## GRANT AMENDMENT REQUEST FORM (GARF)

Original Agreement # | EPC-14-040 | 2
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ERDD | Silvia Palma-Rojas | 43 | 916-327-1716

Glint Photonics, Inc. | 27-3734209

### Revisions:

- **Term Extension**
  - New End Date: 3/31/2019
  - Include revised schedule and complete items A, B, C, & F below.

- **Budget Augmentation**
  - Amendment Amount: $ 0
  - Include revised budget and complete items A, B, C, D & F below.

- **Budget Reallocation**
  - Include revised budget and complete items A, B, C, & F below.

- **Scope of Work Revision**
  - Include revised scope of work and complete items A, B, C, E & F below.

- **Change in Project Location or Demonstration Site**
  - Include revised scope of work and complete items A, B, C, E & F below.

- **Novation/Name Change of Prime Contractor/Recipient**
  - Include novation documentation and complete items A, B, C, & F below.

- **Terms and Conditions Modification**
  - Include applicable exhibits with bold/underline/strikeout and complete items A, B, C, & F below.

### Business Meeting approval is not required for the following types of Agreements:

- ARFVTP agreements under $75K delegated to Executive Director.

**Proposed Business Meeting Date:** 2/21/2018  
**Consent**  
**Discussion**  
**Time Needed:** minutes

Please select one list serve. Select

### Agenda Item Subject and Description

Proposed resolution approving Amendment 2 to Grant Agreement EPC-14-040 with Glint Photonics, Inc. for a budget reallocation. The cumulative budget reallocation is $259,198, and approximately 26 percent of the overall budget. This budget reallocation is necessary to reflect the current technology development strategy and update the distribution of expenses between the Energy Commission funding total and match funds. The project increased the match share by 150 percent, for a total match contribution of $2,500,000.

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<thead>
<tr>
<th>Legal Company Name:</th>
<th>Budget</th>
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<td>3rd party testing of prototypes -TBD</td>
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### List all subcontractors (major and minor) and equipment vendors:

- Legal Company Name:  
  - Budget
  - 3rd party testing of prototypes -TBD: $ 20,000

### List all key partners:

- Legal Company Name:  
  - Glint Photonics, Inc.
## D) Budget Information

<table>
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<tr>
<th>Funding Source</th>
<th>Funding Year of Appropriation</th>
<th>Budget List No.</th>
<th>Amount</th>
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R&D Program Area: **EGRO: Renewables**

Explanation for "Other" selection

Reimbursement Contract #: __________________________
Federal Agreement #: __________________________

## E) California Environmental Quality Act (CEQA) Compliance

1. Is Agreement considered a “Project” under CEQA?
   ☒ Yes (skip to question 2)  ☐ No (complete the following (PRC 21065 and 14 CCR 15378)):

   Explain why Agreement is not considered a “Project”:
   Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because .

2. If Agreement is considered a “Project” under CEQA:
   ☒ a) Agreement IS exempt. (Attach draft NOE)
      ☐ Statutory Exemption. List PRC and/or CCR section number: __________________________
      ☐ Categorical Exemption. List CCR section number: __________________________
      ☐ Common Sense Exemption. 14 CCR 15061 (b) (3)
      Explain reason why Agreement is exempt under the above section:
      The Energy commission made CEQA findings pertaining to this project when the project was originally approved by the Commission on 5/13/15. The Energy Commission found that the project is exempt because it involves: operation, repair, or maintenance of existing structure or facilities; minor alteration of land, water or vegetation; and data collection, research, experimental management, or resource evaluation. This Amendment will reallocate the budget and does not alter the original CEQA determination.

   ☐ b) Agreement IS NOT exempt. (Consult with the legal office to determine next steps.)
   Check all that apply
   ☐ Initial Study  ☐ Environmental Impact Report
   ☐ Negative Declaration  ☐ Statement of Overriding Considerations
   ☐ Mitigated Negative Declaration

## F) The following items should be attached to this GARF (as applicable)

1. Exhibit A, Scope of Work ☒ N/A ☐ Attached
2. Exhibit B, Budget Detail ☐ N/A ☒ Attached
3. CEQA Documentation ☒ N/A ☐ Attached
4. Novation Documentation ☒ N/A ☐ Attached
5. CEC 105, Questionnaire for Identifying Conflicts ☒ N/A ☐ Attached

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Agreement Manager: __________________________ Date: __________________________
Office Manager: __________________________ Date: __________________________
Deputy Director: __________________________ Date: __________________________
RESOLUTION NO: 18-0221-1e

STATE OF CALIFORNIA

STATE ENERGY RESOURCES
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: GLINT PHOTONICS, INC.

RESOLVED, that the State Energy Resources Conservation and Development Commission (Energy Commission) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

RESOLVED, that the Energy Commission approves Amendment 2 to Grant Agreement EPC-14-040 with Glint Photonics, Inc. for a budget reallocation. The cumulative budget reallocation is $259,198, and approximately 26 percent of the overall budget. This budget reallocation is necessary to reflect the current technology development strategy and update the distribution of expenses between the Energy Commission funding total and match funds. The project increased the match share by 150 percent, for a total match contribution of $2,500,000; and

FURTHER BE IT RESOLVED, that the Executive Director or his/her designee shall execute the same on behalf of the Energy Commission.

CERTIFICATION

The undersigned Secretariat to the Commission does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the California Energy Commission held on February 21, 2018.

AYE: [List of Commissioners]
NAY: [List of Commissioners]
ABSENT: [List of Commissioners]
ABSTAIN: [List of Commissioners]

Cody Goldthrite,
Secretariat