



STATE OF CALIFORNIA

CONTRACT REQUEST FORM (CRF)

CEC-94 (Revised 12/2019)

CALIFORNIA ENERGY COMMISSION

A) New Agreement # 800-20-002 (to be completed by CGL office)

B) Division	Agreement Manager:	MS-	Phone
EAD	Lynn Marshall	22	654-4767

C) Contractor's Legal Name	Federal ID Number
University Of California, Berkeley, Energy Institute at Haas School of Business	94-6002123

D) Title of Project
2021 POWER Conference on Energy Research and Policy

E) Term and Amount

Start Date	End Date	Amount
2/1/2021	5/30/2021	\$ 12,500

F) Business Meeting Information

☐ Operational agreement (see CAM Manual for list) to be approved by Executive Director

☐ ARFVTP agreements \$75K and under delegated to Executive Director

Proposed Business Meeting Date 1/25/2021 ☒ Consent ☐ Discussion

Business Meeting Presenter Lynn Marshall Time Needed: 5 minutes

Please select one list serve. Select

Agenda Item Subject and Description:

UNIVERSITY OF CALIFORNIA, BERKELEY, ENERGY INSTITUTE AT HAAS SCHOOL OF BUSINESS Proposed resolution approving Agreement 800-20-002 with University of California, Berkeley, Energy Institute at Haas School of Business for a \$12,500.00 contract to co-sponsor the 2021 POWER Conference on Energy Research and Policy scheduled in Berkeley, California on March 16-19, 2021, and adopting staff's determination that this item is exempt from CEQA The 2021 POWER Conference will be the 26th annual conference focusing on electricity markets and systems.. (ERPA Funding) Contact: Lynn Marshall.

G) California Environmental Quality Act (CEQA) Compliance

1. Is Agreement considered a "Project" under CEQA?

☒ Yes (skip to question 2)

☐ No (complete the following (PRC 21065 and 14 CCR 15378)):

Explain why Agreement is not considered a "Project":

2. If Agreement is considered a "Project" under CEQA:

a) ☐ Agreement **IS** exempt.

☐ Statutory Exemption. List PRC and/or CCR section number:

☒ Categorical Exemption. List CCR section number: 14 CCR 15322 and 14 CCR 15323

☐ Common Sense Exemption. 14 CCR 15061 (b) (3) Explain reason why

Agreement is exempt under the above section: This is a virtual conference held at

**CONTRACT REQUEST FORM (CRF)**

the University of California, Berkeley, in existing campus facilities. This project is exempt under 14 CCR 15322, Educational or Training Programs Involving No Physical Changes, because the event consists of educational presentations and tutorials that involve no physical alteration in the area affected. This project is exempt under 14 CCR 15323, Normal Operations of Facilities for Public Gatherings, because the event consists of the normal operation of existing facilities for public gatherings at UC Berkeley, for which there is a past history of three or more years of the facilities being used for the same or similar events and an expectation that the same or similar events at these facilities will continue in the future.

- b) ☐ Agreement **IS NOT** exempt. (consult with the legal office to determine next steps)

Check all that apply

- ☐ Initial Study
☐ Negative Declaration
☐ Mitigated Negative Declaration
☐ Environmental Impact Report
☐ Statement of Overriding Considerations

H) List all subcontractors (major and minor) and equipment vendors: (attach additional sheets as necessary)

Legal Company Name:	Budget
	\$

I) List all key partners: (attach additional sheets as necessary)

Legal Company Name:

J) Budget Information

Funding Source	Funding Year of Appropriation	Budget List Number	Amount
State - ERPA	2020-2021	100.022	\$12,500
			\$
			\$
			\$
			\$
			\$

R&D Program Area: EADA: EAD General

TOTAL:

\$12,500

Explanation for "Other" selection

Reimbursement Contract #: Federal Agreement #:



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CALIFORNIA ENERGY COMMISSION

**CONTRACT REQUEST FORM (CRF)****Contractor's Contact Information****1. Contractor's****Administrator/Officer**

Name: Energy Institute at Haas

Address: 319 Giannini Hall

University of California, Berkeley

City, State, Zip: Berkeley CA

94720-5180

Phone: 510-642-6590

E-Mail: ei@haas.berkeley.edu**2. Contractor's Project Manager**

Name: Karen Notsund

Address: 319 Giannini Hall,

University of California, Berkeley

City, State, Zip: Berkeley, CA

94720-5180

Phone: 510-642-9590

E-Mail:

Karen.Notsund@haas.berkeley.edu**K) Selection Process Used**☐ Solicitation Solicitation #: # of Bids: Low Bid ☐ No ☐ Yes☐ Non Competitive Bid (Attach DGS-GSPD-09-007 <https://www.dgs.ca.gov/PD/Forms>)☒ Exempt Co-Sponsorship**M) Contractor Entity Type**☐ Private Company (including non-profits)☒ CA State Agency (including UC and CSU)☐ Government Entity (i.e. city, county, federal government, air/water/school district, joint power authorities, university from another state)**N) Is Contractor a certified Small Business (SB), Micro Business (MB) or DVBE?**If yes, check appropriate box(es): ☐ SB ☐ MB ☐ DVBE**O) Civil Service Considerations**☒ Not Applicable (Agreement is with a CA State Entity or a membership/co-sponsorship)☐ Public Resources Code 25620, et seq., authorizes the Commission to contract for the subject work. (PIER)☐ The Services Contracted:☐ are not available within civil service☐ cannot be performed satisfactorily by civil service employees☐ are of such a highly specialized or technical nature that the expert knowledge, expertise, and ability are not available through the civil service system.☐ The Services are of such an:☐ urgent☐ temporary, or☐ occasional nature

that the delay to implement under civil service would frustrate their very purpose.

Justification:

**CONTRACT REQUEST FORM (CRF)****P) Payment Method**

1. ☒ Reimbursement in arrears based on:
☐ Itemized Monthly ☐ Itemized Quarterly ☐ Flat Rate ☒ One-time
2. ☒ Advanced Payment
3. ☐ Other, explain:

Q) Retention

Is Agreement subject to retention? ☒ No ☐ Yes

If Yes, Will retention be released prior to Agreement termination? ☒ No ☐ Yes

R) Justification of Rates**S) Disabled Veteran Business Enterprise Program (DVBE)**

1. ☒ Exempt (Interagency/Other Government Entity)
2. ☐ Meets DVBE Requirements DVBE Amount:\$ DVBE %:
 - a. ☐ Contractor is Certified DVBE
 - b. ☐ Contractor is Subcontracting with a DVBE:
3. ☐ Contractor selected through CMAS or MSA with no DVBE participation
4. ☐ Requesting DVBE Exemption (attach CEC 95)

T) Miscellaneous Agreement Information

1. Will there be Work Authorizations? ☒ No ☐ Yes
2. Is the Contractor providing confidential information? ☒ No ☐ Yes
3. Is the contractor going to purchase equipment? ☒ No ☐ Yes
4. Check frequency of progress reports
☐ Monthly ☐ Quarterly ☒ Other na
5. Will a final report be required? ☐ No ☒ Yes
6. Is the Agreement, with amendments, longer than three years? If yes, why?
☒ No ☐ Yes

U) The following items should be attached to this CRF (as applicable)

- | | | |
|---|---|--|
| 1. Exhibit A, Scope of Work | <input type="checkbox"/> N/A | <input checked="" type="checkbox"/> Attached |
| 2. Exhibit B, Budget Detail | <input type="checkbox"/> N/A | <input checked="" type="checkbox"/> Attached |
| 3. DGS-GSPD-09-007, NCB Request | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached |
| 4. CEC 95, DVBE Exemption Request | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached |
| 5. CEQA Documentation | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached |
| 6. Resumes | <input type="checkbox"/> N/A | <input checked="" type="checkbox"/> Attached |
| 7. CEC 105, Questionnaire for Identifying Conflicts | <input checked="" type="checkbox"/> N/A | <input checked="" type="checkbox"/> Attached |

Agreement Manager

Date

Office Manager

Date

Deputy Director

Date

Exhibit A – Scope of Work

Project Summary & Scope of Work

☒ Contract

☐ Grant

Does this project include Research (as defined in the UTC)?

☐ Yes

☒ No

PI Name: Lucas Davis

Project Title: 2021 POWER Conference

Project Summary/Abstract

Briefly describe the long-term objectives for achieving the stated goals of the project.

The 2021 POWER Conference on Energy Research and Policy, scheduled in Berkeley, California on March 16-19, 2021, will be the 26th annual conference focusing on electricity markets and systems. It brings together researchers from around the nation and some foreign countries to present the results of their studies of electricity markets. The purpose of the POWER Conference is to bring together outstanding scholars and practitioners from around the world to exchange ideas and research results on topics related to electricity markets and regulation.

If Third-Party Confidential Information is to be provided by the State:

- ☐ Performance of the Scope of Work is anticipated to involve use of third-party Confidential Information and is subject to the terms of this Agreement; **OR**
- ☐ A separate CNDA between the University and third-party is required by the third-party and is incorporated in this Agreement as Exhibit A7, Third Party Confidential Information.

Scope of Work

Describe the goals and specific objectives of the proposed project and summarize the expected outcomes. If applicable, describe the overall strategy, methodology, and analyses to be used. Include how the data will be collected, analyzed, and interpreted as well as any resource sharing plans as appropriate. Discuss potential problems, alternative strategies, and benchmarks for success anticipated to achieve the goals and objectives.

The Regents of the University of California on behalf of its Energy Institute at Haas (hereinafter "University") shall:

- Conduct the 2021 POWER Conference on electricity markets, various market designs, and assessments of system operations
- Gather academic researchers, policy-makers, and industry practitioners for a one-day intensive examination of new research on electricity industry restructuring
- Facilitate the use and adaptation of project research results to the relevant policy questions of the day
- Allow five (5) California Energy Commission (hereinafter "State") staff to attend without paying the customary registration fee
- Provide State with a copy of the 2021 POWER Conference agenda and a final report consisting of a digital copy of the 2021 POWER Conference proceedings, which includes all presentations made at the conference
- Feature State co-sponsorship in 2021 POWER Conference materials

STATE OF CALIFORNIA

STATE ENERGY RESOURCES
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: UNIVERSITY OF CALIFORNIA, BERKELEY, ENERGY
INSTITUTE AT HAAS SCHOOL OF BUSINESS

RESOLVED, that the State Energy Resources Conservation and Development Commission (CEC) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

RESOLVED, that the CEC approves Agreement 800-20-002 with University of California, Berkeley, Energy Institute at Haas School of Business for a \$12,500 contract to co-sponsor the 2021 POWER Conference on Energy Research and Policy in Berkeley on March 16-19, 2021. The 2021 POWER Conference will be the 26th annual conference focusing on electricity markets and systems; and

FURTHER BE IT RESOLVED, that the Executive Director or his/her designee shall execute the same on behalf of the CEC.

CERTIFICATION

The undersigned Secretariat to the CEC does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the CEC held on January 25, 2021.

AYE:

NAY:

ABSENT:

ABSTAIN:

Cody Goldthrite
Secretariat