

**GRANT AMENDMENT REQUEST FORM (GARF)**

CEC-277 (Revised 10/2015)

CALIFORNIA ENERGY COMMISSION



Original Agreement #	EPC-14-040		2
ERDD	Silvia Palma-Rojas	43	916-327-1716
Glint Photonics, Inc.		27-3734209	

<input type="checkbox"/> Term Extension	New End Date: 3/31/2019	Include revised schedule and complete items A, B, C, & F below.
<input type="checkbox"/> Budget Augmentation	Amendment Amount: \$ 0	Include revised budget and complete items A, B, C, D & F below.
<input checked="" type="checkbox"/> Budget Reallocation		Include revised budget and complete items A, B, C, & F below.
<input type="checkbox"/> Scope of Work Revision		Include revised scope of work and complete items A, B, C, E & F below.
<input type="checkbox"/> Change in Project Location or Demonstration Site		Include revised scope of work and complete items A, B, C, E & F below.
<input type="checkbox"/> Novation/Name Change of Prime Contractor/Recipient		Include novation documentation and complete items A, B, C, & F below.
<input type="checkbox"/> Terms and Conditions Modification		Include applicable exhibits with bold/underline/strikeout and complete items A, B, C, & F below.

**Business Meeting approval is not required for the following types of Agreements:**

<input type="checkbox"/> ARFVTP agreements under \$75K delegated to Executive Director.			
Proposed Business Meeting Date	2/21/2018	<input checked="" type="checkbox"/> Consent	<input type="checkbox"/> Discussion
Business Meeting Presenter	Silvia Palma-Rojas	Time Needed:	minutes
Please select one list serve. Select			

**Agenda Item Subject and Description**

Proposed resolution approving Amendment 2 to Grant Agreement EPC-14-040 with Glint Photonics, Inc. for a budget reallocation. The cumulative budget reallocation is \$259,198, and approximately 26 percent of the overall budget. This budget reallocation is necessary to reflect the current technology development strategy and update the distribution of expenses between the Energy Commission funding total and match funds. The project increased the match share by 150 percent, for a total match contribution of \$2,500,000.

Legal Company Name:	Budget
3rd party testing of prototypes -TBD	\$ 20,000
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$

Legal Company Name:
Glint Photonics, Inc.

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**D) Budget Information**

Funding Source	Funding Year of Appropriation	Budget List No.	Amount
			\$
			\$
			\$
			\$
			\$
			\$
R&D Program Area:	EGRO: Renewables		\$
Explanation for "Other" selection			
Reimbursement Contract #:		Federal Agreement #:	

1. Is Agreement considered a "Project" under CEQA?  
☒ Yes (skip to question 2) ☐ No (complete the following (PRC 21065 and 14 CCR 15378)):  
 Explain why Agreement is not considered a "Project":  
 Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because .
2. If Agreement is considered a "Project" under CEQA:  
☒ a) Agreement **IS** exempt. (Attach draft NOE)  
☐ Statutory Exemption. List PRC and/or CCR section number: \_\_\_\_\_  
☐ Categorical Exemption. List CCR section number: \_\_\_\_\_  
☐ Common Sense Exemption. 14 CCR 15061 (b) (3)  
 Explain reason why Agreement is exempt under the above section:  
 The Energy commission made CEQA findings pertaining to this project when the project was originally approved by the Commission on 5/13/15. The Energy Commission found that the project is exempt because it involves: operation, repair, or maintenance of existing structure or facilities; minor alteration of land, water or vegetation; and data collection, research, experimental management, or resource evaluation. This Amendment will reallocate the budget and does not alter the original CEQA determination.
- ☐ b) Agreement **IS NOT** exempt. (Consult with the legal office to determine next steps.)  
 Check all that apply  
☐ Initial Study ☐ Environmental Impact Report  
☐ Negative Declaration ☐ Statement of Overriding Considerations  
☐ Mitigated Negative Declaration

- |   |   |  |
|---|---|--|
| 1. Exhibit A, Scope of Work                         | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached            |
| 2. Exhibit B, Budget Detail                         | <input type="checkbox"/> N/A            | <input checked="" type="checkbox"/> Attached |
| 3. CEQA Documentation                               | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached            |
| 4. Novation Documentation                           | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached            |
| 5. CEC 105, Questionnaire for Identifying Conflicts |   | <input type="checkbox"/> Attached            |

Agreement Manager

Date

Office Manager

Date

Deputy Director

Date

STATE OF CALIFORNIA

STATE ENERGY RESOURCES  
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: GLINT PHOTONICS, INC.

**RESOLVED**, that the State Energy Resources Conservation and Development Commission (Energy Commission) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

**RESOLVED**, that the Energy Commission approves Amendment 2 to Grant Agreement EPC-14-040 with Glint Photonics, Inc. for a budget reallocation. The cumulative budget reallocation is \$259,198, and approximately 26 percent of the overall budget. This budget reallocation is necessary to reflect the current technology development strategy and update the distribution of expenses between the Energy Commission funding total and match funds. The project increased the match share by 150 percent, for a total match contribution of \$2,500,000; and

**FURTHER BE IT RESOLVED**, that the Executive Director or his/her designee shall execute the same on behalf of the Energy Commission.

**CERTIFICATION**

The undersigned Secretariat to the Commission does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the California Energy Commission held on February 21, 2018.

AYE: [List of Commissioners]

NAY: [List of Commissioners]

ABSENT: [List of Commissioners]

ABSTAIN: [List of Commissioners]

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Cody Goldthrite,  
Secretariat