



STATE OF CALIFORNIA

GRANT REQUEST FORM (GRF)

CEC-270 (Revised 12/2019)

CALIFORNIA ENERGY COMMISSION

A) New Agreement # EPC-19-047 (to be completed by CGL office)

B) Division	Agreement Manager:	MS-	Phone
ERDD	Robin Goodhand		916-327-1412

C) Recipient's Legal Name	Federal ID Number
BoxPower Inc.	82-0671452

D) Title of Project
CATAPULT: "California Title 24 Advanced Power Utilization Technology"

E) Term and Amount

Start Date	End Date	Amount
7/31/2020	3/29/2024	\$ 999,099

F) Business Meeting Information

☐ ARFVTP agreements \$75K and under delegated to Executive Director

Proposed Business Meeting Date 7/08/2020 ☐ Consent ☒ Discussion

Business Meeting Presenter Quenby Lum Time Needed: 5 minutes

Please select one list serve. EPIC (Electric Program Investment Charge)

Agenda Item Subject and Description:

BOXPOWER INC. Proposed resolution approving agreement EPC-19-047 with BoxPower Inc. for a \$999,099 grant to demonstrate a modular containerized solar plus energy storage system at residential customer sites and adopting staff's determination that this action is exempt from CEQA. The project will demonstrate the system performance as an integrated hardware and software platform with the ability to provide: customer energy cost savings; emissions savings; grid services; and resiliency during utility power outages. The data obtained will support commercial deployment. (EPIC funding) Contact: Quenby Lum.

G) California Environmental Quality Act (CEQA) Compliance

1. Is Agreement considered a "Project" under CEQA?

☒ Yes (skip to question 2)

☐ No (complete the following (PRC 21065 and 14 CCR 15378)):

Explain why Agreement is not considered a "Project":

2. If Agreement is considered a "Project" under CEQA:

a) ☒ Agreement **IS** exempt.

☐ Statutory Exemption. List PRC and/or CCR section number:

☒ Categorical Exemption. List CCR section number: 14 CCR 15301; 14 CC 15303

☐ Common Sense Exemption. 14 CCR 15061 (b) (3)

Explain reason why Agreement is exempt under the above section:

Cal. Code Regs., tit 14, § 15301 exempts the operation, repair, maintenance, permitting, and minor alteration of existing structures, facilities, mechanical equipment, or topographical features involving negligible or no

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expansion of use. This project consists of the installation of small containerized solar plus storage energy systems at existing residences (equipment will be installed on existing roofs, in existing garages, and adjacent to existing residences such as backyards). The project will involve the minor alteration and operation of existing residences and their associated mechanical equipment.

Cal. Code Regs., tit 14, § 15303 exempts the construction and location of limited numbers of new, small facilities or structures; the installation of small new equipment and facilities in small structures; and the conversion of existing small structures from one use to another where only minor modifications are made in the exterior of the structure. This project consists of the installation of a small containerized solar plus storage energy system equipment at each of the selected residences. The equipment will consist of small solar equipment installed on the roof of the residence or adjacent to the residence and small energy storage equipment installed inside the garage, inside another structure associated with the residence, or adjacent to the residence. Installation will only require minor modifications to the exterior of the existing structures.

- b) Agreement **IS NOT** exempt. (consult with the legal office to determine next steps)

Check all that apply

- ☐ Initial Study
☐ Negative Declaration
☐ Mitigated Negative Declaration
☐ Environmental Impact Report
☐ Statement of Overriding Considerations

H) List all subcontractors (major and minor) and equipment vendors: (attach additional sheets as necessary)

Legal Company Name:	Budget
Evergreen Innovations LLC	\$ 353,882
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$

I) List all key partners: (attach additional sheets as necessary)

Legal Company Name:
Evergreen Innovations LLC



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J) Budget Information

Funding Source	Funding Year of Appropriation	Budget List Number	Amount
EPIC	19-20	301.001G	\$999,099
			\$
			\$

R&D Program Area: ESRO: ETSI

TOTAL: \$ 999,099

Explanation for "Other" selection

Reimbursement Contract #: Federal Agreement #:

K) Recipient's Contact Information**1. Recipient's Administrator/Officer**

Name: Angelo Campus

Address: 12438 Loma Rica Dr Ste
CCity, State, Zip: Grass Valley, CA
95945-9040

Phone: 530-802-5477

E-Mail: angelo@boxpower.io

2. Recipient's Project Manager

Name: Michele Nesbit

Address: 12438 Loma Rica Dr Ste
CCity, State, Zip: Grass Valley, CA
95945-9040

Phone: 530-277-3038

E-Mail: Michele@boxpower.io

L) Selection Process Used☒ Competitive Solicitation Solicitation #: GFO-19-306☐ First Come First Served Solicitation Solicitation #:**M) The following items should be attached to this GRF**

- | | |
|---|--|
| 1. Exhibit A, Scope of Work | <input checked="" type="checkbox"/> Attached |
| 2. Exhibit B, Budget Detail | <input checked="" type="checkbox"/> Attached |
| 3. CEC 105, Questionnaire for Identifying Conflicts | <input checked="" type="checkbox"/> Attached |
| 4. Recipient Resolution <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached |
| 5. CEQA Documentation <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached |

Agreement Manager

Date

Office Manager

Date

Deputy Director

Date

EXHIBIT A Scope of Work

I. TASK ACRONYM/TERM LISTS

A. Task List

Task #	CPR ¹	Task Name
1		General Project Tasks
2	X	Software and Hardware Architecture
3	X	System Demonstration
4		Measurement and Verification
5		Evaluation of Project Benefits
6		Technology/Knowledge Transfer Activities

B. Acronym/Term List

Acronym/Term	Meaning
ATS	Automatic Transfer Switch
AWS	Amazon Web Services
CAM	Commission Agreement Manager
CAO	Commission Agreement Officer
CATAPULT	California Title 24 Advanced Power Utilization Technology
CEC	California Energy Commission
CPR	Critical Project Review
Disadvantaged Community	Disadvantaged Community (DAC) is defined as an area representing census tracts scoring in the top 25 % in CalEnviroScreen 3.0. (https://oehha.ca.gov/calenviroscreen/report/calenviroscreen-30).
GHG	Greenhouse Gas
IoT	Internet of Things
High Fire-Threat District or HFTD	Area that has been designated as having a high fire threat as defined by the CPUC at https://www.cpuc.ca.gov/FireThreatMaps/
Low-Income Community	Low-income Community is defined as a community within census tracts with median household incomes at or below 80 percent of the statewide median income, or at or below the threshold designated as low-income by the California Department of Housing and Community Development. http://www.hcd.ca.gov/grants-funding/income-limits/index.shtml
M&V	Measurement and Verification
PSPS	Public Safety Power Shutoffs
SCADA	Supervisory Control and Data Acquisition
TAC	Technical Advisory Committee
Title 24	Building Energy Efficiency Standards (Title 24) https://www.energy.ca.gov/programs-and-topics/programs/building-energy-efficiency-standards
JA12	Title 24 Joint Appendix 12 (JA12) – Qualification Requirements for Battery Storage System

¹ Please see subtask 1.3 in Part III of the Scope of Work (General Project Tasks) for a description of Critical Project Review (CPR) Meetings.

EXHIBIT A

Scope of Work

II. PURPOSE OF AGREEMENT, PROBLEM/SOLUTION STATEMENT, AND GOALS AND OBJECTIVES

A. Purpose of Agreement

The purpose of this Agreement is to fund a demonstration project for combined solar plus storage at multiple residential sites in California. The system should be compatible with Building Energy Efficiency Standards (Title 24) Joint Appendix 12 (JA12). The key purpose in terms of Title 24 JA12 compatibility is to demonstrate that the system can achieve load-shifting, maximize self-utilization, and assist with grid harmonization.

B. Problem/ Solution Statement

Problem

Short-term energy storage technologies now exist, but the business case for residential on-grid solar plus storage is yet to be proven. The key issue at present is the uncertainty of how the battery asset can be put to best use to cover the initial investment. In the multi-megawatt commercial energy storage space, the latest business models now strongly suggest a diversified asset use, for example by providing ancillary services (e.g. fast frequency response) combined with trading in multiple electricity markets – the key being diversified and smart asset use. A demonstration or use case for a diversified residential use of solar plus storage is needed to lower the costs of residential energy storage.

Solution

This project will integrate modular and scalable hardware and software to develop a decentralized energy storage product capable of simultaneously providing local autonomy yet retaining a centralized element of intelligence to provide overall grid harmonization and support regional grid stability in a residential setting.

C. Goals and Objectives of the Agreement

Agreement Goals

The goals of this Agreement are to:

- Develop an integrated hardware and software design solution for a solar plus storage residential use product offering that is Title 24 JA12 compliant;
- Demonstrate the solar plus storage system at a minimum of fifteen residential grid-connected sites in Investor Owned Utility service territory, in a minimum of three different climate zones; including at least one in a coastal, central valley, and northern California climate zone as defined by the CEC's California Building Climate Zone Areas map²; demonstrations shall also include sites Area that has been designated as having a high fire threat as defined by the CPUC at <https://www.cpuc.ca.gov/FireThreatMaps/>; and
- Evaluate the systems' performance and cost effectiveness over a minimum one-year pilot demonstration to validate competitive advantage of the system in terms of customer savings, grid harmonization potential, and greenhouse gas (GHG) reductions.

² See https://ww2.energy.ca.gov/maps/renewable/building_climate_zones.html.

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Ratepayer Benefits:³ This Agreement will result in the ratepayer benefits of greater electricity reliability, lower and predictable costs, increased safety and resilience during future public safety power shutoffs (PSPS). Driven by time of use tariffs, the batteries will shift solar photovoltaic generation from the late morning and early afternoon to the evening peak. The hardware and software innovations to be developed in this project, in conjunction with smart financing, will create a product offering with the capability to reduce customer electricity bills and harmonize utility interconnection.

Technological Advancement and Breakthroughs:⁴ This Agreement will lead to technological advancement and breakthroughs to overcome barriers to the achievement of the State of California's statutory energy goals by applying a distributed software approach to a proven and scalable solar plus storage platform. The combination of these two technologies will be developed into a standardized modular system and demonstrated at a minimum of 15 residential sites to validate full Title 24 JA12 compatibility.

Agreement Objectives

The objectives of this Agreement are to:

- Develop an integrated software and hardware approach to demonstrate a Title 24 JA12 compliant solar plus storage system at a minimum of 15 residential customer host sites in a minimum of 3 different climate zones (including coastal, central valley, and northern California). System technologies must have a field demonstrated Technology Readiness Level (TRL) of at least 6 with the capability to reach a TRL level 7 when entering the demonstration phase of the project. Systems will be demonstrated inside and outside the residence and include sites located in a Tier 2 or 3 High Fire-Threat District (HFTD) and include demonstrations at sites located in and benefitting Disadvantaged Communities and Low-Income Communities.
- Evaluate system performance over a one-year pilot demonstration and validate:
 - That system meets the Title 24 JA12 technical specifications,
 - The customers' electricity bill savings and GHG emission reductions relative to projected baselines.

III. TASK 1 GENERAL PROJECT TASKS

PRODUCTS

Subtask 1.1 Products

The goal of this subtask is to establish the requirements for submitting project products (e.g., reports, summaries, plans, and presentation materials). Unless otherwise specified by the Commission Agreement Manager (CAM), the Recipient must deliver products as required below by the dates listed in the **Project Schedule (Part V)**. Products that require a draft version are indicated by marking “**(draft and final)**” after the product name in the “Products” section of the task/subtask. If “(draft and final)” does not appear after the product name, only a final version of

³ California Public Resources Code, Section 25711.5(a) requires projects funded by the Electric Program Investment Charge (EPIC) to result in ratepayer benefits. The California Public Utilities Commission, which established the EPIC in 2011, defines ratepayer benefits as greater reliability, lower costs, and increased safety (See CPUC “Phase 2” Decision 12-05-037 at page 19, May 24, 2012, http://docs.cpuc.ca.gov/PublishedDocs/WORD_PDF/FINAL_DECISION/167664.PDF).

⁴ California Public Resources Code, Section 25711.5(a) also requires EPIC-funded projects to lead to technological advancement and breakthroughs to overcome barriers that prevent the achievement of the state's statutory and energy goals.

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the product is required. With respect to due dates within this Scope of Work, “**days**” means working days.

The Recipient shall:

For products that require a draft version, including the Final Report Outline and Final Report

- Submit all draft products to the CAM for review and comment in accordance with the Project Schedule (Part V). The CAM will provide written comments to the Recipient on the draft product within 15 days of receipt, unless otherwise specified in the task/subtask for which the product is required.
- Consider incorporating all CAM comments into the final product. If the Recipient disagrees with any comment, provide a written response explaining why the comment was not incorporated into the final product.
- Submit the revised product and responses to comments within 10 days of notice by the CAM, unless the CAM specifies a longer time period, or approves a request for additional time.

For products that require a final version only

- Submit the product to the CAM for acceptance. The CAM may request minor revisions or explanations prior to acceptance.

For all products

- Submit all data and documents required as products in accordance with the following Instructions for Submitting Electronic Files and Developing Software:

- **Electronic File Format**

- Submit all data and documents required as products under this Agreement in an electronic file format that is fully editable and compatible with the Energy Commission’s software and Microsoft (MS)-operating computing platforms, or with any other format approved by the CAM. Deliver an electronic copy of the full text of any Agreement data and documents in a format specified by the CAM, such as memory stick or CD-ROM.

The following describes the accepted formats for electronic data and documents provided to the Energy Commission as products under this Agreement, and establishes the software versions that will be required to review and approve all software products:

- Data sets will be in MS Access or MS Excel file format (version 2007 or later), or any other format approved by the CAM.
- Text documents will be in MS Word file format, version 2007 or later.
- Documents intended for public distribution will be in PDF file format.
- The Recipient must also provide the native Microsoft file format.
- Project management documents will be in Microsoft Project file format, version 2007 or later.

- **Software Application Development**

Use the following standard Application Architecture components in compatible versions for any software application development required by this Agreement (e.g., databases, models, modeling tools), unless the CAM approves other software applications such as open source programs:

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- Microsoft ASP.NET framework (version 3.5 and up). Recommend 4.0.
- Microsoft Internet Information Services (IIS), (version 6 and up)
Recommend 7.5.
- Visual Studio.NET (version 2008 and up). Recommend 2010.
- C# Programming Language with Presentation (UI), Business Object and Data Layers.
- SQL (Structured Query Language).
- Microsoft SQL Server 2008, Stored Procedures. Recommend 2008 R2.
- Microsoft SQL Reporting Services. Recommend 2008 R2.
- XML (external interfaces).

Any exceptions to the Electronic File Format requirements above must be approved in writing by the CAM. The CAM will consult with the Energy Commission's Information Technology Services Branch to determine whether the exceptions are allowable.

MEETINGS

Subtask 1.2 Kick-off Meeting

The goal of this subtask is to establish the lines of communication and procedures for implementing this Agreement.

The Recipient shall:

- Attend a "Kick-off" meeting with the CAM, the Commission Agreement Officer (CAO), and any other Energy Commission staff relevant to the Agreement. The Recipient will bring its Project Manager and any other individuals designated by the CAM to this meeting. The administrative and technical aspects of the Agreement will be discussed at the meeting. Prior to the meeting, the CAM will provide an agenda to all potential meeting participants. The meeting may take place in person or by electronic conferencing (e.g., WebEx), with approval of the CAM.

The administrative portion of the meeting will include discussion of the following:

- Terms and conditions of the Agreement;
- Administrative products (subtask 1.1);
- CPR meetings (subtask 1.3);
- Match fund documentation (subtask 1.7);
- Permit documentation (subtask 1.8);
- Subcontracts (subtask 1.9); and
- Any other relevant topics.

The technical portion of the meeting will include discussion of the following:

- The CAM's expectations for accomplishing tasks described in the Scope of Work;
 - An updated Project Schedule;
 - Technical products (subtask 1.1);
 - Progress reports and invoices (subtask 1.5);
 - Final Report (subtask 1.6);
 - Technical Advisory Committee meetings (subtasks 1.10 and 1.11); and
 - Any other relevant topics.
- Provide an *Updated Project Schedule*, *List of Match Funds*, and *List of Permits*, as needed to reflect any changes in the documents.

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The CAM shall:

- Designate the date and location of the meeting.
- Send the Recipient a *Kick-off Meeting Agenda*.

Recipient Products:

- Updated Project Schedule (*if applicable*)
- Updated List of Match Funds (*if applicable*)
- Updated List of Permits (*if applicable*)

CAM Product:

- Kick-off Meeting Agenda

Subtask 1.3 Critical Project Review (CPR) Meetings

The goal of this subtask is to determine if the project should continue to receive Energy Commission funding, and if so whether any modifications must be made to the tasks, products, schedule, or budget. CPR meetings provide the opportunity for frank discussions between the Energy Commission and the Recipient. As determined by the CAM, discussions may include project status, challenges, successes, advisory group findings and recommendations, final report preparation, and progress on technical transfer and production readiness activities (if applicable). Participants will include the CAM and the Recipient, and may include the CAO and any other individuals selected by the CAM to provide support to the Energy Commission.

CPR meetings generally take place at key, predetermined points in the Agreement, as determined by the CAM and as shown in the Task List on page 1 of this Exhibit. However, the CAM may schedule additional CPR meetings as necessary. The budget will be reallocated to cover the additional costs borne by the Recipient, but the overall Agreement amount will not increase. CPR meetings generally take place at the Energy Commission, but they may take place at another location, or may be conducted via electronic conferencing (e.g., WebEx) as determined by the CAM.

The Recipient shall:

- Prepare a *CPR Report* for each CPR meeting that: (1) discusses the progress of the Agreement toward achieving its goals and objectives; and (2) includes recommendations and conclusions regarding continued work on the project.
- Submit the CPR Report along with any other *Task Products* that correspond to the technical task for which the CPR meeting is required (i.e., if a CPR meeting is required for Task 2, submit the Task 2 products along with the CPR Report).
- Attend the CPR meeting.
- Present the CPR Report and any other required information at each CPR meeting.

The CAM shall:

- Determine the location, date, and time of each CPR meeting with the Recipient's input.
- Send the Recipient a *CPR Agenda* and a *List of Expected CPR Participants* in advance of the CPR meeting. If applicable, the agenda will include a discussion of match funding and permits.
- Conduct and make a record of each CPR meeting. Provide the Recipient with a *Schedule for Providing a Progress Determination* on continuation of the project.
- Determine whether to continue the project, and if so whether modifications are needed to the tasks, schedule, products, or budget for the remainder of the Agreement. If the CAM

EXHIBIT A

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concludes that satisfactory progress is not being made, this conclusion will be referred to the Deputy Director of the Energy Research and Development Division.

- Provide the Recipient with a *Progress Determination* on continuation of the project, in accordance with the schedule. The Progress Determination may include a requirement that the Recipient revise one or more products.

Recipient Products:

- CPR Report(s)
- Task Products (draft and/or final as specified in the task)

CAM Products:

- CPR Agenda
- List of Expected CPR Participants
- Schedule for Providing a Progress Determination
- Progress Determination

Subtask 1.4 Final Meeting

The goal of this subtask is to complete the closeout of this Agreement.

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The Recipient shall:

- Meet with Energy Commission staff to present project findings, conclusions, and recommendations. The final meeting must be completed during the closeout of this Agreement. This meeting will be attended by the Recipient and CAM, at a minimum. The meeting may occur in person or by electronic conferencing (e.g., WebEx), with approval of the CAM.

The technical and administrative aspects of Agreement closeout will be discussed at the meeting, which may be divided into two separate meetings at the CAM's discretion.

- The technical portion of the meeting will involve the presentation of findings, conclusions, and recommended next steps (if any) for the Agreement. The CAM will determine the appropriate meeting participants.
- The administrative portion of the meeting will involve a discussion with the CAM and the CAO of the following Agreement closeout items:
 - Disposition of any state-owned equipment.
 - Need to file a Uniform Commercial Code Financing Statement (Form UCC-1) regarding the Energy Commission's interest in patented technology.
 - The Energy Commission's request for specific "generated" data (not already provided in Agreement products).
 - Need to document the Recipient's disclosure of "subject inventions" developed under the Agreement.
 - "Surviving" Agreement provisions such as repayment provisions and confidential products.
 - Final invoicing and release of retention.
- Prepare a *Final Meeting Agreement Summary* that documents any agreement made between the Recipient and Commission staff during the meeting.
- Prepare a *Schedule for Completing Agreement Closeout Activities*.
- Provide *All Draft and Final Written Products* on a CD-ROM or USB memory stick, organized by the tasks in the Agreement.

Products:

- Final Meeting Agreement Summary (*if applicable*)
- Schedule for Completing Agreement Closeout Activities
- All Draft and Final Written Products

REPORTS AND INVOICES

Subtask 1.5 Progress Reports and Invoices

The goals of this subtask are to: (1) periodically verify that satisfactory and continued progress is made towards achieving the project objectives of this Agreement; and (2) ensure that invoices contain all required information and are submitted in the appropriate format.

The Recipient shall:

- Submit a monthly *Progress Report* to the CAM. Each progress report must:
 - Summarize progress made on all Agreement activities as specified in the scope of work for the preceding month, including accomplishments, problems, milestones, products, schedule, fiscal status, and an assessment of the ability to complete the Agreement within the current budget and any anticipated cost overruns. See the Progress Report Format Attachment for the recommended specifications.
- Submit a monthly or quarterly *Invoice* that follows the instructions in the "Payment of Funds" section of the terms and conditions, including a financial report on Match Fund and

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in-state expenditures.

Products:

- Progress Reports
- Invoices

Subtask 1.6 Final Report

The goal of this subtask is to prepare a comprehensive Final Report that describes the original purpose, approach, results, and conclusions of the work performed under this Agreement. The CAM will review the Final Report, which will be due at least **two months** before the Agreement end date. When creating the Final Report Outline and the Final Report, the Recipient must use the Style Manual provided by the CAM.

Subtask 1.6.1 Final Report Outline

The Recipient shall:

- Prepare a *Final Report Outline* in accordance with the *Style Manual* provided by the CAM. (See Task 1.1 for requirements for draft and final products.)

Recipient Products:

- Final Report Outline (draft and final)

CAM Product:

- Style Manual
- Comments on Draft Final Report Outline
- Acceptance of Final Report Outline

Subtask 1.6.2 Final Report

The Recipient shall:

- Prepare a *Final Report* for this Agreement in accordance with the approved Final Report Outline, Style Manual, and Final Report Template provided by the CAM with the following considerations:
 - Ensure that the report includes the following items, in the following order:
 - Cover page (**required**)
 - Credits page on the reverse side of cover with legal disclaimer (**required**)
 - Acknowledgements page (optional)
 - Preface (**required**)
 - Abstract, keywords, and citation page (**required**)
 - Table of Contents (**required**, followed by List of Figures and List of Tables, if needed)
 - Executive summary (**required**)
 - Body of the report (**required**)
 - References (if applicable)
 - Glossary/Acronyms (If more than 10 acronyms or abbreviations are used, it is required.)
 - Bibliography (if applicable)
 - Appendices (if applicable) (Create a separate volume if very large.)
 - Attachments (if applicable)
 - Ensure that the document is written in the third person.

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- Ensure that the Executive Summary is understandable to the lay public.
 - Briefly summarize the completed work. Succinctly describe the project results and whether or not the project goals were accomplished.
 - Identify which specific ratepayers can benefit from the project results and how they can achieve the benefits.
 - If it's necessary to use a technical term in the Executive Summary, provide a brief definition or explanation when the technical term is first used.
- Follow the Style Guide format requirements for headings, figures/tables, citations, and acronyms/abbreviations.
- Ensure that the document omits subjective comments and opinions. However, recommendations in the conclusion of the report are allowed.
- Include a brief description of the project results in the Abstract.
- Submit a draft of the report to the CAM for review and comment. The CAM will provide written comments to the Recipient on the draft product within 15 days of receipt
- Consider incorporating all CAM comments into the Final Report. If the Recipient disagrees with any comment, provide a written response explaining why the comment was not incorporated into the final product
- Submit the revised Final Report and responses to comments within 10 days of notice by the CAM, unless the CAM specifies a longer time period or approves a request for additional time.
- Submit one bound copy of the *Final Report* to the CAM along with *Written Responses to Comments on the Draft Final Report*.

Products:

- Final Report (draft and final)
- Written Responses to Comments on the Draft Final Report

CAM Product:

- Written Comments on the Draft Final Report

MATCH FUNDS, PERMITS, AND SUBCONTRACTS

Subtask 1.7 Match Funds

The goal of this subtask is to ensure that the Recipient obtains any match funds planned for this Agreement and applies them to the Agreement during the Agreement term.

While the costs to obtain and document match funds are not reimbursable under this Agreement, the Recipient may spend match funds for this task. The Recipient may only spend match funds during the Agreement term, either concurrently or prior to the use of Energy Commission funds. Match funds must be identified in writing, and the Recipient must obtain any associated commitments before incurring any costs for which the Recipient will request reimbursement.

The Recipient shall:

- Prepare a *Match Funds Status Letter* that documents the match funds committed to this Agreement. If no match funds were part of the proposal that led to the Energy Commission awarding this Agreement and none have been identified at the time this Agreement starts, then state this in the letter.

If match funds were a part of the proposal that led to the Energy Commission awarding this Agreement, then provide in the letter:

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- A list of the match funds that identifies:
 - The amount of cash match funds, their source(s) (including a contact name, address, and telephone number), and the task(s) to which the match funds will be applied.
 - The amount of each in-kind contribution, a description of the contribution type (e.g., property, services), the documented market or book value, the source (including a contact name, address, and telephone number), and the task(s) to which the match funds will be applied. If the in-kind contribution is equipment or other tangible or real property, the Recipient must identify its owner and provide a contact name, address, telephone number, and the address where the property is located.
 - If different from the solicitation application, provide a letter of commitment from an authorized representative of each source of match funding that the funds or contributions have been secured.
- At the Kick-off meeting, discuss match funds and the impact on the project if they are significantly reduced or not obtained as committed. If applicable, match funds will be included as a line item in the progress reports and will be a topic at CPR meetings.
- Provide a *Supplemental Match Funds Notification Letter* to the CAM of receipt of additional match funds.
- Provide a *Match Funds Reduction Notification Letter* to the CAM if existing match funds are reduced during the course of the Agreement. Reduction of match funds may trigger a CPR meeting.

Products:

- Match Funds Status Letter
- Supplemental Match Funds Notification Letter (*if applicable*)
- Match Funds Reduction Notification Letter (*if applicable*)

Subtask 1.8 Permits

The goal of this subtask is to obtain all permits required for work completed under this Agreement in advance of the date they are needed to keep the Agreement schedule on track. Permit costs and the expenses associated with obtaining permits are not reimbursable under this Agreement, with the exception of costs incurred by University of California recipients. Permits must be identified and obtained before the Recipient may incur any costs related to the use of the permit(s) for which the Recipient will request reimbursement.

The Recipient shall:

- Prepare a *Permit Status Letter* that documents the permits required to conduct this Agreement. If no permits are required at the start of this Agreement, then state this in the letter. If permits will be required during the course of the Agreement, provide in the letter:
 - A list of the permits that identifies: (1) the type of permit; and (2) the name, address, and telephone number of the permitting jurisdictions or lead agencies.
 - The schedule the Recipient will follow in applying for and obtaining the permits.

The list of permits and the schedule for obtaining them will be discussed at the Kick-off meeting (subtask 1.2), and a timetable for submitting the updated list, schedule, and copies of the permits will be developed. The impact on the project if the permits are not obtained in a timely fashion or are denied will also be discussed. If applicable, permits will be included as a line item in progress reports and will be a topic at CPR meetings.

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- If during the course of the Agreement additional permits become necessary, then provide the CAM with an *Updated List of Permits* (including the appropriate information on each permit) and an *Updated Schedule for Acquiring Permits*.
- Send the CAM a *Copy of Each Approved Permit*.
- If during the course of the Agreement permits are not obtained on time or are denied, notify the CAM within 5 days. Either of these events may trigger a CPR meeting.

Products:

- Permit Status Letter
- Updated List of Permits (*if applicable*)
- Updated Schedule for Acquiring Permits (*if applicable*)
- Copy of Each Approved Permit (*if applicable*)

Subtask 1.9 Subcontracts

The goals of this subtask are to: (1) procure subcontracts required to carry out the tasks under this Agreement; and (2) ensure that the subcontracts are consistent with the terms and conditions of this Agreement.

The Recipient shall:

- Manage and coordinate subcontractor activities in accordance with the requirements of this Agreement.
- Incorporate this Agreement by reference into each subcontract.
- Include any required Energy Commission flow-down provisions in each subcontract, in addition to a statement that the terms of this Agreement will prevail if they conflict with the subcontract terms.
- If required by the CAM, submit a draft of each *Subcontract* required to conduct the work under this Agreement.
- Submit a final copy of the executed subcontract.
- Notify and receive written approval from the CAM prior to adding any new subcontractors (see the discussion of subcontractor additions in the terms and conditions).

Products:

- Subcontracts (*draft if required by the CAM*)

TECHNICAL ADVISORY COMMITTEE

Subtask 1.10 Technical Advisory Committee (TAC)

The goal of this subtask is to create an advisory committee for this Agreement. The TAC should be composed of diverse professionals. The composition will vary depending on interest, availability, and need. TAC members will serve at the CAM's discretion. The purpose of the TAC is to:

- Provide guidance in project direction. The guidance may include scope and methodologies, timing, and coordination with other projects. The guidance may be based on:
 - Technical area expertise;
 - Knowledge of market applications; or
 - Linkages between the agreement work and other past, present, or future projects (both public and private sectors) that TAC members are aware of in a particular area.
- Review products and provide recommendations for needed product adjustments, refinements, or enhancements.

EXHIBIT A

Scope of Work

- Evaluate the tangible benefits of the project to the state of California, and provide recommendations as needed to enhance the benefits.
- Provide recommendations regarding information dissemination, market pathways, or commercialization strategies relevant to the project products.

The TAC may be composed of qualified professionals spanning the following types of disciplines:

- Researchers knowledgeable about the project subject matter;
- Members of trades that will apply the results of the project (e.g., designers, engineers, architects, contractors, and trade representatives);
- Public interest market transformation implementers;
- Product developers relevant to the project;
- U.S. Department of Energy research managers, or experts from other federal or state agencies relevant to the project;
- Public interest environmental groups;
- Utility representatives;
- Air district staff; and
- Members of relevant technical society committees.

The Recipient shall:

- Prepare a *List of Potential TAC Members* that includes the names, companies, physical and electronic addresses, and phone numbers of potential members. The list shall include the expertise of each proposed TAC member and the value to the project. The list will be discussed at the Kick-off meeting, and a schedule for recruiting members and holding the first TAC meeting will be developed.
- Recruit TAC members. Ensure that each individual understands member obligations and the TAC meeting schedule developed in subtask 1.11.
- Prepare a *List of TAC Members* once all TAC members have committed to serving on the TAC.
- Submit *Documentation of TAC Member Commitment* (such as Letters of Acceptance) from each TAC member.

Products:

- List of Potential TAC Members
- List of TAC Members
- Documentation of TAC Member Commitment

Subtask 1.11 TAC Meetings

The goal of this subtask is for the TAC to provide strategic guidance for the project by participating in regular meetings, which may be held via teleconference.

The Recipient shall:

- Discuss the TAC meeting schedule with the CAM at the Kick-off meeting. Determine the number and location of meetings (in-person and via teleconference) in consultation with the CAM.
- Prepare a *TAC Meeting Schedule* that will be presented to the TAC members during recruiting. Revise the schedule after the first TAC meeting to incorporate meeting comments.
- Prepare a *TAC Meeting Agenda* and *TAC Meeting Back-up Materials* for each TAC meeting.

EXHIBIT A

Scope of Work

- Organize and lead TAC meetings in accordance with the TAC Meeting Schedule. Changes to the schedule must be pre-approved in writing by the CAM.
- Prepare *TAC Meeting Summaries* that include any recommended resolutions of major TAC issues.

The TAC shall:

- Help set the project team's goals and contribute to the development and evaluation of its statement of proposed objectives as the project evolves.
- Provide a credible and objective sounding board on the wide range of technical and financial barriers and opportunities.
- Help identify key areas where the project has a competitive advantage, value proposition, or strength upon which to build.
- Advocate on behalf of the project in its effort to build partnerships, governmental support and relationships with a national spectrum of influential leaders.
- Ask probing questions that insure a long-term perspective on decision-making and progress toward the project's strategic goals.

Products:

- TAC Meeting Schedule (draft and final)
- TAC Meeting Agendas (draft and final)
- TAC Meeting Back-up Materials
- TAC Meeting Summaries

IV. TECHNICAL TASKS

TASK 2: SOFTWARE AND HARDWARE ARCHITECTURE

The goal of this task is to develop the software and hardware for the residential integrated solar plus storage system that will be demonstrated inside and outside the residence. System technologies must have a field demonstrated Technology Readiness Level (TRL) of at least 6 with the capability to reach a TRL level 7 when entering the demonstration phase of the project.

The Recipient shall:

- Prepare a *Preliminary System Design Report* outlining the hardware, software and integration options identified for developing a scalable architecture for a distributed Title 24 JA12 compatible system; as well as a summary of the key factors and design choices that lead to the preliminary design(s), such as data collection considerations as well as design changes resulting from: cost considerations, operational considerations, utility interconnection requirements or permitting considerations.
 - Undertake the preliminary software and hardware planning and specification work required to develop a preliminary design for a Title 24 JA12 compatible solar plus energy storage system.
- Prepare a *Detailed System Design Report* outlining the Title 24 JA12 compatible system configuration; as well as a summary of factors that led to design changes (such as: cost considerations, operational considerations, data collection considerations, utility interconnection requirements or permitting considerations), lessons learned through the design process, and any recommendations for the California Energy Commission.
 - Undertake the detailed software, hardware and specification work required to design and implement a Title 24 JA12 compatible solar plus energy storage system, such as:

EXHIBIT A

Scope of Work

- Develop software architecture including control software and data infrastructure.
- Integrate the physical, electrical and code interfaces within the solar plus storage system and utility systems.
- Develop onsite controller interfaces for peripheral components such as power meters and temperature sensors.
- Develop graphical user interfaces for the operator and the consumer that may include functionality and features such as:
 - Cloud-based solutions to interface the master controller with onsite system controllers.
 - An internet-of-things platform (operator interface) supporting scalability of the overall system.
 - Cloud-based micro-services relating to the master controller, capable of supporting system-wide Title 24 JA12 (grid harmonization) requirements.
- Prepare *CPR Report #1* in accordance with subtask 1.3. (CPR Meetings).
- Participate in CPR meeting.

Products:

- Preliminary System Design Report (draft and final) (D201 and D202)
- Detailed System Design Report (draft and final) (D203 and D204)
- CPR Report #1 (Draft and Final) (D131 and D132)

TASK 3: SYSTEM DEMONSTRATION

The goal of this task is to install and demonstrate the solar plus storage system at a minimum of fifteen selected and CAM approved residential sites.

The Recipient shall:

- Prepare a *Systems Installation and Commissioning Report* outlining the transition from the solar plus storage system design to system installation and operation at a customer site; as well as a summary of any best practices identified, challenges encountered and lessons learned through the demonstration process, and any recommendations for the CEC.
 - Develop processes and quality assurance measures for fabrication, factory testing, systems installation and commissioning.
 - Develop and pilot a customer contracting approach that can be tailored to the needs of each of the residential sites developed during this project.
 - Develop a customer experience approach for: site evaluations, baseline assessments, planning and preparation, installation, onsite testing and commissioning, operations and maintenance.
 - Install the solar plus storage system according to the system designs developed in Task 2.
 - The system shall be installed, commissioned, and operating for at least one year to allow for data collection and analysis under task 4.
 - Installations shall be both inside and outside the selected residences.
 - Installations shall be in an Investor Owned Utility service territory.
 - Installations shall be at a minimum of fifteen residential sites.
 - Sites locations shall include the following:

EXHIBIT A

Scope of Work

- A minimum of three climate zones, including at least one site in each of the following climate zones as defined herein: coastal, central valley, and northern California;
 - Sites located in and benefiting Disadvantaged Communities and Low-Income Communities as defined herein; and
 - Sites located in Tier 2 or 3 HFTDs as defined herein.
- Prepare *CPR Report #2* in accordance with subtask 1.3. (CPR Meetings).
 - Participate in CPR meeting.

Products:

- Systems Installation and Commissioning Report (draft and final) (D301 and D302)
- CPR Report #2 (Draft and Final) (D133 and D134)
-

TASK 4: MEASUREMENT AND VERIFICATION (M&V)

The goal of this task is to evaluate system performance for comparison against projected baselines and validate the system performance and project specifications developed in Task 2 and Task 3.

The Recipient shall:

- Provide a *M&V Plan* that will include the collection and measurement and verification of data on the installation over the one year demonstration period. The duration of data collection may be reduced with prior CAM written approval. M&V includes plots of charge/discharge power levels, storage efficiencies, ambient temperatures, and PV output as a function of time. The *M&V Plan* shall detail the system parameters that will be measured to evaluate system performance, the baseline metrics and performance improvement goals, as well as the verification methodology that will be used to validate the results. M&V Plan shall also include, but not be limited to the following:
 - Data management system that allows the capture of all relevant data needed to assess the value and impact on the residential customer and the grid as these energy storage system capabilities and innovative tariffs are tested, measured and evaluated.
 - Data collection and analysis shall include a comparison of the benefits of new innovative tariff options to evaluate customer response to different retail tariffs that exercise the value of the energy storage system and demonstrate other elements of interest to small customers.
 - Assessment of the capability and value to the residential sites of participating in the daily cycling of the battery for the purposes of load shifting, maximized solar self-utilization, and grid harmonization.
- Prepare a *M&V Report* that will detail the validated measured system performance, the baseline metrics and performance achieved, the data sources and analytical methodology used in the evaluations of system performance, energy use and GHG emissions, and other items as described above. Costs and benefits will be evaluated from the perspective of customers and ratepayers.

Products:

- M&V Plan (draft and final) (D401 and D402)
- M&V Report (draft and final) (D403 and D404)

EXHIBIT A

Scope of Work

TASK 5: EVALUATION OF PROJECT BENEFITS

The goal of this task is to report the benefits resulting from this project.

The Recipient shall:

- Complete three Project Benefits Questionnaires that correspond to three main intervals in the Agreement: (1) *Kick-off Meeting Benefits Questionnaire*; (2) *Mid-term Benefits Questionnaire*; and (3) *Final Meeting Benefits Questionnaire*.
- Provide all key assumptions used to estimate projected benefits, including targeted market sector (e.g., population and geographic location), projected market penetration, baseline and projected energy use and cost, operating conditions, and emission reduction calculations. Examples of information that may be requested in the questionnaires include:
 - For Product Development Projects and Project Demonstrations:
 - Published documents, including date, title, and periodical name.
 - Estimated or actual energy and cost savings, and estimated statewide energy savings once market potential has been realized. Identify all assumptions used in the estimates.
 - Greenhouse gas and criteria emissions reductions.
 - Other non-energy benefits such as reliability, public safety, lower operational cost, environmental improvement, indoor environmental quality, and societal benefits.
 - Data on potential job creation, market potential, economic development, and increased state revenue as a result of the project.
 - A discussion of project product downloads from websites, and publications in technical journals.
 - A comparison of project expectations and performance. Discuss whether the goals and objectives of the Agreement have been met and what improvements are needed, if any.
 - Additional Information for Product Development Projects:
 - Outcome of product development efforts, such copyrights and license agreements.
 - Units sold or projected to be sold in California and outside of California.
 - Total annual sales or projected annual sales (in dollars) of products developed under the Agreement.
 - Investment dollars/follow-on private funding as a result of Energy Commission funding.
 - Patent numbers and applications, along with dates and brief descriptions.
 - Additional Information for Product Demonstrations:
 - Outcome of demonstrations and status of technology.
 - Number of similar installations.
 - Jobs created/retained as a result of the Agreement.
 - For Information/Tools and Other Research Studies:
 - Outcome of project.
 - Published documents, including date, title, and periodical name.
 - A discussion of policy development. State if the project has been cited in government policy publications or technical journals, or has been used to inform regulatory bodies.
 - The number of website downloads.

EXHIBIT A

Scope of Work

- An estimate of how the project information has affected energy use and cost, or have resulted in other non-energy benefits.
- An estimate of energy and non-energy benefits.
- Data on potential job creation, market potential, economic development, and increased state revenue as a result of project.
- A discussion of project product downloads from websites, and publications in technical journals.
- A comparison of project expectations and performance. Discuss whether the goals and objectives of the Agreement have been met and what improvements are needed, if any.
- Respond to CAM questions regarding responses to the questionnaires.

The Energy Commission may send the Recipient similar questionnaires after the Agreement term ends. Responses to these questionnaires will be voluntary.

Products:

- Kick-off Meeting Benefits Questionnaire (D501)
- Mid-term Benefits Questionnaire (D502)
- Final Meeting Benefits Questionnaire (D503)

TASK 6: TECHNOLOGY/KNOWLEDGE TRANSFER ACTIVITIES

The goal of this task is to develop a plan to make the knowledge gained, experimental results, and lessons learned available to the public and key decision makers.

The Recipient shall:

- Prepare an *Initial Fact Sheet* at start of the project that describes the project. Use the format provided by the CAM.
- Prepare a *Final Project Fact Sheet* at the project's conclusion that discusses results. Use the format provided by the CAM.
- Prepare a *Technology/Knowledge Transfer Plan* that includes:
 - An explanation of how the knowledge gained from the project will be made available to the public, including the targeted market sector and potential outreach to end users, utilities, regulatory agencies, and others.
 - A description of the intended use(s) for and users of the project results.
 - Published documents, including date, title, and periodical name.
 - Copies of documents, fact sheets, journal articles, press releases, and other documents prepared for public dissemination. These documents must include the Legal Notice required in the terms and conditions. Indicate where and when the documents were disseminated.
 - A discussion of policy development. State if project has been or will be cited in government policy publications, or used to inform regulatory bodies.
 - The number of website downloads or public requests for project results.
 - Additional areas as determined by the CAM.
- Conduct technology transfer activities in accordance with the Technology/Knowledge Transfer Plan. These activities will be reported in the Progress Reports.
- When directed by the CAM, develop *Presentation Materials* for an Energy Commission-sponsored conference/workshop(s) on the project.
- When directed by the CAM, participate in annual EPIC symposium(s) sponsored by the California Energy Commission.

EXHIBIT A

Scope of Work

- Provide at least (6) six *High Quality Digital Photographs* (minimum resolution of 1300x500 pixels in landscape ratio) of pre and post technology installation at the project sites or related project photographs.
- Prepare a *Technology/Knowledge Transfer Report* on technology transfer activities conducted during the project.

Products:

- Initial Fact Sheet (draft and final) (D601 and D602)
- Final Project Fact Sheet (draft and final) (D603 and D603)
- Presentation Materials (draft and final) (D605 and D606)
- High Quality Digital Photographs (D607)
- Technology/Knowledge Transfer Plan (draft and final) (D608 and D609)
- Technology/Knowledge Transfer Report (draft and final) (D610 and D611)

V. PROJECT SCHEDULE

Please see the attached Excel spreadsheet. Product designation numbers appearing in parentheses next to product names above correspond to product names in the Project Schedule.

STATE OF CALIFORNIA

STATE ENERGY RESOURCES
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: BOXPOWER INC.

RESOLVED, that the State Energy Resources Conservation and Development Commission (CEC) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

RESOLVED, that the CEC approves Agreement EPC-19-047 with BoxPower Inc. for a \$999,099 grant to demonstrate a modular containerized solar plus energy storage system at residential customer sites. The project will demonstrate the system performance as an integrated hardware and software platform with the ability to provide: customer energy cost savings; emissions savings; grid services; and resiliency during utility power outages. The data obtained will support commercial deployment; and

FURTHER BE IT RESOLVED, that the Executive Director or his/her designee shall execute the same on behalf of the CEC.

CERTIFICATION

The undersigned Secretariat to the CEC does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the CEC held on July 8, 2020.

AYE:

NAY:

ABSENT:

ABSTAIN:

Cody Goldthrite
Secretariat