submit to the District and CPM the report of CEM operations, emission data, and monitor downtime data in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-089	OPS	Records and other supporting documentation shall be maintained as required to demonstrate compliance with the requirements of the rules under Regulation VIII only for those days that a control measure was implemented. Such records shall include the type of control measure(s) used, the location and extent of coverage, and the date, amount, and frequency of application of dust suppressant, manufacturer's dust suppressant product information sheet that identifies the name of the dust suppressant and application instructions. Records shall be kept for one year following project completion that results in the termination of all dust generating activities.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-108	OPS	Notify the District of any breakdown condition as soon as reasonably possible, but no later than one hour after its detection, unless the owner or operator demonstrates to the District's satisfaction that the longer reporting period was necessary.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			

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AQ-109	OPS	Notify the District in writing within ten days following the correction of any breakdown condition. The breakdown notification shall include a description of the equipment malfunction or failure, the date and cause of the initial failure, the estimated emissions in excess of those allowed, and the methods utilized to restore normal operations.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-111	OPS	Particulate matter emissions shall not exceed 0.1 grains/dscf in concentration.	The results of water recirculation rate and total dissolved solids concentration analysis data shall be included in the quarterly operation report (AQ-SC8).	30 days after end of quarter	10/30/12	NCPA			
AQ-113	OPS	The drift rate shall not exceed 0.0005%.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-114	OPS	PM10 emissions shall not exceed 22.4 pounds per day.	The results of water recirculation rate and total dissolved solids concentration analysis data shall be included in the quarterly operation report (AQ-SC8).	30 days after end of quarter	10/30/12	NCPA			
AQ-115	OPS	Compliance with the PM10 emission limit (lb/day) shall be demonstrated by using the following equation: Water Recirculation Rate (gal/day) x 8.34 lb/gal x Total Dissolved Solids Concentration in the blowdown water (ppm x 10E-06) x Design Drift Rate (%).	The results of water recirculation rate and total dissolved solids concentration analysis data shall be included in the quarterly operation report (AQ-SC8).	30 days after end of quarter	10/30/12	NCPA			
AQ-116	COMM	Compliance with PM10 emission limit shall be determined by blowdown water sample analysis by independent laboratory within 60 days after the end of commissioning period of the gas turbine system and at least once quarterly thereafter.	Use the results of water recirculation rate and total dissolved solids concentration analysis data to determine emissions (lb/day and grains/dscf) and the results shall be included in the quarterly operation report (AQ-SC8).	30 days after end of quarter	10/30/12	NCPA			
AQ-123	OPS	Particulate matter emissions shall not exceed 0.1 grains/dscf in concentration.	Submit the results of fuel sulfur content analysis to both the District and CPM in accordance with AQ-48.	30 days after end of quarter	10/30/12	NCPA			
AQ-124	OPS	The unit shall only be fired on PUC-regulated natural gas.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-126	COMM	The total mass emissions of NOx, VOC, CO, PM10 and SOx that are emitted during the commissioning period shall accrue towards the quarterly emission limits.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-127	OPS	The owner or operator shall keep records of the natural gas fuel combusted in the boiler on daily basis.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-128	OPS	The owner or operator shall notify the District of any breakdown condition as soon as reasonably possible, but no later than one hour after its detection, unless the owner or operator demonstrates to the District's satisfaction that the longer reporting period was necessary.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-129	OPS	The District shall be notified in writing within ten days following the correction of any breakdown condition. The breakdown notification shall include a description of the equipment malfunction or failure, the date and cause of the initial failure, the estimated emissions in excess of those allowed, and the methods utilized to restore normal operations.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-130	OPS	NOx (as NO2) emissions shall not exceed 7.0 ppmvd @ 3% O2.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			

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AQ-131	OPS	CO emissions shall not exceed 50 ppmvd @ 3% O2.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-132	OPS	VOC (as CH4) emissions shall not exceed 10.0 ppmvd @ 3% O2.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-133	OPS	PM10 emissions shall not exceed 0.0076 lb/MMBtu.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-134	OPS	SOx emissions shall not exceed 0.00285 lb/MMBtu.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-135	OPS	NOx (as NO2) emissions from this unit shall not exceed any of the following: 1Q: 310 lb; 2Q: 310 lb; 3Q: 310 lb; 4Q: 310 lb.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-136	OPS	CO emissions from this unit shall not exceed any of the following: 1Q: 1,348 lb; 2Q: 1,348 lb; 3Q: 1,348 lb; 4Q: 1,348 lb.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-137	OPS	VOC emissions from this unit shall not exceed any of the following: 1Q: 154 lb; 2Q: 154 lb; 3Q: 154 lb; 4Q: 154 lb.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-138	OPS	PM10 emissions from this unit shall not exceed any of the following: 1Q: 277 lb; 2Q: 277 lb; 3Q: 277 lb; 4Q: 277 lb.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-139	OPS	SOx (as SO2) emissions from this unit shall not exceed any of the following: 1Q: 104 lb; 2Q: 104 lb; 3Q: 104 lb; 4Q: 104 lb.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-140	OPS	The total CO emissions from the gas turbine system (N-2697-5) and the auxiliary boiler (N-2697-7) shall not exceed 198,000 pounds in any 12-consecutive month rolling period.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-151	OPS	Submit an analysis showing the fuel's sulfur content at least once every year. Valid purchase contracts, supplier certifications, tariff sheets, or transportation contracts may be used to satisfy this requirement, provided they establish the fuel's sulfur content.	Results of the natural gas fuel sulfur monitoring data and other fuel sulfur content source data shall be submitted to the District and CPM in the QOR (AQ-SC8).	30 days after end of quarter	10/30/12	NCPA			
AQ-152	OPS	Fuel sulfur content shall be determined using EPA Method 11 or EPA Method 15 or District, CARB and EPA approved alternative methods.	The result of the natural gas fuel sulfur monitoring data and other fuel sulfur content source data shall be submitted to the District and CPM in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-153	OPS	The permittee shall monitor and record the stack concentration of NOx, CO, and O2 at least once every month (in which a source test is not performed) using a portable emission monitor that meets District specifications given in District Policy SSP-1105. Monitoring shall not be required if the unit is not in operation, i.e. the unit need not be started solely to perform monitoring. Monitoring shall be performed within five days of restarting the unit unless monitoring has been performed within the last month.	The results of the boiler stack emission monitoring data shall be summarized and submitted to the District and CPM in the QOR.	30 days after end of quarter	10/30/12	NCPA			

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AQ-154	OPS	If either the NOx or CO concentrations corrected to 3% O2, as measured by the portable analyzer, exceed the allowable emissions concentration, the permittee shall return the emissions to within the acceptable range as soon as possible, but no longer than one hour of operation after detection. If the portable analyzer readings continue to exceed the allowable emissions concentration after one hour of operation after detection, the permittee shall notify the District within the following one hour and conduct a certified source test within 60 days of the first exceedance. In lieu of conducting a source test, the permittee may stipulate a violation has occurred, subject to enforcement action. The permittee must then correct the violation, show compliance has been re-established, and resume monitoring procedures. If the deviations are the result of a qualifying breakdown condition pursuant to Rule 1100, the permittee may fully comply with Rule 1100 in lieu of the performing the notification and testing required by this condition.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR. The results of the boiler stack emission monitoring data shall also be summarized and submitted to the District and CPM in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-155	OPS	All alternate monitoring parameter emission readings shall be taken with the unit operating either at conditions representative of normal operations or conditions specified in the Permit to Operate. The analyzer shall be calibrated, maintained, and operated in accordance with the manufacturer's specifications and recommendations or a protocol approved by the APCO. Emission readings taken shall be averaged over a 15 consecutive-minute period by either taking a cumulative 15 consecutive-minute sample reading or by taking at least five (5) readings, evenly spaced out over the 15 consecutive-minute period.	Provide a protocol for any alternate monitoring parameters at least 60 days prior to implementing alternate monitoring procedures. The results of the boiler stack emission monitoring data shall be summarized and submitted to the District and CPM in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-156	OPS	The permittee shall maintain records of: (1) the date and time of NOx, CO, and O2 measurements, (2) the O2 concentration in percent and the measured NOx and CO concentrations corrected to 3% O2, (3) make and model of exhaust gas analyzer, (4) exhaust gas analyzer calibration records, and (5) a description of any corrective action taken to maintain the emissions within the acceptable range.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-157	OPS	The permittee shall maintain daily records of the type and quantity of fuel combusted by the boiler.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-158	OPS	The permittee shall maintain records of: (1) the date, (2) heat input rate, MMBtu/day, (3) daily emissions (lb/day) for each pollutant listed in this permit, and (4) quarterly emissions (lb) for each pollutant listed in this permit and the combined CO emissions (12 consecutive month rolling total) in pounds, for permit unit N-2697-5 and N-2697-7.	A summary of significant operation and maintenance events and monitoring records required shall be included in the QOR.	30 days after end of quarter	10/30/12	NCPA			
AQ-SC08	OPS	Submit Quarterly Operation Reports (QOR) that include operational and emissions information as necessary to demonstrate compliance with the conditions of certification. The QOR shall specifically note or highlight incidences of noncompliance.	Submit QOR to the CPM and APCO. This information shall be maintained on site for a minimum of five years and shall be provided to the CPM and District personnel upon request.	30 days after end of quarter	10/30/12	NCPA			

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NOISE-04b	COMM	Submit a summary report of the survey to the CPM. Included in the survey report shall be a description of any additional mitigation measures necessary to achieve compliance with the above listed noise limit, and a schedule, subject to CPM approval, for implementing these measures. When these measures are in place, the project owner shall repeat the noise survey.	Submit required info to the CPM.	Within 15 days after completing noise survey	11/14/12	NCPA			
NOISE-05	OPS	Conduct an occupational noise survey to identify the noise hazardous areas in the facility when plant reaches 85% of rated capacity or greater	Prepare a report of the survey results and, if necessary, identify proposed mitigation measures that will be employed to comply with the applicable California and federal regulations.	Within 30 days after completing survey	11/29/12	NCPA			
WASTE-07	OPS	Ensure that the cooling tower sludge is tested pursuant to Title 22, California Code of Regulations, Division 4.5, section 66262.10.	Report findings in a report to the CPM. If two consecutive tests show that the sludge is non-hazardous, the project owner may apply to the CPM to discontinue testing.	No less than 60 days after start of project operations	11/16/12	NCPA			
CUL-04a	CONS	If any archaeological monitoring or data recovery activities are conducted during project construction, submit a final Cultural Resources Report (CRR) which addresses the items specified in the condition.	Provide the required written documentation to the CPM for review and approval.	Within 90 days after completion of ground disturbance	12/29/12	NCPA			
CUL-04b	CONS	If cultural materials requiring curation were collected, provide to the CPM a copy of an agreement or other written commitment form.	Provide the required written documentation to the CPM.	Within 90 days after completion of ground disturbance	12/29/12	NCPA			
GEN-01c	CONS	Once the certificate of occupancy has been issued, the project owner shall inform the CPM at least 30 days prior to any construction, addition, alteration, moving, demolition, repair, or maintenance being performed on any portion(s) of the completed facility that requires CBO approval for compliance with the above codes. The CPM shall then determine if the CBO needs to approve the work.	The CPM shall then determine if the CBO needs to approve the work.	At least 30 days prior to such work	12/29/12	WP			
PAL-07	CONS	Ensure preparation of a Paleontological Resources Report (PRR) by the designated PRS to be completed following completion of ground disturbing activities.	Submit the PRR under confidential cover to the CPM.	Within 90 days after completion of ground disturbing activities	12/29/12	CH2			
TRANS-02b	CONS	Provide photo/videotape documentation that the damaged sections of Eight Mile Road, North Thornton Road, I-5 Frontage Road, and Cord Road have been restored to their pre-project condition.	Submit info to San Joaquin Planning Department and the CPM	Within 90 days following completion of construction	12/29/12	NCPA			
GEN-08c	CONS	Provide to the CBO three sets of electronic copies of the documents referenced in the condition.	Documents shall be provided in the form of "read only" (Adobe .pdf 6.0) files, with restricted (password-protected) printing privileges, on archive quality CDs.	Within 90 days after completion of construction	12/16/12	WP			
CUL-04c	CONS	Provide documentation to the CPM confirming that copies of the final CRR have been provided to the SHPO, the CHRIS, the curating institution, if archaeological materials were collected, and to the Tribal Chairpersons of any Native American groups requesting copies of project-related reports.	Provide the required written documentation to the CPM.	Within 10 days after CPM approval of CRR	1/28/13	NCPA			

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AQ-019	OPS	Submit proposed new time limits for each type of startup that reflect the effect of "Flex Plant 30" fast start-up technology. The proposed time limits shall be based on the required data collected in the first 12 months of operation following the end of the commissioning period. The submittal must include all CEMS data.	Submit info to the District, the CARB and the EPA.	Within 15 months of end of commissioning period	11/22/13	NCPA			
AQ-020	OPS	A margin of compliance of 60 minutes (or less) may be added to the longest startup to establish a startup limit for each type of startup event (hot, warm, or cold). Established startup limit shall not exceed 3.0 hours.	Submit info to the District, the CARB and the EPA.	Within 15 months of end of commissioning period	11/22/13	NCPA			
AQ-021	OPS	The District shall administratively establish appropriate startup times for each startup mode (hot, warm, or cold), and associated recordkeeping requirements.	Submit info to the District, the CARB and the EPA.	Within 15 months of end of commissioning period	11/22/13	NCPA			
COM-07	OPS	Submit an Annual Compliance Report which is due for each year of commercial operation and is due to the CPM each year at a date agreed to by the CPM. Annual Compliance Reports shall be submitted over the life of the project unless otherwise specified by the CPM. Each Annual Compliance Report shall include the AFC number, identify the reporting period and shall contain the items listed in the condition.	Submit to CPM on an annual basis	Annually	Annually	NCPA			
PAL-06	OPS	Through the designated PRS, ensure that all components of the PRMMP are adequately performed (see list of activities included in Condition).	Maintain in compliance file copies of signed contracts or agreements with the designated PRS and other qualified research specialists. Maintain these files for a period of three years after completion and approval of the CPM-approved PRR required by PAL-07.	As required	Annually	NCPA			
AQ-006	OPS	No air contaminant shall be released into the atmosphere which causes a public nuisance.	Make the site available for inspection by representatives of the District, ARB, and the Commission upon request.	As required	As required	NCPA			
AQ-008	COMM	No air contaminant shall be discharged into the atmosphere for a period or periods aggregating more than three minutes in any one hour which is as dark as, or darker than, Ringelmann 1 or 20% opacity.	Make the site available for inspection by representatives of the District, ARB, and the Commission upon request.	As required	As required	NCPA			
AQ-009	COMM	APCO or an authorized representative shall be allowed to inspect the required monitoring devices to ensure that such devices are functioning properly.	Make the site available for inspection by representatives of the District, ARB, and the Commission upon request.	As required	As required	NCPA			
AQ-018	OPS	Maintain records of the date, start-up time, downtime for gas turbine and the steam turbine prior to startup, startup type, minute-by-minute turbine load (MW), and NOx and CO concentrations (ppmvd @ 15% O2) measurement using CEMS, for each startup event in the first 12 months of operation following the end of the commissioning period.	Make the site available for inspection by representatives of the District, ARB, and the Commission upon request.	As required	As required	NCPA			
AQ-023	COMM	The District shall administratively add the minimum temperature limitation established pursuant to the above condition in the final Permit to Operate.	Make the site available for inspection by representatives of the District, ARB, and the Commission upon request.	As required	As required	NCPA			
AQ-024	CONS	The SCR system shall be equipped with a continuous temperature monitoring system to measure and record the temperature at the catalyst face.	Make the site available for inspection by representatives of the District, ARB, and the Commission upon request.	SCR install	As required	NCPA			

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AQ-042	COMM	A SCR system and an oxidation catalyst shall serve the gas turbine system.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	ARB			
AQ-043	CONS	The gas turbine engine and generator lube oil vents shall be equipped with mist eliminators or equivalent technology sufficient to limit the visible emissions from the lube oil vents to not exceed 5% opacity, except for a period not exceeding three minutes in any one hour.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-052	CONS	A non-resettable, totalizing mass or volumetric fuel flow meter to measure the amount of natural gas combusted in the unit shall be installed, utilized and maintained.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-053	COMM	The owner or operator shall install, certify, maintain, operate, and quality-assure a CEMS which continuously measures and records the exhaust gas NOx, CO, and O2 concentrations. CEMS shall monitor emissions during all types of operation, including during startup and shutdown periods, provided the CEMS passes the relative accuracy requirement for startups and shutdowns specified herein. If relative accuracy of CEMS cannot be demonstrated during startup conditions, CEMS results during startup and shutdown events shall be replaced with startup emission rates obtained from source testing to determine compliance with emission limits contained in this document.	The project owner shall make the site available for inspection by representatives of the District, ARB and the Commission to verify the continuous monitoring system is properly installed and operational.	As required	As required	ARB			
AQ-060	COMM	Upon written notice from the District, the owner or operator shall provide a summary of the data obtained from the CEMS. This summary shall be in the form and the manner prescribed by the District.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	ARB			
AQ-063	CONS	The exhaust stack shall be equipped with permanent provisions to allow collection of stack gas samples consistent with EPA test methods and shall be equipped with safe permanent provisions to sample stack gases with a portable NOx, CO, and O2 analyzer during District inspections. The sampling ports shall be located in accordance with the CARB regulation titled California Air Resources Board Air Monitoring Quality Assurance Volume VI, Standard Operating Procedures for Stationary Emission Monitoring and Testing.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-067	COMM	The owner or operator shall maintain all records of required monitoring data and support information for a period of five years from the date of data entry and shall make such records available to the District upon request.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-091	OPS	The owners and operators and, to the extent applicable, designated representative of each affected source and each affected unit at the source shall comply with the monitoring requirements as provided in 40 CFR Part 75.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-092	OPS	The emissions measurements recorded and reported in accordance with 40 CFR part 75 shall be used to determine compliance by the unit with the Acid Rain emissions limitations and emissions reduction requirements for sulfur dioxide and nitrogen oxides under the Acid Rain program.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			

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AQ-093	OPS	The owners and operators of each source and each affected unit at the source shall: (i) Hold allowances, as of the allowance transfer deadline, in the unit's compliance subaccount (after deductions under 40 CFR 73.34(c)) not less than the total annual emissions of sulfur dioxide for the previous calendar year from the unit; and (ii) Comply with the applicable Acid Rain emissions limitations for sulfur dioxide.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-095	OPS	Allowances shall be held in, deducted from, or transferred among Allowance Tracking System accounts in accordance with the Acid Rain Program.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-096	OPS	An allowance shall not be deducted in order to comply with the requirements under 40 CFR part 73, prior to the calendar year for which the allowance was allocated.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-099	OPS	The designated representative of an affected unit that has excess emissions in any calendar year shall submit a proposed offset plan, as required under 40 CFR Part 77.	Submit to both the District and CPM the proposed offset plan as required by the federal rule.	As required	As required	NCPA			
AQ-100	OPS	The owners and operators of an affected unit that has excess emissions in any calendar year shall: (i) Pay without demand the penalty required, and pay up on demand the interest on that penalty; and (ii) Comply with the terms of an approved offset plan, as required by 40 CFR part 77.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-101	OPS	The owners and operators of the each affected unit at the source shall keep on site the following documents for a period of five years from the date the document is created. This period may be extended for cause, at any time prior to the end of five years, in writing by the Administrator or permitting authority: (i) The certificate of representation for the designated representative for the source and all documents that demonstrate the truth of the statements in the certificate of representation, in accordance with 40 CFR 72.24; provided that the certificate and documents shall be retained on site beyond such five-year period until such documents are superceded because of the submission of a new certificate of representation changing the designated representative.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-107	COMM	No air contaminant shall be released into the atmosphere which causes a public nuisance.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-110	COMM	No air contaminant shall be discharged into the atmosphere for a period or periods aggregating more than three minutes in any one hour which is as dark as, or darker than, Ringelmann 1 or 20% opacity.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-112	COMM	No hexavalent chromium containing compounds shall be added to cooling tower circulating water.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-120	COMM	All equipment shall be maintained in good operating condition and shall be operated in a manner to minimize emissions of air contaminants into the atmosphere.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-121	COMM	No air contaminant shall be released into the atmosphere which causes a public nuisance.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			

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AQ-122	COMM	No air contaminant shall be discharged into the atmosphere for a period or periods aggregating more than three minutes in any one hour which is as dark as, or darker than, Ringelmann 1 or 20% opacity.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-125	COMM	A non-resettable, totalizing mass or volumetric fuel flow meter to measure the amount of natural gas combusted in the unit shall be installed, utilized and maintained.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-159	COMM	All records shall be maintained and retained on-site for a minimum of five (5) years, and shall be made available for District inspection upon request.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	As required	NCPA			
AQ-SC06	CONS	Submit to the CPM for review and approval any modification proposed by the project owner to any project air permit. The project owner shall submit to the CPM any modification to any permit proposed by the District or U.S. EPA, and any revised permit issued by the District or U.S. EPA, for the project.	Submit any proposed air permit modification to the CPM either by: 1) the project owner to an agency, or 2) receipt of proposed modifications from an agency.	Submit modifications within 5 working days of its submittal and submit modified air permits within 15 days of receipt	As required	NCPA			
BIO-05d	OPS	Keep signed WEAP statements in project files.	During project operation, signed statements for active project operational personnel shall be kept on file for six months following the termination of an individual's employment.	As required	As required	Randall			
BIO-06c	CONS	Any changes to the approved BRMIMP must also be approved by the CPM and submitted to the HTAC to ensure no conflicts exist.	Notify the CPM before implementing any modifications to the approved BRMIMP	Within 5 days	As required	Rick Crowe	11/1/11	2011-021 (reflects gas line amendment)	Pending CEC approval
CIVIL-03a	CONS	Perform inspections in accordance with the 2007 CBC. All plant site grading operations for which a grading permit is required shall be subject to inspection by the CBO. If, in the course of inspection, it is discovered that the work is not being performed in accordance with the approved plans, the discrepancies shall be reported immediately to the resident engineer, the CBO, and the CPM.	The RE shall transport to the CBO and CPM a NCR and the proposed corrective action for review and approval. Within 5 days of resolution, EPC must submit details of correction action to the CBO and CPM.	Within 5 days of discovery of any discrepancies	As required	ARB	Ongoing during construction		NCR Report is included in MCR
COM-01	CONS	The CPM, responsible Energy Commission staff, and delegated agencies or consultants shall be guaranteed and granted unrestricted access to the power plant site, related facilities, project-related staff, and the records maintained onsite, for the purpose of conducting audits, surveys, inspections, or general site visits.	Although the CPM will normally schedule site visits on dates and times agreeable to the project owner, the CPM reserves the right to make unannounced visits at any time.	As required	As required	Andrea	Ongoing during construction		
COM-08	CONS	Any information that the project owner deems confidential shall be submitted to the Energy Commission's Executive Director with an application for confidentiality pursuant to Title 20, California Code of Regulations, section 2505(a). Any information that is determined to be confidential shall be kept confidential as provided for in Title 20, California Code of Regulations, section 2501 et. seq.	Any info the project owner deems confidential shall be submitted to the Docket Unit with an application for confidentiality.	As required	As required	NCPA	Ongoing during construction		

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COM-10	CONS	Report and provide copies to the CPM of all complaint forms, including noise and lighting complaints, notices of violation, notices of fines, official warnings, and citations. Complaints shall be logged and numbered. Noise complaints shall be recorded on the form provided in the NOISE Conditions of Certification. All other complaints shall be recorded on the complaint form (Attachment A).	Provide documentation to the CPM as required.	Within 10 days of receipt	As required	NCPA	Ongoing during construction		
COM-11	OPS	Submit a proposed facility closure plan to the CEC for review and approval prior to commencement of closure activities. The plan shall discuss the items specified in the condition.	File 120 copies (or other number of copies agreed upon by the CPM) of a proposed facility closure plan with the CEC.	at least 12 months prior to start of closure activities	As required	NCPA			
COM-12b	CONS	In the event of an unplanned temporary closure, the project owner shall notify the CPM, as well as other responsible agencies, by telephone, fax, or e-mail, within 24 hours and shall take all necessary steps to implement the on-site contingency plan. The project owner shall keep the CPM informed of the circumstances and expected duration of the closure.	Notify the CPM and other agencies as required.	Within 24 hours of unplanned temporary closure	As required	NCPA			
COM-12c	CONS	If the CPM determines that an unplanned temporary closure is likely to be permanent, or for a duration of more than 12 months, a closure plan consistent with the requirements for a planned closure shall be developed and submitted to the CPM.	Develop and submit the closure plan to the CPM.	Within 90 days of CPM's determination	As required	NCPA			
COM-13a	CONS	The on-site contingency plan required for unplanned temporary closure shall also cover unplanned permanent facility closure. All of the requirements specified for unplanned temporary closure shall also apply to unplanned permanent closure. In addition, the on-site contingency plan shall address how the project owner will ensure that all required closure steps will be successfully undertaken in the event of abandonment.	In the event of an unplanned permanent closure, the project owner shall notify the CPM, as well as other responsible agencies, by telephone, fax, or e-mail and shall take all necessary steps to implement the on-site contingency plan. The project owner shall keep the CPM informed of the status of all closure activities.	Within 24 hours of unplanned permanent closure	As required	NCPA	6/15/12	2012-014	Pending CEC approval
COM-13b	CONS	Prepare a closure plan, consistent with the requirements for a planned closure.	Submit the closure plan to the CPM.	Within 90 days of permanent closure	As required	NCPA	6/15/12	2012-014	Pending CEC approval
COM-14	CONS	Post-Certification Changes to the Decision--see Condition for detailed information on what constitutes and how to prepare a post-licensing change to the CEC Final Decision.	As required	As required	As required	NCPA			
CUL-04d	CONS	If the project is suspended, submit a draft CRR to the CPM for review and approval.	Provide the required written documentation to the CPM for review and approval.	Within 30 days after requesting a suspension	As required	NCPA			
CUL-06a	CONS	Based on the findings of the geoarchaeological study, no archaeological monitoring is required unless WEAP-trained construction workers identify cultural resources materials during excavations. In that event, To ensure there are no impacts to unknown buried archaeological resources, construction shall cease in the vicinity of the discovery, the CRS shall be notified, and CUL-7 shall apply.	During monitoring, provide daily feedback to CPM on status of monitoring activities via email.	Daily logs emailed to CPM	As required	NCPA	Ongoing during construction		
CUL-06c	CONS	Notify CEC prior to changing or eliminatinating monitoring.	Provide letter or email to CPM for review and approval detailing justification for changing or eliminating monitoring.	At least 24 hours prior to changing level	As required	NCPA			

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CUL-06d	CONS	A Native American monitor shall be obtained to monitor ground disturbance in areas and at depths, if any, where the CUL-1 geoarchaeological study identified the potential for buried prehistoric archaeological deposits and anywhere else that if Native American artifacts are encountered during ground disturbance.	Provide the required written documentation to the CPM.	No later than 30 days after discovery	As required	NCPA			
CUL-06e	CONS	Submit any comments or information provided by Native Americans in response to the project owner's transmittals of information.	Provide the required written documentation to the CPM.	Within 15 days of receipt	As required	NCPA			
GEN-04b	CONS	If the RE or the delegated engineers are reassigned or replaced, the project owner shall submit the name, qualifications, and registration number of the newly assigned engineer to the CBO for review and approval.	Notify the CPM of the CBO's approval of the new engineer.	Within 5 days	As required	NCPA			
GEN-05c	CONS	If the designated responsible engineer is subsequently reassigned or replaced, submit the resume and registration number of the newly assigned engineer to the CBO for review and approval.	Notify the CPM of the CBO's approval of the new engineer.	Within 5 days	As required				
GEN-06c	CONS	If the special inspector is subsequently reassigned or replaced, the project owner has five days in which to submit the name and qualifications of the newly assigned special inspector to the CBO for approval.	Notify the CPM of the CBO's approval of the newly assigned inspector.	Within 5 days	As required	WP			
GEN-08b	CONS	Electronic copies of the approved plans, specifications, calculations, and marked-up as-builts shall be provided to the CBO for retention by the CPM.	Submit to the CPM a letter stating both that the above documents have been stored and the storage location of those documents.	After storing final approved plans, specs, and calcs	As required	WP			
NOISE-02	CONS	Throughout the construction and operation of the project, document, investigate, evaluate, and attempt to resolve all project-related noise complaints. Noise Complaint Resolution process will be used.	File a Noise Complaint Resolution Form with the City and the CPM documenting resolution of the complaint. If mitigation is required to resolve a complaint, and the complaint is not resolved within a three-day period, the project owner shall submit an updated Noise Complaint Resolution Form when the mitigation is implemented.	Within 5 days of receiving a noise complaint	As required	NCPA			
SOIL & WATER-01b	CONS	Submit copies to the CPM of all correspondence between the project owner and the CVRWQCB) about the construction SWPPP, including a copy of the NOI.	Submit the required information to the CEC.	Within 10 days of receipt	As required	NCPA	Ongoing during construction		
SOIL & WATER-03	CONS	If groundwater is encountered during construction or operation of the LEC, the project owner shall comply with the requirements of the CVRWQCB Order NO. R5-2008-0081 for Waste Discharge Requirements for Dewatering and Other Low threat Discharges to Surface Waters.	Submit a complete Notice of Intent (NOI) to obtain coverage under CVRWQCB Order No. R5-2008-0081. Submit copies to the CPM of all correspondence between the project owner and the CVRWQCB regarding Order No. R5-2008-0081 within 10 days of its receipt or submittal.	Prior to any groundwater discharge or dewatering activities	As required	CH2			All deep excavations are complete
SOIL & WATER-07d	CONS	Ensure compliance with all county water well standards and requirements during construction.	Provide CPM with 2 copies of all monitoring or other reports required during construction.	As required	As required	NCPA	2/29/12	2012-005	Pending CEC approval
SOIL & WATER-07f	OPS	Ensure compliance with all county water well standards and requirements for the life of the existing pumping well and any new pumping wells	Provide CPM with 2 copies of all monitoring or other reports required for compliance with the SJCEHD's water well standards and operation requirements, as well as any changes made to the operation of the well.	As required	As required	NCPA			
SOIL & WATER-07g	OPS	The project owner shall not use potable water as an emergency backup supply for more than 14 calendar days of plant operation without CPM approval.	If potable water is needed as an emergency backup supply for more than 14 days, obtain CEC approval in advance.	As required	As required	NCPA			

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STRUC-02	CONS	Submit to the CBO the required number of sets of the documents related to work that has undergone CBO design review and approval related to concrete cylinder strength test reports and pour sign-off sheets, bolt torque and field weld inspection reports, and other reports covering structural activities requiring special inspections in accordance with CBC 2007.	If a discrepancy is discovered in any of the above data, within five days, prepare and submit an NCR describing the nature of the discrepancies and the proposed corrective action to the CBO, with a copy of the transmittal letter to the CPM. The NCR shall reference the Condition(s) and the applicable CBC chapter and section. Within five days of resolution of the NCR, submit a copy of the corrective action to the CBO and the CPM. Transmit a copy of the CBO's approval or disapproval of the corrective action to the CPM within 15 days. If disapproved, the project owner shall advise the CPM, within five days, of the reason for disapproval and the revised corrective action necessary to obtain CBO's approval.	As required	As required	WP	Ongoing during construction		NCR Report is included in MCR
TSE-02b	CONS	If the designated responsible engineer is subsequently reassigned or replaced, the project owner has five days in which to submit the name, qualifications, and registration number of the newly assigned engineer to the CBO for review and approval.	The project owner shall notify the CPM of the CBO's approval of the new engineer	within five days of the approval	As required	NCPA			
TSE-03	CONS	If any discrepancy in design and/or construction is discovered in any engineering work that has undergone CBO design review and approval, the project owner shall document the discrepancy and recommend corrective action. The discrepancy documentation shall become a controlled document and shall be submitted to the CBO for review and approval and refer to this condition of certification.	Submit a copy of the CBO's approval or disapproval of any corrective action taken to resolve a discrepancy to the CPM.	Within 15 days of receipt	As required	WP			CEC approved minor relocation of one tower on 6/9/11
VIS-04d	OPS	Notify the CPM of any complaints re: lighting.	Submit a complaint resolution form to the CPM record each lighting complaint and document resolution of that complaint.	Within 48 hours after receiving a complaint	As required	NCPA			
WASTE-03	CONS	If potentially contaminated soil is identified during site characterization, excavation, or grading at either the proposed site or linear facilities, as evidenced by discoloration, odor, detection by handheld instruments, or other signs, the Professional Engineer or Professional Geologist shall inspect the site, determine the need for sampling to confirm the nature and extent of contamination, and provide a written report to the project owner, representatives of DTSC, and the CPM stating the recommended course of action.	Submit any final reports filed by the Professional Engineer or Professional Geologist to the CPM. Project owner must notify the CPM within 24 hours of any orders issued to halt construction.	Within 5 days of their receipt	As required	NCPA	Ongoing during construction		
WASTE-08	CONS	Ensure that all spills or releases of hazardous substances, hazardous materials, or hazardous waste are reported, cleaned-up, and remediated as necessary, in accordance with all applicable federal, state, and local requirements.	Provide documentation as set forth in the verification language of the condition to the CPM. Copies of the unauthorized spill documentation shall be provided to the CPM within 30 days of the date the release was discovered.	As required	As required	ARB	6/15/11	2011-011	Approved 6/20/11
AQ-002	CONS	The ATC serves as a written certificate of conformity with the procedural requirements of 40 CFR 70.7 and 70.8 and with the compliance requirements of 40 CFR 70.6(c).	No verification necessary.	None	Complete	NCPA			

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AQ-061	CONS	The facility shall install and maintain equipment, facilities, and systems compatible with the District's CEMS data polling software system and shall make CEMS data available to the District's automated polling system on a daily basis. Upon notice by the District that the facility's CEMS is not providing polling data, the facility may continue to operate without providing automated data for a maximum of 30 days per calendar year provided the CEMS data is sent to the District by a District-approved alternative method.	Provide a CEMS protocol for approval by the APCO and CPM. The project owner shall make the site available for inspection by representatives of the District, ARB and the Commission upon request.	at least 60 days prior to installation of the CEMS	Complete	ARB	9/14/11	2011-017	Approved 10/12/11
AQ-070	COMM	[CONDITIONS AQ-70 through 79 relate to Facility Wide Offsets] Prior to operating under ATCs N-2697-5-0 and N-2697-7-0, the permittee shall mitigate the following quantities of NOx: Q1: 38,348 lb, Q2: 38,721 lb, Q3: 37,436 lb, and Q4: 38,150 lb. Offsets shall be provided at the applicable offset ratio specified in Table 4-2 of Rule 2201 (as amended 9/21/06).	Submit to both the District and CPM records showing that the project's offset requirements have been met.	Prior to initiating operation	Complete	NCPA	4/9/10	2010-010	Approved 6/29/10
AQ-071	COMM	NOx ERCs S-2857-2, S-2848-2, S-2849-2, S-2850-2, S-2851-2, S-2852-2, S-2854-2, S-2855-2, C-915-2, C-916-2, C-914-2, N-755-2, N-754-2, S-2894-2 and S-2895-2 (or a certificate split from any of these certificates) shall be used to supply the required NOx offsets, unless a revised offsetting proposal is received and approved by the District.	Submit to both the District and CPM records showing that the project's offset requirements have been met.	Prior to initiating operation	Complete	NCPA	4/9/10	2010-010	Approved 6/29/10
AQ-072	COMM	Prior to operating under ATCs N-2697-5-0 and N-2697-7-0, the permittee shall mitigate the following quantities of VOC: 1st quarter: 8,240 lb, 2nd quarter: 8,331 lb, 3rd quarter: 8,571 lb, and 4th quarter: 8,477 lb. Offsets shall be provided at the applicable offset ratio specified in Table 4-2 of Rule 2201.	Submit to both the District and CPM records showing that the project's offset requirements have been met.	Prior to initiating operation	Complete	NCPA	11/25/11	2011-25	Approved 12/14/11
AQ-073	COMM	VOC ERC S-2860-1, and NOx ERCs S-2857-2, S-2848-2, S-2849-2, S-2850-2, S-2851-2, S-2852-2, S-2854-2, S-2855-2, C-915-2, C-916-2, C-914-2, N-755-2, N-754-2, S-2894-2 and S-2895-2 (or a certificate split from any of these certificates) shall be used to supply the required VOC offsets, unless a revised offsetting proposal is received and approved by the District.	Submit to both the District and CPM records showing that the project's offset requirements have been met.	Prior to initiating operation	Complete	NCPA	4/9/10	2010-010	Approved 6/29/10
AQ-075	COMM	Prior to operating under ATCs N-2697-5-0 and N-2697-7-0, the permittee shall mitigate the following quantities of SOx: 1st quarter: 2,668 lb, 2nd quarter: 2,668 lb, 3rd quarter: 2,668 lb, and 4th quarter: 2,668 lb. Offsets shall be provided at the applicable offset ratio specified in Table 4-2 of Rule 2201 (as amended 9/21/06).	Submit to both the District and CPM records showing that the project's offset requirements have been met.	Prior to initiating operation	Complete	NCPA	11/25/11	2011-25	Approved 12/14/11
AQ-076	COMM	SOx ERCs S-2843-5, S-2845-5, S-2858-5, N-759-5, N-758-5, S-2846-5 and N-757-5 (or a certificate split from any of these certificates) shall be used to supply the required SOx offsets, unless a revised offsetting proposal is received and approved by the District.	Submit to both the District and CPM records showing that the project's offset requirements have been met.	Prior to initiating operation	Complete	NCPA	4/9/10	2010-010	Approved 6/29/10
AQ-077	COMM	Prior to operating under ATCs N-2697-5-0, N-2697-6-0 and N-2697-7-0, the permittee shall mitigate the following quantities of PM10: 1Q: 19,112 lb, 2Q: 19,112 lb, 3Q: 19,112 lb, and 4Q: 19,112 lb. Offsets shall be provided at the applicable offset ratio specified in Table 4-2 of Rule 2201 (as amended 9/21/06).	Submit to both the District and CPM records showing that the project's offset requirements have been met.	Prior to initiating operation	Complete	NCPA	11/25/11	2011-25	Approved 12/14/11

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AQ-078	COMM	PM10 ERCs S-2844-4, C-911-4, N-756-4, C-913-4, C-912-4, and SOx ERCs S-2843-5, S-2845-5, S-2858-5, N-759-5, N-758-5, S-2846-5 and N-757-5 (or a certificate split from any of these certificates) shall be used to supply the required PM10 offsets, unless a revised offsetting proposal is received and approved by the District.	Submit to both the District and CPM records showing that the project's offset requirements have been met.	Prior to initiating operation	Complete	NCPA	4/9/10	2010-010	Approved 6/29/10
AQ-082	CONS	An owner/operator shall prevent or cleanup any carryout or trackout in accordance with the requirements of District Rule 8041 Section 5.0, unless specifically exempted under Section 4.0 of Rule 8041 or Rule 8011.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	Complete	ARB	Ongoing during construction		
AQ-083	CONS	Whenever open areas are disturbed, or vehicles are used in open areas, the facility shall comply with the requirements of Section 5.0 of District Rule 8051, unless specifically exempted under Section 4.0 of Rule 8051 or Rule 8011.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	Complete	ARB	Ongoing during construction		
AQ-084	CONS	Any paved road or unpaved road shall comply with the requirements of District Rule 8061 unless specifically exempted under Section 4.0 of Rule 8061 or Rule 8011.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	Complete	ARB	Ongoing during construction		
AQ-085	CONS	Water, gravel, roadmix, or chemical/organic dust stabilizers/suppressants, vegetative materials, or other District-approved control measure shall be applied to unpaved vehicle travel areas as required to limit Visible Dust Emissions to 20% opacity and comply with the requirements for a stabilized unpaved road as defined in Section 3.59 of District Rule 8011.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	Complete	ARB	Ongoing during construction		
AQ-086	CONS	Where dusting materials are allowed to accumulate on paved surfaces, the accumulation shall be removed daily or water and/or chemical/organic dust stabilizers/suppressants shall be applied to the paved surface as required to maintain continuous compliance with the requirements for a stabilized unpaved road as defined in Section 3.59 of District Rule 8011 and limit Visible Dust Emissions (VDE) to 20% opacity.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	Complete	ARB	Ongoing during construction		
AQ-087	CONS	On each day that 50 or more Vehicle Daily Trips or 25 or more Vehicle Daily Trips with three axles or more will occur on an unpaved vehicle/equipment traffic area, permittee shall apply water, gravel, roadmix, or chemical/ organic dust stabilizers/suppressants, vegetative materials, or other District-approved control measure as required to limit Visible Dust Emissions to 20% opacity and comply with the requirements for a stabilized unpaved road as defined in Section 3.59 of District Rule 8011.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	Complete	ARB	Ongoing during construction		
AQ-088	CONS	Whenever any portion of the site becomes inactive, Permittee shall restrict access and periodically stabilize any disturbed surface to comply with the conditions for a stabilized surface as defined in Section 3.58 of District Rule 8011.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	Complete	ARB	Ongoing during construction		

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AQ-102	OPS	The owners and operators of each affected unit at the source shall keep on site each of the following documents for a period of five years from the date the document is created. This period may be extended for cause, at any time prior to the end of five years, in writing by the Administrator or permitting authority; (ii) All emissions monitoring information, in accordance with 40 CFR part 75; (iii) Copies of all reports, compliance certifications and other submissions and all records made or required under the Acid Rain Program; (iv) Copies of all documents used to complete an Acid Rain permit application and any other submission that demonstrates compliance with the requirements of the Acid Rain Program.	Make the site available for inspection by representatives of the District, ARB, and the CEC upon request.	As required	Complete	NCPA	3/30/12	Initial Acid Rain Notification submitted to USEPA submitted by SR	Copy included in MCR #20
AQ-118	CONS	This Authority to Construct serves as a written certificate of conformity with the procedural requirements of 40 CFR 70.7 and 70.8 and with the compliance requirements of 40 CFR 70.6(c).	No verification necessary.	None	Complete	NCPA			
BIO-04	CONS	Construction/Operation Manager shall act on the advice of the DB to ensure conformance with the biological resources Conditions of Certification. If required by the DB, Construction/ Operation Manager shall halt all site mobilization, ground disturbance, grading, construction, and operation activities in areas specified by the DB.	Designated Biologist must notify the CPM immediately of any non-compliance activity or halt of any site mobilization, ground disturbance, grading, construction, and ops activities.	Immediately following non-compliance or construction halt	Complete	ARB	Ongoing during construction		
CIVIL-02	CONS	The RE shall, if appropriate, stop all earthwork and construction in the affected areas when the responsible soils engineer, geotechnical engineer, or the civil engineer experienced and knowledgeable in the practice of soils engineering identifies unforeseen adverse soil or geologic conditions. The project owner shall submit modified plans, specifications, and calculations to the CBO based on these new conditions. The project owner shall obtain approval from the CBO before resuming earthwork and construction in the affected area.	Notify the CPM within 24 hours when earthwork and construction are stopped as a result of unforeseen adverse geological conditions. Within 24 hours of the CBO's approval to resume earthwork and construction in the affected areas, provide to the CPM a copy of the CBO's approval.	Within 24 hours of construction halt due to geologic conditions	Complete	ARB	Ongoing during construction		
COM-06	CONS	The first MCR shall include the AFC number and an initial list of dates for each of the events identified on the Key Events List. During construction of the project, the project owner or authorized agent shall submit an original and an electronic searchable version of the within 10 working days after the end of each reporting month. MCRs shall be clearly identified for the month being reported. The reports shall contain, at a minimum the items specified in the condition.	Submit to CPM on a monthly basis	Monthly	Complete	Andrea			
COM-09	CONS	Annual Energy Facility Compliance Fee: The project owner is required to pay an annual compliance fee, which is adjusted annually. Current Compliance fee information is available on the CEC's website.	Submit annual compliance fee to CEC.	Annually	Complete	NCPA	Ongoing		
CUL-7	CONS	Grant authority to halt construction to the CRS, alternate CRS and the CRMs in the event previously unknown cultural resource sites or materials are encountered, or if known resources may be impacted in a previously unanticipated manner (discovery).	Provide the CPM and CRS with a letter confirming that the CRS, alternate CRS and CRMs have the authority to halt construction activities in the vicinity of a cultural resource discovery, and that the project owner shall ensure that the CRS notifies the CPM within 24 hours of a discovery, or by Monday morning if the cultural resources discovery occurs between 8:00 AM on Friday and 8:00 AM on Sunday morning.	At least 30 days prior to ground disturbance	Complete	NCPA	8/4/10	2010-062	Approved by CEC 8/18/11

Cond. #	Sort Code	Description of Project Owner's Responsibilities	Verification/Action/Submittal Required by Project Owner	Timeframe	Date Due to CEC CPM	Lead Respons. Party	Date sent to CEC, CBO or agency	NCPA Log #	CEC Status
ELEC-01a	CONS	Prior to the start of any increment of electrical construction for electrical equipment and systems 480 volts and higher, with the exception of underground duct work and any physical layout drawings and drawings not related to code compliance and life safety, submit for CBO design review and approval the proposed final design, specifications and calculations.	Submit to the CBO for design review and approval the items listed in this condition (see page 59 of Final Decision)	At least 30 days prior to start of construction of each increment of electrical construction	Complete	WP	Ongoing during construction		Info is included in MCRs
HAZ-02	CONS	Develop and implement a Safety Management Plan (SMP) for the delivery of anhydrous ammonia and other liquid hazmat by tanker truck. The plan shall address the information required in the Condition. This plan shall be applicable during construction, commissioning, and operation of the power plant.	Submit the plan to the CPM for review and approval.	At least 30 days prior to delivery of any liquid hazmat to the facility	Complete	NCPA		2010-067 12/8/10	Approved by CEC 12/2/10
HAZ-03	CONS	Direct all vendors delivering aqueous ammonia to the site to use only tanker truck transport vehicles that meet or exceed the specifications of DOT Code MC-330 or 331.	Submit copies of notification letter to supply vendors indicating the transport vehicle specs to the CPM for review and approval.	At least 30 days prior to commissioning	Complete	ARB			Existing vendor for STIG delivers ammonia
HAZ-04	CONS	Direct all vendors delivering any hazardous material to the site to use only the route approved by the CPM (I-5 to North Thornton Road to Frontage Road to North Cord Road to the project site). Obtain approval of the CPM if an alternate route is desired.	Submit copies of the required transportation route limitation direction to the CPM for review and approval.	At least 60 days prior to commissioning	Complete	ARB			Existing vendor for STIG delivers ammonia
HAZ-06a	COMM	Prepare a site-specific security plan for the commissioning and operational phases which addresses all the items in the Condition.	Notify the CPM that a site-specific operations site security plan is available for review and approval.	At least 30 days prior to commissioning	Complete	NCPA	11/16/11	2011-022	Notification letter approved 11/21/11
NOISE-06	CONS	Equip the steam blow piping with a temporary silencer. The project owner shall conduct steam blows only during the hours of 7:00 a.m. to 9:00 p.m.	Submit to the CPM drawings or other information describing the temporary steam blow silencer and a description of the steam blow schedule	At least 15 days prior to the first steam blow	Complete	ARB	5/25/12	2012-012	Approved 5/30/12
SOIL & WATER-06a	CONS	The project owner shall provide the CPM two copies of the executed Recycled Water Purchase Agreement (agreement) with the COL for the long-term supply (30 – 35 years) of tertiary treated recycled water to the LEC. The agreement shall specify a maximum daily supply of 2.61mgd with a total annual maximum supply of 1,800 AFY. The agreement shall specify all terms and costs for the delivery and use of recycled water by the LEC.	Submit two copies of the executed agreement for the supply and on-site use of recycled water at the LEC.	No later than 60 days prior to connection to City's recycled water pipeline	Complete	NCPA	5/19/10	2010-026	Approved 6/1/10
SOIL & WATER-07a	CONS	The project shall not construct a supply well or extract and use any groundwater therefrom until the SJCEHD issues its written evaluation as to whether the proposed well construction and operation activities comply with all applicable county well requirements, and the CPM provides approval to construct the well. Submit a well construction application to the SJCEHD in accordance the City of Lodi (COL) Municipal Code, Title 8, Chapter 8.08. (See condition for specific requirements.)	Send the CPM 2 copies of the water well construction application submitted to the San Joaquin SJCEHD.	No later than 30 days prior to construction of the onsite water supply well	Complete	NCPA	9/14/11	2011-018	Approved by CEC on 11/14/11

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SOIL & WATER-07b	CONS	Provide written concurrence from the SJCEHD indicating that the proposed well construction activities comply with all county well requirements and meets the requirements established by the county's water well permit program.	Provide CPM with 2 copies of the written concurrence document from the SJCEHD.	No later than 15 days prior to construction of the onsite water supply well	Complete	NCPA	9/14/11	2011-018	Approved by CEC on 11/14/11
TLSN-01	CONS	Construct the proposed transmission line according to the requirements of California Public Utility Commission's GO-95, GO-52, GO-131-D, Title 8, and Group 2, High Voltage Electrical Safety Orders, Sections 2700 through 2974 of the California Code of Regulations, and Pacific Gas and Electric's EMP-reduction guidelines.	Submit to the CPM a letter signed by a CA registered EE affirming that the line will be constructed according to the requirements set forth in the Condition.	At least 30 days prior to starting construction of the t-line or related structures and facilities	Complete	NCPA	3/28/11	2011-008	Approved 2/15/12
TLSN-05	CONS	Ensure that all permanent metallic objects within the right-of-way of the project-related lines are grounded according to industry standards regardless of ownership.	Transmit to the CPM a letter confirming compliance with this condition.	At least 30 days before lines are energized	Complete	NCPA	11/20/11	2011-023	Approved 11/22/11
TSE-02a	CONS	Assign an electrical engineer and at least one of each of the following: a civil engineer; geotechnical engineer or a civil engineer experienced and knowledgeable in the practice of soils engineering; a design engineer who is either a structural engineer or a civil engineer and fully competent and proficient in the design of power plant structures and equipment supports; or a mechanical engineer.	Submit names, resumes, quals, and registration numbers of all engineers assigned to the project to the CBO for review and approval. (If any are replaced, new resumes must be submitted.)	At least 30 days prior to start of rough grading	Complete	WP	6/14/10	2010-045	Approved 6/22/10
VIS-01	CONS	Ensure that construction lighting is used in a manner that minimizes potential night lighting impacts: minimum necessary brightness, shielded/hooded and directed downward, and kept off when not in use.	Notify the CPM that the lighting is ready for inspection. (If complaints are received, provide CPM with a complaint resolution form report and include copy in MCR.)	Within 7 days after first use of construction lighting	Complete	ARB			
VIS-03a	CONS	Ensure that the cooling tower is designed and operated as presented to the CEC during the licensing of the LEC project. The cooling tower shall be designed and operated to meet the plume fogging frequency curve received into evidence as Exhibit 5 at the evidentiary hearing held at the CEC on 1/5/10.	Provide to the CPM for review the final design specifications of the cooling tower to confirm that the fogging frequency curve for the cooling tower cells matches Exhibit 5. The project owner shall not order the cooling tower until notified by the CPM that this design requirement has been satisfied.	At least 90 days prior to ordering the cooling tower	Complete	WP			Approved 7/9/10
VIS-04a	CONS	Design and install all permanent exterior lighting such that (a) lamps and reflectors are not visible from beyond the project site, including any off-site security buffer areas; (b) lighting does not cause excessive reflected glare; (c) direct lighting does not illuminate the nighttime sky; (d) illumination of the project and its immediate vicinity is minimized, and (e) the plan complies with local policies and ordinances.	Contact the CPM to discuss the documentation required in the lighting mitigation plan. The project owner shall not order any exterior lighting until receiving CPM approval of the lighting mitigation plan.	At least 90 days prior to ordering any permanent exterior lighting	Complete	WP			
VIS-04b	CONS	Prepare a lighting mitigation plan that includes the specific info set forth in the condition.	Submit to the CPM for review and approval and simultaneously to city of Lodi Community Development Department and San Joaquin County Community Development Department for review and comment.	At least 60 days prior to ordering any permanent exterior lighting	Complete	WP	3/18/11	2011-005 and 2011-006	Approved 6/9/11

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VIS-05a	CONS	Treat the surfaces of all project structures and buildings visible to the public in accordance with the provisions in the Condition. The transmission line conductors shall be nonspecular and nonreflective; and the insulators shall be nonreflective and nonrefractive. The project owner shall not specify to the vendors the treatment of any buildings or structures treated during manufacture, or perform the final treatment on any buildings or structures treated in the field, until the project owner receives notification of approval of the treatment plan by the CPM. Subsequent modifications to the treatment plan are prohibited without CPM approval.	Submit a specific surface treatment plan to the CPM for review and approval that addresses all the items in the Condition, and simultaneously to the city of Lodi Community Development Department and San Joaquin County Community Development Department for review and comment.	At least 90 days prior to specifying to the vendor the colors and finishes of the first structures or buildings that are surface treated during manufacture	Complete	ARB	10/27/10	2010-065	Approved 12/10/10
WORKER SAFETY-02	COMM	Prepare and submit an O&M Safety & Health Plan containing: an IIPP, EAP, HMMP, FPP, and PPE.	The Operations IIPP, EAP, PPE shall be submitted to the CEC CPM for review and comment; the EAP and FPP shall also be submitted to the Woodbridge Fire Protection District for review and comment. Provide a copy of a letter to the CPM from the Woodbridge Fire Protection District stating the fire department's comments on the Operations Fire Prevention Plan and Emergency Action Plan.	At least 30 days prior to first fire or commissioning	Complete	NCPA	10/20/11	2011-020	Approved by CEC on 2/22/12
WORKER SAFETY-03a	CONS	Provide a site Construction Safety Supervisor (CSS) who will perform the duties set forth in the Condition.	Submit to CPM the name and contact info for the construction safety supervisor.	At least 30 days prior to site mobilization	Complete	ARB	6/22/10	Submitted J. Selvey under 2011-002	
COM-12c	OPS	As part of the ACR, review the on-site contingency plan, and recommend changes to bring the plan up to date. Any changes to the plan must be approved by the CPM.	Include any recommended changes to the contingency plan as part of the ACR.	Annually	Include in ACR	NCPA			
HAZ-01	OPS	The project owner shall not use any hazardous materials not listed in ATTACHMENT A on page 183 of the condition, or in greater quantities or strengths than those identified by chemical name in ATTACHMENT A, unless approved in advance by the CPM.	Provide to the CPM, in the Annual Compliance Report, a list of hazardous materials contained at the facility.	Annually	Include in ACR	NCPA			
HAZ-06b	OPS	Include a statement that all current project employee and appropriate contractor background investigations have been performed, and that updated certification statements have been appended to the operations security plan. Also include a statement that the operations security plan includes all current hazardous materials transport vendor certifications for security plans and employee background investigations.	Provide information for inclusion in the ACR.	Annually	Include in ACR	NCPA			
SOIL & WATER-08b	OPS	Metering devices shall be operation for life of project.	Submit a water use summary report to the CPM in the annual compliance report for the life of the project. Also provide a report on the servicing, testing, and calibration of the metering devices.	Annually	Include in ACR	NCPA			
TLSN-02	OPS	Every reasonable effort will be made to identify and correct, on a case-specific basis, any complaints of interference with radio or TV signals from operation of the proposed line and associated switchyard.	Reports of line-related complaints shall be summarized for the project-related line and included during the first five years of plant operation in the Annual Compliance Report.	Annually	Include in ACR	NCPA			
TLSN-04	OPS	Ensure that the rights-of-way of the proposed transmission line are kept free of combustible material, as required under the provisions of Section 4292 of the Public Resources Code and Section 1250 of Title 14 of the California Code of Regulations.	During the first five years of operation, the project owner shall provide a summary of inspection results and any fire prevention activities carried out along the right-of-way and provide such summaries in the Annual Compliance Report.	Annually	Include in ACR	NCPA			

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VIS-03b	OPS	Demonstrate that the cooling tower has consistently been operated to meet above-specified fogging frequency curve (except as necessary to prevent damage to the cooling tower). If determined that the cooling tower has not operated within the specified design parameters, the project owner shall provide proposed remedial actions for CPM review and approval.	Provide the CPM written documentation in the project's ACR and at anytime as requested by the CPM. If requested by the CPM, the project owner shall provide the requested cooling tower operating data to the CPM at a date determined by the CPM.	Annually	Include in ACR	NCPA			
VIS-05c	OPS	Provide a status report regarding surface treatment maintenance in the Annual Compliance Report. The report shall specify (a) the condition of the surfaces of all structures and buildings at the end of the reporting year; (b) maintenance activities that occurred during the reporting year; and (c) the schedule of maintenance activities for the next year.	Include the required documentation in the ACR.	Annually	Include in ACR	NCPA			
WASTE-06b	OPS	Document in each ARC the actual volume of wastes generated and the waste management methods used during the year; provide a comparison of the actual waste generation and management methods used to those proposed in the original Operation Waste Management Plan; and update the Operation Waste Management Plan as necessary to address current waste generation and management practices.	Submit the required documentation as part of the ACR.	Annually	Include in ACR	NCPA			
AQ-080	CONS	[CONDITIONS AQ-80 through 89 relate to Facility Wide Dust Control] Disturbances of soil related to any construction, demolition, excavation, extraction, or other earthmoving activities shall comply with the requirements for fugitive dust control in District Rule 8021 unless specifically exempted under Section 4.0 of Rule 8021 or Rule 8011.	A summary of significant construction activities and monitoring records required shall be included in the construction monthly report required by AQ-SC3.	Monthly	Include in MCR	ARB	Ongoing during construction		
AQ-081b	CONS	A summary of significant construction activities and monitoring records required shall be included in the construction monthly compliance report required by AQSC-3.	Submit the required information to the CEC as part of the MCR.	Monthly	Include in MCR	ARB	Ongoing during construction		
AQ-SC03	CONS	The AQCMM shall submit documentation to the CPM in each MCR that demonstrates compliance with items (a) through (m) for purposes of preventing all fugitive dust plumes from leaving the project site and linear facility routes. Any deviation from the following mitigation measures shall require prior CPM notification and approval.	Include a summary of all actions taken to maintain compliance with this condition, copies of any complaints filed with the Air District in relation to project construction, and any other documentation deemed necessary by the CPM and AQCMM to verify compliance with this condition.	Monthly	Include in MCR	WP	Ongoing during construction		
AQ-SC04	CONS	The AQCMM shall monitor all construction activities for visible dust plumes. Observations of visible dust plumes with the potential to be transported off the project site, 200 feet beyond the centerline of the construction of linear facilities, or within 100 feet upwind of any regularly occupied structures not owned by the project owner indicate that existing mitigation measures are not providing effective mitigation. The AQCMM shall implement Steps 1-3 in the Condition in the event such visible dust plumes are observed.	AQCMM shall prepare for the MCR: (1) a summary of all actions taken to maintain compliance with this condition; (2) copies of any complaints filed with the air district in relation to project construction; and (3) any other documentation deemed necessary by the CPM and AQCMM to verify compliance with this condition.	Monthly	Include in MCR	ARB	Ongoing during construction		
AQ-SC05	CONS	The AQCMM shall submit to the CPM in the MCR a construction mitigation report that demonstrates compliance with the measures (A-F) set forth in the Condition for purposes of controlling diesel construction-related emissions. Any deviation from the following mitigation measures shall require prior CPM notification and approval.	Include in the MCR (1) a summary of all actions taken to maintain compliance with this condition; (2) a list of all heavy equipment used on site during that month, including the owner of that equipment and a letter from each owner indicating that the equipment has been properly maintained; and (3) any other documentation deemed necessary by the CPM and AQCMM to verify compliance with this condition.	Monthly	Include in MCR	ARB	Ongoing during construction		

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BIO-02	CONS	Ensure that the DB performs the activities outlined in BIO-2 during site (or related facilities) mobilization, ground disturbance, grading, construction, operation, and closure.	Designated Biologist must maintain written records of the tasks described in condition and provide summaries for inclusion in the MCR.	Monthly	Include in MCR	CH2	Ongoing during construction		
BIO-05b	CONS	Report the number of persons who have completed the training in the prior month and a running total of all persons who have completed the training to date.	Include a running total in MCR.	Monthly	Include in MCR	Andrea	Ongoing during construction		
BIO-06d	CONS	Implementation of BRMIMP measures will be reported in the MCR by the DB.	Provide report for inclusion in MCR.	Monthly	Include in MCR	Rick Crowe	Ongoing during construction		
BIO-07a	CONS	Any time the project owner modifies or finalizes the project design they shall incorporate all feasible measures that avoid or minimize impacts to the local biological resources, including Items 1-9 as listed in the Condition.	Provide report for inclusion in MCR.	Monthly	Include in MCR	Rick Crowe	Ongoing during construction		
BIO-08a	CONS	Implement measures set forth in condition (Items 1-8) in a manner to avoid or minimize impacts to the local biological resources.	Provide report for inclusion in MCR.	Monthly	Include in MCR	Rick Crowe	Ongoing during construction		
BIO-09c	CONS	Discuss implementation of GGS mitigation and avoidance measures.	Provide report for inclusion in MCR.	Monthly	Include in MCR	Rick Crowe	Ongoing during construction		
BIO-10c	CONS	Discuss implementation of burrowing owl mitigation and avoidance measures.	Provide report for inclusion in MCR.	Monthly	Include in MCR	Rick Crowe	Ongoing during construction		
BIO-11c	CONS	Discuss implementation of Swainson's hawk mitigation and avoidance measures.	Provide report for inclusion in MCR.	Monthly	Include in MCR	Rick Crowe	Ongoing during construction		
BIO-12b	CONS	Discuss implementation of migratory bird mitigation and avoidance measures.	Provide report for inclusion in MCR.	Monthly	Include in MCR	Rick Crowe	Ongoing during construction		
BIO-13b	CONS	Discuss implementation of pond turtle mitigation and avoidance measures.	Provide report for inclusion in MCR.	Monthly	Include in MCR	Rick Crowe	Ongoing during construction		
CIVIL-01e	CONS	Submit written statement certifying that the documents required by CIVIL-01(a-d) have been approved by the CBO.	Include written certification in next monthly compliance report.	Monthly	Include in MCR	ARB	Ongoing during construction		
CIVIL-03b	CONS	A list of NCRs for the reporting month shall also be included in the following monthly compliance report.	Include in the MCR.	Monthly	Include in MCR	ARB	Ongoing during construction		NCR Report is included in MCR
COM-05	CONS	Submit a construction matrix that provides the current status of all conditions in a spreadsheet format.	Submit a compliance matrix with each MCR and also in ACR	Monthly	Include in MCR	Andrea	Ongoing during construction		
CUL-05c	CONS	Provide the WEAP Training Acknowledgement forms of workers who have completed the training in the prior month and a running total of all persons who have completed training to date.	Include a running total in MCR.	Monthly	Include in MCR	NCPA	Ongoing during construction		
CUL-06b	CONS	Submit a monthly summary report of cultural resources-related monitoring prepared by the CRS.	Provide report for inclusion in MCR.	Monthly	Include in MCR	NCPA	Ongoing during construction		
ELEC-01b	CONS	Send the CPM a copy of the transmittal letter in the next MCR.	Include the required documentation in the MCR.	Monthly	Include in MCR	NCPA	Ongoing during construction		

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GEN-02b	CONS	Major structures and equipment shall be added to or deleted from Facility Design Table 1 (see page 46 of Final Decision) only with CPM approval.	The project owner shall provide schedule updates in the MCR.	Monthly	Include in MCR	WP	Ongoing during construction		
GEN-03	CONS	Make payments to the CBO for design review, plan check and construction inspections based upon a reasonable fee schedule to be negotiated between NCPA and the CBO.	Send copy of CBO's receipt of payment to CPM in next MCR indicating applicable fees have been paid.	Monthly	Include in MCR	NCPA	Ongoing during construction		
GEN-06b	CONS	Submit to the CPM a copy of the CBO's approval of the qualifications of all special inspectors.	Include the required documentation in the MCR.	Monthly	Include in MCR	WP	Ongoing during construction		
GEN-07	CONS	If any discrepancy in design and/or construction is discovered in any engineering work that has undergone CBO design review and approval, the project owner shall document the discrepancy and recommend required corrective actions. The discrepancy documentation shall be submitted to the CBO for review and approval. The discrepancy documentation shall reference Condition GEN-7 and, if appropriate, applicable sections of the CBC and/or other LORS.	Transmit a copy of the CBO's approval of any corrective action taken to resolve a discrepancy to the CPM in the next MCR. If any corrective action is disapproved, the project owner shall advise the CPM, within five days, of the reason for disapproval and the revised corrective action to obtain CBO's approval.	Monthly	Include in MCR	WP	Ongoing during construction		
GEN-08a	CONS	Obtain the CBO's final approval of all completed work that has undergone CBO design review and approval. Request that the CBO inspect the completed structure and review the submitted documents. Notify the CPM after obtaining the CBO's final approval. Retain one set of approved engineering plans, specifications, and calculations (including all approved changes) at the project site or at another accessible location during the operating life of the project.	Submit to the CBO, with a copy to the CPM, in the next monthly compliance report, (a) a written notice that the completed work is ready for final inspection, and (b) a signed statement that the work conforms to the final approved plans.	Within 15 days of completion of any work	Include in MCR	WP			
MECH-01b	CONS	Upon completion of construction of any such major piping or plumbing system, the project owner shall request the CBO's inspection approval of that construction.	Transmit to the CPM, following completion of any inspection, a copy of the transmittal letter conveying the CBO's inspection approvals.	Monthly	Include in MCR	WP	Ongoing during construction		
MECH-02b	CONS	Upon completion of the installation of any pressure vessel, the project owner shall request the appropriate CBO and/or Cal-OSHA inspection of that installation/	Transmit to the CPM, in the MCR following completion of any inspection, a copy of the transmittal letter conveying the CBO's and/or Cal-OSHA inspection approvals.	Monthly	Include in MCR	WP	Ongoing during construction		
MECH-03b	CONS	Design and install all HVAC and refrigeration systems within buildings and related structures in accordance with the CBC and other applicable codes. Upon completion of construction of pressure vessels, request the CBO's inspection approval of that construction.	Provide the required written documentation to the CPM.	Monthly	Include in MCR	WP	Ongoing during construction		
PAL-05	CONS	Ensure that the PRS and PRM(s) monitor consistently with the PRMMP, all construction-related grading, excavation, trenching, and auguring in areas where potentially fossil-bearing materials have been identified.	Paleo monitors shall provide monthly summaries for inclusion in MCR.	Monthly	Include in MCR	CH2	Ongoing during construction		
STRUC-01b	CONS	Submit to the CPM a copy of a statement from the CBO that the proposed structural plans, specifications, and calculations have been approved and comply with the requirements set forth in applicable engineering LORS.	Submit required info to the CPM as part of the MCR.	Monthly	Include in MCR	WP	Ongoing during construction		
STRUC-03	CONS	Submit to the CBO design changes to the final plans required by the 2007 CBC, including the revised drawings, specifications, calculations, and a complete description of, and supporting rationale for, the proposed changes, and shall give to the CBO prior notice of the intended filing.	Notify the CBO of the intended filing of design changes and shall submit the required number of sets of revised drawings and the required number of copies of the other above-mentioned documents to the CBO, with a copy of the transmittal letter to the CPM. The project owner shall notify the CPM, via the MCR, when the CBO has approved the revised plans.	Monthly	Include in MCR	WP	Ongoing during construction		

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STRUC-04b	CONS	Send copies of the CBO approvals of plan checks to the CPM. Also transmit a copy of the CBO's inspection approvals to the CPM in the MCR following completion of any inspection.	Provide requested info to CPM as part of the MCR.	Monthly	Include in MCR	WP	Ongoing during construction		
TSE-01b	CONS	Provide schedule updates as part of the MCR.	Include the required documentation in the MCR.	Monthly	Include in MCR	NCPA	Ongoing during t-line construction		
TSE-04b	CONS	The following activities shall be reported in the MCR: A. Receipt Or Delay Of Major Electrical Equipment; B. Testing Or Energization Of Major Electrical Equipment; and C. The Number Of Electrical Drawings Approved, Submitted For Approval, And Still To Be Submitted.	Include the required documentation in the MCR.	Monthly	Include in MCR	NCPA	Ongoing during t-line construction		
WORKER SAFETY-03b	CONS	The CSS shall prepare and submit a monthly safety inspection that includes the info specified in the verification language of the condition.	Submit required info to the CPM.	Monthly	Include in MCR	CBO	Ongoing during construction		
WORKER SAFETY-04b	CONS	The CBO Safety Monitor shall be responsible for verifying that the construction safety supervisor implements all required Cal/OSHA and CEC safety requirements.	Submit the CBO Safety Monitor's report as part of the MCR.	Monthly	Include in MCR	CBO	Ongoing during construction		
TSE-01a	CONS	Provide the CPM and CBO with a schedule of transmission facility design submittals, a master drawing list, a master specifications list, and a major equipment and structure list for the components listed in the condition. To facilitate audits by CEC staff, the project owner shall provide designated packages to the CPM when requested.	Provide info to CBO and CPM. Additions and deletions shall be made to the table only with both CPM and CBO approval.	At least 60 days prior to start of construction of the t-line	Included in MCR	WP	Ongoing during t-line construction		
TSE-04a	CONS	For the power plant switchyard, outlet line and termination, construction shall not begin until plans for that increment of construction have been approved by the CBO. These plans, together with design changes and design change notices, shall remain on the site for one year after completion of construction.	Submit to the CBO for review and approval the final design plans, specifications and calculations.	At least 30 days before the start of each increment of construction	Included in MCR	NCPA			CBO has approved all documents for construction
TSE-05a	CONS	Design, construct, and operate the proposed transmission facilities in conformance with all applicable LORS, and the requirements listed in the condition (see Items A-I).	Submit the required number of copies of the design drawings and calculations, as determined by the CBO.	At least 60 days prior to start of construction of the transmission facilities	Included in MCR	WP			CBO has approved all documents for construction
TSE-05b	CONS	Provide electrical one-line diagrams signed and sealed by the registered professional electrical engineer in charge, a route map, and an engineering description of the equipment and configurations covered by requirements TSE-5 a) through j),	Submit the requested info to the CBO for approval.	At least 60 days prior to start of construction of the transmission facilities	Included in MCR	NCPA			CBO has approved all documents for construction
TSE-05c	CONS	Provide the final Detailed Facility Study (DFS) including a description of facility upgrades, operational mitigation measures, and/or special protection system sequencing and timing if applicable.	Submit the requested info to the CBO for approval.	At least 60 days prior to start of construction of the transmission facilities	Included in MCR	NCPA			These docs were provided to the CEC during permitting

Cond. #	Sort Code	Description of Project Owner's Responsibilities	Verification/Action/Submittal Required by Project Owner	Timeframe	Date Due to CEC CPM	Lead Respons. Party	Date sent to CEC, CBO or agency	NCPA Log #	CEC Status
TSE-05d	CONS	Provide the executed project owner and California ISO facility interconnection agreement.	Submit the requested info to the CBO for approval.	At least 60 days prior to start to construction of the transmission facilities	Included in MCR	NCPA			These docs were provided to the CEC during permitting
TSE-05d	CONS	Provide evidence showing coordination with the affected agencies and utilities including but not limited to Western Area Power Administration and Lodi Electric Utility.	Submit the requested info to the CBO for approval.	At least 60 days prior to start to construction of the transmission facilities	Included in MCR	NCPA			Completed during permitting
TSE-05e	CONS	Inform the CPM and CBO of any impending changes which may not conform to the requirements of TSE-05 and request approval to implement such changes.	Inform the CBO and CPM of any impending changes.	At least 60 days prior to start to construction of the transmission facilities	Included in MCR	WP			No changes
AQ-010	COMM	Commissioning activities are defined as, but not limited to, all testing, adjustment, tuning, and calibration activities recommended by the equipment manufacturers and the construction contractor to ensure safe and reliable steady state operation of the gas turbine and associated electrical delivery systems.	No verification necessary.	None	None	ARB			
AQ-026	COMM	Start-up is defined as the period of time during which a unit is brought from a shutdown status to its operating temperature and pressure, including the time required by the unit's emission control system to reach full operation.	No verification necessary.	None	None	NCPA			
AQ-027	COMM	Shutdown is defined as the period of time during which a unit is taken from an operational to a non-operational status ending when the fuel supply to the unit is completely turned off. [District Rule 4703, 3.26]	No verification necessary.	None	None	NCPA			
AQ-031	COMM	Each 3-hour rolling average period will be compiled from the three most recent one hour periods. Each one hour period shall commence on the hour. Each one hour period in a twenty-four hour average for ammonia slip will commence on the hour. The twenty-four hour average will be calculated using the most recent twenty-four one-hour periods.	No verification necessary.	None	None	ARB			
AQ-064	COMM	Monitor Downtime is defined as any unit operating hour in which the data for NOx, CO2 or O2 concentrations is either missing or invalid.	No verification necessary.	None	None	NCPA			
AQ-074	COMM	The District has authorized to use NOx reductions to overcome shortfall in the amount of VOC offsets at NOx/VOC interpollutant offset ratio of 1.00.	No verification necessary.	None	None	NCPA	11/25/11	2011-25	Pending CEC Approval
AQ-079	COMM	The District has authorized to use SOx reductions to overcome shortfall in the amount of PM10 offsets at SOx/PM10 interpollutant offset ratio of 1.00.	No verification necessary.	None	None	NCPA			
AQ-094	OPS	Each ton of sulfur dioxide emitted in excess of the Acid Rain emissions limitations for sulfur dioxide shall constitute a separate violation of the Act.	No verification necessary.	None	None	Sierra Research			

Cond. #	Sort Code	Description of Project Owner's Responsibilities	Verification/Action/Submittal Required by Project Owner	Timeframe	Date Due to CEC CPM	Lead Respons. Party	Date sent to CEC, CBO or agency	NCPA Log #	CEC Status
AQ-097	OPS	An allowance allocated by the Administrator under the Acid Rain Program is a limited authorization to emit sulfur dioxide in accordance with the Acid Rain Program. No provision of the Acid Rain Program, the Acid Rain permit application, the Acid Rain permit, or the written exemption under 40 CFR 72.7 and 72.8 and no provision of law shall be construed to limit the authority of the United States to terminate or limit such authorization.	No verification necessary.	None	None	Sierra Research			
AQ-098	OPS	An allowance allocated by the Administrator under the Acid Rain Program does not constitute a property right.	No verification necessary.	None	None	Sierra Research			
AQ-105	---	This ATC serves as a written certificate of conformity with the procedural requirements of 40 CFR 70.7 and 70.8 and with the compliance requirements of 40 CFR 70.6(c).	No verification necessary.	None	None	NCPA			
COM-02	CONS	Maintain maintain project files on-site or at an alternative site approved by the CPM for the life of the project, unless a lesser period of time is specified by the Conditions of Certification. The files shall contain copies of all "as-built" drawings, documents submitted as verification for Conditions, and other project-related documents.	CEC staff and delegate agencies shall, upon request to the project owner, be given unrestricted access to the files maintained pursuant to this condition.	Ongoing	Ongoing	Andrea	Ongoing during construction		
GEN-06a	CONS	Assign to the project, qualified and certified special inspector(s) who shall be responsible for the special inspections required by the 2007 CBC. A certified weld inspector, certified by the American Welding Society (AWS) and/or American Society of Mechanical Engineers (ASME) as applicable, shall inspect welding performed on-site requiring special inspection (including structural, piping, tanks, and pressure vessels). The special inspector shall perform the duties specified in the condition.	Submit to the CBO for review and approval, with a copy to the CPM, the name(s) and qualifications of the certified weld inspector(s), or other certified special inspector(s) assigned to the project.	At least 15 days prior to start of an activity requiring special inspection	Ongoing	WP	Ongoing during construction		Info is included in MCRs
SOIL & WATER-9b	OPS	Provide the annual monitoring report summary required by the UIC Class I Permit and shall fully explain violations, exceedance, enforcement actions, or corrective actions related to permit compliance. Notify the CPM in writing of changes to the UIC Class I Permit that are instituted by either the project owner or USEPA Region IX including permit renewals.	Submit the required info to the CPM.	Ongoing	Ongoing	NCPA			
STRUC-01a	CONS	Prior to the start of any increment of construction of any major structure or component listed in Facility Design Table 1 of Condition of Certification GEN-2, above, the project owner shall submit to the CBO for design review and approval the proposed lateral force procedures for project structures and the applicable designs, plans, and drawings for project structures. Proposed lateral force procedures, designs, plans, and drawings shall be those for the items listed in the GEN-2 table. Construction of any structure or component shall not begin until the CBO has approved the lateral force procedures to be employed in designing that structure or component.	Submit to the CBO the final design plans, specs and cales with a copy of the transmittal letter to the CPM.	At least 60 days prior to start of any structure or component listed in Facility Design Table 1 of GEN-2	Ongoing	WP	Ongoing during construction		Included as part of MCRs
WASTE-04	CONS	Obtain a hazardous waste generator identification number from the United States Environmental Protection Agency prior to generating any hazardous waste during construction and operations.	Keep a copy of the identification number on file at the project site and provide the number to the CPM in the next MCR.	Prior to generating any haz waste	Ongoing	ARB	10/27/10	emailed	Approved 10/27/10

Cond. #	Sort Code	Description of Project Owner's Responsibilities	Verification/Action/Submittal Required by Project Owner	Timeframe	Date Due to CEC CPM	Lead Respons. Party	Date sent to CEC, CBO or agency	NCPA Log #	CEC Status
MECH-01a	CONS	MAJOR PIPING & PLUMBING SYSTEMS: Submit for CBO design review and approval the proposed final design, specifications and calcs for each plant major piping and plumbing system listed in Facility Design Table 1 of GEN-2. Physical layout drawings and drawings not related to code compliance and life safety need not be submitted. The submittal shall also include the applicable QA/QC procedures. Upon completion of construction of any such major piping or plumbing system, the project owner shall request the CBO's inspection approval of that construction.	Submit to the CBO for design review and approval the final plans, specs, and calcs, including a copy of the signed and stamped statement from the responsible mechanical engineer certifying compliance with applicable LORS.	At least 30 days prior to the start of any major piping or plumbing construction listed in Table 1	Ongoing during construction	WP	Ongoing during construction		Info is included in MCRs
MECH-02a	CONS	PRESSURE VESSELS: Submit to the CBO and Cal-OSHA the code certification papers and other documents required by applicable LORS.	Submit to the CBO for design review and approval the final plans, specs, and calcs, including a copy of the signed and stamped statement from the responsible mechanical engineer certifying compliance with LORS	At least 30 days prior to start of onsite fabrication or installation of any pressure vessel	Ongoing during construction	WP			
MECH-03a	CONS	HVAC SYSTEMS: Submit for CBO design review and approval the proposed final design, specifications and calculations for each any heating, ventilating, air conditioning (HVAC) or refrigeration system. Packaged HVAC systems, where used, shall be identified with the appropriate manufacturer's data sheets.	Submit the calcs, plans, and specs to the CBO, including a copy of the signed and stamped statement from the responsible mech engr certifying compliance with CBC and other applicable codes, with a copy of transmittal to CPM.	At least 30 days prior to start of construction of any HVAC or refig system	Ongoing during construction	WP			
STRUC-04a	CONS	Tanks and vessels containing quantities of toxic or hazardous materials exceeding amounts specified in the 2007 CBC shall, at a minimum, be designed to comply with the requirements of that chapter.	Submit to the CBO for design review and approval the final plans, specs, and calcs, including a copy of the signed and stamped statement from the responsible engineer certifying compliance with LORS	At least 30 days prior to the start of installation of the tanks or vessels	Ongoing in MCR	WP	Ongoing during construction		Included as part of MCRs
CUL-02b	CONS	Provide to the CRS and CPM a schedule of project activities for the following week, including the identification of area(s) where ground disturbance will occur during that week.	Provide requested into to the CPM and CRS.	Weekly during construction	Weekly	ARB	Ongoing during construction		
WASTE-05	CONS	Upon becoming aware of any impending waste management-related enforcement action by any local, state, or federal authority, notify the CPM of any such action taken or proposed to be taken against the project itself, or against any waste hauler or disposal facility or treatment operator with which the owner contracts.	Notify the CPM in writing within 10 days of becoming aware of an impending enforcement action. The CPM shall notify the project owner of any changes that will be required in the manner in which project-related wastes are managed.	As required	Within 10 days of becoming aware of action	NCPA	Ongoing during construction		
VIS-02	CONS	Landscape screening deleted.		None					



NCPA LODI ENERGY CENTER

Lodi California

June 2012 AQCMM / SWPPP Monthly Report

General Progress:

As typical for June the weather has turned hot. This is demonstrated by the heavy use of water to control dust on site (see attached water use calendar). All unpaved and paved roads were kept wet at all times during construction hours to prevent the formation of dust clouds. The traffic on site has been limited to auto traffic going to and from the STIG plant and the movement of forklifts and golf carts to move material and construction personnel on site. There were very few large trucks moving on site. Even with heavy north winds there were no noted dust events during the month. There were no complaints received concerning dust events. As usual there were several occurrences of dust drifting onto the site from agricultural work in the area.

The main focus of work has been in finishing up systems in preparation for chemical cleaning to be carried out in the month of July. Also the north and east areas of the site were prepared for Granite to start the final grading and paving. They are to mobilize around the July 4th holiday. Commissioning activities have been on going through out the month.

As shown on the attached list of equipment on site, with engines of 50 HP or more, they all meet the Tier 3 of better requirements. All of the equipment has been maintained to the manufactures specifications. Other than the forklifts the equipment is run less than 15 hours per week. Equipment is not allowed to be running when not in use.

SWPPP

There were no measureable rain events during the month of June. The dry conditions eliminated the possibilities of runoff from the site.

There were no samples taken as all BMPs were maintained and properly implemented.

This report has been prepared by:

Jeff Latham

ARB, Inc

Project Engineer/AQCMM

Lodi Energy Center
Summary of Diesel Construction Equipment Mitigation Determinations

Month June 2012

Equipment Make and Model	Engine Make, Model & Rating	Tier 3 Engine (yes/no)	Tier 2 Engine (yes/no)	Tier 1 Engine (yes/no)	Days Expected Onsite	Excess Oil Consumption Expected (yes/no)	Adequate Exhaust Temperature (yes/no)	Adequate Installation Space (yes/no)	Is There An ARB Certified DPF for this Engine (yes/no)	Mitigation Determination(ULSFO, Tier 3/2/1 engine, DPF, nox control)
2008 JLG Skytrak 10054	Cummins QSB 4.5T, 110 HP	yes				no	yes	NA	NA	Tier 3
2007 JLG Skytrak 10054	Cummins QSB 4.5T, 110 HP	yes				no	yes	NA	NA	Tier 3
Linkbelt RTC 8090 Series II 90 Ton Crane	Caterpillar C6.6, 221 HP	yes				no	yes	NA	yes	Tier 3
JLG 800AJ Manlift	Deutz D2011L04i, 62 HP	Tier 4 Interim				no	yes	NA	NA	Tier 4 Interim
Genie Z-135 Manlift	Deutz TD 2011 L04I, 74HP	yes				no	yes	NA	NA	Tier 3
JLG 1250AJP Manlift	Deutz TD2011L04 , 75 HP	yes				no	yes	NA	yes	Tier 3
Genie S-85 Manlift	Deutz TD2011L04 , 75 HP	yes				no	yes	NA	yes	Tier 3

Fuel sold to ARB at the Lodi Energy Center job in June 2012

Clear diesel – 385 gallons

Red off-road diesel – 1032 gallons



... Excellent customer service drives our business!

Karen Lewallen
Isleton Plant Manager

karenl@ramosoil.com
www.ramosoil.com

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1st Street / Highway 160
Isleton CA 95641
Tel: (916) 777-5545
Mobile: (916) 997-6823
Fax: (916) 777-5859

Record Keeping Form

Month: June 2012

FORM A - Area Water Application

Project Location: Lodi Power Plant City: Lodi Size: CA (Miles/Acres)

Owner: _____ Address: _____ City: _____ Zip: _____

Contact Person: _____ Title: _____ Phone: () - _____

Watering Schedule

Use this form to document daily water applications at a single site by recording total gallons per day and number of applications per day at a single area. Use additional forms, as necessary, for areas with different treatment schedules.

Area treated: Job site

Week	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
1						6-1-12 6-2	
2	6-3 0	6-4-12 3500	6-5-11 5500	6-6-12 6000	6-7-11 5500	6-8-9 4500	6-9 0
3	6-10 0	6-11-12 8000	6-12-13 6500	6-13-13 6500	6-14-13 6500	6-15-12 5000	6-16 0
4	6-17 0	6-18-12 6000	6-19-13 6500	6-20-14 7000	6-21-13 6500	6-22-12 6000	6-23 0
5	6-24 0	6-25-11 5500	6-26-14 7000	6-27-14 7,000	6-28-12 6000	6-29-12 3500	6-30 0

Area treated: _____

Week	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
1							
2							
3							
4							
5							

Exhibit 6

Resource Specialists' Monthly Reports

Biological Resources
Mitigation Monitoring for the
Lodi Energy Center Project

MONTHLY COMPLIANCE REPORT (BIO-2)

June 2012

Prepared by:

CH2M HILL

2485 Natomas Park Drive, Suite 600

Sacramento, California 95833

Lodi Energy Center

MONTHLY COMPLIANCE REPORT

June 2012

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APPENDICES

- A) Cumulative Wildlife Species Observed in or Near the Project Area
 - B) Site Photos
 - C) Wildlife Observation Forms
-

INTRODUCTION

The Lodi Energy Center (LEC) project site is on 4.4 acres of land owned and incorporated by the City of Lodi, 6 miles west of the Lodi city center. The site is located adjacent to Interstate-5 approximately 1.7 miles south of State Route 12. On the east side of the site is the City of Lodi's White Slough Water Pollution Control Facility (WPCF). The WPCF's treatment and holding ponds are located to the north. To the west is the 49-megawatt Northern California Power Agency (NCPA) Combustion Turbine Project (STIG Plant), and further to the west is the Pacific Gas and Electric Company (PG&E) overhead 230 kilovolt electric transmission line. The San Joaquin County Mosquito and Vector Control facility is located south of the project site.

Originally, construction of the LEC facility would require the use of four laydown areas totaling 9.8 acres; Area A consisted of 3.1 acres, Area B consisted of 2.2 acres, Area C consisted of 1.6 acres, and Area D consisting of 2.9 acres. On July 2, 2010, NCPA filed a petition with the California Energy Commission (CEC) requesting the additional use of 9.4 acres of construction laydown and parking areas. The requested areas will add 0.7 acres to the existing 3.1 acre laydown Area A, add an additional 6.1 acre laydown area known as Area E which is directly north of the frontage entrance to the LEC project site and an additional 2.6 acre laydown area known as Area F. On August 9, 2010, the CEC staff included as part of the project the requested additional laydown areas contingent on mitigating the impacts to the additional acres through the San Joaquin County Multi-Species Habitat Conservation & Open Space Plan (MSHCP) for the fee of \$48,229.50. NCPA paid the use fee to the MSHCP and the additional laydown areas were released for use by the CEC on September 13th, 2010.

Initially, the construction of the LEC gas pipeline as permitted required a 35-foot construction right-of-way which would affect approximately 3.55 acres of agricultural land. The original 3.55 acres was mitigated along with other project impacts through the MSHCP by NCPA acquiring 21.25 acres which was placed in a conservation easement that the San Joaquin County Council of Governments (SJCOG) would oversee in perpetuity. After further project review the gas pipeline was redesigned by PG&E and an additional 5.37 acres of right-of-way was determined to be required. Therefore, on July 15, 2011 NCPA submitted a request to the MSHCP for an additional 5.37 acres of mitigation credits to cover impacts to agricultural land, the fee for this transaction was \$71,216.94. On October 8, 2011 the additional mitigation fee was paid to the MSHCP finalizing the mitigation requirement. As required for all project description changes or acreage impact changes the CEC was notified of the proposed change on July 19, 2011 when it was presented as a modification to the project description. On September 29, 2011 the CEC approved the project description modification and the new gas pipeline began construction during the month of October, 2011.

Biological monitoring for the month of June included monitoring the 4.4-acre power generation facility, the 19.2 acre laydown areas and final monitoring of the natural gas pipeline right-of-way.

MONITORED MITIGATION MEASURES AND PERMIT CONDITIONS

Mitigation measures for the LEC project site were developed through consultation with the California Energy Commission (CEC), and the SJCOG which oversees the MSHCP.

Documentation of compliance with any conditions of the agency permits will be included when used on the project.

Conditions of Certification (COC) BIO 1-8 were in compliance during the month of June 2012. The following COC's require specific language be included in each monthly compliance report therefore they are addressed separately below;

BIO-9, employing giant garter snake (GGS) mitigation measures like sediment/ animal fencing protecting sensitive areas, every worker participating in the WEAP program, and the Designated Biologist monitoring any disturbance within GGS habitat for giant garter snake protection insured that BIO-9 was in compliance during the month of June 2012.

BIO-10, burrowing owl mitigation measures like pre-disturbance surveys, every worker participating in the WEAP program and the Designated Biologist making daily site visits to the gas pipeline portion of the project insured that BIO-10 was in compliance during the month of June 2012.

BIO-11, Swainson's hawk (SWHA) mitigation measures like pre-disturbance surveys, every worker participating in the WEAP program and the Designated Biologist making weekly site visits insured that BIO-11 was in compliance during the month of June 2012.

BIO-12, migratory bird mitigation measures like pre-disturbance surveys, every worker participating in the WEAP program and the Designated Biologist making weekly site visits insured that BIO-12 was in compliance during the month of June 2012.

BIO-13, northwestern and western pond turtle mitigation measures like sediment/ animal fencing protecting sensitive areas, every worker participating in the WEAP program, and the Designated Biologist monitoring any disturbance within pond turtle habitat insured that BIO-13 was in compliance during the month of June 2012.

SUMMARY OF ACTIVITIES

This report provides a summary of June 2012 project activities and associated biological monitoring. A cumulative wildlife species list is included in Appendix A. The Designated Biologist (DB) and Biological Monitor (BM) completed logs summarizing activities, personal interactions, and observations made during each site visit. These logs are available on request.

Site Construction

June LEC project site activities consisted of concrete pouring, welding, and construction of the HRZG stack, as well as continuing construction on the plant's foundations and electrical pathways.

Monitoring visits were conducted periodically to document permit compliance and to monitor active avian nest sites.

WORKER ENVIRONMENTAL AWARENESS TRAINING

The WEAP program was developed exclusively for the LEC project. Program materials include a handbook, video, posted speed limit signs and supporting posters. As required by COC BIO-5 from the CEC *Commission Decision*, all new employees must attend the WEAP program.

Twenty-six (26) personnel received WEAP training in June for a total of 1,456 employees trained at LEC since the project started. An ARB Safety and Compliance Manager administered the WEAP training to new employees as well as the LEC Designated Biologist and Biological Monitor. Signed affidavits are kept on file by the ARB Safety and Compliance Manager and the NCPA Compliance Manager.

GENERAL DAILY NOTES AND OBSERVATIONS

During the month of June daily Biological Monitoring was not required of the LEC project site however. Project biological oversight was covered by the Designated Biologist (DB) Rick Crowe or the Biological Monitors (BM) Dan Williams or Victor Leighton. The monitoring efforts for the month of June are documented below;

June 1st, the DB was on site to monitor nesting activities and to perform a compliance spot check. The DB checked the PG&E natural gas pipeline laydown yard and observed that the temporary chain link fencing had been removed, however the gravel that was used to stabilize the yard still needed to be removed, Photo 1. The DB checked on the nesting killdeer (*Charadrius vociferus*) in Laydown Area A and observed that nest sites 1 and 3's eggs had hatched, Photos 2 and 3. As was observed towards the end of May, nest sites 2 and 4 were abandoned, therefore the protective fencing and signage was removed, Photos 4 and 5. The DB also observed the female Swainson's hawk (*Buteo swainsoni*) on the nest in the employee parking lot, Photo 6. The LEC project was in compliance during this site visit.

June 4th, the DB received a call from Mac Macgillivray / ARB Safety Manager concerning the observation of a Virginia opossum (*Didelphis virginiana*) in the water treatment building. The DB captured the young opossum and placed it in a bucket for transport off site. The opossum was then taken to the White Slough Wildlife Area north of the LEC site and safely released, Photos 7 through 9. For more information on this observation see Appendix C Wildlife Observation Forms. While on site the DB checked the laydown areas for nesting birds, none were observed. The DB did observe the female Swainson's hawk on the nest in the employee parking lot. The LEC project was in compliance during this site visit.

June 8th, the DB was on site to monitor nesting activities and to perform a compliance spot check. The DB checked the laydown areas for nesting birds, none were observed. The DB checked on the nesting Swainson's hawk in the employee parking lot and observed some white down feathers around the nest and the male hawk brought a prey item to the female who was on the nest. The LEC project was in compliance during this site visit.

June 13th, the DB was on site to monitor nesting activities and to perform a compliance spot check. The DB checked the PG&E natural gas pipeline laydown yard and observed that the majority of the stabilization gravel had been removed, Photo 10. The DB checked the LEC laydown areas for nesting birds, none were observed. The DB checked on the nesting Swainson's hawk in the employee parking lot and observed both adult Swainson's hawks flying erratically around the nest tree. Given the height of the nest in the tree and that lack of a good vantage point it is impossible to tell if there are young in the nest or if they have been predated. The DB observed PG&E crews working on the new gas yard in the southeast corner of the LEC project site, Photos 11 and 12. While on site the DB received a call from Joe Bittner / NCPA LEC Site Superintendent concerning the observation of a nest in a covered vent on the side of the electrical switch gear building, Photos 13 and 14. The DB observed adult barn swallows (*Hirundo rustica*) coming and going from the nest area and since there is no work being

performed in this area the nest area was left unmarked by signage. For more information on this observation see Appendix C Wildlife Observation Forms. The LEC project was in compliance during this site visit.

June 19th, the DB received a call from Mac Macgillivray/ ARB Safety Manager concerning the observation of a nest on a ladder on the side of a HRZG support. The DB traveled to the site and observed a house finch (*Carpodacus mexicanus*) nest on the ladder, Photo 15. The DB discussed the nest with employees in the area and then placed “Keep Out Sensitive Resource” signage on the ladder, Photo 16. The DB surveyed the project site and observed a dead juvenile mockingbird (*Mimus polyglottos*) on the ground in the STIG plant area, Photo 17. The mockingbird carcass was old and decimated making it impossible to determine the cause of death. The DB checked the laydown areas for nesting birds, none were observed. The DB checked on the nesting Swainson’s hawk in the employee parking lot and observed both adult Swainson’s hawks flying around the nest tree no young observed on the nest. Also, while on site the DB checked on the nesting barn swallows on the electrical switch gear building and observed part of the nest torn down and 3 dead juvenile barn swallows on the ground, Photos 18 through 20. All 3 carcasses had large holes that appeared to have been made by another bird by pecking. For more information on these observations see Appendix C Wildlife Observation Forms. The LEC project was in compliance during this site visit.

June 21st, the DB received a call from Jay Selvey/Worley Parsons Safety Manager concerning the observation of a nest behind a switch panel on the natural gas compressor station. The DB instructed Mr. Selvey to put up yellow caution tape and signage around the area where the nest is located. The DB informed Mr. Selvey that he would be on site the next day to document the observation. For more information on this observation see Appendix C Wildlife Observation Forms.

June 22nd, the DB was on site to check on the nesting house finches in the ladder on the HRZG support and observed young finches in the nest, Photo 23. The DB also checked on the nest in the natural gas compressor area and observed an adult female house finch leave the nest area when approached, the DB observed 4 eggs in the nest, Photos 21 and 22. The DB checked on the nesting Swainson’s hawks in the employee parking lot and did not observe any adults in the area. No other nests were observed during this site visit. The LEC project was in compliance during this site visit.

June 26th, the DB was on site to monitor nesting activities and to perform a compliance spot check. The DB checked on the nesting Swainson’s hawks in the employee parking lot and again did not observe any adults in the area. The DB checked on the nesting house finches in the ladder on the HRZG support and observed that the young had fledged, Photo 24. The DB removed the warning signage and yellow tape and informed the workers that they could now remove the ladder from the area. The DB checked on the nesting house finches in the natural gas compressor area and observed the female finch leave the nest when approached, again the DB observed 4 eggs in the nest, Photo 25. The LEC project was in compliance during this site visit.

June 29th, the DB was on site to monitor nesting activities and to perform a compliance spot check. The DB checked on the nesting Swainson’s hawks in the employee parking lot and did not observe any adults in the area. The DB parked on the side of Interstate 5 and was able to take a picture of the Swainson’s hawk nest which appeared empty, Photo 26. The DB checked

the nesting house finches at the gas compressor area and observed the female house finch on the nest. The DB climbed up on the cooling tower and took numerous photos of the LEC project site from this unique vantage point, Photos 27 through 32. The LEC site was in compliance during this site visit.

Appendix A
Cumulative Wildlife Species Observed in or Near
the Project Area

Cumulative Wildlife Species Observed in or Near the LEC Project Area

Common Name	Scientific Name	Comments
• BIRDS		
Canada goose	<i>Branta canadensis</i>	WPCF ponds, single individual captured and taken to Wildlife Care October 2010.
Cackling goose	<i>Branta hutchinsii</i>	Fly over
Snow goose	<i>Chen caerulescens</i>	Fly over
Gadwall	<i>Anas strepera</i>	WPCF ponds
Mallard	<i>Anas platyrhynchos</i>	WPCF ponds, nest in laydown area A 2011.
Northern pintail	<i>Anas acuta</i>	WPCF ponds
Northern shoveler	<i>Anas clypeata</i>	WPCF ponds
Cinnamon teal	<i>Anas cyanoptera</i>	WPCF ponds
Green-winged teal	<i>Anas crecca</i>	WPCF ponds
Lesser scaup	<i>Aythya affinis</i>	WPCF ponds
Bufflehead	<i>Bucephala albeola</i>	WPCF ponds
Ruddy duck	<i>Oxyura jamaicensis</i>	WPCF ponds
Ring-necked pheasant (Exotic)	<i>Phasianus colchicus</i>	WPCF ponds
Pied-billed grebe	<i>Podilymbus podiceps</i>	WPCF ponds
Eared grebe	<i>Podiceps nigricollis</i>	WPCF ponds
Horned grebe	<i>Podiceps auritus</i>	WPCF ponds
American white pelican	<i>Pelecanus erythrorhynchos</i>	WPCF ponds
Double-crested cormorant	<i>Phalacrocorax auritus</i>	WPCF ponds
Great blue heron	<i>Ardea herodias</i>	Canal and WPCF ponds
Great egret	<i>Ardea alba</i>	Canal and WPCF ponds
Snowy egret	<i>Egretta thula</i>	WPCF ponds, one individual observed dead adjacent to t-line along southern portion of project site August 2010.
Green heron	<i>Butorides virescens</i>	Canal
Black-crowned night-heron	<i>Nycticorax nycticorax</i>	Canal
White-faced ibis	<i>Plegadis chihi</i>	WPCF ponds
Turkey vulture	<i>Cathartes aura</i>	Fly over
White-tailed kite	<i>Elanus leucurus</i>	Pipeline route
Northern harrier	<i>Circus cyaneus</i>	Pipeline route
Cooper's hawk	<i>Accipiter cooperii</i>	Fly over
Sharp-shinned hawk	<i>Accipiter striatus</i>	Fly over
Red-shouldered hawk	<i>Buteo lineatus</i>	Hunting along canal
Red-tailed hawk	<i>Buteo jamaicensis</i>	Pipeline route and laydown areas. Injured individual, spring 2012.
*Swainson's hawk	<i>Buteo swainsoni</i>	One individual observed dead from collision with fence, Sept. 2010. Pair observed nesting in employee parking lot April 2011 and 2012.

Cumulative Wildlife Species Observed in or Near the LEC Project Area

Common Name	Scientific Name	Comments
Ferruginous hawk	<i>Buteo regalis</i>	Observed one hawk on gas pipeline right-of-way November 2011.
American kestrel	<i>Falco sparverius</i>	Laydown areas
Merlin	<i>Falco columbarius</i>	Perched along entrance road, 11/30/10
Peregrine falcon	<i>Falco peregrinus</i>	Hunting WPCF ponds
Prairie falcon	<i>Falco mexicanus</i>	Fly over, 11/9/10
Barn owl	<i>Tyto alba</i>	Dead individual observed near Safety trailer, 11/8/10.
Burrowing owl	<i>Athene cunicularia</i>	One individual observed 500-feet north of gas pipeline right-of-way, 10/13/11. Two individuals observed 400 and 500-feet north of the pipeline right-of-way, 2011 and 2012.
American coot	<i>Fulica americana</i>	WPCF ponds, one individual observed dead within Laydown Area F, April 2012.
Sandhill crane	<i>Grus canadensis</i>	Fly over
Black-bellied plover	<i>Pluvialis squatarola</i>	Pipeline route and WPCF ponds
Mountain plover	<i>Charadrius montanus</i>	Numerous individuals observed foraging in field just south of gas pipeline right-of-way, December 2011.
Pacific golden-plover	<i>Pluvialis fulva</i>	WPCF ponds
*Killdeer	<i>Charadrius vociferus</i>	Canal, laydown areas, pipeline route, and WPCF ponds. Nest in switchyard 2010. Nest in northern portion of power block, failed 2011. Nest in Laydown Area A predated, April and May 2011. Numerous nests all over project, spring of 2012.
Semipalmated plover	<i>Charadrius semipalmatus</i>	WPCF ponds
American avocet	<i>Recurvirostra americana</i>	WPCF ponds
Black-necked stilt	<i>Himantopus mexicanus</i>	WPCF ponds
Spotted sandpiper	<i>Actitis macularius</i>	WPCF ponds
Greater yellowlegs	<i>Tringa melanoleuca</i>	Pipeline route and WPCF ponds
Lesser yellowlegs	<i>Tringa flavipes</i>	WPCF ponds
Whimbrel	<i>Numenius phaeopus</i>	WPCF ponds
Long-billed curlew	<i>Numenius americanus</i>	Fly over, curlews observed foraging south of gas pipeline 2011
Least sandpiper	<i>Calidris minutilla</i>	WPCF ponds
Western sandpiper	<i>Calidris mauri</i>	WPCF ponds
Baird's sandpiper	<i>Calidris bairdii</i>	WPCF ponds
Pectoral sandpiper	<i>Calidris melanotos</i>	WPCF ponds
Dunlin	<i>Calidris alpina</i>	WPCF ponds
Long-billed dowitcher	<i>Gallinago delicata</i>	Canal
Wilson's snipe	<i>Calidris alpina</i>	Pipeline route and WPCF ponds
Wilson's phalarope	<i>Phalaropus tricolor</i>	WPCF ponds
Ring-billed gull	<i>Larus delawarensis</i>	WPCF ponds

Cumulative Wildlife Species Observed in or Near the LEC Project Area

Common Name	Scientific Name	Comments
Mew gull	<i>Larus canus</i>	WPCF ponds
California gull	<i>Larus californicus</i>	WPCF ponds, individual with broken wing and one with a broken neck, 2012.
Bonaparte's gull	<i>Larus philadelphia</i>	WPCF ponds
Caspian tern	<i>Hydroprogne caspia</i>	WPCF ponds
Forster's tern	<i>Limnodromus scolopaceus</i>	WPCF ponds
Common tern	<i>Sterna hirundo</i>	WPCF ponds
Rock pigeon (<i>Exotic</i>)	<i>Sterna fosteri</i>	STIG plant, nesting on scaffolding 2012.
Eurasian collared-dove (<i>Exotic</i>)	<i>Columba livia</i>	Laydown areas and pipeline route. One individual observed dead within STIG plant, August 2010.
Mourning dove	<i>Streptopelia decaocto</i>	Laydown areas and pipeline route
White-throated swift	<i>Aeronautes saxatalis</i>	Fly over
Vaux's swift	<i>Zenaida macroura</i>	Fly over
Anna's hummingbird	<i>Chaetura vauxi</i>	Canal and east parking area
Black-chinned hummingbird	<i>Calypte anna</i>	Canal
Belted kingfisher	<i>Archilochus alexandri</i>	Canal
Downy woodpecker	<i>Picoides pubescens</i>	East parking area
Nuttall's woodpecker	<i>Picoides nuttallii</i>	East parking area
Northern flicker	<i>Colaptes auratus</i>	Laydown areas and pipeline route
Pacific-slope flycatcher	<i>Empidonax difficilis</i>	Canal setback
Western wood-pewee	<i>Contopus sordidulus</i>	Canal setback
Black phoebe	<i>Sayornis nigricans</i>	Canal
Western kingbird	<i>Tyrannus verticalis</i>	Canal, laydown areas, and pipeline route
Cassin's vireo	<i>Lanius ludovicianus</i>	Canal setback
Loggerhead shrike	<i>Vireo cassinii</i>	Pipeline route
Western scrub-jay	<i>Aphelocoma californica</i>	East parking area and pipeline route
American crow	<i>Corvus brachyrhynchos</i>	Laydown areas and pipeline route
Common raven	<i>Corvus corax</i>	Laydown areas and pipeline route
Horned lark	<i>Eremophila alpestris</i>	Laydown areas and pipeline route
Purple martin	<i>Progne subis</i>	Pipeline route
Tree swallow	<i>Tachycineta bicolor</i>	Pipeline route
Northern rough-winged swallow	<i>Stelgidopteryx serripennis</i>	WPCF ponds
Cliff swallow	<i>Petrochelidon pyrrhonota</i>	Fly over. Injured individual spring 2012.
Bank swallow	<i>Riparia riparia</i>	WPCF ponds
*Barn swallow	<i>Hirundo rustica</i>	Pipeline route and WPCF ponds, one individual observed dead from Laydown Area E, 2011. 3 juveniles observed dead in electrical switch gear building, Summer 2012.
Bushtit	<i>Psaltriparus minimus</i>	Pipeline route and WPCF ponds
Ruby-crowned kinglet	<i>Regulus calendula</i>	East parking area

Cumulative Wildlife Species Observed in or Near the LEC Project Area

Common Name	Scientific Name	Comments
American robin	<i>Turdus migratorius</i>	Canal and laydown areas
*Northern mockingbird	<i>Mimus polyglottos</i>	Laydown areas and pipeline route
European starling (<i>Exotic</i>)	<i>Sturnus vulgaris</i>	Canal, laydown areas, and pipeline route
American pipit	<i>Anthus rubescens</i>	WPCF ponds and pipeline route
Cedar waxwing	<i>Bombycilla cedrorum</i>	Laydown areas and pipeline route
Orange-crowned warbler	<i>Vermivora celata</i>	East parking area and oaks along entrance road
Nashville warbler	<i>Vermivora ruficapilla</i>	Canal setback
Yellow warbler	<i>Dendroica petichia</i>	East parking area and oaks
Yellow-rumped warbler	<i>Dendroica coronata</i>	Laydown areas and pipeline route
Common yellowthroat	<i>Geothlypis trichas</i>	Canal
Wilson's warbler	<i>Wilsonia pusilla</i>	Canal setback
Western tanager	<i>Piranga ludoviciana</i>	Canal setback and east parking area
Spotted towhee	<i>Pipilo maculatus</i>	Canal setback
Savannah sparrow	<i>Passerculus sandwichensis</i>	Canal and pipeline route
Song sparrow	<i>Melospiza melodia</i>	Canal and pipeline route
Lincoln's sparrow	<i>Melospiza lincolnii</i>	Canal
Golden-crowned sparrow	<i>Zonotrichia atricapilla</i>	Canal and laydown areas
White-crowned sparrow	<i>Zonotrichia leucophrys</i>	Canal and pipeline route
Dark-eyed junco	<i>Junco hyemalis</i>	East parking area
Black-headed grosbeak	<i>Pheucticus melanocephalus</i>	East parking area
Blue grosbeak	<i>Passerina caerulea</i>	Canal, laydown areas, and pipeline route
Red-winged blackbird	<i>Agelaius phoeniceus</i>	Canal
Tricolored blackbird	<i>Agelaius tricolor</i>	Fly over
Brewer's blackbird	<i>Euphagus cyanocephalus</i>	STIG plant and WPCF ponds
Great-tailed grackle	<i>Quiscalus mexicanus</i>	Canal and WPCF ponds. Gas pipeline right-of-way.
Western Meadowlark	<i>Sturnella neglecta</i>	Pipeline route. One individual observed dead on grill of truck, 11/12/10.
Brown-headed cowbird	<i>Molothrus ater</i>	Canal and WPCF ponds
Bullock's oriole	<i>Icterus bullockii</i>	Laydown areas and Energy Center footprint
Orchard oriole	<i>Icterus spurius</i>	Canal setback
*House finch	<i>Carpodacus mexicanus</i>	STIG plant and pipeline route. One individual observed dead near existing STIG plant, April 2011. Numerous nests throughout project spring 2011. Nest in PG&E dump truck, May 2012.
American goldfinch	<i>Carduelis tristis</i>	Canal, laydown areas, and pipeline route
Lesser goldfinch	<i>Carduelis psaltria</i>	Laydown areas
House sparrow (<i>Exotic</i>)	<i>Passer domesticus</i>	STIG plant and pipeline route. One individual observed dead.

Cumulative Wildlife Species Observed in or Near the LEC Project Area

Common Name	Scientific Name	Comments
MAMMALS		
Audubon's cottontail	<i>Sylvilagus audubonii</i>	Laydown areas and Energy Center footprint. One individual observed in northern portion of power block April 2011.
California vole	<i>Microtus californicus</i>	Energy Center Footprint and laydown areas. Several individuals killed during clearing and grubbing, August 2010.
Botta's pocket gopher	<i>Thomomys bottae</i>	Dead individual observed near HRSG foundation, Nov. 2010.
*Virginia opossum	<i>Didelphis virginiana</i>	Injured individual in water treatment building, 2012. Observed individual in employee parking lot and water treatment building, spring 2012.
Long tailed weasel	<i>Mustela frenata</i>	Dead individual observed on access road to LEC project, spring 2012
Western harvest mouse	<i>Reithrodontomys megalotis</i>	Observed dead mouse in bell-hole excavation on gas pipeline alignment, January 2012.
California ground-squirrel	<i>Spermophilus beecheyi</i>	Pipeline route, Energy Center footprint and laydown areas
Dog	<i>Canis familiaris</i>	Two dogs observed in southern section of LEC site, April 2011. Skelton of dog unearthed by gas pipeline, December 2011.
Feral cat	<i>Felis catus</i>	Energy Center Footprint
REPTILES		
Western pond turtle	<i>Actinemys marmorata</i>	Canal and WPCF ponds
Red-eared slider	<i>Chrysemys scripta</i>	Canal and crossing access road, 2012.
Common king snake	<i>Lampropeltis getulus</i>	Several caught and relocated during clearing and grubbing, one individual killed, August and October 2010. One individual killed on project to the north, April 2011. Two individual captured and relocated November 2011.
Western skink	<i>Plestiodon (Eumeces) skiltonianus</i>	One individual crushed during clearing and grubbing, August 2010.
Gopher snake	<i>Pituophis melanoleucus</i>	BM captured and relocated one individual Sept. 2010. DB captured and removed from project site, April 2011.
Common garter snake	<i>Thamnophis sirtalis</i>	BM observed one individual near the City of Lodi White Slough Treatment plant, Sept. 2010. One individual killed, April 2011. One individual captured and relocated off site May, 2011.
Southern alligator lizard	<i>Gerrhonotus multicarinatus</i>	Observed during clearing and grubbing, 2010.
Western fence lizard	<i>Sceloporus occidentalis</i>	Laydown area, pipeline route and Energy Center footprint

Cumulative Wildlife Species Observed in or Near the LEC Project Area

Common Name	Scientific Name	Comments
INVERTEBRATES		
Butterflies		
Cabbage white	<i>Pieris rapae</i>	Pipeline route
Orange sulphur	<i>Colias eurytheme</i>	Pipeline route
Painted lady	<i>Vanessa cardui</i>	Pipeline route
Red admiral	<i>Vanessa atalanta</i>	Pipeline route

* Indicates new observance or additional information.

Appendix B
Site Photos



Photo 1, PG&E gas pipeline laydown area after chain link fence removal and partial removal of gravel, 6/1/12.



Photo 2, of killdeer nest #1 after eggs hatched just prior to removal of protective fencing, 6/1/12.



Photo 3, of killdeer nest #3 after eggs hatched, 6/1/12.



Photo 4, of abandoned killdeer nest #4 with 1 egg and no adult present, 6/1/12.



Photo 5, of abandoned killdeer nest #2 with 4 eggs and no adult present, 6/1/12.



Photo 6, close-up of female Swainson's hawk on nest in employee parking lot, 6/1/12.



Photo 7, of juvenile opossum after safe capture and prior to release off site, 6/4/12.



Photo 8, of juvenile opossum being released in White Slough Wildlife Area, 6/4/12.



Photo 9, of juvenile opossum heading for cover after safe release in White Slough Wildlife Area, 6/4/12.



Photo 10, PG&E gas pipeline laydown area after removal of most of gravel, 6/13/12.



Photo 11, of PG&E gas pipeline in the southeast corner of LEC project site, 6/13/12.



Photo 12, of new gas piping in the southeast corner of the LEC project site, 6/13/12.



Photo 13, of switch gear building vent cover with barn swallow nest inside, 6/13/12.



Photo 14, of barn swallow nest inside vent cover, 6/13/12.



Photo 15, of house finch nest on ladder on the west side of the HRZG, 6/19/12.



Photo 16, of house finch nest exclusion area on west side of HRZG, 6/19/12.



Photo 17, of dead juvenile mockingbird as observed in STIG area, 6/19/12.



Photo 18, of 2 of the 3 dead barn swallows as observed on the ground under the nest, 6/19/12.



Photo 19, of third dead barn swallow as observed under the nest, 6/19/12.



Photo 20, of all three dead barn swallows with portion of nest prior to disposal, 6/19/12.



Photo 21, of new house finch nest in the natural gas compressor station, 6/22/12.



Photo 22, close-up of house finch nest in the natural gas compressor station, 6/22/12.



Photo 23, close-up of house finch nest with juveniles in ladder on west side of HRZG, 6/22/12.



Photo 24, of empty house finch nest after removal from ladder by Designated Biologist, 6/26/12.



Photo 25, close-up of house finch nest in natural gas compressor station, 6/26/12.

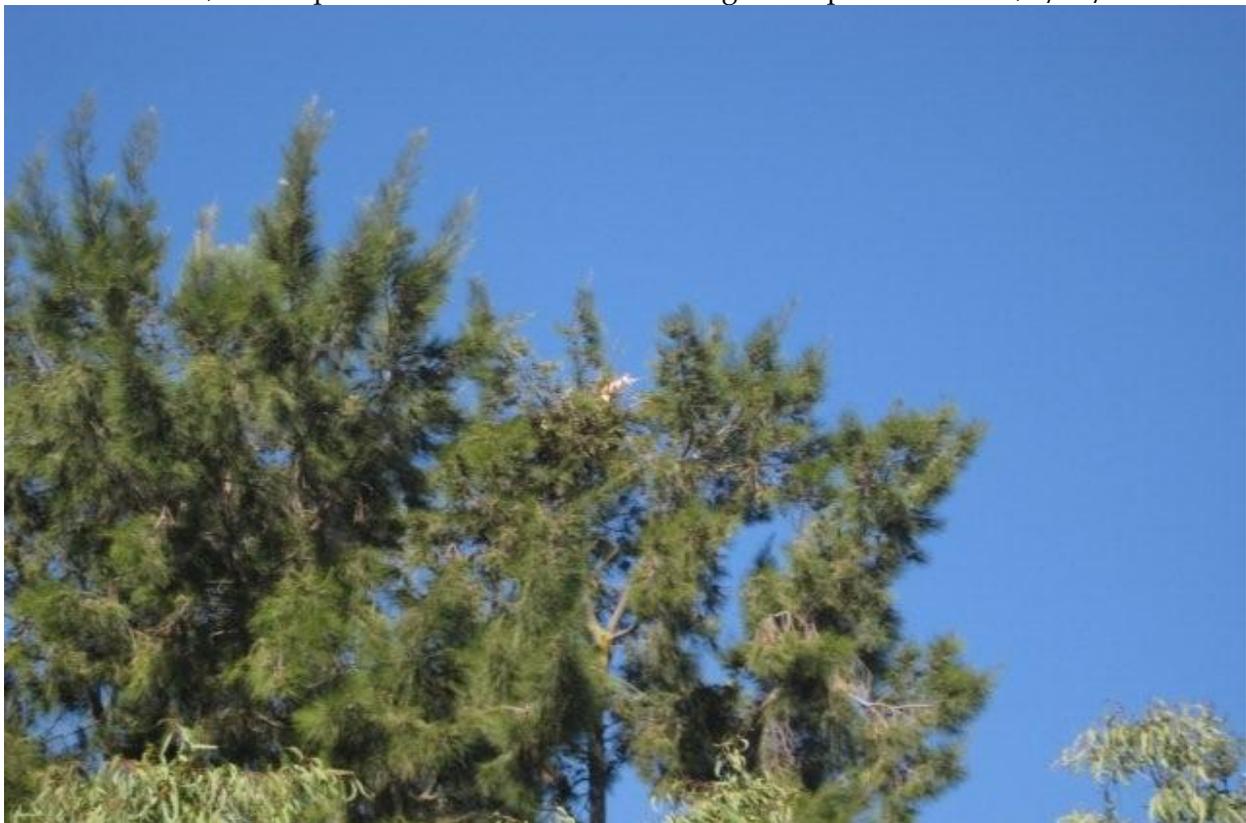


Photo 26, of empty Swainson's hawk nest in employee parking lot, 6/29/12.



Photo 27, of PG&E natural gas piping, photo taken facing east from cooling tower, 6/29/12.



Photo 28, of west side of LEC, photo taken facing north from cooling tower, 6/29/12.



Photo 29, LEC project facing northeast from cooling tower, 6/29/12.



Photo 30, LEC project facing east from cooling tower, 6/29/12.



Photo 31, close-up of juvenile robin as observed on cooling tower, 6/29/12.



Photo 32, of temporary fencing being removed so permanent fencing can be installed on eastern perimeter of LEC site, 6/29/12.

Appendix C
Wildlife Observation Forms

Figure G-1. Wildlife Observation Form

WILDLIFE OBSERVATION FORM	
To Record Animals Found In Lodi Energy Center Project Areas	
To be filled out by personell who find active nest sites and burrows, dens, and dead or injured wildlife, or other biological resources during daily construction activities.	
Name of employee:	Mac Macgillivray/ARB Safety Manager
Date:	6-4-12
Location of observation:	Water treatment building
Wildlife Species:	opossum
Condition of wildlife:	alive <input checked="" type="checkbox"/> dead <input type="checkbox"/>
Possible cause of injury or death:	N/A
Where is the animal currently?	Safely released off site at White Slough Wildlife AREA
Is the resource in danger of project (or other) impacts?	NO
Comments:	Mac called the DB and reported the opossum. The DB captured and relocated the opossum off site.
Please contact the Designated Biologist for questions and to report any wildlife, nest, or den in the project area that could be disturbed. The Designated Biologist will advise personnel on measures required by California Department of Fish and Game (CDFG) and United States Fish and Wildlife Service (USFWS) to protect fish, wildlife and vegetation from construction impacts.	
DESIGNATED BIOLOGIST: Rick Crowe Cell (916) 296-5525 Office (916) 286-0416	
BIOLOGICAL FIELD MONITORS: Dan Williams Cell (916) 943-8247 Office (916) 286-0229	
Victor Leighton Cell (916) 425-7862 Office (916) 286-0415	
COMPANY: CH2MHILL ADDRESS: 2485 Natomas Park Drive, St. 600	

Figure G-1. Wildlife Observation Form

WILDLIFE OBSERVATION FORM	
To Record Animals Found In Lodi Energy Center Project Areas	
To be filled out by personell who find active nest sites and burrows, dens, and dead or injured wildlife, or other biological resources during daily construction activities.	
Name of employee:	Joe Bittner/NCPALEC Site Superintendent
Date:	6-13-12
Location of observation:	Side of building housing electrical switch gear. Nest is in vent cover.
Wildlife Species:	Barn Swallow nest w/3 young
Condition of wildlife:	alive <input checked="" type="checkbox"/> dead <input type="checkbox"/>
Possible cause of injury or death:	N/A
Where is the animal currently?	In nest
Is the resource in danger of project (or other) impacts?	No
Comments:	Mr. Bittner informed the DB that several employees had observed swallows coming & going from vent area.
Please contact the Designated Biologist for questions and to report any wildlife, nest, or den in the project area that could be disturbed. The Designated Biologist will advise personnel on measures required by California Department of Fish and Game (CDFG) and United States Fish and Wildlife Service (USFWS) to protect fish, wildlife and vegetation from construction impacts.	
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To be filled out by personell who find active nest sites and burrows, dens, and dead or injured wildlife, or other biological resources during daily construction activities.	
Name of employee:	Mac Macgillivray / ARB Safety Manager
Date:	6-19-12
Location of observation:	House Finch nest is on ladder leaning against a HRZG support
Wildlife Species:	house finch nest
Condition of wildlife:	alive <input checked="" type="checkbox"/> dead <input type="checkbox"/>
Possible cause of injury or death:	N/A
Where is the animal currently?	on nest
Is the resource in danger of project (or other) impacts?	No
Comments:	Mac called the DB and reported the nest. The DB traveled to the site and installed exclusion signage.
Please contact the Designated Biologist for questions and to report any wildlife, nest, or den in the project area that could be disturbed. The Designated Biologist will advise personnel on measures required by California Department of Fish and Game (CDFG) and United States Fish and Wildlife Service (USFWS) to protect fish, wildlife and vegetation from construction impacts.	
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To be filled out by personell who find active nest sites and burrows, dens, and dead or injured wildlife, or other biological resources during daily construction activities.	
Name of employee:	Rick Crowe / LEC Designated Biologist
Date:	6-19-12
Location of observation:	In STIG piping area
Wildlife Species:	juvenile mockingbird
Condition of wildlife:	
alive	<input type="checkbox"/>
dead	<input checked="" type="checkbox"/>
Possible cause of injury or death:	Not known
Where is the animal currently?	disposed of
Is the resource in danger of project (or other) impacts?	NO
Comments:	While conducting a compliance check the DB observed the dead mockingbird, emaciated lying on the ground.
Please contact the Designated Biologist for questions and to report any wildlife, nest, or den in the project area that could be disturbed. The Designated Biologist will advise personnel on measures required by California Department of Fish and Game (CDFG) and United States Fish and Wildlife Service (USFWS) to protect fish, wildlife and vegetation from construction impacts.	
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Figure G-1. Wildlife Observation Form

<p align="center">WILDLIFE OBSERVATION FORM</p> <p align="center">To Record Animals Found In Lodi Energy Center Project Areas</p> <p>To be filled out by personell who find active nest sites and burrows, dens, and dead or injured wildlife, or other biological resources during daily construction activities.</p>	
Name of employee:	Designated Biologist Rick Crowe
Date:	6-19-12
Location of observation:	Existing electrical switch room
Wildlife Species:	3 Barn Swallow juveniles
Condition of wildlife:	alive <input type="checkbox"/> dead <input checked="" type="checkbox"/>
Possible cause of injury or death:	predated. Numerous large holes in each young's bodies. Appeared to have been
Where is the animal currently?	Disposed of
Is the resource in danger of project (or other) impacts?	NO
Comments:	Barn swallows appeared to have been predated.
<p>Please contact the Designated Biologist for questions and to report any wildlife, nest, or den in the project area that could be disturbed. The Designated Biologist will advise personnel on measures required by California Department of Fish and Game (CDFG) and United States Fish and Wildlife Service (USFWS) to protect fish, wildlife and vegetation from construction impacts.</p>	
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Figure G-1. Wildlife Observation Form

WILDLIFE OBSERVATION FORM	
To Record Animals Found In Lodi Energy Center Project Areas	
To be filled out by personell who find active nest sites and burrows, dens, and dead or injured wildlife, or other biological resources during daily construction activities.	
Name of employee:	Jay Selvey / ^{Woolley} Parsons Safety Manager
Date:	6-21-12
Location of observation:	LEC natural gas compressor area
Wildlife Species:	house finch
Condition of wildlife:	alive <input checked="" type="checkbox"/> dead <input type="checkbox"/>
Possible cause of injury or death:	N/A
Where is the animal currently?	On nest
Is the resource in danger of project (or other) impacts?	NO
Comments: Jay reported the nest to the DB. The DB instructed Jay to put up protective signage and informed him that he would be onsite tomorrow, 6-22-12	
Please contact the Designated Biologist for questions and to report any wildlife, nest, or den in the project area that could be disturbed. The Designated Biologist will advise personnel on measures required by California Department of Fish and Game (CDFG) and United States Fish and Wildlife Service (USFWS) to protect fish, wildlife and vegetation from construction impacts.	
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Victor Leighton Cell (916) 425-7862 Office (916) 286-0415	
COMPANY: CH2MHILL ADDRESS: 2485 Natomas Park Drive, St. 600	

Lodi Energy Center (LEC) COC PAL-5; Paleontological Resources Monitoring Report for Construction Activities in June, 2012

PREPARED FOR: Andrea Greiner, Greiner & Associates
Sarah Madams, CH2M HILL

PREPARED BY: W. G. Spaulding, Ph.D., Paleontological Resources Specialist (PRS)
Levi R. Pratt, Staff Paleontologist

DATE: July 6, 2012

This report covers paleontological resources monitoring activities at the Lodi Energy Center (LEC) project for the month of June, 2012, as required by Conditions of Certification PAL-5.

Personnel On-Call for Paleontological Monitoring This Period:

Jaspal Saini - Paleontological Resources Monitor (PRM)
Levi Pratt (PRM)

Training Conducted This Month

All construction personnel receive the CEC approved Paleontological Resources Awareness Module of Worker Environmental Awareness Training prior to working on this project.

Monitoring Conducted This Month

Excavations to depths that may have paleontological potential have largely been concluded as project build-out goes vertical. Because no paleontologically sensitive sediments were affected this last month, no paleontological resources monitoring was conducted.

Changes In the Future

No changes to the current paleontological resources monitoring schedule are necessary. The next step is to determine whether any additional deep excavations will occur.

Paleontological Discoveries This Month

No paleontological resources were encountered during this reporting period.

Comments, Issues or Concerns

No issues or concerns were encountered during this period.

Monthly Report of Cultural Resources Monitoring Activities for the Lodi Energy Center; COC CUL-6

Prepared For: Sarah Madams, LEC Project Manager
Prepared By: Clint Helton, LEC CRS
Reporting For Period: June 2012

This report covers cultural resources monitoring activities at the Lodi Energy Center (LEC) project for the month of June 2012, as required by Conditions of Certification CUL-6.

Personnel Active in Cultural Monitoring This Period

Clint Helton was on-call as the Cultural Resources Specialist (CRS) for this month.

Monitoring and Associated Activities This Period

No cultural resources monitoring occurred.

Cultural Resources Discoveries This Period

None.

Anticipated Changes in the Next Period

None.

Comments, Issues or Concerns

None.

Exhibit 7

WEAP Training Sign-In Sheets

Exhibit 8

Construction Safety Reports



Lodi Energy Center Project Safety Summary for June 2012

Report Prepared by WorleyParsons Construction Safety Supervisor; J.E.Selvey

New hires, Training, Hours, and Statistics:

Recordables:	0
Near Misses;	0
First Aids:	0
New Restricted Work Cases	0
Vehicle Incidents:	1

New hire orientations:	26
ARB average workers for month	173

Incident Rates (IR) and Hours Worked; Monthly, YTD, and JSTD

	June	Year to Date	Job Start to Date
ARB Hours	28,464	246,152	827,078
ARB IR	0	0	0.48363
PRT/SPX Hours	0	1,810	19,358
PRT/SPX IR	0	0	10.33
Total Hours	28,464	247,962	846,436
Combined IR	0	0	0.70885



Findings

Vehicles

- A Skytrac all terrain fork lift was in a tight spot and contacted an un-manned Genie 135 manlift. Paint was scratched on the lift and the backup lights bracket was broken on the forklift. Operator is no longer on the job
 - On 6/12/12 a branch fell from a tree and went through a windshield while parked in the ARB tradesmen parking lot. Windshield was replaced. No injury.
-

First Aid/Near Miss/Incidents

- See above
-

PPE

- Still seeing abuses by welders not wearing hard hats as per the “variance” instilled by ARB
 - Still seeing dark glasses worn by those working in the STG before roof was removed
 - There have been instances of welders not wearing respiratory protection when welding/grinding/cutting galvanized metals.
 - Where 100% tie-off is required there have been a few minor instances of people tying off to California hand rails of scaffolding or at their feet. These are immediately corrected when discovered. It has been spoken of at the Wednesday all hands meetings
-

Housekeeping

- Blow down sump area looking better with most of the work completed. Constant vigilance and education of those working in area played a key role in not having an incident.



Housekeeping, cont.

- Scaffolding and platforms looking better. There is always room for improvement
 - Roll backs are in progress now that the job is winding down. Site is getting less congested with unused tools and cords
-

JHAs

- JHAs are being checked and signed off daily by Gabe Carroll of CEI. There is always a continuing effort to update and expand upon them.
 - There have been many instances of special jobs being done, such as confined spaces, and JHAs are being done in the most part for them.
-

Site Observances and Events

- Attention being ramped up in regards to “little things” to combat complacency.
- Still seeing the small LPG canisters not stored properly. Laborers are taking care of them as seen
- While most of the decks and platforms have been cleaned off there are still some that need work
- Follow up on the branch through the windshield; there is a large dead tree in the parking lot south of the guard shack that is in danger of coming down in a windstorm. NCPA petitioned the City of Lodi for its removal and was granted permission. NCPA informed ARB that they had permission to take down the tree if they wished
- Aerosol cans are scattered around and need to be put in the used aerosol can bin provided by ARB. Again, the Laborers will be on the lookout for the cans
- PG&E in to finish gas piping and bypass. Hydrostatic tests of the pipe was completed without any problems
- ARB “pigged” the gas lines from PG&E Metering to the GCS without mishap
- Flush of raw water system is complete to everyone’s satisfaction
- Holes in scaffolding and decking were addressed, particularly those over the BD sump area
- Art of Brand Scaffold and I went around the site inspecting scaffolding for toe boards and holes. All problems were addressed



Site Observances and Events, cont.

- Running across people operating extended boom man lifts without filling out a Daily Inspection Sheet. Although all claim to have inspected the lift, the paperwork needs to be completed as well.
 - Spider box with many cords attached was at the base of a ladder landing on a deck. It was relocated to allow safe use of the ladder
 - Welding leads are not being repaired by someone competent to do so. I have found duct tape on a couple. They were taken to the tool room to be repaired properly
-

Positive Observations

- STG oil flush proceeded without any problems
 - Chemicals are being handled properly according their respective MSDS' with secondary containment being used at all times
 - LOTO has been going well. All custodial members stress over-communication to their members regarding LOTOs.
 - 230kV backfeed to the STG proceeded without a hitch last weekend of June
 - Broken tools, compromised leads, and cords are being taken out of service during roll backs
 - More pedestrian access ways were made safer with the advent of steps, bridges, or ramps.
-

Environmental/Emergency Response;

- SSA is clean with 4 barrels being currently used
- Swallow was found alive but died before Rick Crowe could arrive
- Oil was found on the ground by the STIG existing Gas Compressor. Vinnie of NCPA had it cleaned up immediately

Monthly CEC Project Workers Safety Report**Project:** Lodi Energy Center 08-AFC-10**Report Period:** June 2012**Prepared by Inspector of Record:** Taner Pamuk

1. Executive Summary of the Workers Safety Management

- ❖ NCPA/WP safety manager continued to perform daily site inspections and included follow-up to ensure and verify that unsafe items were closed.
- ❖ NCPA/WP safety manager continued to perform safety inspections and random checks on site to ensure that all contractors were in compliance with LORS and were maintaining a safe site for their workforce, as well as others who might be affected.
- ❖ The CBO safety representative performed walk down inspections with LEC Project safety personnel. Issues that were observed during these walk-downs were documented by the LEC Project safety personnel and correction follow-up was done accordingly.

2. Field Condition and Observations

No major discrepancies or violations were observed during the site visits of this Month. Lodi Energy Center construction activities continued to comply with the California Energy Commission's Final Decision Worker Safety requirement through contractor ARB's safety program.

Some of the highlighted safety concerns and precautions were:

- ❖ LEC project safety personnel and management continued on focusing to keep the site personnel motivated to avoid being complacent with safety as the project comes to an end,
- ❖ Fall protection while working on leading edges and ensuring that proper anchoring while using Personal Fall Arrest Systems
- ❖ Steam Turbine Generator GSU was energized (with back-feed) and energized overhead line among the site
- ❖ Use of tool especially portable grinders and body positioning
- ❖ Avoiding tripping hazards by re-routing and / or elevating power cords on decking
- ❖ LEC project is getting ready for the chemical cleaning of the steam pipe lines and performed fuel-gas pipe line cleaning (service to the separator)

HEALTH & SAFETY MONITORING

Period 06/01/12-06/30/12

- ❖ Ensuring that interval safety inspection are being held consistently (as required in the contractor’s safety program)
- ❖ Ensuring that required interval inspection of tools (including power tools / cords and rigging equipment) and construction equipment are being done consistently (as per OSHA requirements and as stated in the contractor’s safety program)

Pictorial summary of the site conditions



Photo #1 – Preparations for the chemical clean

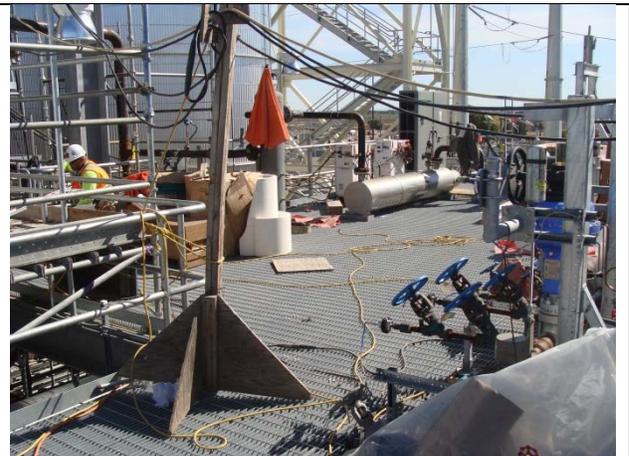
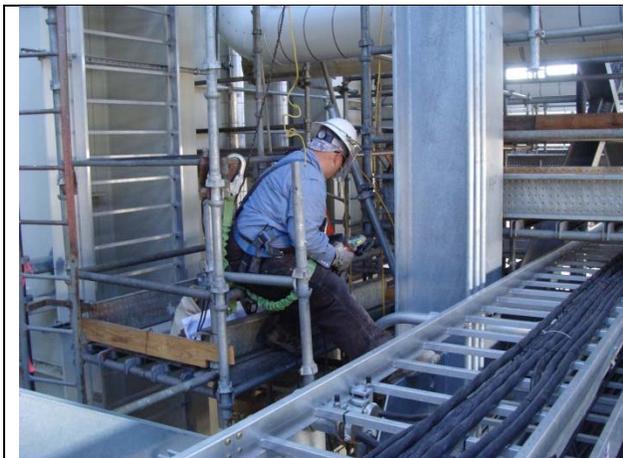


Photo #2 – Pipe Rack: power cords creating tripping hazard

3. Observed Unsafe Conditions and Corrective Actions Taken



Correction Required

Observed an employee working on a scaffold who was tied-off to California rail of the scaffold (which does not meet the tie-off point specifications)

Standard

8 CCR 1670 (b)(10)

Corrective Action Requested

Enforce the rules regarding proper tie-off
Provide refreshment training, if needed

RESOLVED

	<p>Correction Required Ladder safety concern: observed a ladder that was used to access a scaffold platform with a height more than 30 feet</p> <p>Standard 8 CCR 1637 (n)(2)(B) 8 CCR1675 (c) 8 CCR 3277 (g)</p> <p>Corrective Action Requested Provide fall protection while using the ladder</p> <p>RESOLVED</p>
	<p>Correction Required Observed an employee working around the blow down sump without fall protection</p> <p>Standard 8 CCR 1670 (a)</p> <p>Corrective Action Requested Enforce fall protection in the area Post signs and delineate the area if feasible (control access zone) Re-evaluate the risks</p> <p>RESOLVED</p>
	<p>Correction Required Observed open holes on temporary work platforms without toe-board where tools are not secured / tethered and personnel were working below grades</p> <p>Standard 1926.501(c)(1), (2)&(3)</p> <p>Corrective Action Requested Secure all tools and If feasible install toeboards, Re-assess the risks for the personnel such as welders working below grades with soft caps (improper head protection)</p>

	<p>Correction Required Use of wire slings: observed kinked wire sling</p> <p>Standard 8 CCR 5042 (a) 8 CCR 5031 (c)</p> <p>Corrective Action Requested Ensure the working condition of the wire sling Perform interval inspections of all rigging equipment</p> <p>RESOLVED</p>
	<p>Correction Required Housekeeping concern and fire prevention measure: Observed oily rags (used to clean machinery / pumps) left inside the STG enclosure</p> <p>Standard 8 CCR 1513</p> <p>Corrective Action Requested Avoid accumulation of combustible and flammable waste materials</p> <p>RESOLVED</p>
	<p>Correction Required Observed an employee using a grinder as a bench grinder and without required PPE</p> <p>Standard Improper use– 8 CCR 3576 (b) No face protection – 8 CCR 1514 (a)</p> <p>Corrective Action Requested Avoid improper use of grinders and enforce PPE as per required for the task</p> <p>RESOLVED</p>



Correction Required

Site fence along the east and some portion of the north border line was taken down. There is no physical barrier or delineation to waste water treatment plant collection pool for waste water

Standard

8 CCR 1511 (b)

Corrective Action Requested

Implement and establish emergency rescue plan (if personnel falls into the sludge pool)

No.	Date	Description of area of work:	Open Item(s)	Signed off	CBO Approval	Open Item
1	09/27/10	HRSO Duct Bank crossing (north)	Need listing for couplers	09/30/10	Lowell Brown	
2	09/30/10	HRSO Duct Bank crossing (Middle)	N/A	10/04/10	Lowell Brown	
3	10/06/10	HRSO Duct Bank crossing (South)	N/A	10/07/10	Lowell Brown	
4	10/14/10	HRSO Ground Grid	CEMS Grounding, Lightning c/o stack	10/14/10	Lowell Brown	Lightning Prot N/E
5	10/21/10	CTG Ground Grid	N/A	10/21/10	Lowell Brown	
6	11/01/10	HRSO Bottom Mat, bolts & drains	Rebar Mat laps, repair pipe wrap	11/01/10	Lowell Brown	
7	11/04/10	HRSO Drain Ductile Iron Top out	Top out O.K.	11/04/10	Lowell Brown	
8	11/04/10	HRSO Top Mat & Repairs	Rebar, Bolts, Pipe Wrap finished	11/04/10	Lowell Brown	
9	11/10/10	CTG Foundation Bottom Mat	Progress O.K., Need Conduits & Pipe	11/10/10	Lowell Brown	
10	11/10/10	Site Temporary Facilities	Provide approved plans for Inspection	11/10/10	Lowell Brown	
11	11/12/10	Firewall Ftg, Rebar & Grounds	Rebar & Grounds	11/12/10	Lowell Brown	
12	11/22/10	Fire Wall Rebar	Minor Items to be confirmed by Spec.	11/22/10	Lowell Brown	
13	11/24/10	CT Drains (3) Top out Test	O.K., pipe test X 3	11/24/10	Lowell Brown	
14	12/10/10	Fire Line Tie-in Spool	Hydrotest to 200 psi / 2hrs, o.k.,	12/10/10	Lowell Brown	
15	12/10/201	CTG Foundation Top Mat, Bolts, conduits & grnd	See 14 item corr. Notice	12/14/10	Lowell Brown	
16	12/10/10	STIG Cooling Tower Fnd, & Chem Skids	Rebar & bolts o.k.	12/10/10	Lowell Brown	
17	12/12/10	Trailer reinspection for ARB	O.K.	12/12/10	Lowell Brown	
18	12/14/10	CTG Foundation Reinspection	Rebar corrections completed.	12/14/10	Lowell Brown	
19	12/22/10	Cooling Tower Sump Rebar & Grnds	Rebar & grnds o.k.	12/22/10	Lowell Brown	
20	12/22/10	CTG Pedestal Rebar & grnds	See 3 item corr. Notice	12/23/10	Lowell Brown	
21	12/23/10	CTG Pedestal Rebar & grnds Reinspection	Rebar O.K.,	12/23/10	Lowell Brown	
22	01/07/11	Cooling Tower Sump Walls (partial)	Rebar O.K.,	01/07/11	Lowell Brown	
23	01/07/11	Worley Parsons Construction Site Trailer	See 5 Item Correction Notice			
24	01/12/11	CTG Pedestals Rebar and Plates	See 11 item Correction Notice	01/14/11	Lowell Brown	
25	01/14/11	Reinsp. Pedestals and Plates	Items corrected, O.K.	01/14/11	Lowell Brown	

26	01/18/11	Cooling Tower Slab on grade rebar & Water Stop	See (2) item Correction Notice	01/20/11	Lowell Brown	
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No.	Date	Description of area of work:	Open Item(s)	Signed off	CBO Approval	Open Item
27	01/20/11	Reinspect C.T. /SOG, Rebar & Water Stop	Corrections complete, O.K.	01/20/11	Lowell Brown	
28	01/25/11	Gas Compressor Foundation Rebar	Rebar O.K.	01/25/11	Lowell Brown	
29	01/25/11	Oil/Water Sep. Fdn Rebar, bolts & C.T. Walls	Rebar/bolts OWS, rebar O.K. CT Walls	01/28/11	Lowell Brown	
30	02/04/11	STIG Gas Compressor & C.T. Pads Pipe supp.	Rebar, grounds and bolts O.K.	02/04/11	Lowell Brown	
31	02/16/11	Cooling Tower Sump south wall & Lube Oil Curb	Rebar and waterstop O.K.,	02/16/11	Lowell Brown	
32	02/23/11	STG Foundation Rebar, Bolts and Grounds	See (4) item correction list	02/28/11	Lowell Brown	
33	02/28/11	STG Fnd reinsp Rebar, Bolts and Grounds	Rebar, bolts & grounds O.K.	02/28/11	Lowell Brown	
34	03/08/11	Exhaust Outlet Foundation	Rebar /bolts O.K.	03/08/11	Lowell Brown	
35	03/09/11	Blow Down Slump	Rebar / waterstop O.K.	03/09/11	Lowell Brown	
36	03/14/11	Cooling Tower East wall	Rebar waterstop. O.K.	03/11/11	Lowell Brown	
37	03/14/11	CT GST Rebar and Waterstop	Rebar and waterstop O.K.	03/13/11	Lowell Brown	
38	03/16/11	Pump Chamber Cooling Tower	Rebar / clearance / waterstop. O.K.	03/16/11	Kevin Dumford	
39	03/18/11	Cooling Tower Basin Pump	Rebar /clearance/waterstop. O.K.	03/18/11	Kevin Dumford	
40	03/18/11	Waste Water Collection Slump matt	Rebar/waterstop O.K.	03/18/11	Kevin Dumford	
41	03/22/11	STG Condenser Pedestals	Corrections.	03/28/11	Lowell Brown	
42	03/22/11	HRSR Roto Air Cooler Pedestals	Corrections.	03/31/11	Lowell Brown	
43	03/25/11	STG Condenser Pedestals	Corrections.	03/28/11	Kevin Dumford	
44	03/28/11	STG Condenser Pedestals	Rebar Corrections complete O.K.	03/28/11	Kevin Dumford	
45	03/30/11	HRSR Roto Air Cooler Pedestals	Rebar / clearance	03/31/11	Kevin Dumford	
46	03/30/11	Cooling Tower slab center section.	Rebar/waterstop/clearance. O.K.	03/30/11	Kevin Dumford	
47	03/30/11	CT Gen. step up foundation pedestals	Rebar / clearance. O.K.	03/31/11	Kevin Dumford	
48	04/05/11	HRSR utility bridge foundation east side.	Rebar/ clearance. O.K.	04/05/11	Kevin Dumford	
49	04/05/11	CT Gen. step up walls 3ft lift.	Rebar/waterstop/clearance. O.K.	04/06/11	Kevin Dumford	
50	04/05/11	West side HRSR utility bridge foundation.	Rebar/clearance. O.K.	04/06/11	Kevin Dumford	

51	04/05/11	Cooling tower walls (middle).	corrections	04/07/11	Kevin Dumford	
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No.	Date	Description of area of work:	Open Item(s)	Signed off	CBO Approval	Open Item
52	04/07/11	Cooling tower walls (middle).	Rebar/waterstop/clearance. O.K.	04/07/11	Kevin Dumford	
53	04/07/11	Pipping support cooling tower.	Rebar/ bolts. O.K.	04/07/11	Kevin Dumford	
54	04/11/11	Utility bridge (HRSG) west side.	Rebar/clearance. O.K.	04/07/11	Kevin Dumford	
55	04/12/11	Waste water collection sump walls.	Rebar/clearance/waterstop. O.K.	04/12/11	Kevin Dumford	
56	04/12/11	Top of pump chamber cooling tower.	Rebar/clearance. O.K.	04/12/11	Kevin Dumford	
57	04/12/11	Cooling tower pipe supports south of C/T.	Rebar. O.K.	04/13/11	Kevin Dumford	
58	04/14/11	Duct bank at cooling tower to water treatment.	rebar (roadway) and conduit.	04/14/11	Kevin Dumford	
59	04/15/11	HRSG power block.	Rebar/clearance.	04/15/11	Kevin Dumford	
60	04/19/11	STG perimeter foundation.	missing drains correction.	04/21/11	Kevin Dumford	
61	04/20/11	HRSG power block columns.	Rebar/clearance. O.K.	04/20/11	Kevin Dumford	
62	04/21/11	STG perimeter foundation.	Rebar/clearance added drains.	04/21/11	Kevin Dumford	
63	04/25/11	CT Gen step up (blast) walls.	Rebar/clearance. O.K.	04/27/11	Kevin Dumford	
64	04/28/11	Utility bridge (HRSG) foundation F-7 F-8.	Rebar/clearance. O.K.	04/28/11	Kevin Dumford	
65	04/28/11	PDC "1" foundation.	Rebar/clearance. O.K.	04/28/11	Kevin Dumford	
66	05/03/11	PDC "1" columns.	Rebar/clearance. O.K.	05/04/11	Kevin Dumford	
67	05/05/11	Utility bridge HRSG columns	Rebar/clearance. O.K.	05/05/11	Kevin Dumford	
68	05/06/11	ISO phase bus duct	Rebar/clearance. O.K.	05/10/11	Kevin Dumford	
69	05/06/11	CT electrical platform foundation	Rebar/clearance. O.K.	05/10/11	Kevin Dumford	
70	05/10/11	North boiler feedwater foundation	Rebar/clearance. O.K.	05/10/11	Kevin Dumford	
71	05/11/11	South boiler feedwater foundation	Rebar/clearance. O.K.	05/11/11	Kevin Dumford	
72	05/11/11	HRSG utility bridge F-5 & F-6	Rebar/clearance. O.K.	05/11/11	Kevin Dumford	
73	05/11/11	STG second lift	Rebar/clearance. O.K.	05/12/11	Kevin Dumford	
74	05/11/11	Switchyard foundation F-1,F-2,F-3,F-4 & F-12	Rebar/clearance. O.K.	05/13/11	Kevin Dumford	
75	5/12/20	HRSG utility bridge pedestals	Rebar/clearance. O.K.	05/13/11	Kevin Dumford	

76	05/13/11	CT water drain tank foundation.	Rebar/clearance. O.K.	05/13/11	Kevin Dumford	
77	05/16/11	Circuit breaker foundation switchyard.	Rebar/clearance. O.K.	05/17/11	Kevin Dumford	

No.	Date	Description of area of work:	Open Item(s)	Signed off	CBO Approval	Open Item
78	05/17/11	Temp pipe supports.	Rebar. O.K.	05/17/11	Kevin Dumford	
79	05/17/11	Switchyard pole supports F-1,F-2,F-3 & F-4.	Rebar/clearance. O.K.	05/20/11	Kevin Dumford	
80	05/17/11	Switchyard transmission foundations F-7 & F-8.	Rebar/clearance. O.K.	05/20/11	Kevin Dumford	
81	05/23/11	Circuit breaker support foundation.	Rebar/clearance. O.K.	05/24/11	Kevin Dumford	
82	05/23/11	Electrical equipment foundation.	Rebar/clearance. O.K.	05/24/11	Kevin Dumford	
83	05/23/11	Platforms & stair foundations.	Rebar/clearance. O.K.	05/24/11	Kevin Dumford	
84	05/23/11	Switchyard pole supports F-5,F-6 & F-7.	Rebar/clearance. O.K.	05/24/11	Kevin Dumford	
85	05/25/11	Vortey breakers cooling tower.	Rebar/clearance. O.K.	05/26/11	Kevin Dumford	
86	05/25/11	Circuit breaker pedestals	Rebar/clearance. O.K.	05/26/11	Kevin Dumford	
87	05/25/11	10" curb power block.	Rebar/clearance. O.K.	05/26/11	Kevin Dumford	
88	05/25/11	Boiler fedwater equipment pad.	Rebar/clearance. O.K.	05/26/11	Kevin Dumford	
89	05/31/11	Cooling tower pump platform foundation.	Rebar not ready	06/06/11	Kevin Dumford	
90	06/06/11	STIG gas compressor pipe supports.	Rebat /clearance. O.K.	06/06/11	Kevin Dumford	
91	06/06/11	Cooling tower pump platform foundation.	Rebar/clearance. O.K.	06/06/11	Kevin Dumford	
92	06/06/11	Fuel gas equipment foundations.	Rebar/clearance. O.K.	06/06/11	Kevin Dumford	
93	06/08/11	Water seperator slab.	Rebar/clearance. O.K.	06/08/11	Kevin Dumford	
94	06/10/11	STIG gas compressor pipe supports pedestals.	Rebar/clearance. O.K.	06/10/11	Kevin Dumford	
95	06/13/11	STG third left.	Rebar/clearance. O.K.	06/15/11	Kevin Dumford	
96	06/14/11	Fuel gas equipment foundations pedestals.	Rebar/clearance. O.K.	06/15/11	Kevin Dumford	
97	06/14/11	Cooling tower pump foundation pedestals.	Rebar/clearance. O.K.	06/15/11	Kevin Dumford	
98	06/14/11	Fuel Gas heater	Rebar/clearance. O.K.	06/15/11	Kevin Dumford	
99	06/16/11	Control Oil skid	Rebar/clearance. O.K.	06/17/11	Kevin Dumford	
100	06/21/11	Transmission foundation F9	Rebar/clearance. O.K.	06/21/11	Kevin Dumford	

101	06/21/11	Clarified Water tank Foundation.	Rebar/clearance. O.K.	06/21/11	Kevin Dumford	
102	06/23/11	Transmission Foundation F 11.	Rebar/clearance. O.K.	06/23/11	Kevin Dumford	
103	06/23/11	Water Treatment building foundation.	Rebar/clearance and waterstop. O.K.	06/23/11	Kevin Dumford	

No.	Date	Description of area of work:	Open Item(s)	Signed off	CBO Approval	Open Item
104	06/24/11	STG pedestal P.	Rebar/clearance. O.K.	06/23/11	Kevin Dumford	
105	06/24/11	Air Compressor pipe rack foundation.	Rebar/clearance. O.K.	06/24/11	Kevin Dumford	
106	06/24/11	Transmission Foundation F 10, F 12.	Rebar/clearance. O.K.	06/24/11	Kevin Dumford	
107	06/28/11	Cooling Tower stair pad.	Rebar/clearance. O.K.	06/28/11	Kevin Dumford	
108	06/29/11	STG pedestal.	Rebar/clearance. O.K.	06/29/11	Kevin Dumford	
109	06/29/11	Wash trailer foundation.	Rebar/clearance. O.K.	06/29/11	Kevin Dumford	
110	06/30/11	South stair landing CTG black.	Rebar/clearance. O.K.	06/30/11	Kevin Dumford	
111	06/30/11	HRSB bridge stair landing	Rebar/clearance. O.K.	06/30/11	Kevin Dumford	
112	06/30/11	STG pipe rack F8, F7.	Rebar/clearance. O.K.	06/30/11	Kevin Dumford	
113	07/01/11	Transmission foundation F1.	Rebar/clearance. O.K.	07/01/11	Kevin Dumford	
114	07/05/11	SUS transformer foundation.	Rebar/clearance. O.K.	07/05/11	Kevin Dumford	
115	07/08/11	Lower slab sump chemical feed unloading.	Rebar/clearance. O.K.	07/08/11	Kevin Dumford	
116	07/08/11	Water treatment curbs & equipment pads.	Rebar/clearance. O.K.	07/08/11	Kevin Dumford	
117	07/08/11	Transmission foundation F4.	Not Ready	07/08/11	Kevin Dumford	
118	07/08/11	STG utility bridge foundation pipe rack F4.	Rebar/clearance. O.K.	07/08/11	Kevin Dumford	
119	07/12/11	Water treatment, remainder of equipment pads	Rebar/clearance. O.K.	07/12/11	Kevin Dumford	
120	07/12/11	Chemical feed unloading bottom slab only.	Rebar/ water stop/clearance. O.K.	07/12/11	Kevin Dumford	
121	07/14/11	HRSB power block stair landing.	Rebar/clearance. O.K.	07/14/11	Kevin Dumford	
122	07/14/11	Transformer foundation CTG PDC2.	Rebar/clearance. O.K.	07/14/11	Kevin Dumford	
123	07/15/11	STG utility bridge foundation F2,F5.	Rebar/clearance. O.K.	07/15/11	Kevin Dumford	
124	07/15/11	Transmission foundation F4.	Rebar/clearance. O.K.	07/15/11	Kevin Dumford	
125	07/18/11	Chemical feed unloading rebar wallonly.	Rebar.O.K.	07/18/11	Kevin Dumford	

126	07/20/11	CTG PDC 2 transformar foundation.	Rebar/clearance. O.K.	07/20/11	Kevin Dumford	
127	07/20/11	Service water tank foundation.	Rebar/clearance. O.K.	07/20/11	Kevin Dumford	
128	07/20/11	STG utility bridge F6 pedestal.	Rebar/clearance. O.K.	07/20/11	Kevin Dumford	

No.	Date	Description of area of work:	Open Item(s)	Signed off	CBO Approval	Open Item
129	07/20/11	Transmission foundation F4.	Rebar/clearance. O.K.	07/20/11	Kevin Dumford	
130	07/20/11	Transmission foundation F3.	Rebar/clearance. O.K.	07/20/11	Kevin Dumford	
131	07/20/11	STG utility bridge foundation F1.	Rebar/clearance. O.K.	07/20/11	Kevin Dumford	
132	07/20/11	Magnesium Oxide,Hydrated tank foundation.	Rebar/clearance. O.K.	07/20/11	Kevin Dumford	
133	07/20/11	Chemical feed unloading with forms up.	Rebar/clearance. O.K.	07/20/11	Kevin Dumford	
134	07/25/11	Transmission foundation F5.	Rebar/clearance. O.K.	07/25/11	Kevin Dumford	
135	07/27/11	Steam drain tank foundation.	Rebar/clearance. O.K.	07/27/11	Kevin Dumford	
136	07/27/11	STG utility bridge F3 foundation.	Rebar/clearance. O.K.	07/27/11	Kevin Dumford	
137	07/28/11	STG utility bridge F10 F 11 foundation.	Rebar/clearance. O.K.	07/28/11	Kevin Dumford	
138	07/28/11	Vacuum pump foundation.	Rebar/clearance. O.K.	07/28/11	Kevin Dumford	
139	07/28/11	STG utility bridge F2 foundation.	Rebar/clearance. O.K.	07/28/11	Kevin Dumford	
140	08/01/11	Ammonia line test 2"	Rebar/clearance. O.K.	08/01/11	Kevin Dumford	
141	08/02/11	STG utility bridge foundation F 16	Rebar/clearance. O.K.	08/02/11	Kevin Dumford	
142	08/02/11	STG utility bridge foundation F 12	Rebar/clearance. O.K.	08/02/11	Kevin Dumford	
143	08/02/11	STG utility bridge foundation F 14 F 15	Rebar/clearance. O.K.	08/02/11	Kevin Dumford	
144	08/02/11	STG utility bridge foundation F 13	Rebar/clearance. O.K.	08/02/11	Kevin Dumford	
145	08/02/11	STG utility bridge foundation pedestals F 10 F 11	Rebar/clearance. O.K.	08/02/11	Kevin Dumford	
146	08/02/11	STG utility bridge foundation F 9	Rebar/clearance. O.K.	08/02/11	Kevin Dumford	
147	08/04/11	STG step up transformer	need rebar	08/04/11	Kevin Dumford	
148	08/09/11	STG step up transformer	Rebar/clearance and water stop. O.K.	08/09/11	Kevin Dumford	
149	08/11/11	Pad in switch yard.	Rebar/clearance. O.K.	08/11/11	Kevin Dumford	
150	08/11/11	STG utility bridge foundation F 12 F 14 F 15 F 16.	Rebar/clearance. O.K.	08/11/11	Kevin Dumford	

151	08/11/11	STG utility bridge foundation F 9 pedestal.	Rebar/clearance. O.K.	08/11/11	Kevin Dumford	
152	08/11/11	STG utility bridge foundation F 11 pedestal.	Rebar/clearance. O.K.	08/11/11	Kevin Dumford	
153	08/17/11	Aux Boiler Foundation	Rebar, conduits & Grounds O.K.	08/17/11	Lowell Brown	
154	08/17/11	STG Pedestal Foundation Rebar	Rebar, bolts & grounds O.K.	08/17/11	Lowell Brown	

No.	Date	Description of area of work:	Open Item(s)	Signed off	CBO Approval	Open Item
155	08/17/11	STG Pipe Rack F/7 & F/8 Foundation Pedestals	Rebar & bolts O.K.	08/17/11	Lowell Brown	
156	08/17/11	STG (south) Utility Bridge Foundation Pedestals F/7	Rebar & bolts O.K.	08/17/11	Lowell Brown	
157	08/18/11	STG PDC Pipe Support Foundations	Revised from (3) supports to one slab	08/18/11	Lowell Brown	
158	08/19/11	Auxiliary Boiler Pedestal Rebar & Bolts	Rebar & bolts O.K.	08/19/11	Lowell Brown	
159	08/22/11	Pipe Support Foundation Adj. to STG PDC	Rebar O.K., per RFI 1487	08/22/11	Lowell Brown	
160	08/23/11	STG GSU Fire Wall rebar & waterstop	Rebar & waterstop O.K.	08/23/11	Lowell Brown	
161	08/23/11	Fire Pump House Foundation	Rebar and Sleeve O.K.	08/23/11	Lowell Brown	
162	08/23/11	STG Perimeter Foundation, Interior Equipment Pad	Rebar O.K.	08/23/11	Lowell Brown	
163	08/25/11	WTB Bus Support Foundations south side (2)	Rebar O.K.	08/25/11	Lowell Brown	
164	08/25/11	Aux Boiler Chemical Feed Foundation Rebar	Rebar, grounds O.K.	08/25/11	Lowell Brown	
165	08/26/11	Air Receiver Dryer & Aftercooler Foundation	Rebar & grounds O.K.	08/26/11	Lowell Brown	
166	08/30/11	SUS Transformers WTB (south) (2)	Rebar, bolts & grounds O.K.	08/30/11	Lowell Brown	
167	08/31/11	Clarified Water Pump Foundation	Rebar & Bolts O.K.	08/31/11	Lowell Brown	
168	09/06/11	Holiday Test Ammonia (west of HRSO)	Jeep to 13kV O.K.	09/06/11	Lowell Brown	
169	09/12/11	Cooling Water Pumps Foundation	Rebar & grounds O.K.	09/12/11	Lowell Brown	
170	09/12/11	Air Receiver Dryer & Aftercooler Pedestals	Rebar O.K.	09/12/11	Lowell Brown	
171	09/13/11	Condensate Polisher Foundations	Rebar O.K.	09/13/11	Lowell Brown	
172	09/14/11	Condensate Extraction Pumps	Rebar O.K.	09/14/11	Lowell Brown	
173	09/14/11	Condensate Polisher Top mat	Rebar O.K.	09/14/11	Lowell Brown	
174	09/14/11	CP Resin Refill Hopper and Storage Tank Fnds.	Rebar (2 Pads) O.K.	09/14/11	Lowell Brown	
175	09/16/11	Transmission Tower Foundation & Grounds	Rebar & grounds O.K.	09/16/11	Lowell Brown	

176	09/19/11	WTB Truck Unloading Pad	Rebar O.K.	09/19/11	Lowell Brown	
177	09/19/11	WTB Raw Water Treatment Foundation	Rebar & grounds O.K.	09/19/11	Lowell Brown	
178	09/21/11	Condensate Extraction Pumps Foundation	Rebar cut to fit, need Engineer's approval	10/10/11	Lowell Brown	
179	09/21/11	Condensate Feed Water Pumps Pedestals	Rebar O.K.	09/21/11	Lowell Brown	
180	09/21/11	Condensate Polish Resin Hopper & Storage	Rebar O.K.	09/21/11	Lowell Brown	

No.	Date	Description of area of work:	Open Item(s)	Signed off	CBO Approval	Open Item
181	09/23/11	STG Pedestal Extension	Rebar O.K.	09/23/11	Lowell Brown	
182	09/27/11	WTB South Chem. Feed Area Fnd.	Reba & grounds O.K.	09/21/11	Lowell Brown	
183	10/07/11	STG/GSU Fire Walls rebar	Rebar O.K.	10/07/11	Lowell Brown	
184	10/10/11	Extraction Pump Foundations, rebar	Rebar O.K.	10/10/11	Lowell Brown	
185	10/14/11	Waste Water Tank (NCPA)	See 14 item C/N			WP Review reqd
186	10/21/11	Service Water Tank (NCPA)	See 9 item C/N			WP Review reqd
187	10/25/11	Chemical Feed Tank Pads, rebar	Rebar & grounds O.K.	10/25/11	Lowell Brown	
188	10/27/11	Sample Panel Foundation rebar	Rebar & grounds O.K.	10/27/11	Lowell Brown	
189	10/31/11	WTB Mezzanine Deck rebar	Rebar O.K.	10/31/11	Lowell Brown	
190	11/03/11	Inlet Gas Scrubber and North Gas Compressor Fnd.	Rebar bolts & Grnds O.K.	11/03/11	Lowell Brown	
191	11/10/11	South Gas Compressor	Rebar, botls & Gnds O.K.	11/10/11	Lowell Brown	
192	11/15/11	Aux. Boiler Control Panel Fnd. & Cems Cabinet Fnd.	Rebar O.K.	11/15/11	Lowell Brown	
193	11/15/11	WTB Mezz. Equipment Pads Rebar	Rebar O.K.,	11/15/11	Lowell Brown	
194	11/15/11	BA System Electrical Punchlist	Progress, see 15 item correction list			
195	11/16/11	Switchyard In-progress Inspection of installations	230 kV. Switchyard punchlist progress	12/13/12	Lowell Brown	
196	11/16/11	Rebar Insp for Circuit Breaker Fnd.	Rebar O.K., (2) fnds.	11/16/11	Lowell Brown	
197	11/23/11	WTB Waste Solids Storage Tank	Reba & Gnds O.K.	11/23/11	Lowell Brown	
198	11/28/11	WTB South Chem. Feed Area walls	Rebar O.K.	11/28/11	Lowell Brown	
199	11/29/11	STG GSU Circuit Breaker (4) pedestals	Rebar & Bolts O.K.	11/29/11	Lowell Brown	
200	11/29/11	Iso-Phase Foundations rebar	Rebar & Bolts O.K.	11/29/11	Lowell Brown	

201	12/02/11	Fuel Gas pipe support foundations	Rebar, bolts and grounds O.K.	12/02/11	Lowell Brown	
202	12/02/11	4160v Systems electrical Walkdown	See 8 item correction list			
203	12/07/11	Filter Press Feed Pumps Foundations	Rebar & bolts O.K.	12/07/11	Lowell Brown	
204	12/08/11	Cooling Tower Walls, Pump Basin Stair Landings	Rebar O.K.,	12/08/11	Lowell Brown	
205	12/08/11	Air Receiver extension & Pipe Support Foundations	Rebar O.K.,	12/08/11	Lowell Brown	
206	12/13/11	PG & E Switchyard Release	O.K., to energize	12/13/11	Lowell Brown	

No.	Date	Description of area of work:	Open Item(s)	Signed off	CBO Approval	Open Item
207	12/15/11	Misch Pipe Supports rebar, STG, HRSG & Gas Comp	Rebar O.K.,	12/15/11	Lowell Brown	
208	12/15/11	WTB W. Yard Cable Tray Support Foundations	Rebar O.K.,	12/15/11	Lowell Brown	
209	12/21/11	Solids Forwarding Pump Pad, Lamella Clarifier	Rebar O.K., need grnds relocated & bolts	12/22/11	Lowell Brown	
210	12/22/11	Misc. Pipe Supports & STIG Waste Pump Found.	Rebar O.K.	12/22/11	Lowell Brown	
211	12/28/11	Waste Water Injection Skid & Lam. Foundations	Rebar O.K.,	12/29/11	Lowell Brown	
212	01/04/12	Water Treatment Building Fire Stop installation	O.K.	01/04/12	Lowell Brown	
213	01/10/12	Misc. pipe Supports #4, 50, 51, 52, & 56	Rebar O.K.	01/04/12	Lowell Brown	
214	01/10/12	WTB Loading Dock Rebar, landing for door	Rebar O.K.	01/10/12	Lowell Brown	
215	01/10/12	Ammonia Feed & Oxygen Foundations	Rebar O.K.	01/10/12	Lowell Brown	
216	01/10/12	Cooling Tower Ladder landing, & Cable Tray supp.	Rebar O.K.	01/10/12	Lowell Brown	
217	01/11/12	STG GSU Fire Wall Therma-Fiber	O.K.	01/11/12	Lowell Brown	
218	01/18/12	Raw Water Feed (at Sewer Plant)	Pipe supports to be grouted, valve tags			Provide As-Built
219	01/18/12	Cooling Tower Walk-down Upper Section	See 13 items X 7 Cells List			
220	01/19/12	Cooling Tower Walk-down Lower Section	See 3 item Correction List			
221	01/19/12	Cooling Tower Rough Electrical	Rough O.K., Need approved Plans			Need CBO Plans
222	01/24/12	Cooling Tower Lightning Ground Cadwelds	10 complete, (1) remains	01/24/12	Lowell Brown	
223	01/25/12	Cooling Tower Chem Feed Foundation	Rebar, conduit & Grounds O.K.	01/25/12	Lowell Brown	
224	01/25/12	WTB Door Stoops and Pipe Support fnds.	Rebar O.K., 14 locations Misc. supports	01/25/12	Lowell Brown	
225	02/01/12	Cooling Tower Final Electrical	Corrections Made, OK	02/01/12	Lowell Brown	

226	02/08/12	Water Treatment Building, 4160&480V Electrical	Progress- See Correction List	02/08/12	Lowell Brown	
227	02/08/12	STG/HRSG Pipe Rack-Service Water System	Progress- See Correction List	02/08/12	Lowell Brown	
228	02/08/12	StG/HRSG Pipe Rack-Service Air System	Progress- See Correction List	02/08/12	Lowell Brown	
229	02/13/12	STIG/Ammonia Unloading Foundation	Rebar OK	02/13/12	Lowell Brown	
230	02/13/12	CTG/ Lube Oil and Starting Ladder Pads	Missing Diagonal Trim Bars	02/14/12	Lowell Brown	
231	02/13/12	HRSG/Sample Panel Foundation	Rebar OK	02/13/12	Lowell Brown	
232	02/13/12	STG/Circuit Breaker Power Block, Ladder FND	Rebar OK	02/13/12	Lowell Brown	

No.	Date	Description of area of work:	Open Item(s)	Signed off	CBO Approval	Open Item
233	02/13/12	STG East and Southeast Door Pad FNDs.	Not Ready For Inspection	02/14/12	Vanderheiden	
234	02/13/12	West HRSG Pipe Supports 67-71,85,86 FNDS.	Need Clearance and Support for Rebar	02/14/12	Vanderheiden	
235	02/14/12	Cooling Tower Chemical Feed FNDS.	OK	02/14/12	Vanderheiden	
236	02/14/12	Cooling Tower North Water Pipe Supports (4)	Horizontal Rebar Ties Incorrect	02/15/12	Vanderheiden	
237	02/15/12	CTG/ Lube Oil Sked Enclosure FM 200	40 PSI for 10 Minute- Test OK	02/15/12	Vanderheiden	
238	02/15/12	CT/ Electrical Enclosure FM 200	40 PSI for 10 Minute- Test OK	02/15/12	Vanderheiden	
239	02/15/12	CTG Enclosure Fm 200	40 PSI for 10 Minute- Test OK	02/15/12	Vanderheiden	
240	02/16/12	Water Treatment Building, Sprinkler Hydro	200 PSI for 120 Minutes- Failed		Test Failed	
241	02/27/12	Cooling Tower Emergency Shower Heater Pad	Rebar OK	02/27/12	Vanderheiden	
242	02/27/12	Chem Feed Filter Press Pipe Support	Rebar OK	02/27/12	Vanderheiden	
243	02/27/12	STG Utility Bridge Stair Pad	Rebar OK	02/28/12	Vanderheiden	
244	02/27/12	STG North Door Pad Fnd	Rebar OK	02/28/12	Vanderheiden	
245	02/27/12	Chem Feed Pipe Supports 1,2,3	Horizontal Rebar Ties Incorrect	02/29/12	Vanderheiden	
246	03/05/12	Ammonia Feed Pad	Rebar OK	03/06/12	Vanderheiden	
247	03/05/12	Oxygen Dosing Pad	Rebar OK	03/06/12	Vanderheiden	
248	03/05/12	Compressor Control Pad	Rebar OK	03/06/12	Vanderheiden	
249	03/07/12	Pipe Support #3 Fnd	Rebar OK	03/08/12	Vanderheiden	
250	03/07/12	Fire Pump House Door Pad	Rebar OK	03/08/12	Vanderheiden	

251	03/07/12	Filter Press Pipe Support	Rebar OK	03/08/12	Vanderheiden	
252	03/07/12	Auxiliary Boiler North Slab	Install Diagonal trim bars at Corners	03/09/12	Vanderheiden	
253	03/09/12	PDC Conduit Seals	Missing Several Seals			
254	03/13/12	FM 200 Alarms, Detectors- Lube Oil	Ok- Final Letter Required			Final Letter
255	03/15/12	75 KVA Pad FND	Rebar OK	03/16/12	Vanderheiden	
256	03/19/12	WTB North FND between North door Pads	Rebar OK	03/20/12	Vanderheiden	
257	03/20/12	STG South Door Pad and Column Extension	Rebar OK	03/21/12	Vanderheiden	
258	03/21/12	Ladders at Chemical Treatment area	Insufficient Clearance for landings	03/22/12	Vanderheiden	

No.	Date	Description of area of work:	Open Item(s)	Signed off	CBO Approval	Open Item
259	03/23/12	Alarms and Detectors For CTG FM200	Ok- Final Letter Required			Final Letter
260	03/27/12	Gas Compressor East Pipe support FND	Rebar OK	03/28/12	Vanderheiden	
261	03/28/12	STG Cooling Oil Skid Curb FND	Rebar OK	03/28/12	Vanderheiden	
262	03/29/12	WTB misc Pipe Support FNDs (2)	Rebar OK	03/28/12	Vanderheiden	
263	03/30/12	HRSG Steam Drums	Several Broken Conduits East side			Correction req.
264	04/12/12	Fire House - Foaming Agent Concentration	See Inspection Report			Need Procedure
265	04/17/12	STG, WTB - Hydro	See Inspection Report			Need Procedure
266	06/15/12	HRSG, Pipe racks	Cable trays ready to cover	06/15/12	Doug Simms	
267	06/20/12	Ammonia Tank Piping	Pressure test	06/20/12	Doug Simms	
268	06/25/12	Boiler Blowdown Area	See Inspection Report			
269	06/27/12	STG GSU	Torque flex connectors to iso	06/27/12	Doug Simms	
270	06/27/12	Aux Steam Piping	Pic and WP correction list			
271	06/28/12	Chem Feed, Circ Water Chem (qcl) (pbq)	Complete ARB worklist			
272	06/28/12	CT Isophase flex connectors	Torqued	06/28/12	Doug Simms	
273	06/28/12	Compressor slab at water wash slab	Rebar OK	06/28/12	Doug Simms	
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Exhibit 9

Correspondence, Filings, or Permits Issued by Other
Governmental Agencies

None this period.

Exhibit 10

Non-Compliance Report Log

NCR NO.	Date Rec'd.	Description of NCR	Date Closed	Remarks
1	12-1-10	Inadequate clearance DB0721	2-17-11	Closed
2	12-1-10	Inadequate clearance DB0411	2-17-11	Closed
3	12-9-10	Shut off valve for hydrant closer than detailed.	7-29-11	Closed
4	1-25-11	Spacer Issues Duct bank 0421	2-17-11	Closed
5 B1	2-7-11	Damage to bundle drain couplet	11-18-11	Closed
6	2-18-11	Low concrete breaks cooling tower foundation west block of foundation	3-18-11	Closed 56 Day breaks, 4280psi, 4260psi
7	2-25-11	Wood Group flame cut holes in compressor frame without prior approval	3-4-11	Closed RFI 1211
8	3-3-11	Low concrete breaks Gas compressor pad	3-10-11	Closed per engineering evaluation. 56 day break 3900psi
9	4-5-11	Low concrete breaks DB0221 bottom lift.	4-27-11	Closed 56 Day breaks, 2330 psi
10	5-5-11	Low concrete break mid section cooling tower. Pour #102	6-1-11	Closed 56 day break 4290psi
11	4-19-11	Turbine Support bolt off location	4-27-11	Closed RFI 1276
12	4-26-11	Embed plates cast off center HRSG sump	7-27-11	Closed RFI 1291
13	5-12-11	CT enclosure anchor bolts off location	6-11-11	Closed RFI 1314
14	5-24-11	Electrical vaults leaking water		Pending final walk down JULY 2012
15	6-2-11	Low concrete break CTG PDC pedestals	6-30-11	Closed. 56 day break 4480PSI
16	6-15-11	Grout @ CTG package failed to bond	6-21-11	Closed
17	6-15-11	Grout on STIG pipe supports coming out	5-15-12	Closed
18	7-21-11	Low concrete break water treatment building. 3940 psi	8-18-11	56 day results 8-18-11 4250PSI CLOSED
19	8-11-11	Damaged valve 11LBB40AA503	10-18-11	CLOSED
20	8-12-11	Low concrete b. 3940 break F4 Transmission foundation	9-9-11	Closed 56 day results 4080psi
21	8-18-11	Low concrete b. 3730 psi break F3 Transmission foundation	9-15-11	Closed 56 day results 4040psi

22	8-16-11	Low concrete b. 3720 psi break F2 Transmission foundation	9-13-11	Closed 56 day break results 4220psi
23	9-21-11	Wall thickness of 10" P91 deficient 10 LBA 20 Sht. 1	9-21-11	Closed SI 2011-35rev.1 Closed
24	10-11-11	LBA 20-001-03 C 90 degree elbow ID out of tolerance	10-12-11	Closed
25	10-12-11	Clarified Water tank leaching water around base ring	11-15-11	Site instruction 2011-0052 Closed
26	10-18-11	Low concrete b. 3920 break after 56 days. Equipment pad east side of the STG enclosure foundation	11-21-11	Closed 90 day results 4220 psi
27	10-18-11	Low concrete break. 3810 F6 Transmission foundation	11-11-11	Closed 56 day results 4460 psi
28	11-8-11	Reactors in water treatment not holding water	11-15-11	Site instruction 2011-0052 Closed
29	12-21-11	Expansion joints in cooling tower calking pulling apart	2-14-12	Closed
30	12-27-11	Wall thickness of 18" P91 deficient 10 LBB 52 Sht. 1 IPS Spool	12-27-11	Closed
31	12-29-11	Incorrect bevel prep on 18" P91 spool from IPS	12-28-11	Valve prepped to match use as is. Closed
32	3-1-12	Aux. cooling pump & Circ water pumps not installed per manufactures installation instructions.	3-27-12	Closed
33	3-21-12	11LBA10AA002 Electronics exposed to the weather	4-12-12	Closed

34	4-28-12	8" 11LCA30AA101 HAS LEAK IN BODY	5-2-12 6-11-12	Closed
35	4-28-12	Electrical MH #9 cracked lid & MH#6 damaged lid		Will be addressed when final grade is made JULY 2012
36	5-7-12	Epoxy coating popping of top of chemical containment wall		COMPLETE WEEK ENDING 7-6-12
37	5-7-12	BF Pump motor leaking oil	5-17-12	Closed
38	5-23-12	Water seeping through foundation@ NW Clarifier tank through anchor holes		COMPLETE WEEK ENDING 7-6-12
39	5-24-12	Demin water line at STG has cracks on some shoes that were welded to the pipe and are leaking	6-7-12	Closed

40	6-5-12	Drain on Aux. Boiler mud drum has a bent nipple and the drain is grading upward.	6-21-11	CLOSED
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