American Recovery and Reinvestment Act of 2009

Energy Efficiency and Conservation Block Grant Program

Grant Solicitation and Application Package

October 22, 2009
California Energy Commission
Solicitation Schedule

- **Application Due Date:**
  - ASAP but no later than January 12, 2010

- **Application Development Clinics:**
  - Week of October 26th
  - Week of November 2nd

- **Questions, Answers, and Assistance:**
  - Ongoing through January 12th

- **Grant Awards:**
  - As applications are approved, but no later than March 14, 2010
Eligible Applicants

- **Individual Applicants:**
  - **ONLY** cities and counties listed in Exhibit 1.

- **Collaborative Applicants:**
  - **ONLY** public agencies or non-profit entities applying on behalf of cities and counties listed in Exhibit 1.
Grant Funding Requests

➢ Individual Applicants:
  – Must not exceed allocation specified in Exhibit 1.

➢ Collaborative Applicants:
  – Must not exceed sum of allocations in Exhibit 1 for cities and counties participating in the collaborative.
Eligible Projects

- **Direct Equipment Purchases**
  - Limited to technologies and restrictions as specified in Exhibit 2

- **Energy Efficiency Projects**
  - Must meet overall cost-effectiveness standard of 10 MMBtu per $1,000 of EECBG funds expended

- **Municipal Financing Programs**
  - Must be certified by Applicant to result in cost-effective energy efficiency
Minimum Project Requirements

Projects must…

- Focus on Energy Efficiency
- Be complete on or before September 13, 2012
- NOT be related to swimming pools, gambling establishments, aquariums, zoos, or golf courses
Multiple Applications

- **Individual Applicants**
  - Multiple applications are not allowed.

- **Collaborative Applicants**
  - Maximum of two applications allowed.
  - Each application must contain a mutually exclusive set of city/county participants.

- Multiple applications requesting funding allocations for any single eligible city or county will be rejected.
Application Submittal

- As soon as possible

- Received by 4:00 p.m. on January 12, 2010
  - Postmarks not accepted
  - Emails, faxes not accepted

- 1 original, 1 copy, and CD with electronic files

- Submit to:
  California Energy Commission
  Attn: EECBG Small City/County Grant Program
  1516 Ninth Street, MS-1
  Sacramento, CA  95814
Application Review and Award Process

- Application Screening
- Project Eligibility and Technical/Financial Review
- Approval at Energy Commission Business Meeting
- Execution of Grant Agreement
- Total Process May Take 6-8 Weeks
Application Screening

- Application received timely.
- Applicant is eligible to apply.
- Signed by Applicant’s authorized representative.
- No confidential information included.
- Amount requested does not exceed funding allocation(s).
- Does not contain funding request for a city/county that has previously applied under this program.
- Cities/counties contained in application in compliance with the Single Audit Act.
- Application contains all required documents.
Eligibility and Technical/Financial Review

- Focuses on energy efficiency.
- Direct Equipment Purchases adhere to Exhibit 2.
- Energy Efficiency Projects meet or exceed the 10 MMBtu/$1,000 criterion.
- Costs, savings estimates, assumptions used are accurate and reasonable.
- Work statement and budget are complete and accurate.
Award Process

- Applications passing screening, eligibility, and technical/financial reviews…
  - Scheduled for approval at Business Meeting
  - Grant award developed and sent for execution

- Once grant award is signed by both parties, Recipient is authorized to begin project.
Process for Deficient Applications

- Energy Commission staff will work with Applicants to resolve issues.

- Applicant will be advised of issue(s) and, if possible, potential resolution(s).

- Contact may be made via telephone, email or letter.

- Applicant must respond by specified date.

- Energy Commission is here to help.
Application Development Clinics

- Provides up to one hour of assistance.
- Program and engineering staff available.
- Help with all issues (technical, administrative, forms)
- Space is limited! Reservations required!
- Email EECBG@energy.state.ca.us
- Only available to eligible applicants (individual cities/counties or lead collaborative applicants)
# Application Development Clinics

<table>
<thead>
<tr>
<th>Location</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gustine</td>
<td>October 26&lt;sup&gt;th&lt;/sup&gt;</td>
</tr>
<tr>
<td>Eureka</td>
<td>October 28&lt;sup&gt;th&lt;/sup&gt;</td>
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<tr>
<td>Sacramento</td>
<td>October 30&lt;sup&gt;th&lt;/sup&gt;</td>
</tr>
<tr>
<td>Anderson</td>
<td>November 2&lt;sup&gt;nd&lt;/sup&gt;</td>
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<tr>
<td>Hermosa Beach</td>
<td>November 4&lt;sup&gt;th&lt;/sup&gt; and 5&lt;sup&gt;th&lt;/sup&gt;</td>
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<tr>
<td>El Centro</td>
<td>November 5&lt;sup&gt;th&lt;/sup&gt;</td>
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- Time slots available each hour from 8-11 am and 1-4 pm
Requirements for **ALL** Applications

- Cover Page (Attachment A)
- Scope of Work (Attachment C or D)
- Budget (Attachment E)
- Applicant Resolution (Exhibit 4)
- CEQA Compliance Form (Attachment F)
- NEPA Compliance/Certification Form (Attachment G)
- NEPA Environmental Questionnaire (if necessary) (Attachment H)
- NHPA Form and Attachments (Attachment I)
- Financial Management Information Form (Attachment J)
Additional Requirements

Direct Equipment Purchases
- Supporting Documentation (Attachment B)
- Scope of Work (use the Attachment C template)

Energy Efficiency Projects
- Feasibility Study (in accordance with Exhibit 3)
- Scope of Work (use the Attachment D template)
Additional Requirements (cont.)

Municipal Financing Programs

- Program Summary (Section 18.D of solicitation)
  - Must certify that program will result in cost-effective energy efficiency
- Scope of Work (use the Attachment D template)
- Resolution from partnering large jurisdiction.

Collaborative Applications

- Authorizing resolution from *each* city and county participating in the collaborative (Exhibit 5)
Registration Requirements

• Dun and Bradstreet DUNS number

• Central Contractor Registration (CCR)
  – www.ccr.gov/
ARRA Reporting

- Monthly reporting
- Due by the 3rd day of each month
- Reporting elements include, but are not limited to:
  - Number of Jobs Created/Retained (total ARRA hours worked / total hours in a full-time schedule)
  - Description of Job Created (by title or labor category)
  - Energy Saved (kWh, therms, gallons)
  - Status of Project
  - Expenditures to Date

See draft terms and conditions (Exhibit 9) for complete listing of reporting requirements.
ARRA Accountability

- Progress reporting strictly enforced.
- ARRA funds must be segregated.
- ARRA funds must be tracked and reported properly.
- Expenditures fully documented and available for audit.
- Energy Commission will monitor and verify funded projects.
ARRA Requirements

Grant recipients must comply with the following:

- Prevailing Wage / Davis-Bacon Act
- CEQA / NEPA
- Required Use of American Iron, Steel, and Manufactured Goods / Buy American Act

See draft terms and conditions (Exhibit 9) for complete list and explanation of ARRA requirements.