



**CALIFORNIA  
ENERGY COMMISSION**



**California Energy Commission  
October 18, 2023 Business Meeting  
Backup Materials for Agenda Item No 03c:  
Aspen Environmental Group**

The following backup materials for the above-referenced agenda item are available in this PDF packet as listed below:

1. Proposed Resolution
2. Contract Amendment Request Form
3. Scope of Work

**STATE OF CALIFORNIA**  
**STATE ENERGY RESOURCES**  
**CONSERVATION AND DEVELOPMENT COMMISSION**

**RESOLUTION: Aspen Environmental Group**

**RESOLVED**, that the State Energy Resources Conservation and Development Commission (CEC) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

**RESOLVED**, that the CEC approves amendment 1 to contract 700-22-005 with Aspen Environmental Group (AEG) to increase the agreement's budget by \$4,500,000 for a total of \$6,000,000. AEG will provide technical and expert support for CEC's evaluation of applications for certain non-fossil fuel power facilities made pursuant to the Opt-In Program defined in Chapter 6.2 of Division 15 of the Public Resources Code; and

**FURTHER BE IT RESOLVED**, that the Executive Director or their designee shall execute the same on behalf of the CEC.

**CERTIFICATION**

The undersigned Secretariat to the CEC does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the CEC held on October 18, 2023.

AYE:  
NAY:  
ABSENT:  
ABSTAIN:

Dated:

\_\_\_\_\_  
Kristine Banaag  
Secretariat



STATE OF CALIFORNIA

**CONTRACT AMENDMENT REQUEST FORM (CARF)**

CEC-276 (Revised 12/2019)

CALIFORNIA ENERGY COMMISSION

Original Agreement # 700-22-005 Amendment # 01

Division	Agreement Manager:	MS-	Phone
700 STEP Division	Hilarie Anderson	16	916-661-8462

Contractor's Legal Name	Federal ID #
Aspen Environmental Group	95-4337914

Revisions: (check all that apply)	Additional Requirements
<input type="checkbox"/> Term Extension New End Date:     /     /	Include revised schedule and complete items A, B, C, D, & H below.
<input checked="" type="checkbox"/> Budget Augmentation Amendment Amount: \$ 4,500,000	Include revised budget and complete items A, B, C, D, E, F, & H below.
<input type="checkbox"/> Budget Reallocation	Include revised budget and complete items A, B, C, D, & H below.
<input type="checkbox"/> Scope of Work Revision	Include revised scope of work and complete items A, B, C, D, & H below.
<input type="checkbox"/> Change in Project Location or Demonstration Site	Include revised scope of work and complete items A, B, C, D, G, & H below.
<input type="checkbox"/> DVBE Replacement	Include revised scope of work and complete items A, B, C, D, F & H below.
<input type="checkbox"/> Novation/Name Change of Prime Recipient	Include novation documentation and complete items A, B, D, & H below.
<input type="checkbox"/> Terms and Conditions Modification	Include applicable exhibits with bold/underline/ strikeout and complete items A, B, C, D & H below.

**A) Business Meeting Information****Business Meeting approval is not required for the following types of Agreements:**☐ Minor amendments delegated to Executive Director per December 2013 ResolutionProposed Business Meeting Date 10 / 18 / 2023 ☒ Consent ☐ Discussion

Business Meeting Presenter                      Time Needed:                      minutes

Please select one list serve. Select

**Agenda Item Subject and Description:**

**Aspen Environmental Group.** Proposed resolution approving amendment 1 to contract 700-22-005 with Aspen Environmental Group (AEG) to increase the agreement's budget by \$4,500,000 for a total of \$6,000,000. AEG will provide technical and expert support for CEC's evaluation of applications for certain non-fossil fuel power facilities made pursuant to the Opt-



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In Program defined in Chapter 6.2 of Division 15 of the Public Resources Code. (EFLCF, ERPA/COIA, DEBA Funding) Contact: Hilarie Anderson

**Amendment Justification (For contract amendments only)**

- ☐ Non Competitive Bid (Attach DGS-GSPD-09-007) <https://www.dgs.ca.gov/PD/Forms>
- ☒ Exempt Amendment to AE, Title 20 CCR 2566.b

**B) List all subcontractors (major and minor) and equipment vendors: (attach additional sheets as necessary)**

Legal Company Name:	Budget
See attached	\$ 0.00
	\$ 0.00
	\$ 0.00

**C) List all key partners: (attach additional sheets as necessary)**

Legal Company Name:

**D) Budget Information (only include amendment amount information)**

Funding Source	Funding Year of Appropriation	Budget List Number	Amount
EFLCF	23/24	700.006D	\$1,500,000
ERPA/COIA	23/24	700.007	\$1,000,000
DEBA	23/24	700.008	\$2,000,000
Funding Source			\$
Funding Source			\$

R&D Program Area: Select Program Area TOTAL: \$ 4,500,000

Explanation for "Other" selection

Reimbursement Contract #:

Federal Agreement #:

**E) Disabled Veteran Business Enterprise Program (DVBE)**

- ☐ Exempt (Interagency/Other Government Entity)
- ☒ Meets DVBE Requirements DVBE Amount:\$\_\_\_\_\_ DVBE %: 3%
  - ☐ Contractor is Certified DVBE
  - ☒ Contractor is Subcontracting with a DVBE: \$180,000
- ☐ Contractor selected through CMAS or MSA with no DVBE participation
- ☐ Requesting DVBE Exemption (attach CEC 95)

**F) California Environmental Quality Act (CEQA) Compliance**

**CONTRACT AMENDMENT REQUEST FORM (CARF)**

- 1 Is Agreement considered a "Project" under CEQA?  
☐ Yes (skip to question 2) ☒ No (complete the following (PRC 21065 and 14 CCR 15378)):

Explain why Agreement is not considered a "Project":

Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because the contract is for consultant services for technical studies and training and the outcome will be reports and transfer of knowledge.

- 2 If Agreement is considered a "Project" under CEQA:

- c) ☐ Agreement **IS** exempt.  
☐ Statutory Exemption. List PRC and/or CCR section number:  
☐ Categorical Exemption. List CCR section number:  
☐ Common Sense Exemption. 14 CCR 15061 (b) (3) Explain reason why Agreement is exempt under the above section:  
d) ☐ Agreement **IS NOT** exempt. (consult with the legal office to determine next steps)

Check all that apply

- ☐ Initial Study  
☐ Negative Declaration  
☐ Mitigated Negative Declaration  
☐ Environmental Impact Report  
☐ Statement of Overriding Considerations

**G) The following items should be attached to this CARF (as applicable)**

- |   |   |  |
|---|---|--|
| 1. Exhibit A, Scope of Work                         | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached            |
| 2. Exhibit B, Budget Detail                         | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached            |
| 3. DGS-GSPD-09-007, NCB Request                     | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached            |
| 4. CEC 95. DVBE Exemption Request                   | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached            |
| 5. CEQA Documentation                               | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached            |
| 6. Novation Documentation                           | <input checked="" type="checkbox"/> N/A | <input type="checkbox"/> Attached            |
| 7. CEC 105, Questionnaire for Identifying Conflicts |   | <input checked="" type="checkbox"/> Attached |

\_\_\_\_\_  
**Agreement Manager**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Office Manager**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Deputy Director**

\_\_\_\_\_  
**Date**



STATE OF CALIFORNIA

## **CONTRACT AMENDMENT REQUEST FORM (CARF)**

CEC-276 (Revised 12/2019)

CALIFORNIA ENERGY COMMISSION

**700-22-005**  
**Opt-In Contract**  
**Aspen Environmental Group**  
Subcontractor List

### **Business Name**

- Applied EarthWorks, Inc.
- Arellano Associates
- 3DScape
- Black Eagle Consulting, Inc.
- Blackhawk Environmental Inc.
- CommonLook
- Conservation Biology Institute
- Desert Research Institute
- EDM Services, Inc.
- Fehr and Peers
- Guidehouse
- Horizon Water and Environment
- Hydrofocus, Inc.
- ISI Translation Services
- Mead and Hunt
- Michael Clayton & Associates
- Pacific Legacy
- Peter Raimondi,
- RCH Group
- Ricondo & Associates, Inc.
- Risk Science Associates
- Rod Walker & Associates Consultancy
- Spectrus, Ltd.
- Tatsumi and Partners, Inc.
- WestPeak Energy
- WJV Acoustics, Inc.