



**CALIFORNIA  
ENERGY COMMISSION**



**California Energy Commission  
April 12, 2023 Business Meeting  
Backup Materials for Agenda Item No 03eii:  
Eagle Rock Analytics, Inc.**

The following backup materials for the above-referenced agenda item are available in this PDF packet as listed below:

1. Proposed Resolution.
2. Amendment Request Form.

STATE OF CALIFORNIA  
STATE ENERGY RESOURCES  
CONSERVATION AND DEVELOPMENT COMMISSION

**RESOLUTION: Eagle Rock Analytics, Inc.**

**RESOLVED**, that the State Energy Resources Conservation and Development Commission (CEC) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

**RESOLVED**, that the CEC approves Amendment 1 to Agreement EPC-21-037 for a Novation in which the original recipient, Owen Doherty, Ph.D., doing business as Eagle Rock Analytics (Sole Proprietor), has changed legal entity status, and registered with the California Secretary of State as Eagle Rock Analytics, Inc. (an S-Corporation). The project will continue climate-informed generation capacity modeling under the new entity with no other changes to the agreement; and

**FURTHER BE IT RESOLVED**, that the Executive Director or their designee shall execute the same on behalf of the CEC.

**CERTIFICATION**

The undersigned Secretariat to the CEC does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the CEC held on April 12, 2023.

AYE:  
NAY:  
ABSENT:  
ABSTAIN:

Dated:

\_\_\_\_\_  
Liza Lopez  
Secretariat



STATE OF CALIFORNIA

# GRANT AMENDMENT REQUEST FORM (GARF)

CEC-277 (Revised 12/2019)

CALIFORNIA ENERGY COMMISSION

Original Agreement # EPC-21-037 Amendment # 1

Division	Agreement Manager:	MS-	Phone
ERDD	Alexandra Kovalick	43	916-776-0781

Recipient's Legal Name	Federal ID #
Eagle Rock Analytics, Inc.	88-4422477

Revisions: (check all that apply)	Additional Requirements
<input type="checkbox"/> Term Extension New End Date: 3/31/2026	Include revised schedule and complete items A, B, C, & F below.
<input type="checkbox"/> Budget Augmentation Amendment Amount: \$ 0	Include revised budget and complete items A, B, C, D, & F below.
<input type="checkbox"/> Budget Reallocation	Include revised budget and complete items A, B, C, & F below.
<input type="checkbox"/> Scope of Work Revision	Include revised scope of work and complete items A, B, C, E, & F below.
<input type="checkbox"/> Change in Project Location or Demonstration Site	Include revised scope of work and complete items A, B, C, E, & F below.
<input checked="" type="checkbox"/> Novation/Name Change of Prime Recipient	Include novation documentation and complete items A, B, C, & F below.
<input type="checkbox"/> Terms and Conditions Modification	Include applicable exhibits with bold/underline/ strikeout and complete items A, B, C, & F below.

## A) Business Meeting Information

**Business Meeting approval is not required for the following types of Agreements:**

Minor amendments delegated to Executive Director per December 2013 Resolution

Proposed Business Meeting Date 4/12/2023  Consent  Discussion

Business Meeting Presenter Alexandra Kovalick Time Needed: minutes

Please select one list serve. EPIC (Electric Program Investment Charge)

### Agenda Item Subject and Description:

#### Eagle Rock Analytics, Inc.

Proposed resolution approving Amendment 1 for Agreement EPC-21-037, for a novation changing the original recipient Owen Doherty, Ph.D., doing business as Eagle Rock Analytics (Sole Proprietor), has changed legal entity status, and registered with the California Secretary of State as Eagle Rock Analytics, Inc. (an S-Corporation). There are no other changes to the agreement. (PIER NG funding) Contact: Alexandra Kovalick



# GRANT AMENDMENT REQUEST FORM (GARF)

### B) List all subcontractors (major and minor) and equipment vendors: (attach additional sheets as necessary)

Legal Company Name:	Budget
Kit Batten Consulting	\$ 20,000
DOE- Lawrence Berkeley National Laboratory	\$ 300,000
Naomi Goldenson	\$ 50,000
David Yates	\$ 15,000
	\$

### C) List all key partners: (attach additional sheets as necessary)

Legal Company Name:

### D) Budget Information (only include amendment amount information)

Funding Source	Funding Year of Appropriation	Budget List Number	Amount
			\$

R&D Program Area: EGRB: EA

TOTAL: \$ 0

Explanation for "Other" selection

Federal Agreement #:

### E) California Environmental Quality Act (CEQA) Compliance **Not required for this amendment**

1. Is Agreement considered a "Project" under CEQA?

Yes (skip to question 2)

No (complete the following (PRC 21065 and 14 CCR 15378)):

Explain why Agreement is not considered a "Project":

Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because

2. If Agreement is considered a "Project" under CEQA:

a)  Agreement **IS** exempt.

Statutory Exemption. List PRC and/or CCR section number:

Categorical Exemption. List CCR section number:

Common Sense Exemption. 14 CCR 15061 (b) (3)

Explain reason why Agreement is exempt under the above section:

b)  Agreement **IS NOT** exempt. (consult with the legal office to determine next steps)

Check all that apply

Initial Study

Negative Declaration

Mitigated Negative Declaration



# GRANT AMENDMENT REQUEST FORM (GARF)

- Environmental Impact Report
- Statement of Overriding Considerations

## F) The following items should be attached to this GARF (as applicable)

- |   |   |  |
|---|---|--|
| 1. Exhibit A, Scope of Work/Schedule                | <input type="checkbox"/> N/A            | <input checked="" type="checkbox"/> Attached |
| 2. Exhibit B, Budget Detail                         | <input type="checkbox"/> N/A            | <input checked="" type="checkbox"/> Attached |
| 3. CEQA Documentation                               | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached            |
| 4. Novation Documentation                           | <input type="checkbox"/> N/A            | <input checked="" type="checkbox"/> Attached |
| 5. CEC 105, Questionnaire for Identifying Conflicts |   | <input checked="" type="checkbox"/> Attached |

      
**Alexandra Kovalick**  
**Agreement Manager**

  2/16/23    
**Date**

      
**Susan Wilhelm for Alex Horangic**  
**Office Manager**

  2/16/23    
**Date**

      
**Kevin Uy for Jonah Steinbuck**  
**Deputy Director**

  2/16/23    
**Date**