

**GRANT REQUEST FORM (GRF)**

CEC-270 (Revised 10/2015)

CALIFORNIA ENERGY COMMISSION

New Agreement EPC-17-047 (To be completed by CGL Office)

ERDD	Steve Ghadiri	43	916-327-1623
------	---------------	----	--------------

SLAC National Accelerator Laboratory	94-1156365
--------------------------------------	------------

OpenFIDO: Open-source Framework for Integrated Data Operations

5/11/2018	3/31/2023	\$ 1,000,000
-----------	-----------	--------------

☐ ARFVTP agreements under \$75K delegated to Executive Director.Proposed Business Meeting Date 5/9/2018 ☐ Consent ☒ Discussion

Business Meeting Presenter Jamie Patterson Time Needed: 5 minutes

Please select one list serve. EPIC (Electric Program Investment Charge)

**Agenda Item Subject and Description**

DOE-SLAC NATIONAL ACCELERATOR LABORATORY. Proposed resolution approving Agreement EPC-17-047 with the U.S. Department of Energy's SLAC National Accelerator Laboratory for a \$1 million grant to create a data translation software tool to enable data translation and exchange between grid-planning applications.

1. Is Agreement considered a "Project" under CEQA?

☒ Yes (skip to question 2)☐ No (complete the following (PRC 21065 and 14 CCR 15378)):

Explain why Agreement is not considered a "Project":

Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because

2. If Agreement is considered a "Project" under CEQA:

☒ a) Agreement **IS** exempt. (Attach draft NOE)☐ Statutory Exemption. List PRC and/or CCR section number: \_\_\_\_\_☒ Categorical Exemption. List CCR section number: Cal. Code Regs., tit 14, § 15306☐ Common Sense Exemption. 14 CCR 15061 (b) (3)

Explain reason why Agreement is exempt under the above section:

Section 15306 Information Collection provides that projects which consist of basic data collection, research, experimental management, and resource evaluation activities, which do not result in a serious or major disturbance to an environmental resource are categorically exempt from the provisions of CEQA. This project will involve creation of a software tool which will not result in a serious or major disturbance to an environmental resource.

☐ b) Agreement **IS NOT** exempt. (Consult with the legal office to determine next steps.)

Check all that apply

☐ Initial Study☐ Negative Declaration☐ Mitigated Negative Declaration☐ Environmental Impact Report☐ Statement of Overriding Considerations

Legal Company Name:	Budget
Green Technology Leadership Group DBA Gridworks Organization	\$ 39,964
DOE- Pacific Northwest National Laboratory	\$ 49,811
National Grid	\$ 30,000 (match)

**GRANT REQUEST FORM (GRF)**

CEC-270 (Revised 10/2015)

CALIFORNIA ENERGY COMMISSION



Legal Company Name:

Funding Source	Funding Year of Appropriation	Budget List No.	Amount
EPIC	17-18	301.001E	\$1,000,000
			\$
			\$
			\$
			\$
			\$
R&D Program Area:	ESRO: ETSI		\$1,000,000
Explanation for "Other" selection			
Reimbursement Contract #:		Federal Agreement #:	

Name: Karen Schooler Address: 2575 Sand Hill Rd City, State, Zip: Menlo Park, CA 94025-7015 Phone: 650-926 -3357 / Fax: - - E-Mail: schooler@slac.stanford.edu	Name: David Chassin Address: 2575 Sand Hill Rd City, State, Zip: Menlo Park, CA 94025-7015 Phone: 650-926-5499 / Fax: - - E-Mail: DChassin@slac.stanford.edu
--	--

<input checked="" type="checkbox"/> Competitive Solicitation <input type="checkbox"/> First Come First Served Solicitation	Solicitation #: GFO-17-305
---	----------------------------

1. Exhibit A, Scope of Work 2. Exhibit B, Budget Detail 3. CEC 105, Questionnaire for Identifying Conflicts 4. Recipient Resolution 5. CEQA Documentation	<input checked="" type="checkbox"/> Attached <input checked="" type="checkbox"/> Attached <input checked="" type="checkbox"/> Attached <input checked="" type="checkbox"/> N/A <input type="checkbox"/> Attached <input checked="" type="checkbox"/> N/A <input type="checkbox"/> Attached
---	--

Agreement Manager	Date	Office Manager	Date	Deputy Director	Date
-------------------	------	----------------	------	-----------------	------

## Exhibit A Scope of Work

### A. Task List

Task #	CPR <sup>1</sup>	Task Name
1		General Project Tasks
2		Requirement Analysis
3	X	Implementation
4		Test and Validation
5	X	Finalize Production
6		Evaluation of Project Benefits
7		Technology/Knowledge Transfer Activities
8		Production Readiness Plan

### B. Acronym/Term List

Acronym/Term	Meaning
CAM	Commission Agreement Manager
CAO	Commission Agreement Officer
CPR	Critical Project Review
DER	Distributed Energy Resources
OpenFIDO	Open-source Framework for Integrated Data Operations
TAC	Technical Advisory Committee
VADER	Visualization and Analytics for High Penetration of Distributed Energy Resources

## I. PURPOSE OF AGREEMENT, PROBLEM/SOLUTION STATEMENT, AND GOALS AND OBJECTIVES

### A. Purpose of Agreement

The purpose of this Agreement is to fund an Open-Source Data Integration Framework (OpenFIDO) based on the Visualization and Analytics for High Penetration of Distributed Energy Resources (VADER).

### B. Problem/ Solution Statement

#### **Problem**

In California, utilities, customer, consulting engineers and regulators need to exchange power system data to validate distributed energy resource plans, obtain permits, and verify compliance when integrating these resources in distribution systems. The current data exchange process

---

<sup>1</sup> Please see subtask 1.3 in Part III of the Scope of Work (General Project Tasks) for a description of Critical Project Review (CPR) Meetings.

## **Exhibit A Scope of Work**

between the many different tools used can be cumbersome, slow and error prone. This raises a barrier to fast and effective resource integration, which curbs the growth of these resources and places a limit on how quickly California can reach its climate change mitigation goals.

### **Solution**

OpenFIDO will be a data interchange, synthesis and analysis framework that provides information exchange between different power systems tools such as CYME, GridLAB-D, OpenDSS, Opal-RT and RTDS software. The tool is used to transfer model and telemetry data between various tools that are part of the suite of tools widely used by utilities, distributed energy resource (DER) engineers and regulators in California. The tool is designed for utility planners and grid researchers that need a tool and integration framework to quickly move data from one application to another as part of their engineering, planning, and review activities. The tool also supports emerging user groups such as DER system integrators and aggregators that use multiple tools to limit the grid impacts of DERs, as well as governments and agencies that use these models in both their oversight role and identifying opportunities for clean energy deployments.

### **C. Goals and Objectives of the Agreement**

#### **Agreement Goals**

The goals of this Agreement are to:

- Produce an open-source data integration framework (OpenFIDO) for utilities, researcher, regulators and vendors to use to exchange distribution system data in support of distributed energy resource planning and integration activities.
- Establish the foundation for long-term data integration and exchange system based on the VADER tool.

Ratepayer Benefits:<sup>2</sup> This Agreement will result in the ratepayer benefit of greater electricity reliability, lower costs, or increased safety by supporting data integration from various distribution system analysis tools and data collection products and services in support of distributed energy resource integration.

Technological Advancement and Breakthroughs:<sup>3</sup> This Agreement will lead to technological advancement and breakthroughs to overcome barriers to the achievement of the State of

---

<sup>2</sup> California Public Resources Code, Section 25711.5(a) requires projects funded by the Electric Program Investment Charge (EPIC) to result in ratepayer benefits. The California Public Utilities Commission, which established the EPIC in 2011, defines ratepayer benefits as greater reliability, lower costs, and increased safety (See CPUC “Phase 2” Decision 12-05-037 at page 19, May 24, 2012, [http://docs.cpuc.ca.gov/PublishedDocs/WORD\\_PDF/FINAL\\_DECISION/167664.PDF](http://docs.cpuc.ca.gov/PublishedDocs/WORD_PDF/FINAL_DECISION/167664.PDF)).

<sup>3</sup> California Public Resources Code, Section 25711.5(a) also requires EPIC-funded projects to lead to technological advancement and breakthroughs to overcome barriers that prevent the achievement of the state’s statutory and energy goals.

## Exhibit A

### Scope of Work

California's statutory energy goals by facilitating data integration and exchange between users of distribution system modeling, and simulation and analysis tools, when they are used to support the integration of distributed energy resources into existing electricity distribution systems.

#### **Agreement Objectives**

The objectives of this Agreement are to:

- Identify the use cases and requirements for data integration and exchange for the FIDOnet software.
- Design and implement the ability to perform data integration and exchange by upgrading VADER with the open-source data integration framework (OpenFIDO).
- Evaluate the performance data integration and exchange obtained by the VADER OpenFIDO upgrades.
- Support a production release of the OpenFIDO upgrades to VADER.

## **II. TASK 1 GENERAL PROJECT TASKS**

### **PRODUCTS**

#### **Subtask 1.1 Products**

The goal of this subtask is to establish the requirements for submitting project products (e.g., reports, summaries, plans, and presentation materials). Unless otherwise specified by the Commission Agreement Manager (CAM), the Recipient must deliver products as required below by the dates listed in the **Project Schedule (Part V)**. Products that require a draft version are indicated by marking “**(draft and final)**” after the product name in the “Products” section of the task/subtask. If “(draft and final)” does not appear after the product name, only a final version of the product is required. With respect to due dates within this Scope of Work, “**days**” means working days.

#### **The Recipient shall:**

##### For products that require a draft version

- Submit all draft products to the CAM for review and comment in accordance with the Project Schedule (Part V). The CAM will provide written comments to the Recipient on the draft product within 15 days of receipt, unless otherwise specified in the task/subtask for which the product is required.
- Submit the final product to the CAM once agreement has been reached on the draft. The CAM will provide written approval of the final product within 15 days of receipt, unless otherwise specified in the task/subtask for which the product is required.
- If the CAM determines that the final product does not sufficiently incorporate his/her comments, submit the revised product to the CAM within 10 days of notice by the CAM, unless the CAM specifies a longer time period.

## **Exhibit A**

### **Scope of Work**

#### For products that require a final version only

- Submit the product to the CAM for approval.
- If the CAM determines that the product requires revision, submit the revised product to the CAM within 10 days of notice by the CAM, unless the CAM specifies a longer time period.

#### For all products

- Submit all data and documents required as products in accordance with the following Instructions for Submitting Electronic Files and Developing Software:

- **Electronic File Format**

Submit all data and documents required as products under this Agreement in an electronic file format that is fully editable and compatible with the Energy Commission's software and Microsoft (MS)-operating computing platforms, or with any other format approved by the CAM. Deliver an electronic copy of the full text of any Agreement data and documents in a format specified by the CAM, such as memory stick or CD-ROM.

The following describes the accepted formats for electronic data and documents provided to the Energy Commission as products under this Agreement, and establishes the software versions that will be required to review and approve all software products:

- Data sets will be in MS Access or MS Excel file format (version 2007 or later), or any other format approved by the CAM.
  - Text documents will be in MS Word file format, version 2007 or later.
  - Documents intended for public distribution will be in PDF file format. The Recipient must also provide the native Microsoft file format.
  - Project management documents will be in Microsoft Project file format, version 2007 or later.
- **Software Application Development**

Use the following standard Application Architecture components in compatible versions for any software application development required by this Agreement (e.g., databases, models, modeling tools), unless the CAM approves other software applications such as open source programs:

    - Microsoft ASP.NET framework (version 3.5 and up). Recommend 4.0.
    - Microsoft Internet Information Services (IIS), (version 6 and up) Recommend 7.5.
    - Visual Studio.NET (version 2008 and up). Recommend 2010.
    - C# Programming Language with Presentation (UI), Business Object and Data Layers.
    - SQL (Structured Query Language).
    - Microsoft SQL Server 2008, Stored Procedures. Recommend 2008

## **Exhibit A**

### **Scope of Work**

- R2.
- Microsoft SQL Reporting Services. Recommend 2008 R2.
- XML (external interfaces).

Any exceptions to the Electronic File Format requirements above must be approved in writing by the CAM. The CAM will consult with the Energy Commission's Information Technology Services Branch to determine whether the exceptions are allowable.

#### **MEETINGS**

##### **Subtask 1.2 Kick-off Meeting**

The goal of this subtask is to establish the lines of communication and procedures for implementing this Agreement.

##### **The Recipient shall:**

- Attend a "Kick-off" meeting with the CAM, the Commission Agreement Officer (CAO), and any other Energy Commission staff relevant to the Agreement. The Recipient will bring its Project Manager and any other individuals designated by the CAM to this meeting. The administrative and technical aspects of the Agreement will be discussed at the meeting. Prior to the meeting, the CAM will provide an agenda to all potential meeting participants. The meeting may take place in person or by electronic conferencing (e.g., WebEx), with approval of the CAM.

The administrative portion of the meeting will include discussion of the following:

- Terms and conditions of the Agreement;
- Administrative products (subtask 1.1);
- CPR meetings (subtask 1.3);
- Match fund documentation (subtask 1.7);
- Permit documentation (subtask 1.8);
- Subcontracts (subtask 1.9);
- Technology/Knowledge Transfer (Task 7); and
- Any other relevant topics.

The technical portion of the meeting will include discussion of the following:

- The CAM's expectations for accomplishing tasks described in the Scope of Work;
- An updated Project Schedule;
- Technical products (subtask 1.1);
- Progress reports and invoices (subtask 1.5);
- Final Report (subtask 1.6);
- Technical Advisory Committee meetings (subtasks 1.10 and 1.11); and
- Any other relevant topics.

## **Exhibit A**

### **Scope of Work**

- Provide an *Updated Project Schedule*, *List of Match Funds*, and *List of Permits*, as needed to reflect any changes in the documents.

#### **The CAM shall:**

- Designate the date and location of the meeting.
- Send the Recipient a *Kick-off Meeting Agenda*.

#### **Recipient Products:**

- Updated Project Schedule (*if applicable*)
- Updated List of Match Funds (*if applicable*)
- Updated List of Permits (*if applicable*)

#### **CAM Product:**

- Kick-off Meeting Agenda

#### **Subtask 1.3 Critical Project Review (CPR) Meetings**

The goal of this subtask is to determine if the project should continue to receive Energy Commission funding, and if so whether any modifications must be made to the tasks, products, schedule, or budget. CPR meetings provide the opportunity for frank discussions between the Energy Commission and the Recipient. As determined by the CAM, discussions may include project status, challenges, successes, advisory group findings and recommendations, final report preparation, and progress on technical transfer and production readiness activities (if applicable). Participants will include the CAM and the Recipient, and may include the CAO and any other individuals selected by the CAM to provide support to the Energy Commission.

CPR meetings generally take place at key, predetermined points in the Agreement, as determined by the CAM and as shown in the Task List on page 1 of this Exhibit. However, the CAM may schedule additional CPR meetings as necessary. The budget will be reallocated to cover the additional costs borne by the Recipient, but the overall Agreement amount will not increase. CPR meetings generally take place at the Energy Commission, but they may take place at another location, or may be conducted via electronic conferencing (e.g., WebEx) as determined by the CAM.

#### **The Recipient shall:**

- Prepare a *CPR Report* for each CPR meeting that: (1) discusses the progress of the Agreement toward achieving its goals and objectives; and (2) includes recommendations and conclusions regarding continued work on the project.
- Submit the CPR Report along with any other *Task Products* that correspond to the technical task for which the CPR meeting is required (i.e., if a CPR meeting is required for Task 2, submit the Task 2 products along with the CPR Report).
- Attend the CPR meeting.



## **Exhibit A**

### **Scope of Work**

- Present the CPR Report and any other required information at each CPR meeting.

#### **The CAM shall:**

- Determine the location, date, and time of each CPR meeting with the Recipient's input.
- Send the Recipient a *CPR Agenda* and a *List of Expected CPR Participants* in advance of the CPR meeting. If applicable, the agenda will include a discussion of match funding and permits.
- Conduct and make a record of each CPR meeting. Provide the Recipient with a *Schedule for Providing a Progress Determination* on continuation of the project.
- Determine whether to continue the project, and if so whether modifications are needed to the tasks, schedule, products, or budget for the remainder of the Agreement. If the CAM concludes that satisfactory progress is not being made, this conclusion will be referred to the Deputy Director of the Energy Research and Development Division.
- Provide the Recipient with a *Progress Determination* on continuation of the project, in accordance with the schedule. The Progress Determination may include a requirement that the Recipient revise one or more products.

#### **Recipient Products:**

- CPR Report(s)
- Task Products (draft and/or final as specified in the task)

#### **CAM Products:**

- CPR Agenda
- List of Expected CPR Participants
- Schedule for Providing a Progress Determination
- Progress Determination

#### **Subtask 1.4 Final Meeting**

The goal of this subtask is to complete the closeout of this Agreement.

#### **The Recipient shall:**

- Meet with Energy Commission staff to present project findings, conclusions, and recommendations. The final meeting must be completed during the closeout of this Agreement. This meeting will be attended by the Recipient and CAM, at a minimum. The meeting may occur in person or by electronic conferencing (e.g., WebEx), with approval of the CAM.  
The technical and administrative aspects of Agreement closeout will be discussed at the meeting, which may be divided into two separate meetings at the CAM's discretion.
- The technical portion of the meeting will involve the presentation of findings, conclusions, and recommended next steps (if any) for the Agreement. The CAM will determine the appropriate meeting participants.

## **Exhibit A**

### **Scope of Work**

- The administrative portion of the meeting will involve a discussion with the CAM and the CAO of the following Agreement closeout items:
  - Disposition of any state-owned equipment.
  - Need to file a Uniform Commercial Code Financing Statement (Form UCC-1) regarding the Energy Commission's interest in patented technology.
  - The Energy Commission's request for specific "generated" data (not already provided in Agreement products).
  - Need to document the Recipient's disclosure of "subject inventions" developed under the Agreement.
  - "Surviving" Agreement provisions such as repayment provisions and confidential products.
  - Final invoicing and release of retention.
- Prepare a *Final Meeting Agreement Summary* that documents any agreement made between the Recipient and Commission staff during the meeting.
- Prepare a *Schedule for Completing Agreement Closeout Activities*.
- Provide *All Draft and Final Written Products* on a CD-ROM or USB memory stick, organized by the tasks in the Agreement.

#### **Products:**

- Final Meeting Agreement Summary (*if applicable*)
- Schedule for Completing Agreement Closeout Activities
- All Draft and Final Written Products

### **REPORTS AND INVOICES**

#### **Subtask 1.5 Progress Reports and Invoices**

The goals of this subtask are to: (1) periodically verify that satisfactory and continued progress is made towards achieving the project objectives of this Agreement; and (2) ensure that invoices contain all required information and are submitted in the appropriate format.

#### **The Recipient shall:**

- Submit a monthly *Progress Report* to the CAM. Each progress report must:
  - Summarize all Agreement activities conducted by the Recipient for the preceding month, including an assessment of the ability to complete the Agreement within the current budget and any anticipated cost overruns. See the Progress Report Format Attachment for the recommended specifications.
  - Provide a synopsis of the project progress, including accomplishments, problems, milestones, products, schedule, fiscal status, and any evidence of progress such as photographs.
- Submit a monthly or quarterly *Invoice* that follows the instructions in the "Payment of Funds" section of the terms and conditions. In addition, each invoice must document

## **Exhibit A Scope of Work**

and verify:

- Energy Commission funds received by California-based entities;
- Energy Commission funds spent in California (*if applicable*); and
- Match fund expenditures.

### **Products:**

- Progress Reports
- Invoices

### **Subtask 1.6 Final Report**

The goal of this subtask is to prepare a comprehensive Final Report that describes the original purpose, approach, results, and conclusions of the work performed under this Agreement. The CAM will review and approve the Final Report, which will be due at least **two months** before the Agreement end date. When creating the Final Report Outline and the Final Report, the Recipient must use a Style Manual provided by the CAM.

#### **Subtask 1.6.1 Final Report Outline**

##### **The Recipient shall:**

- Prepare a *Final Report Outline* in accordance with the *Style Manual* provided by the CAM.
- Submit a draft of the outline to the CAM for review and comment.
- Once agreement has been reached on the draft, submit the final outline to the CAM. The CAM will provide written approval of the final outline within 10 days of receipt.

##### **Recipient Products:**

- Final Report Outline (draft and final)

##### **CAM Product:**

- Style Manual

## **Exhibit A Scope of Work**

### **Subtask 1.6.2 Final Report**

#### **The Recipient shall:**

- Prepare a *Final Report* for this Agreement in accordance with the approved Final Report Outline and the Style Manual provided by the CAM.
- Submit a draft of the report to the CAM for review and comment. Once agreement on the draft report has been reached, the CAM will forward the electronic version for Energy Commission internal approval. Once the CAM receives approval, he/she will provide written approval to the Recipient.
- Submit one bound copy of the Final Report to the CAM.

#### **Products:**

- Final Report (draft and final)

### ***MATCH FUNDS, PERMITS, AND SUBCONTRACTS***

### **Subtask 1.7 Match Funds**

The goal of this subtask is to ensure that the Recipient obtains any match funds planned for this Agreement and applies them to the Agreement during the Agreement term.

While the costs to obtain and document match funds are not reimbursable under this Agreement, the Recipient may spend match funds for this task. The Recipient may only spend match funds during the Agreement term, either concurrently or prior to the use of Energy Commission funds. Match funds must be identified in writing, and the Recipient must obtain any associated commitments before incurring any costs for which the Recipient will request reimbursement.

#### **The Recipient shall:**

- Prepare a *Match Funds Status Letter* that documents the match funds committed to this Agreement. If no match funds were part of the proposal that led to the Energy Commission awarding this Agreement and none have been identified at the time this Agreement starts, then state this in the letter.

If match funds were a part of the proposal that led to the Energy Commission awarding this Agreement, then provide in the letter:

- A list of the match funds that identifies:
  - The amount of cash match funds, their source(s) (including a contact name, address, and telephone number), and the task(s) to which the match funds will be applied.
  - The amount of each in-kind contribution, a description of the contribution type (e.g., property, services), the documented market or book value, the source (including a contact name, address, and telephone number), and the task(s)

## Exhibit A Scope of Work

to which the match funds will be applied. If the in-kind contribution is equipment or other tangible or real property, the Recipient must identify its owner and provide a contact name, address, telephone number, and the address where the property is located.

- A copy of a letter of commitment from an authorized representative of each source of match funding that the funds or contributions have been secured.
- At the Kick-off meeting, discuss match funds and the impact on the project if they are significantly reduced or not obtained as committed. If applicable, match funds will be included as a line item in the progress reports and will be a topic at CPR meetings.
- Provide a *Supplemental Match Funds Notification Letter* to the CAM of receipt of additional match funds.
- Provide a *Match Funds Reduction Notification Letter* to the CAM if existing match funds are reduced during the course of the Agreement. Reduction of match funds may trigger a CPR meeting.

### Products:

- Match Funds Status Letter
- Supplemental Match Funds Notification Letter (*if applicable*)
- Match Funds Reduction Notification Letter (*if applicable*)

### Subtask 1.8 Permits

The goal of this subtask is to obtain all permits required for work completed under this Agreement in advance of the date they are needed to keep the Agreement schedule on track. Permit costs and the expenses associated with obtaining permits are not reimbursable under this Agreement, with the exception of costs incurred by University of California recipients. Permits must be identified and obtained before the Recipient may incur any costs related to the use of the permit(s) for which the Recipient will request reimbursement.

### The Recipient shall:

- Prepare a *Permit Status Letter* that documents the permits required to conduct this Agreement. If no permits are required at the start of this Agreement, then state this in the letter. If permits will be required during the course of the Agreement, provide in the letter:
  - A list of the permits that identifies: (1) the type of permit; and (2) the name, address, and telephone number of the permitting jurisdictions or lead agencies.
  - The schedule the Recipient will follow in applying for and obtaining the permits.

The list of permits and the schedule for obtaining them will be discussed at the Kick-off meeting (subtask 1.2), and a timetable for submitting the updated list, schedule, and copies of the permits will be developed. The impact on the project if the permits are not obtained in a timely fashion or are denied will also be discussed. If applicable, permits will be included as a line item in progress reports and will be a topic at CPR meetings.

## **Exhibit A**

### **Scope of Work**

- If during the course of the Agreement additional permits become necessary, then provide the CAM with an *Updated List of Permits* (including the appropriate information on each permit) and an *Updated Schedule for Acquiring Permits*.
- Send the CAM a *Copy of Each Approved Permit*.
- If during the course of the Agreement permits are not obtained on time or are denied, notify the CAM within 5 days. Either of these events may trigger a CPR meeting.

#### **Products:**

- Permit Status Letter
- Updated List of Permits (*if applicable*)
- Updated Schedule for Acquiring Permits (*if applicable*)
- Copy of each Approved Permit (*if applicable*)

#### **Subtask 1.9 Subcontracts**

The goals of this subtask are to: (1) procure subcontracts required to carry out the tasks under this Agreement; and (2) ensure that the subcontracts are consistent with the terms and conditions of this Agreement.

#### **The Recipient shall:**

- Manage and coordinate subcontractor activities in accordance with the requirements of this Agreement.
- Incorporate this Agreement by reference into each subcontract.
- Include any required Energy Commission flow-down provisions in each subcontract, in addition to a statement that the terms of this Agreement will prevail if they conflict with the subcontract terms.
- If required by the CAM, submit a draft of each *Subcontract* required to conduct the work under this Agreement.
- Submit a final copy of the executed subcontract.
- Notify and receive written approval from the CAM prior to adding any new subcontractors (see the discussion of subcontractor additions in the terms and conditions).

#### **Products:**

- Subcontracts (*draft if required by the CAM*)

### **TECHNICAL ADVISORY COMMITTEE**

#### **Subtask 1.10 Technical Advisory Committee (TAC)**

The goal of this subtask is to create an advisory committee for this Agreement. The TAC should be composed of diverse professionals. The composition will vary depending on interest,

## **Exhibit A**

### **Scope of Work**

availability, and need. TAC members will serve at the CAM's discretion. The purpose of the TAC is to:

- Provide guidance in project direction. The guidance may include scope and methodologies, timing, and coordination with other projects. The guidance may be based on:
  - Technical area expertise;
  - Knowledge of market applications; or
  - Linkages between the agreement work and other past, present, or future projects (both public and private sectors) that TAC members are aware of in a particular area.
- Review products and provide recommendations for needed product adjustments, refinements, or enhancements.
- Evaluate the tangible benefits of the project to the state of California, and provide recommendations as needed to enhance the benefits.
- Provide recommendations regarding information dissemination, market pathways, or commercialization strategies relevant to the project products.

The TAC may be composed of qualified professionals spanning the following types of disciplines:

- Researchers knowledgeable about the project subject matter;
- Members of trades that will apply the results of the project (e.g., designers, engineers, architects, contractors, and trade representatives);
- Public interest market transformation implementers;
- Product developers relevant to the project;
- U.S. Department of Energy research managers, or experts from other federal or state agencies relevant to the project;
- Public interest environmental groups;
- Utility representatives;
- Air district staff; and
- Members of relevant technical society committees.

#### **The Recipient shall:**

- Prepare a *List of Potential TAC Members* that includes the names, companies, physical and electronic addresses, phone numbers, summaries of relevant experience, and description of potential value added to the project for each potential member. The list will be discussed at the Kick-off meeting, and a schedule for recruiting members and holding the first TAC meeting will be developed.
- Recruit TAC members. Ensure that each individual understands member obligations and the TAC meeting schedule developed in subtask 1.11.
- Prepare a *List of TAC Members* once all TAC members have committed to serving on the TAC.
- Submit *Documentation of TAC Member Commitment* (such as Letters of Acceptance) from each TAC member.

## **Exhibit A Scope of Work**

### **Products:**

- List of Potential TAC Members
- List of TAC Members
- Documentation of TAC Member Commitment

### **Subtask 1.11 TAC Meetings**

The goal of this subtask is for the TAC to provide strategic guidance for the project by participating in regular meetings, which may be held via teleconference.

### **The Recipient shall:**

- Discuss the TAC meeting schedule with the CAM at the Kick-off meeting. Determine the number and location of meetings (in-person and via teleconference) in consultation with the CAM.
- Prepare a *TAC Meeting Schedule* that will be presented to the TAC members during recruiting. Revise the schedule after the first TAC meeting to incorporate meeting comments.
- Prepare a *TAC Meeting Agenda* and *TAC Meeting Back-up Materials* for each TAC meeting.
- Organize and lead TAC meetings in accordance with the TAC Meeting Schedule. Changes to the schedule must be pre-approved in writing by the CAM.
- Prepare *TAC Meeting Summaries* that include any recommended resolutions of major TAC issues.

### **Products:**

- TAC Meeting Schedule (draft and final)
- TAC Meeting Agendas (draft and final)
- TAC Meeting Back-up Materials
- TAC Meeting Summaries



## Exhibit A Scope of Work

### III. TECHNICAL TASKS

*Products that require a draft version are indicated by marking “(draft and final)” after the product name in the “Products” section of the task/subtask. If “(draft and final)” does not appear after the product name, only a final version of the product is required. **Subtask 1.1 (Products)** describes the procedure for submitting products to the CAM.*

#### **TASK 2 Requirement Analysis**

The goal of this task is to establish the requirements needed for the OpenFIDO extension to VADER and to assess those requirements for the data exchange implementation in VADER.

##### **The Recipient shall:**

- Select software tools to exchange data and what data formats need to be supported for the OpenFIDO extension to VADER using input from the TAC and stakeholders.
- Obtain TAC and stakeholder input regarding software tools to exchange data and data formats for the OpenFIDO extension to VADER.
- Develop a *Data Exchange Requirements and Assessment Presentation*, including but not limited to the following:
  - Summary of input from TAC and stakeholders regarding software tools to exchange data and data formats for the OpenFIDO extension to VADER
  - Summary of data formats required for the OpenFIDO extension to VADER including mapping data into a common information model such as International Electrotechnical Commission standard 61968.
  - Stakeholders benefits of selected formats
  - Technical merits of selected formats

##### **Products:**

- Data Exchange Requirements and Assessment Presentation

#### **Subtask 2.1 Analyze Existing Code**

The goal of this subtask is to assess the available open-source code for data exchange implementation in VADER.

##### **The Recipient shall:**

- Analyze open-source data exchange codes currently available for implementation in VADER
- Identify technical issues related to existing open-source data exchange codes that could impact usage in the OpenFIDO extension to VADER

#### **Subtask 2.2 Implementation Plan**

The goal of this subtask is to develop an implementation plan for data exchange implementation in VADER.

## Exhibit A

### Scope of Work

#### The Recipient shall:

- Prepare a *Data Exchange Implementation Plan*. The Data Exchange Implementation Plan will include, but is not limited to:
  - Data exchange schedule and resources
  - Data exchange methods for testing and validation

#### Subtask 2.3 Validation Test Plan

The goal of the subtask is to develop a validation test plan for data exchange implementation in VADER software.

#### The Recipient shall:

- Prepare a *Data Exchange Implementation and Validation Plan* that outlines in detail the testing that will be conducted during the testing to validate operational performance of the open FIDOnet software.
- Produce a *Data Exchange Implementation and Validation Plan Presentation* that will include, but is not limited to:
  - Import and Export Data Formats
  - Available Open-Source Data Exchange Codes
  - Data Exchange Implementation and Validation Plan

#### Products:

- Data Exchange Implementation and Validation Plan Presentation

#### TASK 3 Implementation

The goal of this task is to implement the data import and export codes in VADER software that meet the requirements established in Task 2.

#### The Recipient shall:

- Develop the code in VADER software to support data exchange implementation
- Implement the data exchange code to import and export data; formats used may include but is not limited to:
  - GLM (GridLab-D Module Software Files), CIM (Common Informational Model), and CYME (CYME International Company Software Files)
- Run the validation tests, correct errors revealed by the validation testing, and finalize coding
- Release Alpha Candidate 1 of OpenFIDO VADER software
- Participate in a CPR meeting per Subtask 1.3
- Prepare the *CPR Report #1*

## Exhibit A

### Scope of Work

#### Products:

- CPR Report #1

#### TASK 4 Testing and Validation

The goal of this task is to verify the VADER code functionality and validate the data exchange capabilities between VADER software and external tools.

#### The Recipient shall:

- Coordinate with stakeholders, users, TAC, and external testing teams
- Verify the functionality of the data import and export code added to VADER software
- Identify errors in the data import and export code and make updates to resolve
- Collect and track testing results from stakeholders and users
- Prepare the Beta release for Candidate 2
- Implement corrective measures from the beta testing
- Develop a *Testing and Validation Presentation* which will include a summary of the activities performed in Task 4. The *Testing and Validation Presentation* will include but is not limited to:
  - A summary of the testing and validation results for each data format
  - Recommendation(s) for follow-up corrections and improvements

#### Products:

- Testing and Validation Presentation

#### TASK 5 Finalize Production

The goal of this task is to prepare and release the final OpenFIDO extensions of VADER software.

#### The Recipient shall:

- Prepare a *Developer and User Training Documentation* for data import/export codes in VADER software
- Construct and launch the Beta Test of OpenFIDO
- Provide evidence-based recommendations for product improvements based on the Beta Test
- Prepare the *Final Product Release Report* that includes, but is not limited to:
  - Stakeholder inputs, benefits analysis, and technical merits
  - Summary of data exchange format implemented
  - Results from beta testing corrective measures
  - Summary of testing and validation results
  - Summary of recommendations for follow-up and corrections
  - User documentation
  - Developer documentation
  - Documentation of full functionality and free availability

## Exhibit A Scope of Work

- Documentation of full support for a period of at least two years from end date of Agreement.
- Participate in a CPR meeting per Subtask 1.3
- Prepare the *CPR Report #2*

### Products:

- Developer and User Training Documentation (draft and final)
- Final Product Release Report
- CPR Report #2

### TASK 6 EVALUATION OF PROJECT BENEFITS

The goal of this task is to report the benefits resulting from this project.

#### The Recipient shall:

- Complete three Project Benefits Questionnaires that correspond to three main intervals in the Agreement: (1) *Kick-off Meeting Benefits Questionnaire*; (2) *Mid-term Benefits Questionnaire*; and (3) *Final Meeting Benefits Questionnaire*.
- Provide all key assumptions used to estimate projected benefits, including targeted market sector (e.g., population and geographic location), projected market penetration, baseline and projected energy use and cost, operating conditions, and emission reduction calculations. Examples of information that may be requested in the questionnaires include:
  - For Product Development Projects and Project Demonstrations:
    - Published documents, including date, title, and periodical name.
    - Estimated or actual energy and cost savings, and estimated statewide energy savings once market potential has been realized. Identify all assumptions used in the estimates.
    - Greenhouse gas and criteria emissions reductions.
    - Other non-energy benefits such as reliability, public safety, lower operational cost, environmental improvement, indoor environmental quality, and societal benefits.
    - Data on potential job creation, market potential, economic development, and increased state revenue as a result of the project.
    - A discussion of project product downloads from websites, and publications in technical journals.
    - A comparison of project expectations and performance. Discuss whether the goals and objectives of the Agreement have been met and what improvements are needed, if any.
    - Additional Information for Product Development Projects:
      - Outcome of product development efforts, such copyrights and license agreements.
      - Units sold or projected to be sold in California and outside of California.

## **Exhibit A Scope of Work**

- Total annual sales or projected annual sales (in dollars) of products developed under the Agreement.
- Investment dollars/follow-on private funding as a result of Energy Commission funding.
- Patent numbers and applications, along with dates and brief descriptions.
- Additional Information for Product Demonstrations:
  - Outcome of demonstrations and status of technology.
  - Number of similar installations.
  - Jobs created/retained as a result of the Agreement.
- For Information/Tools and Other Research Studies:
  - Outcome of project.
  - Published documents, including date, title, and periodical name.
  - A discussion of policy development. State if the project has been cited in government policy publications or technical journals, or has been used to inform regulatory bodies.
  - The number of website downloads.
  - An estimate of how the project information has affected energy use and cost, or have resulted in other non-energy benefits.
  - An estimate of energy and non-energy benefits.
  - Data on potential job creation, market potential, economic development, and increased state revenue as a result of project.
  - A discussion of project product downloads from websites, and publications in technical journals.
  - A comparison of project expectations and performance. Discuss whether the goals and objectives of the Agreement have been met and what improvements are needed, if any.
- Respond to CAM questions regarding responses to the questionnaires.

The Energy Commission may send the Recipient similar questionnaires after the Agreement term ends. Responses to these questionnaires will be voluntary.

### **Products:**

- Kick-off Meeting Benefits Questionnaire
- Mid-term Benefits Questionnaire
- Final Meeting Benefits Questionnaire

### **TASK 7 TECHNOLOGY/KNOWLEDGE TRANSFER ACTIVITIES**

The goal of this task is to develop a plan to make the knowledge gained, experimental results, and lessons learned available to the public and key decision makers.

#### **The Recipient shall:**

- Submit a monthly Updated Progress Power Point Slide(s) that will be used by CAM for

## Exhibit A

### Scope of Work

internal Energy Commission knowledge transfer activities (template supplied by CAM).

- Prepare a CAM Site Visit Schedule for 2 site visits per year for the CAM to observe project progress and verify installations.
- Prepare an *Initial Fact Sheet* at start of the project that describes the project. Use the format provided by the CAM.
- Prepare a *Final Project Fact Sheet* at the project's conclusion that discusses results. Use the format provided by the CAM.
- Prepare a *Technology/Knowledge Transfer Plan* that includes:
  - An explanation of how the knowledge gained from the project will be made available to the public, including the targeted market sector and potential outreach to end users, utilities, regulatory agencies, and others.
  - A description of the intended use(s) for and users of the project results.
  - Published documents, including date, title, and periodical name.
  - Copies of documents, fact sheets, journal articles, press releases, and other documents prepared for public dissemination. These documents must include the Legal Notice required in the terms and conditions. Indicate where and when the documents were disseminated.
  - A discussion of policy development. State if project has been or will be cited in government policy publications, or used to inform regulatory bodies.
  - The number of website downloads or public requests for project results.
  - Additional areas as determined by the CAM.
- Conduct technology transfer activities in accordance with the Technology/Knowledge Transfer Plan. These activities will be reported in the Progress Reports.
- When directed by the CAM, develop *Presentation Materials* for an Energy Commission-sponsored conference/workshop on the results of the project.
- Prepare a *Technology/Knowledge Transfer Report* on technology transfer activities conducted during the project.

#### Products:

- Updated Progress Power Point Slide(s)
- CAM Site Visit Schedule
- Initial Fact Sheet (draft and final)
- Final Project Fact Sheet (draft and final)
- Presentation Materials (draft and final)
- Technology/Knowledge Transfer Plan (draft and final)
- Technology/Knowledge Transfer Report (draft and final)

## **Exhibit A Scope of Work**

### **IV. PROJECT SCHEDULE**

Please see the attached Excel spreadsheet.

STATE OF CALIFORNIA

STATE ENERGY RESOURCES  
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: DOE-SLAC NATIONAL ACCELERATOR LABORATORY

**RESOLVED**, that the State Energy Resources Conservation and Development Commission (Energy Commission) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

**RESOLVED**, that the Energy Commission approves Agreement EPC-17-047 with the U.S. Department of Energy's SLAC National Accelerator Laboratory for a \$1 million grant to create a data translation software tool to enable data translation and exchange between grid-planning applications; and

**FURTHER BE IT RESOLVED**, that the Executive Director or his/her designee shall execute the same on behalf of the Energy Commission.

**CERTIFICATION**

The undersigned Secretariat to the Commission does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the California Energy Commission held on May 9, 2018.

AYE: [List of Commissioners]

NAY: [List of Commissioners]

ABSENT: [List of Commissioners]

ABSTAIN: [List of Commissioners]

---

Cody Goldthrite,  
Secretariat