



GRANT REQUEST FORM (GRF)

A) New Agreement # PIR-19-007

B) Division	Agreement Manager:	MS-	Phone
ERDD	Martine Schmidt-Poolman	43	916-327-3424

C) Recipient's Legal Name	Federal ID Number
The Regents of the University of California, on behalf of the San Diego Campus's Scripps Institution of Oceanography	95-6006144

D) Title of Project
Development and Evaluation of a High Resolution Historical Climate Dataset over California

E) Term and Amount

Start Date	End Date	Amount
6/30/2020	3/31/2024	\$ 1,363,550

F) Business Meeting Information

ARFVTP agreements \$75K and under delegated to Executive Director

Proposed Business Meeting Date 6/10/2020 Consent Discussion

Business Meeting Presenter Martine Schmidt-Poolman Time Needed: 5 minutes

Please select one list serve. NaturalGas (NG Research Program

Agenda Item Subject and Description:

The Regents of the University of California, San Diego

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN DIEGO. Proposed resolution approving agreement PIR-19-007 with The Regents of the University of California, San Diego for a \$1,363,550 grant to to fund development of a needed detailed spatial/temporal historical (1980-2019) climate record. The project includes generation of new statewide dynamical climate/weather reanalyses, evaluating the output data in terms of high-impact compound weather/climate events, and determining sources of trends. (PIER NG funding) Contact: Martine Schmidt-Poolman.

G) California Environmental Quality Act (CEQA) Compliance

1. Is Agreement considered a "Project" under CEQA?

Yes (skip to question 2)

No (complete the following (PRC 21065 and 14 CCR 15378)):

Explain why Agreement is not considered a "Project":

Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because development of the historical climate data is "desk work" at the recipient's and subcontractors' respective university and research institutions.

2. If Agreement is considered a "Project" under CEQA:

a) Agreement **IS** exempt.

Statutory Exemption. List PRC and/or CCR section number:

Categorical Exemption. List CCR section number: 15306



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Common Sense Exemption. 14 CCR 15061 (b) (3)

Explain reason why Agreement is exempt under the above section:

b) Agreement **IS NOT** exempt. (consult with the legal office to determine next steps)

Check all that apply

Initial Study

Negative Declaration

Mitigated Negative Declaration

Environmental Impact Report

Statement of Overriding Considerations

H) List all subcontractors (major and minor) and equipment vendors: (attach additional sheets as necessary)

Legal Company Name:	Budget
Desert Research Institute	\$ 195,000
Portland State University	\$ 106,625
	\$
	\$

I) List all key partners: (attach additional sheets as necessary)

Legal Company Name:
NASA Ames Research Center

J) Budget Information

Funding Source	Funding Year of Appropriation	Budget List Number	Amount
NG Subaccount, PIERDD	18-19	501.001M	\$1,363,550
			\$
			\$
			\$

R&D Program Area: EGRO: EA

TOTAL: \$ 1,363,550

Explanation for "Other" selection

Reimbursement Contract #: Federal Agreement #:



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K) Recipient's Contact Information

1. Recipient's Administrator/Officer

Name: Dan Cayan
Address: 9500 GILMAN DR

City, State, Zip: LA JOLLA, CA
92093-0411

Phone: 858-534-4507

E-Mail: Dcayan@ucsd.edu

2. Recipient's Project Manager

Name: Dan Cayan
Address: 9500 GILMAN DR

City, State, Zip: LA JOLLA, CA
92093-0411

Phone: 858-534-4507

E-Mail: Dcayan@ucsd.edu

L) Selection Process Used

Competitive Solicitation Solicitation #: GFO-19-501

First Come First Served Solicitation Solicitation #:

M) The following items should be attached to this GRF

- | | | |
|---|-------------------------------------|----------|
| 1. Exhibit A, Scope of Work | <input checked="" type="checkbox"/> | Attached |
| 2. Exhibit B, Budget Detail | <input checked="" type="checkbox"/> | Attached |
| 3. CEC 105, Questionnaire for Identifying Conflicts | <input checked="" type="checkbox"/> | Attached |
| 4. Recipient Resolution | <input checked="" type="checkbox"/> | N/A |
| 5. CEQA Documentation | <input type="checkbox"/> | N/A |
| | <input checked="" type="checkbox"/> | Attached |

Agreement Manager

Date

Office Manager

Date

Deputy Director

Date

EXHIBIT A
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The Regents of the University of California, San Diego

I. TASK ACRONYM/TERM LISTS

A. Task List

Task #	CPR¹	Task Name
1		General Project Task
2	X	Regional Modeling
3		Hydrological Modeling
4		Analysis of Climate and Weather Extremes
5		Trend Attribution Analysis
6	X	Data Management and Distribution
7		Evaluation of Project Benefits
8		Technology/Knowledge Transfer Activities

B. Acronym/Term List

Acronym/Term	Meaning
CAM	Commission Agreement Manager
CAO	Commission Agreement Officer
CPR	Critical Project Review
DRI	Desert Research Institute
IOUs	Investor-Owned Utilities
LOCA	Localized Constructed Analogs
NASA NEX	NASA Earth Exchange facility
SIO	Scripps Institution of Oceanography, University of California San Diego
TAC	Technical Advisory Committee
VIC	Variable Infiltration Capacity
WRF	Weather Research and Forecasting model

II. PURPOSE OF AGREEMENT, PROBLEM/SOLUTION STATEMENT, AND GOALS AND OBJECTIVES

A. Purpose of Agreement

The purpose of this Agreement is to fund development of a needed detailed spatial/temporal historical (1980-2019) climate record. The proposed project includes generating new statewide dynamical climate/weather reanalyses, evaluating the output data in terms of high-impact compound weather/climate events, and determining sources of trends.

¹ Please see subtask 1.3 in Part III of the Scope of Work (General Project Tasks) for a description of Critical Project Review (CPR) Meetings.

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B. Problem/ Solution Statement

Problem

The natural gas sector, other utility sectors, and numerous other stakeholders in California need more spatially and temporally detailed historical climate, weather, and hydrological data to assess resilience to present and future climate changes and therefore conduct operations and planning. Of particular importance is the makeup of extreme events, which may derive from sequences of occurrences and can manifest as compound multi-variate hazards. However, the fine scale data required to fully evaluate and prepare for co-occurring extremes and sequences of hazardous events have not previously been assembled. Additionally, sources of historical climate trends must be understood, as this bears on how and whether such trends continue into the future.

Notable examples of recent extremes include the Tubbs and Paradise fires of 2017 and 2018, the Montecito debris flows of 2018, and damaging floods on the Russian and Feather Rivers in 2017 and 2019. Events such as these are influenced by California's complex terrain and have highly specific weather and climate drivers and resulting impacts, illustrating the need for fine scale, continuous weather and hydro-climate information covering the entire state. Multiple decades of this historical information are needed to cover a range of variability and capture extreme events.

Solution

To provide this detailed historical climate record, the Recipient will use state-of-the-art dynamical models to generate new statewide dynamical climate/weather at 2-kilometer, hourly resolution. This statewide (and offshore and bordering land region) historical construction will be driven by modern global weather re-analyses, and the regional model output will be validated using historical observed data from various available datasets. Two separate regional model re-analyses will be developed -- one targeted to realistically describe a range of wet weather including atmospheric rivers, and a second one targeted toward dry weather with an emphasis on wildfire conditions. To better inform users, the Recipient will evaluate the output data in terms of high-impact extreme events, including compound weather/climate events, and also conduct an attribution study to determine sources of trends.

C. Goals and Objectives of the Agreement

Agreement Goals

The goal of this Agreement is to improve the spatial (to 2 km) and temporal (to hourly) resolution of historical climate data needed by the natural gas Investor-Owned Utilities (IOUs), along with a broader set of stakeholders in California. This data will provide improved information for assessment of the risk of compound climate events, enabling IOUs to stress test the natural gas systems using the historical baseline from which to gauge projected future conditions, and to assess the resiliency of the system in the face of long-term trends combined with shorter-term extremes.

Ratepayer Benefits: This Agreement will result in the ratepayer benefits of greater natural gas reliability and increased safety by developing datasets with appropriate suites of meteorological and hydrological variables that support IOUs in detailed stress testing of the natural gas system.

Technological Advancement and Breakthroughs: This Agreement will improve the spatial and temporal resolution of historical climate data to natural gas IOUs and other stakeholders in California. This data will provide better information to assess compound events climate events,

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stress test the California utility and other systems using the historical baseline to gauge projected future conditions, develop computationally efficient downscaling products such as Localized Constructed Analogs (LOCA; Pierce et al. 2014), and assess resiliency of the systems in the face of long-term trends combined with short term extremes.

The key project results and data will be made available in two ways: one optimized for IOUs that need targeted subsets of the data, and the other for users who need substantial portions of the data (or potentially all of it).

Agreement Objectives

The objectives of this Agreement are to:

- Produce and evaluate historical high-resolution dynamical downscaling (Task 2):
 - Tune and run Desert Research Institute's Weather Research and Forecasting (DRI WRF) model for California "wet" simulations.
 - Tune and run West Weather Research and Forecasting (West-WRF) Model Forecasts for California "dry" simulations.
 - Evaluate "dry" and "wet" simulations against existing meteorological station observations to determine their performance in simulating a range of historical conditions across seasons, anomalous climate patterns, and extreme weather situations.
 - Bias correct the model output combining information from the WRF simulations with historically observed data to reduce the biases in the model output, resulting in a more realistic final data set.
- Produce more realistic estimates of hydrological variables by running a separate land surface model forced by the bias corrected WRF data that can be used to drive the land surface model (Task 3: Hydrological modeling).
- Provide a long and highly detailed history of climate and weather extremes from analysis of those extremes (Task 4: Analyze climate and weather extremes).
- Attribute trends to natural and anthropogenic sources (Task 5).
- Manage the data and distribute the final (data related) end products, along with development of a guide to users in applying these data to particular issues of importance (Task 6).

The degree of success will be gauged by how well the Recipient, at minimum:

- Evaluates historical variability and relevant extreme events in the "dry" and "wet" simulations, along with associated historical hydrological model simulations.
- Develops a bias correction process, wherein model output will be evaluated against relevant observations.
- Demonstrates the ability to produce a guide to users to understand how to appropriately use the data and interpret relevant variability and extremes.
- Provides access to the data to California stakeholders.

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III. TASK 1 GENERAL PROJECT TASKS

PRODUCTS

Subtask 1.1 Products

The goal of this subtask is to establish the requirements for submitting project products (e.g., reports, summaries, plans, and presentation materials). Unless otherwise specified by the Commission Agreement Manager (CAM), the Recipient must deliver products as required below by the dates listed in the **Project Schedule (Part V)**. Products that require a draft version are indicated by marking “**(draft and final)**” after the product name in the “Products” section of the task/subtask. If “(draft and final)” does not appear after the product name, only a final version of the product is required. With respect to due dates within this Scope of Work, “**days**” means working days.

The Recipient shall:

For products that require a draft version, including the Final Report Outline and Final Report

- Submit all draft products to the CAM for review and comment in accordance with the Project Schedule (Part V). The CAM will provide written comments to the Recipient on the draft product within 15 days of receipt, unless otherwise specified in the task/subtask for which the product is required.
- Consider incorporating all CAM comments into the final product. If the Recipient disagrees with any comment, provide a written response explaining why the comment was not incorporated into the final product.
- Submit the revised product and responses to comments within 10 days of notice by the CAM, unless the CAM specifies a longer time period, or approves a request for additional time.

For products that require a final version only

- Submit the product to the CAM for acceptance. The CAM may request minor revisions or explanations prior to acceptance.

For all products

- Submit all data and documents required as products in accordance with the following Instructions for Submitting Electronic Files and Developing Software:

- **Electronic File Format**

- Submit all data and documents required as products under this Agreement in an electronic file format that is fully editable and compatible with the Energy Commission’s software and Microsoft (MS)-operating computing platforms, or with any other format approved by the CAM. Deliver an electronic copy of the full text of any Agreement data and documents in a format specified by the CAM, such as memory stick or CD-ROM.

The following describes the accepted formats for electronic data and documents provided to the Energy Commission as products under this Agreement, and establishes the software versions that will be required to review and approve all software products:

- Data sets will be in MS Access or MS Excel file format (version 2007 or later), or any other format approved by the CAM.

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- Text documents will be in MS Word file format, version 2007 or later.
 - Documents intended for public distribution will be in PDF file format.
 - The Recipient must also provide the native Microsoft file format.
 - Project management documents will be in Microsoft Project file format, version 2007 or later.
- **Software Application Development**
- Use the following standard Application Architecture components in compatible versions for any software application development required by this Agreement (e.g., databases, models, modeling tools), unless the CAM approves other software applications such as open source programs:
- Microsoft ASP.NET framework (version 3.5 and up). Recommend 4.0.
 - Microsoft Internet Information Services (IIS), (version 6 and up) Recommend 7.5.
 - Visual Studio.NET (version 2008 and up). Recommend 2010.
 - C# Programming Language with Presentation (UI), Business Object and Data Layers.
 - SQL (Structured Query Language).
 - Microsoft SQL Server 2008, Stored Procedures. Recommend 2008 R2.
 - Microsoft SQL Reporting Services. Recommend 2008 R2.
 - XML (external interfaces).

Any exceptions to the Electronic File Format requirements above must be approved in writing by the CAM. The CAM will consult with the Energy Commission's Information Technology Services Branch to determine whether the exceptions are allowable.

MEETINGS

Subtask 1.2 Kick-off Meeting

The goal of this subtask is to establish the lines of communication and procedures for implementing this Agreement.

The Recipient shall:

- Attend a "Kick-off" meeting with the CAM, the Commission Agreement Officer (CAO), and any other Energy Commission staff relevant to the Agreement. The Recipient will bring its Project Manager and any other individuals designated by the CAM to this meeting. The administrative and technical aspects of the Agreement will be discussed at the meeting. Prior to the meeting, the CAM will provide an agenda to all potential meeting participants. The meeting may take place in person or by electronic conferencing (e.g., WebEx), with approval of the CAM.

The administrative portion of the meeting will include discussion of the following:

- Terms and conditions of the Agreement;
- Administrative products (subtask 1.1);
- CPR meetings (subtask 1.3);
- Match fund documentation (subtask 1.7);
- Permit documentation (subtask 1.8);
- Subcontracts (subtask 1.9); and
- Any other relevant topics.

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The technical portion of the meeting will include discussion of the following:

- The CAM's expectations for accomplishing tasks described in the Scope of Work;
 - An updated Project Schedule;
 - Technical products (subtask 1.1);
 - Progress reports and invoices (subtask 1.5);
 - Final Report (subtask 1.6);
 - Technical Advisory Committee meetings (subtasks 1.10 and 1.11); and
 - Any other relevant topics.
- Provide an *Updated Project Schedule*, *List of Match Funds*, and *List of Permits*, as needed to reflect any changes in the documents.

The CAM shall:

- Designate the date and location of the meeting.
- Send the Recipient a *Kick-off Meeting Agenda*.

Recipient Products:

- Updated Project Schedule (*if applicable*)
- Updated List of Match Funds (*if applicable*)
- Updated List of Permits (*if applicable*)

CAM Product:

- Kick-off Meeting Agenda

Subtask 1.3 Critical Project Review (CPR) Meetings

The goal of this subtask is to determine if the project should continue to receive Energy Commission funding, and if so whether any modifications must be made to the tasks, products, schedule, or budget. CPR meetings provide the opportunity for frank discussions between the Energy Commission and the Recipient. As determined by the CAM, discussions may include project status, challenges, successes, advisory group findings and recommendations, final report preparation, and progress on technical transfer and production readiness activities (if applicable). Participants will include the CAM and the Recipient, and may include the CAO and any other individuals selected by the CAM to provide support to the Energy Commission.

CPR meetings generally take place at key, predetermined points in the Agreement, as determined by the CAM and as shown in the Task List on page 1 of this Exhibit. However, the CAM may schedule additional CPR meetings as necessary. The budget will be reallocated to cover the additional costs borne by the Recipient, but the overall Agreement amount will not increase. CPR meetings generally take place at the Energy Commission, but they may take place at another location, or may be conducted via electronic conferencing (e.g., WebEx) as determined by the CAM.

The Recipient shall:

- Prepare a *CPR Report* for each CPR meeting that: (1) discusses the progress of the Agreement toward achieving its goals and objectives; and (2) includes recommendations and conclusions regarding continued work on the project.

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- Submit the CPR Report along with any other *Task Products* that correspond to the technical task for which the CPR meeting is required (i.e., if a CPR meeting is required for Task 2, submit the Task 2 products along with the CPR Report).
- Attend the CPR meeting.
- Present the CPR Report and any other required information at each CPR meeting.

The CAM shall:

- Determine the location, date, and time of each CPR meeting with the Recipient's input.
- Send the Recipient a *CPR Agenda* and a *List of Expected CPR Participants* in advance of the CPR meeting. If applicable, the agenda will include a discussion of match funding and permits.
- Conduct and make a record of each CPR meeting. Provide the Recipient with a *Schedule for Providing a Progress Determination* on continuation of the project.
- Determine whether to continue the project, and if so whether modifications are needed to the tasks, schedule, products, or budget for the remainder of the Agreement. If the CAM concludes that satisfactory progress is not being made, this conclusion will be referred to the Deputy Director of the Energy Research and Development Division.
- Provide the Recipient with a *Progress Determination* on continuation of the project, in accordance with the schedule. The Progress Determination may include a requirement that the Recipient revise one or more products.

Recipient Products:

- CPR Report(s)
- Task Products (draft and/or final as specified in the task)

CAM Products:

- CPR Agenda
- List of Expected CPR Participants
- Schedule for Providing a Progress Determination
- Progress Determination

Subtask 1.4 Final Meeting

The goal of this subtask is to complete the closeout of this Agreement.

The Recipient shall:

- Meet with Energy Commission staff to present project findings, conclusions, and recommendations. The final meeting must be completed during the closeout of this Agreement. This meeting will be attended by the Recipient and CAM, at a minimum. The meeting may occur in person or by electronic conferencing (e.g., WebEx), with approval of the CAM.

The technical and administrative aspects of Agreement closeout will be discussed at the meeting, which may be divided into two separate meetings at the CAM's discretion.

- The technical portion of the meeting will involve the presentation of findings, conclusions, and recommended next steps (if any) for the Agreement. The CAM will determine the appropriate meeting participants.
- The administrative portion of the meeting will involve a discussion with the CAM and the CAO of the following Agreement closeout items:

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- Disposition of any state-owned equipment.
 - Need to file a Uniform Commercial Code Financing Statement (Form UCC-1) regarding the Energy Commission's interest in patented technology.
 - The Energy Commission's request for specific "generated" data (not already provided in Agreement products).
 - Need to document the Recipient's disclosure of "subject inventions" developed under the Agreement.
 - "Surviving" Agreement provisions such as repayment provisions and confidential products.
 - Final invoicing and release of retention.
- Prepare a *Final Meeting Agreement Summary* that documents any agreement made between the Recipient and Commission staff during the meeting.
 - Prepare a *Schedule for Completing Agreement Closeout Activities*.
 - Provide *All Draft and Final Written Products* on a CD-ROM or USB memory stick, organized by the tasks in the Agreement.

Products:

- Final Meeting Agreement Summary (*if applicable*)
- Schedule for Completing Agreement Closeout Activities
- All Draft and Final Written Products

REPORTS AND INVOICES

Subtask 1.5 Progress Reports and Invoices

The goals of this subtask are to: (1) periodically verify that satisfactory and continued progress is made towards achieving the project objectives of this Agreement; and (2) ensure that invoices contain all required information and are submitted in the appropriate format.

The Recipient shall:

- Submit a monthly *Progress Report* to the CAM. Each progress report must:
 - Summarize progress made on all Agreement activities as specified in the scope of work for the preceding month, including accomplishments, problems, milestones, products, schedule, fiscal status, and an assessment of the ability to complete the Agreement within the current budget and any anticipated cost overruns. See the Progress Report Format Attachment for the recommended specifications.
- Submit a monthly or quarterly *Invoice* that follows the instructions in the "Payment of Funds" section of the terms and conditions, including a financial report on Match Fund and in-state expenditures.

Products:

- Progress Reports
- Invoices

Subtask 1.6 Final Report

The goal of this subtask is to prepare a comprehensive Final Report that describes the original purpose, approach, results, and conclusions of the work performed under this Agreement. The CAM will review the Final Report, which will be due at least **two months** before the Agreement

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end date. When creating the Final Report Outline and the Final Report, the Recipient must use the Style Manual provided by the CAM.

Subtask 1.6.1 Final Report Outline

The Recipient shall:

- Prepare a *Final Report Outline* in accordance with the *Style Manual* provided by the CAM. (See *Task 1.1* for requirements for draft and final products.)

Recipient Products:

- Final Report Outline (draft and final)

CAM Product:

- Style Manual
- Comments on Draft Final Report Outline
- Acceptance of Final Report Outline

Subtask 1.6.2 Final Report

The Recipient shall:

- Prepare a *Final Report* for this Agreement in accordance with the approved Final Report Outline, Style Manual, and Final Report Template provided by the CAM with the following considerations:
 - Ensure that the report includes the following items, in the following order:
 - Cover page (**required**)
 - Credits page on the reverse side of cover with legal disclaimer (**required**)
 - Acknowledgements page (optional)
 - Preface (**required**)
 - Abstract, keywords, and citation page (**required**)
 - Table of Contents (**required**, followed by List of Figures and List of Tables, if needed)
 - Executive summary (**required**)
 - Body of the report (**required**)
 - References (if applicable)
 - Glossary/Acronyms (If more than 10 acronyms or abbreviations are used, it is required.)
 - Bibliography (if applicable)
 - Appendices (if applicable) (Create a separate volume if very large.)
 - Attachments (if applicable)
 - Ensure that the document is written in the third person.
 - Ensure that the Executive Summary is understandable to the lay public.
 - Briefly summarize the completed work. Succinctly describe the project results and whether or not the project goals were accomplished.
 - Identify which specific ratepayers can benefit from the project results and how they can achieve the benefits.
 - If it's necessary to use a technical term in the Executive Summary, provide a brief definition or explanation when the technical term is first used.

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- Follow the Style Manual format requirements for headings, figures/tables, citations, and acronyms/abbreviations.
- Ensure that the document omits subjective comments and opinions. However, recommendations in the conclusion of the report are allowed.
- Include a brief description of the project results in the Abstract.
- Submit a draft of the report to the CAM for review and comment. The CAM will provide written comments to the Recipient on the draft product within 15 days of receipt
- Consider incorporating all CAM comments into the Final Report. If the Recipient disagrees with any comment, provide a written response explaining why the comment was not incorporated into the final product
- Submit the revised Final Report and responses to comments within 10 days of notice by the CAM, unless the CAM specifies a longer time period or approves a request for additional time.
- Submit one bound copy of the *Final Report* to the CAM along with *Written Responses to Comments on the Draft Final Report*.

Products:

- Final Report (draft and final)
- Written Responses to Comments on the Draft Final Report

CAM Product:

- Written Comments on the Draft Final Report

MATCH FUNDS, PERMITS, AND SUBCONTRACTS

Subtask 1.7 Match Funds

The goal of this subtask is to ensure that the Recipient obtains any match funds planned for this Agreement and applies them to the Agreement during the Agreement term.

While the costs to obtain and document match funds are not reimbursable under this Agreement, the Recipient may spend match funds for this task. The Recipient may only spend match funds during the Agreement term, either concurrently or prior to the use of Energy Commission funds. Match funds must be identified in writing, and the Recipient must obtain any associated commitments before incurring any costs for which the Recipient will request reimbursement.

The Recipient shall:

- Prepare a *Match Funds Status Letter* that documents the match funds committed to this Agreement. If no match funds were part of the proposal that led to the Energy Commission awarding this Agreement and none have been identified at the time this Agreement starts, then state this in the letter.

If match funds were a part of the proposal that led to the Energy Commission awarding this Agreement, then provide in the letter:

- A list of the match funds that identifies:
 - The amount of cash match funds, their source(s) (including a contact name, address, and telephone number), and the task(s) to which the match funds will be applied.
 - The amount of each in-kind contribution, a description of the contribution type (e.g., property, services), the documented market or book value, the source

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(including a contact name, address, and telephone number), and the task(s) to which the match funds will be applied. If the in-kind contribution is equipment or other tangible or real property, the Recipient must identify its owner and provide a contact name, address, telephone number, and the address where the property is located.

- If different from the solicitation application, provide a letter of commitment from an authorized representative of each source of match funding that the funds or contributions have been secured.
- At the Kick-off meeting, discuss match funds and the impact on the project if they are significantly reduced or not obtained as committed. If applicable, match funds will be included as a line item in the progress reports and will be a topic at CPR meetings.
- Provide a *Supplemental Match Funds Notification Letter* to the CAM of receipt of additional match funds.
- Provide a *Match Funds Reduction Notification Letter* to the CAM if existing match funds are reduced during the course of the Agreement. Reduction of match funds may trigger a CPR meeting.

Products:

- Match Funds Status Letter
- Supplemental Match Funds Notification Letter (*if applicable*)
- Match Funds Reduction Notification Letter (*if applicable*)

Subtask 1.8 Permits

The goal of this subtask is to obtain all permits required for work completed under this Agreement in advance of the date they are needed to keep the Agreement schedule on track. Permit costs and the expenses associated with obtaining permits are not reimbursable under this Agreement, with the exception of costs incurred by University of California recipients. Permits must be identified and obtained before the Recipient may incur any costs related to the use of the permit(s) for which the Recipient will request reimbursement.

The Recipient shall:

- Prepare a *Permit Status Letter* that documents the permits required to conduct this Agreement. If no permits are required at the start of this Agreement, then state this in the letter. If permits will be required during the course of the Agreement, provide in the letter:
 - A list of the permits that identifies: (1) the type of permit; and (2) the name, address, and telephone number of the permitting jurisdictions or lead agencies.
 - The schedule the Recipient will follow in applying for and obtaining the permits.

The list of permits and the schedule for obtaining them will be discussed at the Kick-off meeting (subtask 1.2), and a timetable for submitting the updated list, schedule, and copies of the permits will be developed. The impact on the project if the permits are not obtained in a timely fashion or are denied will also be discussed. If applicable, permits will be included as a line item in progress reports and will be a topic at CPR meetings.

- If during the course of the Agreement additional permits become necessary, then provide the CAM with an *Updated List of Permits* (including the appropriate information on each permit) and an *Updated Schedule for Acquiring Permits*.
- Send the CAM a *Copy of Each Approved Permit*.

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- If during the course of the Agreement permits are not obtained on time or are denied, notify the CAM within 5 days. Either of these events may trigger a CPR meeting.

Products:

- Permit Status Letter
- Updated List of Permits (*if applicable*)
- Updated Schedule for Acquiring Permits (*if applicable*)
- Copy of Each Approved Permit (*if applicable*)

Subtask 1.9 Subcontracts

The goals of this subtask are to: (1) procure subcontracts required to carry out the tasks under this Agreement; and (2) ensure that the subcontracts are consistent with the terms and conditions of this Agreement.

The Recipient shall:

- Manage and coordinate subcontractor activities in accordance with the requirements of this Agreement.
- Incorporate this Agreement by reference into each subcontract.
- Include any required Energy Commission flow-down provisions in each subcontract, in addition to a statement that the terms of this Agreement will prevail if they conflict with the subcontract terms.
- If required by the CAM, submit a draft of each *Subcontract* required to conduct the work under this Agreement.
- Submit a final copy of the executed subcontract.
- Notify and receive written approval from the CAM prior to adding any new subcontractors (see the discussion of subcontractor additions in the terms and conditions).

Products:

- Subcontracts (*draft if required by the CAM*)

TECHNICAL ADVISORY COMMITTEE

Subtask 1.10 Technical Advisory Committee (TAC)

The goal of this subtask is to create an advisory committee for this Agreement. The TAC should be composed of diverse professionals. The composition will vary depending on interest, availability, and need. TAC members will serve at the CAM's discretion. The purpose of the TAC is to:

- Provide guidance in project direction. The guidance may include scope and methodologies, timing, and coordination with other projects. The guidance may be based on:
 - Technical area expertise;
 - Knowledge of market applications; or
 - Linkages between the agreement work and other past, present, or future projects (both public and private sectors) that TAC members are aware of in a particular area.
- Review products and provide recommendations for needed product adjustments, refinements, or enhancements.

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- Evaluate the tangible benefits of the project to the state of California, and provide recommendations as needed to enhance the benefits.
- Provide recommendations regarding information dissemination, market pathways, or commercialization strategies relevant to the project products.

The TAC may be composed of qualified professionals spanning the following types of disciplines:

- Researchers knowledgeable about the project subject matter;
- Members of trades that will apply the results of the project (e.g., designers, engineers, architects, contractors, and trade representatives);
- Public interest market transformation implementers;
- Product developers relevant to the project;
- U.S. Department of Energy research managers, or experts from other federal or state agencies relevant to the project;
- Public interest environmental groups;
- Utility representatives;
- Air district staff; and
- Members of relevant technical society committees.

The Recipient shall:

- Prepare a *List of Potential TAC Members* that includes the names, companies, physical and electronic addresses, and phone numbers of potential members. The list will be discussed at the Kick-off meeting, and a schedule for recruiting members and holding the first TAC meeting will be developed.
- Recruit TAC members. Ensure that each individual understands member obligations and the TAC meeting schedule developed in subtask 1.11.
- Prepare a *List of TAC Members* once all TAC members have committed to serving on the TAC.
- Submit *Documentation of TAC Member Commitment* (such as Letters of Acceptance) from each TAC member.

Products:

- List of Potential TAC Members
- List of TAC Members
- Documentation of TAC Member Commitment

Subtask 1.11 TAC Meetings

The goal of this subtask is for the TAC to provide strategic guidance for the project by participating in regular meetings, which may be held via teleconference.

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The Recipient shall:

- Discuss the TAC meeting schedule with the CAM at the Kick-off meeting. Determine the number and location of meetings (in-person and via teleconference) in consultation with the CAM.
- Prepare a *TAC Meeting Schedule* that will be presented to the TAC members during recruiting. Revise the schedule after the first TAC meeting to incorporate meeting comments.
- Prepare a *TAC Meeting Agenda* and *TAC Meeting Back-up Materials* for each TAC meeting.
- Organize and lead TAC meetings in accordance with the TAC Meeting Schedule. Changes to the schedule must be pre-approved in writing by the CAM.
- Prepare *TAC Meeting Summaries* that include any recommended resolutions of major TAC issues.

The TAC shall:

- Help set the project team's goals and contribute to the development and evaluation of its statement of proposed objectives as the project evolves.
- Provide a credible and objective sounding board on the wide range of technical and financial barriers and opportunities.
- Help identify key areas where the project has a competitive advantage, value proposition, or strength upon which to build.
- Advocate on behalf of the project in its effort to build partnerships, governmental support and relationships with a national spectrum of influential leaders.
- Ask probing questions that insure a long-term perspective on decision-making and progress toward the project's strategic goals.

Products:

- TAC Meeting Schedule (draft and final)
- TAC Meeting Agendas (draft and final)
- TAC Meeting Back-up Materials
- TAC Meeting Summaries

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IV. TECHNICAL TASKS

TASK 2: REGIONAL MODELING

The goal of this task is to generate new state-wide dynamical atmospheric reanalyses. Producing tandem and complementary dynamical model regional reanalyses of hydro climate and dry climate variables will provide a firmer basis for assessing risk of compound events where fire-driven changes to the landscape interact with strong winds or flood-triggering rains. Such assessments will require comparative analytics and development of methodologies and guidance to orient users.

The Recipient shall:

- Produce historical high resolution WRF model reanalysis over California (the region to be analyzed) at 2km, hourly resolution over 1980-2019 with two models, one tuned to simulations of “dry”, fire weather related processes, and another tuned to “wet” processes including winter storms and flooding.
- Evaluate resultant wet and dry model output against existing meteorological station observations. Subsequently, the model output will be bias corrected using selected observations.
- Develop a *Regional Modeling Output Report* that documents the regional dynamical modeling framework including larger scale drivers, two regional dynamical model parameterizations, and model output packages. This report will be shared with appropriate stakeholders in collaboration with and support from the CAM.
- Collaborate with project partners to make developed data accessible through use of the NASA NEX and Cal-Adapt platforms.
- Prepare a *CPR Report #1* and participate in the CPR Meeting per subtask 1.3.

Products:

- Regional Modeling Output Report
- CPR Report #1

TASK 3: HYDROLOGICAL MODELING

The goal of this task is to use the most current version of the Variable Infiltration Capacity (VIC) land surface model, forced by WRF historical meteorological output, to generate historical hydrological variability over the California region.

The Recipient shall:

- Produce a historical record of hydrological variability over California using the VIC hydrological model driven by the bias corrected meteorological data from the “wet” model produced in Task 2:
 - Bias correct “wet” WRF temperature and precipitation;
 - Explore whether data exists for an updated 2 km resolution VIC run, or alternatively whether to use 6 km resolution VIC; and
 - Run VIC model and extract appropriate output variables over the historical record.

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- Evaluate the resultant hydrological “wet” model output against existing meteorological and selected hydrological observations. This includes running selected VIC configuration with the historical solutions from the bias corrected output of the “wet” WRF model.
- Develop a *Hydrological Modeling Output and Results Report* that documents production of the historical record and the evaluation of the resultant “wet” model against existing meteorological and selected hydrological observations.
- Share *Hydrological Modeling Output and Results Report* with appropriate stakeholders in collaboration with and with support from the CAM.
- Collaborate with project partners to make developed data accessible through use of the NASA NEX and Cal-Adapt platforms.

Products:

- Hydrological Modeling Output and Results Report

TASK 4: ANALYSIS OF CLIMATE AND WEATHER EXTREMES

The goal of this task is to analyze the occurrence of climate and weather extremes, including their temporal evolution, amplitude, and duration as presented by the output of WRF and VIC historical simulations.

The Recipient shall:

- Conduct an analysis of the evolution, magnitude, and frequency of selected forms of meteorological and hydrological extreme events, including, but not limited to:
 - Seek guidance on relevant impactful extreme weather and climate phenomena from natural gas sector stakeholders, other stakeholders and relevant agencies.
 - Consider certain forms of compound, multiple extremes in the analysis.
- Develop an *Extreme Event Assessment Report* in which the results of the analysis will be described.
- Share *Extreme Event Assessment Report* with appropriate stakeholders in collaboration with and with support from the CAM.
- Collaborate with project partners to make developed data accessible through use of the NASA NEX and Cal-Adapt platforms.
- Determine, in collaboration with CAM, whether results of the analysis warrant a version of the *Extreme Event Assessment Report* to be prepared for peer reviewed journal submission, an *Extreme Event Scientific Manuscript*

Products:

- Extreme Event Assessment Report
- Extreme Event Scientific Manuscript (*pending consultation with the CAM*)

TASK 5: TREND ATTRIBUTION ASSESSMENT ANALYSIS

The goal of this task is to determine sources of trends in the “wet” WRF output set, thereby helping to determine the causes of the trends.

The Recipient shall:

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- Conduct an analysis of the attribution of trends in selected variables from the “wet” regional reanalysis, investigating potential influence of different sources of multi-decade trends.
- Develop a *Trend Attribution Analysis Report* in which the analysis and the results will be described.
- Share Trend Attribution Analysis Report with appropriate stakeholders in collaboration with and with support from the CAM.
- Determine, in collaboration with the CAM, whether results warrant a version of the *Trend Attribution Report* to be prepared for peer reviewed journal submission, a *Trend Attribution Scientific Manuscript*.
- Collaborate with project partners to make developed data accessible through use of the NASA NEX and Cal-Adapt platforms.

Products:

- Trend Attribution Analysis Report
- Trend Attribution Scientific Manuscript (*pending consultation with CAM*)

TASK 6: DATA MANAGEMENT AND DISTRIBUTION

The goal of this task is to manage the data and distribute the final (data related) end products.

The Recipient shall:

- Provide regional historical high resolution meteorological and hydrological data for distribution to California stakeholders, including the natural gas sector. Distribution of data will be accomplished from local online portals at SIO and DRI and from partnering data providers at NASA NEX and Cal-Adapt.
- Provide updates in the progress reports (as per subtask 1.5) on how the data can be and is being made available via existing data portals/web platforms.
- Provide a *Memorandum on Data Accessibility and Custom Data Requests* that summarizes how data were made available via existing data portals and web platforms and gives an overview of the custom data requests received from IOUs and other stakeholders.
- Develop a *Data Distribution Report* that will provide guidance for users in accessing and applying the historical data to relevant problems. This document will consider advice provided by TAC and relevant agencies.
- Prepare a *CPR Report #2* that gives an overall summary of Tasks 2 – 6 and participate in a CPR meeting per subtask 1.3.

Products:

- Memorandum on Data Accessibility and Custom Data Requests
- Data Distribution Report
- CPR Report #2

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TASK 7: EVALUATION OF PROJECT BENEFITS

The goal of this task is to report the benefits resulting from this project.

The Recipient shall:

- Complete three Project Benefits Questionnaires that correspond to three main intervals in the Agreement: (1) *Kick-off Meeting Benefits Questionnaire*; (2) *Mid-term Benefits Questionnaire*; and (3) *Final Meeting Benefits Questionnaire*.
- Provide all key assumptions used to estimate projected benefits, including targeted market sector (e.g., population and geographic location), projected market penetration, baseline and projected energy use and cost, operating conditions, and emission reduction calculations. Examples of information that may be requested in the questionnaires include:
 - For Product Development Projects and Project Demonstrations:
 - Published documents, including date, title, and periodical name.
 - Estimated or actual energy and cost savings and estimated statewide energy savings once market potential has been realized. Identify all assumptions used in the estimates.
 - Greenhouse gas and criteria emissions reductions.
 - Other non-energy benefits such as reliability, public safety, lower operational cost, environmental improvement, indoor environmental quality, and societal benefits.
 - Data on potential job creation, market potential, economic development, and increased state revenue as a result of the project.
 - A discussion of project product downloads from websites, and publications in technical journals.
 - A comparison of project expectations and performance. Discuss whether the goals and objectives of the Agreement have been met and what improvements are needed, if any.
 - Additional Information for Product Development Projects:
 - Outcome of product development efforts, such copyrights and license agreements.
 - Units sold or projected to be sold in California and outside of California.
 - Total annual sales or projected annual sales (in dollars) of products developed under the Agreement.
 - Investment dollars/follow-on private funding as a result of Energy Commission funding.
 - Patent numbers and applications, along with dates and brief descriptions.
 - Additional Information for Product Demonstrations:
 - Outcome of demonstrations and status of technology.
 - Number of similar installations.
 - Jobs created/retained as a result of the Agreement.
 - For Information/Tools and Other Research Studies:
 - Outcome of project.
 - Published documents, including date, title, and periodical name.
 - A discussion of policy development. State if the project has been cited in government policy publications or technical journals, or has been used to inform regulatory bodies.
 - The number of website downloads.

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- An estimate of how the project information has affected energy use and cost, or have resulted in other non-energy benefits.
 - An estimate of energy and non-energy benefits.
 - Data on potential job creation, market potential, economic development, and increased state revenue as a result of project.
 - A discussion of project product downloads from websites, and publications in technical journals.
 - A comparison of project expectations and performance. Discuss whether the goals and objectives of the Agreement have been met and what improvements are needed, if any.
- Respond to CAM questions regarding responses to the questionnaires.

The Energy Commission may send the Recipient similar questionnaires after the Agreement term ends. Responses to these questionnaires will be voluntary.

Products:

- Kick-off Meeting Benefits Questionnaire
- Mid-term Benefits Questionnaire
- Final Meeting Benefits Questionnaire

TASK 8: TECHNOLOGY/KNOWLEDGE TRANSFER ACTIVITIES

The goal of this task is to develop a plan to make the knowledge gained, experimental results, and lessons learned available to the public and key decision makers.

The Recipient shall:

- Prepare an *Initial Fact Sheet* at start of the project that describes the project. Use the format provided by the CAM.
- Prepare a *Final Project Fact Sheet* at the project's conclusion that discusses results. Use the format provided by the CAM.
- Prepare a *Technology/Knowledge Transfer Plan* that includes:
 - An explanation of how the knowledge gained from the project will be made available to the public, including the targeted market sector and potential outreach to end users, utilities, regulatory agencies, and others.
 - A description of the intended use(s) for and users of the project results.
 - Published documents, including date, title, and periodical name.
 - Copies of documents, fact sheets, journal articles, press releases, and other documents prepared for public dissemination. These documents must include the Legal Notice required in the terms and conditions. Indicate where and when the documents were disseminated.
 - A discussion of policy development. State if project has been or will be cited in government policy publications or used to inform regulatory bodies.
 - The number of website downloads or public requests for project results.
 - Additional areas as determined by the CAM.
- Conduct technology transfer activities in accordance with the Technology/Knowledge Transfer Plan. These activities will be reported in the Progress Reports.
- When directed by the CAM, develop *Presentation Materials* for an Energy Commission-sponsored conference/workshop(s) on the project.

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- When directed by the CAM, participate in annual EPIC symposium(s) sponsored by the California Energy Commission.
- Provide at least (6) six *High Quality Digital Photographs* (minimum resolution of 1300x500 pixels in landscape ratio) of pre and post technology installation at the project sites or related project photographs.
- Prepare a *Technology/Knowledge Transfer Report* on technology transfer activities conducted during the project.

Products:

- Initial Fact Sheet (draft and final)
- Final Project Fact Sheet (draft and final)
- Presentation Materials (draft and final)
- High Quality Digital Photographs
- Technology/Knowledge Transfer Plan (draft and final)
- Technology/Knowledge Transfer Report (draft and final)

V. PROJECT SCHEDULE

Please see the attached Excel spreadsheet.

STATE OF CALIFORNIA

STATE ENERGY RESOURCES
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, ON BEHALF OF THE SAN DIEGO CAMPUS.

RESOLVED, that the State Energy Resources Conservation and Development Commission (CEC) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

RESOLVED, that the CEC approves Agreement PIR-19-007 with the Regents for a \$1,363,550 grant to fund development of a detailed spatial and temporal historical (1980-2019) climate record, determining sources of trends; and

FURTHER BE IT RESOLVED, that the Executive Director or his/her designee shall execute the same on behalf of the CEC.

CERTIFICATION

The undersigned Secretariat to the Commission does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the CEC held on June 10, 2020.

AYE:

NAY:

ABSENT:

ABSTAIN:

Cody Goldthrite
Secretariat