



STATE OF CALIFORNIA

CONTRACT REQUEST FORM (CRF)

CEC-94 (Revised 12/2019)

CALIFORNIA ENERGY COMMISSION

A) New Agreement # RMB700-21-004 (to be completed by CGL office)

B) Division	Agreement Manager:	MS-	Phone
Siting, Transmission, & Enviro. Protection	Gabriel Roark	40	916-237-2544

C) Contractor's Legal Name	Federal ID #
Ocean Protection Council	-

D) Title of Project
North Coast Offshore Wind: Cultural Resources Inventory

E) Term and Amount

Start Date	End Date	Amount
11 / 15 / 2021	12 / 31 / 2026	\$ 199,951

F) Business Meeting Information☐ Operational agreement (see CAM Manual for list) to be approved by Executive Director☐ ARFVTP agreements \$75K and under delegated to Executive DirectorProposed Business Meeting Date 11 / 15 / 2021 ☒ Consent ☐ Discussion

Business Meeting Presenter Gabriel Roark Time Needed: 5 minutes

Please select one list serve. Select**Agenda Item Subject and Description:**

OCEAN PROTECTION COUNCIL. Proposed resolution approving Agreement RMB700-21-004 with the Ocean Protection Council for the CEC to receive \$199,951 to collect and compile cultural resources data for California North Coast offshore wind energy development affecting state lands and waters. CEC staff will compile cultural resources data into a geographic information system as a common platform for state agencies and North Coast California Native American tribes to make management decisions concerning cultural resources on shore, near shore and offshore that may be affected by offshore wind development. Contact: Gabriel Roark.

G) California Environmental Quality Act (CEQA) Compliance

1. Is Agreement considered a "Project" under CEQA?

☐ Yes (skip to question 2) ☒ No (complete the following (PRC 21065 and 14 CCR 15378)):

Explain why Agreement is not considered a "Project":

Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because project activities are limited to the collection and compilation of data from various existing sources such as State Archives, other federal and state agencies, and California Native American tribes. Once compiled, the data will be organized in an online platform. The project involves no construction, installation, or similar activities.

2. If Agreement is considered a "Project" under CEQA:

a) ☐ Agreement **IS** exempt.☐ Statutory Exemption. List PRC and/or CCR section number:☐ Categorical Exemption. List CCR section number:



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☐ Common Sense Exemption. 14 CCR 15061 (b) (3) Explain reason why Agreement is exempt under the above section:

b) ☐ Agreement **IS NOT** exempt. (consult with the legal office to determine next steps)

Check all that apply

☐ Initial Study

☐ Negative Declaration

☐ Mitigated Negative Declaration

☐ Environmental Impact Report

☐ Statement of Overriding Considerations

H) List all subcontractors (major and minor) and equipment vendors: (attach additional sheets as necessary)

Legal Company Name:	Budget
Bear River Band of the Rohnerville Rancheria	\$ 10,000.00
Wiyot Tribe	\$ 10,000.00
Blue Lake Rancheria	\$ 10,000.00
Cher-Ae Heights Indian Community of the Trinidad Rancheria	\$ 10,000.00
Big Lagoon Rancheria	\$ 10,000.00
Resighini Rancheria	\$ 10,000.00
Yurok Tribe	\$ 10,000.00

I) List all key partners: (attach additional sheets as necessary)

Legal Company Name:
California Coastal Commission
State Lands Commission
California Department of Fish and Wildlife
California State Parks
Bureau of Ocean Energy Management

J) Budget Information

Funding Source	Funding Year of Appropriation	Budget List Number	Amount
Funded by Ocean Protection Council			\$
Funding Source			\$
Funding Source			\$
Funding Source			\$
Funding Source			\$

R&D Program Area: Select Program Area TOTAL: \$

Explanation for "Other" selection

Reimbursement Contract #:

Federal Agreement #:

K) Contractor's Contact Information



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1. Contractor's Administrator/Officer

Name: Holly Shand

Address: 715 P Street, 20th Floor

City, State, Zip: Sacramento, CA
95814

Phone: 916.654.2757

E-Mail:

Holly.shand@resources.ca.gov

2. Contractor's Project Manager

Name:

Address:

City, State, Zip:

Phone:

E-Mail:

L) Selection Process Used

☐ Solicitation Select Type Solicitation #: - - # of Bids: Low Bid ☐ No ☐ Yes

☐ Non Competitive Bid (*Attach DGS-GSPD-09-007 <https://www.dgs.ca.gov/PD/Forms>*)

☒ Exempt- Interagency agreement

M) Contractor Entity Type

☐ Private Company (*including non-profits*)

☒ CA State Agency (*including UC and CSU*)

☐ Government Entity (*i.e. city, county, federal government, air/water/school district, joint power authorities, university from another state*)

N) Is Contractor a certified Small Business (SB), Micro Business (MB) or DVBE?

If yes, check appropriate box(es): ☐ SB ☐ MB ☐ DVBE

O) Civil Service Considerations

☒ Not Applicable (Agreement is with a CA State Entity or a membership/co-sponsorship)

☐ Public Resources Code 25620, et seq., authorizes the Commission to contract for the subject work. (PIER)

☐ The Services Contracted:

☐ are not available within civil service

☐ cannot be performed satisfactorily by civil service employees

☐ are of such a highly specialized or technical nature that the expert knowledge, expertise, and ability are not available through the civil service system.

☐ The Services are of such an:

☐ urgent

☐ temporary, or

☐ occasional nature

that the delay to implement under civil service would frustrate their very purpose.

Justification:

P) Payment Method



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1. ☐ Reimbursement in arrears based on:
 - ☐ Itemized Monthly ☐ Itemized Quarterly ☐ Flat Rate ☐ One-time
2. ☐ Advanced Payment
3. ☒ Other, explain: Reimbursement in arrears. Itemized invoices. Frequency no more than monthly, no less than quarterly.

Q) RetentionIs Agreement subject to retention? ☐ No ☒ YesIf Yes, Will retention be released prior to Agreement termination? ☐ No ☒ Yes**R) Justification of Rates****S) Disabled Veteran Business Enterprise Program (DVBE)**

1. ☒ Exempt (Interagency/Other Government Entity)
2. ☐ Meets DVBE Requirements DVBE Amount:\$ 0 DVBE %:
 - a. ☐ Contractor is Certified DVBE
 - b. ☐ Contractor is Subcontracting with a DVBE: Name of DVBE Company
3. ☐ Contractor selected through CMAS or MSA with no DVBE participation
4. ☐ Requesting DVBE Exemption (attach CEC 95)

T) Miscellaneous Agreement Information

1. Will there be Work Authorizations? ☒ No ☐ Yes
2. Is the Contractor providing confidential information? ☐ No ☒ Yes
3. Is the contractor going to purchase equipment? ☒ No ☐ Yes
4. Check frequency of progress reports
 - ☐ Monthly ☐ Quarterly ☒ Submitted with invoices
5. Will a final report be required? ☐ No ☒ Yes
6. Is the Agreement, with amendments, longer than three years? If yes, why? ☐ No ☒ Yes
This Agreement is five years to allow CEC, OPC, tribes and other cooperating agencies access to the data being shared under this agreement in order to support their long-term offshore wind energy-related planning and development efforts.

U) The following items should be attached to this CRF (as applicable)

- | | | |
|---|---|--|
| 1. Exhibit A, Scope of Work | <input type="checkbox"/> N/A | <input checked="" type="checkbox"/> Attached |
| 2. Exhibit B, Budget Detail | <input type="checkbox"/> N/A | <input checked="" type="checkbox"/> Attached |
| 3. DGS-GSPD-09-007, NCB Request | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached |
| 4. CEC 95, DVBE Exemption Request | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached |
| 5. CEQA Documentation | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached |
| 6. Resumes | <input type="checkbox"/> N/A | <input type="checkbox"/> Attached |
| 7. CEC 105, Questionnaire for Identifying Conflicts | | <input checked="" type="checkbox"/> Attached |

Gabriel Roark
Agreement Manager

10/06/2021
Date

Eric Knight
Office Manager

10/20/21
Date

Shawn Pittard 10/20/21



STATE OF CALIFORNIA
CONTRACT REQUEST FORM (CRF)

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Deputy Director

Date

CALIFORNIA ENERGY COMMISSION



State of California Natural Resources Agency Ocean Protection Council

Contractor Name: California Energy Commission
Project Title: North Coast Offshore Wind: Cultural Resources Inventory
Agreement Number [Ocean Protection Council to provide]
Term of Agreement: Upon Approval through 12/31/2026

Project Summary:

The purpose of this Agreement is for:

1. California Energy Commission (CEC) to collect and compile cultural resources data into an inventory in a consolidated GIS platform;
2. CEC to provide the Ocean Protection Council (OPC), California Coastal Commission (CC), State Lands Commission (SLC), California Department of Fish and Wildlife (DFW), California State Parks (SP), and the federal Bureau of Ocean Energy Management (BOEM) (collectively, "Agencies") access to the cultural resources inventory per data sharing agreement(s) for use in evaluating offshore wind (OSW) energy development and potential related impacts off the California coast;
3. CEC to award grants to seven North Coast tribes—Bear River Band of the Rohnerville Rancheria, Wiyot Tribe, Blue Lake Rancheria, Cher-Ae Heights Indian Community of the Trinidad Rancheria, Big Lagoon Rancheria, Resighini Rancheria, and the Yurok Tribe (collectively, "North Coast Tribes")—to fund coordination and consultations between the North Coast Tribes and the Agencies concerning the collection, compilation, sharing and confidentiality of the inventory; and
4. CEC to provide North Coast Tribes access to the cultural resources inventory per data sharing agreement(s).

The Agencies will need to, readily and repeatedly, access cultural resources inventory data over the course of several years of environmental review and compliance actions related to OSW energy development in federal waters offshore of North Coast areas of California and related state water and onshore impacts and development. Additionally, BOEM is interested in how the state-collected information will aid its efforts to work with tribes for the identification of cultural landscapes that might intersect state and federal jurisdictions. The Agencies will individually and collectively need to consult with the North Coast Tribes (and perhaps additional tribes) concerning those actions. In preparing for that state jurisdictional work, the CEC will need to share the inventory with the Agencies and the North Coast Tribes to aid the broader state (and federal) consultation activities. Inventory sharing will require data sharing agreements.



General Description of the Project

Geographic Information Systems (GIS) Platform

Inventory data will be gathered by CEC Cultural Resources Unit staff (CEC staff) from the sources identified in tasks 1 through 4 below. Information will be consistently digitized across all inventory sets to include geographic location and attribution defined by schema. Some data sets will be received in digital format and some data sets will need to be converted to digital format.

A centralized digital platform will be established that stores all information collected, shares that information with the Agencies and North Coast Tribes, and secures that information with individual username/password authorization as further described in data sharing agreements. A training program will be established, as some Agencies and North Coast Tribes' staff will need to learn to use the GIS platform.

CEC staff will use its established cultural resource digital inventory data schema and ArcGIS Online platform to help accomplish the Agreement goals.

North Coast Geographic Study Area

The North Coast inventories will be collected for the following geographic areas, generally defined as:

- Western extent: State ocean waters boundary (3 nautical miles offshore)
- Southern extent: Southern end of Centerville Beach
- Northern extent: Northern end of Freshwater Lagoon
- Eastern extent: Coastal Zone eastern boundary

Objective:

The goal of this Agreement is for the Agencies and North Coast Tribes to share a common collection of cultural resources data to help inform future state actions related to OSW energy development.

Project Tasks and Deliverables:

Task 1: CONDUCT CHRIS RECORDS SEARCH

Subtask 1a. Conduct Records Search. CEC staff will conduct a records search at the Northwest Information Center (NWIC) of the California Historical Resources Information System (CHRIS) for the North Coast Geographic Study Area (defined above). Staff will consult the NWIC's database of previously recorded cultural resources to obtain the geographic location of each cultural resource situated in the study area and the associated resource recordation forms (portable document format [PDF]).



Subtask 1b. Digitize Records. CEC staff will incorporate the location of each cultural resource into the project GIS, creating individual shapefiles. Staff will complete an attribute table, which will allow database users to access and search for diverse information, for each cultural resource. Staff will link each shapefile with PDFs of the associated resource recordation forms.

Deliverable: PDFs and attributed shapefiles of resource recordation forms. CEC staff will incorporate these data into the project GIS.

Task 2: COLLECT ETHNOGRAPHIC RECORDS

Subtask 2a. Visit State Archives and Collect Ethnographic Documents and Maps.

CEC staff will access ethnographic records at the State Archives, California State Library, internet sources, and CEC's Cultural Resources Unit library. The research will focus on Wiyot and Yurok cultural geography, including village locations, ceremonial areas, gathering locales, and important viewsheds. Many of these resource types are underrepresented in the CHRIS records. Staff will photocopy or acquire digital versions of maps and documents for analysis and digitization.

Subtask 2b. Georeference Maps. Some of the major Wiyot and Yurok sources contain detailed maps of numerous village sites and other important landscape features. The maps are sufficiently detailed that staff will incorporate entire maps into the project GIS through a process called georeferencing. This process shortens digitization time to a considerable degree while maintaining high accuracy standards.

Subtask 2c. Digitize Resource Information. CEC staff will create individual data sheets (PDF format) for cultural resources identified in the ethnographic sources and create shapefiles in the project GIS for each. Information from the data sheets will be added to the GIS and shapefiles linked to PDFs of their corresponding data sheets. Finally, staff will compile a list of coastal plant and animal species important to the Wiyot and Yurok.

Deliverable: PDF data sheets and attributed shapefiles of cultural resources found on ethnographic maps. CEC staff will incorporate these data into the project GIS. Lists of culturally important, coastal plant and animal species (Word and PDF format).

Task 3: COLLECT SUBMERGED CULTURAL RESOURCES DATA

The SLC and BOEM possess two significant data sets concerning submerged cultural resources: the Submerged Shipwreck Database and Coastal Paleo-landscapes. CEC staff will work with SLC staff to obtain the shipwreck data for the study area and BOEM to access the Coastal Paleo-landscapes data set. BOEM possesses predictive modeling data that provide offshore modeling of coastal paleo-landscapes for lands under federal ocean waters. Working with BOEM historic preservation staff, CEC staff will extrapolate that data to determine North Coast paleo-landscapes for lands under state waters and within the study area.

Deliverable: CEC staff will incorporate the data relevant to the study area into the project GIS and create individual cultural resource records (PDF format).



Task 4: OBTAIN ADDITIONAL STATE OR TRIBAL DATA SETS

Other partnering state agencies or tribes might have unique data sets that they wish to share. CEC staff will contact partnering state agencies and tribes to determine if they possess, and would be willing to provide, unique cultural resource data sets to CEC. Staff will evaluate any such data sets for digital compatibility with the project GIS. Individual cultural resources will be digitized into the GIS, attribute tables completed, and PDFs of source documents linked to resource shapefiles.

Deliverable: Attributed shapefiles and PDF-format source documents, each incorporated into the project GIS.

Task 5: STATE-TRIBAL FUNDING AGREEMENTS, DATA-SHARING AGREEMENTS, AND SUMMARY OF CULTURAL RESOURCES INVENTORY

The CEC will attempt to execute up to seven grant agreements with North Coast Tribes to build their capacity to participate in the project. CEC will administer any grant agreements it executes with North Coast Tribes. The reason these are grants instead of contracts is that no goods or services are being provided to the State. Within certain parameters, the North Coast Tribes will decide how best to participate and contribute towards this collective effort. Grant activities may include compensation for activities such as tribal staff time expended in team meetings, GIS training, peer reviewing staff work, and providing additional data. Information/data collected via Tasks 1–4 will be cross-validated with Tribes to the extent feasible through individual and group meetings. Grant funds may also be used to purchase ESRI ArcGIS Online licenses, if needed.

The CEC will attempt to negotiate and execute data-sharing agreements with the Agencies and North Coast Tribes interested in accessing the cultural resources data compiled under tasks 1–4 of this scope of work. These data-sharing agreements will, at minimum, define what inventory information will be kept confidential and the allowable uses of the information.

Instructions for accessing and using the project GIS will be delivered to each Agency and North Coast Tribe, conditional upon execution of data-sharing agreements with the CEC.

Additionally, the CEC will prepare a summary of the cultural resources inventory as a baseline reference for partner tribes and agencies. The summary will describe, in a manner suitable for public distribution, the number and types of cultural resources identified in the study area. An overview of the predictive modeling for submerged archaeological resources will be provided. An ethnographic resources summary will also include an overview of the lists of plants and animals of importance to Wiyot and Yurok tribes with general reference to how the data might be used to characterize tribal cultural resources including tribal cultural landscapes. The summary will also describe



the sources and repositories that the CEC consulted in preparing the cultural resources inventory.

Deliverable: Draft CEC-Tribal Grant Funding Agreements, Copies of Final CEC-Tribal Grant Funding Agreements, Draft Data-Sharing Agreements, Copies of Final Data-Sharing Agreements, Instructions and password for project GIS, and Summary of Cultural Resources Inventory.

Task 6: CONDUCT TEAM MEETINGS AND TRAINING

This scope of work will require CEC staff to work in task-specific teams on parallel tracks to complete all tasks during the Agreement term. In addition, Agencies and North Coast Tribes would benefit from training in the use of ArcGIS Online and the project GIS. Therefore, the goal of this task is to ensure the coordination of Agreement tasks, quality assurance and control, and directions for use of the project GIS. This task will include periodic and ad hoc team meetings among CEC staff (and other participants as appropriate) and online training sessions with Agencies and North Coast Tribes on use of the project GIS.

Deliverable: Online training sessions as needed by Agencies and North Coast Tribes.

Project Timeline:

For the Gantt chart, please see the attached file.

Table of Deliverables

Task	Deliverable	Due Date
1. Conduct CHRIS Records Search	PDFs of resource recordation forms	11/29/2021
	Attributed shapefiles of resource recordation forms	11/29/2021
2. Collect Ethnographic Records	PDF data sheets for cultural resources found on ethnographic maps.	11/22/2021
	Attributed shapefiles of cultural resources found on ethnographic maps	12/01/2021
	Lists of culturally important, coastal plant and animal species (Word and PDF format)	12/01/2021
3. Collect Submerged Cultural Resources Data	Create individual cultural resource records (PDF format)	12/06/2021
4. Obtain Additional State or Tribal Data Sets	Attributed shapefiles	12/15/2021
	PDF-format source documents	12/15/2021
5. State-Tribal Funding Agreements, Data-Sharing Agreements, and Summary	Draft CEC-Tribal Grant Funding Agreements	11/29/2021



of Cultural Resources Inventory	Copies of Final CEC-Tribal Grant Funding Agreements	12/15/2021
	Draft Data-Sharing Agreements	11/29/2021
	Copies of Final Data-Sharing Agreements	12/15/2021
	Instructions and password for project GIS	01/31/2022
	Summary of Cultural Resources Inventory	01/31/2022
6. Conduct Team Meetings and Training	None	Not applicable

Agreement Contacts:

Contractor: California Energy Commission
Contact Name: Gabriel Roark, Senior Environmental Planner
Contact Number: (916) 237-2544
Contact Address: 715 P Street, MS-40
Sacramento, CA 95814

Contact Email:
Gabriel.Roark@energy.ca.gov

California Natural Resources Ocean Protection Council
Contact Name: Holly Shand, Administration & Finance Manager
Contact Number: (916) 654-2757
Contact Address: 715 P Street, 20th Floor
Sacramento, CA 95814
Contact Email: Holly.shand@resources.ca.gov

STATE OF CALIFORNIA

STATE ENERGY RESOURCES
CONSERVATION AND DEVELOPMENT COMMISSION
RESOLUTION: OCEAN PROTECTION COUNCIL

RESOLVED, that the State Energy Resources Conservation and Development Commission (CEC) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

RESOLVED, that the CEC approves Agreement RMB700- 21-004 with the Ocean Protection Council for the CEC to receive \$199,951 to collect and compile cultural resources data for California North Coast offshore wind energy development affecting state lands and waters. CEC staff will compile cultural resources data into a geographic information system as a common platform for state agencies and North Coast California Native American tribes to make management decisions concerning cultural resources on shore, near shore, and offshore that may be affected by offshore wind development; and

FURTHER BE IT RESOLVED, that the Executive Director or their designee shall execute the same on behalf of the CEC.

CERTIFICATION

The undersigned Secretariat to the CEC does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the CEC held on November 15, 2021.

AYE:

NAY:

ABSENT:

ABSTAIN:

Liza Lopez
Secretariat