STATE OF CALIFORNIA

GRANT REQUEST FORM (GRF)

CEC-270 (Revised 10/2015) CALIFORNIA ENERGY COMMISSION

New Agreement FPI-18-010 (To be completed by CGL Office)

<table>
<thead>
<tr>
<th>ERDD</th>
<th>Estella Shi</th>
</tr>
</thead>
</table>

Imperial Western Products, Inc. A California Corporation 95-2459420

**IWP Regional Energy Efficiency Upgrade Project**

| 3/1/2019 | 3/1/2022 | $459,624 |

- ARFVTP agreements under $75K delegated to Executive Director.

<table>
<thead>
<tr>
<th>Proposed Business Meeting Date</th>
<th>2/20/2019</th>
<th>Consent</th>
<th>Discussion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Business Meeting Presenter</td>
<td>Kevin Uy</td>
<td>Time Needed: 5 minutes</td>
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</table>

Please select one list serve: Research (Energy RDD / PIER program)

**Agenda Item Subject and Description**

IMPERIAL WESTERN PRODUCTS, INC., A CALIFORNIA CORPORATION. Proposed resolution approving Agreement FPI-18-010 with Imperial Western Products, Inc., a California Corporation for a $459,624 grant to fund energy efficiency upgrades, including compressors and economizers, at four existing food processing facilities, and adopting staff’s determination that this action is exempt from the California Environmental Quality Act. The project will replace existing conventional, aging equipment with high-efficiency equipment to reduce greenhouse gas emissions, energy use, and operating costs. (GGRF Funding) Contact: Estella Shi (Staff Presentation 5 minutes)

1. Is Agreement considered a “Project” under CEQA?
   - ☒ Yes (skip to question 2)
   - ☐ No (complete the following (PRC 21065 and 14 CCR 15378))
   
   Explain why Agreement is not considered a “Project”:
   
   Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because

2. If Agreement is considered a “Project” under CEQA:
   - ☒ a) Agreement IS exempt. (Attach draft NOE)
   - ☐ Statutory Exemption. List PRC and/or CCR section number:
   - ☐ Categorical Exemption. List CCR section number: Cal. Code Regs., tit 14, § 15301
   - ☒ Common Sense Exemption. 14 CCR 15061 (b) (3)
   
   Explain reason why Agreement is exempt under the above section:
   
   The project involves minor alteration of existing mechanical equipment at four existing food processing facilities. This project will replace existing, aging industrial equipment with new high-efficiency equipment. Equipment to be replaced includes low-emission boilers, economizers, heat exchangers, automatic blowdown controllers, motors, and compressors. The new equipment will help improve the efficiency of the facilities and reduce greenhouse gas emissions. These installations will be minor alterations to the existing equipment, with no expansion beyond the existing use of the food processing facilities and will not have significant effect on the environment.

   ☐ b) Agreement IS NOT exempt. (Consult with the legal office to determine next steps.)
   
   Check all that apply
   - ☐ Initial Study
   - ☐ Negative Declaration
   - ☒ Mitigated Negative Declaration
   - ☐ Environmental Impact Report
   - ☐ Statement of Overriding Considerations
### Legal Company Name:

**EcoEngineers**

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<table>
<thead>
<tr>
<th></th>
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<tbody>
<tr>
<td></td>
<td>Budget</td>
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<tr>
<td></td>
<td>$46,771</td>
</tr>
<tr>
<td></td>
<td></td>
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</tbody>
</table>

### List all subcontractors (major and minor) and equipment vendors:

(attach additional sheets as necessary)

### List all key partners:

(attach additional sheets as necessary)

### Funding Year of Appropriation

<table>
<thead>
<tr>
<th>Funding Source</th>
<th>Budget List No.</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>GGRF 17-18</td>
<td>301.002A</td>
<td>$459,624</td>
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<tr>
<td>R&amp;D Program Area: EERO: IAW</td>
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<td>$459,624</td>
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</table>

### Explanation for “Other” selection

Reimbursement Contract #: ______________

Federal Agreement #: ______________

### Name:

Amanda Johnson

<table>
<thead>
<tr>
<th>Name:</th>
<th>Trent Trawick</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address:</td>
<td>PO Box 1110</td>
</tr>
<tr>
<td>City, State, Zip:</td>
<td>Coachella, CA 92236-1110</td>
</tr>
<tr>
<td>Phone:</td>
<td>760-398-0815 /</td>
</tr>
<tr>
<td>Fax:</td>
<td>- -</td>
</tr>
<tr>
<td>E-Mail:</td>
<td><a href="mailto:ajohnson@iwpusa.com">ajohnson@iwpusa.com</a></td>
</tr>
</tbody>
</table>

### Solicitation #: GFO-18-901

1. **Competitive Solicitation**

2. **First Come First Served Solicitation**

### Attached Documents:

1. Exhibit A, Scope of Work
2. Exhibit B, Budget Detail
3. CEC 105, Questionnaire for Identifying Conflicts
4. Recipient Resolution
5. CEQA Documentation

<table>
<thead>
<tr>
<th>Agreement Manager</th>
<th>Date</th>
<th>Office Manager</th>
<th>Date</th>
<th>Deputy Director</th>
<th>Date</th>
</tr>
</thead>
</table>
I. TASK ACRONYM/TERM LISTS

A. Task List

<table>
<thead>
<tr>
<th>Task #</th>
<th>CPR</th>
<th>Task Name</th>
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<tbody>
<tr>
<td>1</td>
<td></td>
<td>General Project Tasks</td>
</tr>
<tr>
<td>2</td>
<td>X</td>
<td>Site Preparation and Equipment Procurement</td>
</tr>
<tr>
<td>3</td>
<td>X</td>
<td>Equipment Installation</td>
</tr>
<tr>
<td>4</td>
<td></td>
<td>Measurement and Verification</td>
</tr>
<tr>
<td>5</td>
<td></td>
<td>Technology/Knowledge Transfer Activities</td>
</tr>
<tr>
<td>6</td>
<td></td>
<td>Outreach to Disadvantaged and Low-Income Communities</td>
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</table>

B. Acronym/Term List

<table>
<thead>
<tr>
<th>Acronym/Term</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>CAM</td>
<td>Commission Agreement Manager</td>
</tr>
<tr>
<td>CAO</td>
<td>Commission Agreement Officer</td>
</tr>
<tr>
<td>CPR</td>
<td>Critical Project Review</td>
</tr>
<tr>
<td>DAC</td>
<td>Disadvantaged Communities</td>
</tr>
<tr>
<td>GHG</td>
<td>Greenhouse Gas Emissions</td>
</tr>
<tr>
<td>M&amp;V</td>
<td>Measurement and Verification</td>
</tr>
<tr>
<td>Recipient</td>
<td>Imperial Western Products</td>
</tr>
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</table>

II. PURPOSE OF AGREEMENT, PROBLEM/SOLUTION STATEMENT, AND GOALS AND OBJECTIVES

A. Purpose of Agreement

The purpose of this Agreement is to fund key energy efficiency upgrades at four of the Recipient’s existing food processing facilities. When complete, these projects will replace existing conventional, aging equipment with new high-efficiency equipment to support sustainability goals, reduce operating costs, energy, and greenhouse gas emissions, improve competitiveness, and serve as a model for other facilities.

B. Problem/ Solution Statement

Problem
Like other California food processors, the Recipient faces competing pressures from low-cost, out-of-state food production facilities, increasing energy costs, and the need to reduce greenhouse gas (GHG) emissions from its facilities. The Recipient’s existing facilities—located in Coachella, Mira Loma, San Bernardino, and Selma—include several process/operational steps that could greatly benefit from increased energy efficiency. These four facilities were constructed during the 1970s and 1980s, and contain aging equipment that does not meet current industry standards for energy efficiency or reliability. The Coachella facility, in particular, maintains an...
Exhibit A
Scope of Work
Imperial Western Products, Inc. A California Corporation

Aging boiler that has a low fuel efficiency and emits elevated levels of GHG emissions and other air pollutants, in comparison to current best available technologies. Other equipment at all four facilities, including aging motors and pumps, also underperforms in comparison to currently available, high efficiency technologies.

These existing pieces of aging equipment also require frequent maintenance and carry elevated failure rates in comparison to new, high efficiency equipment. As a result, under existing conditions, the Recipient must bear higher operational costs associated with elevated fuel usage, increased maintenance requirements, and more frequent and costly unplanned shutdowns for equipment repair. Finally, local disadvantaged communities (DACs) and low-income areas surrounding Recipient’s facilities must contend with elevated emissions from the existing boilers.

Solution
The project will deploy new energy efficient equipment such as low-emission boilers, economizers, heat exchangers, automatic blowdown controllers, motors, and compressors across four target facilities. These proposed upgrades will collectively (1) reduce GHG emissions of these existing facilities; (2) reduce airborne emissions of NOx, PM, and ozone precursors; (3) improve electricity use efficiency and fuel use efficiency of targeted equipment, resulting in energy costs savings; (4) reduce maintenance costs on site; (5) reduce unplanned downtime due to unexpected failure of aging equipment; and (6) serve as a model for other similar facilities across the state that are seeking to improve energy efficiency, reduce emissions, and reduce scheduled and unplanned facility down time.

C. Goals and Objectives of the Agreement

Agreement Goals
The goals of this Agreement are to:

- Reduce electricity usage by approximately 2%, natural gas usage by approximately 10%, and GHG emissions by approximately 7% from the Recipient’s food processing facilities by directly supporting the adoption of advanced energy efficiency equipment;
- Support economic competitiveness of California food processing by demonstrating the benefits of energy efficiency upgrades; and
- Upgrade/modernize and operate key equipment at four of the Recipient’s manufacturing facilities with high-efficiency equipment.

Agreement Objectives
The objectives of this Agreement are to:

- Replace key equipment with high efficiency low-emissions boilers, economizers, heat exchangers, automatic blowdown controllers, motors, and compressors across four of the Recipient's manufacturing facilities;
- Reduce GHG emissions, NOx emissions, ozone precursor emissions, and particulate matter emissions in low income and disadvantaged communities;
- Measure the electricity and natural gas consumption at the four facilities in order to establish a baseline for future comparisons;
- Quantify energy efficiency improvements, reduced downtime, and other benefits attained by the project, in comparison to baseline; and
- Share knowledge about the project with other market segments in California that can use the technologies demonstrated.
Exhibit A  
Scope of Work  
Imperial Western Products, Inc. A California Corporation

III. TASK 1 GENERAL PROJECT TASKS

PRODUCTS

Subtask 1.1 Products
The goal of this subtask is to establish the requirements for submitting project products (e.g., reports, summaries, plans, and presentation materials). Unless otherwise specified by the Commission Agreement Manager (CAM), the Recipient must deliver products as required below by the dates listed in the Project Schedule (Part V). Products that require a draft version are indicated by marking “(draft and final)” after the product name in the “Products” section of the task/subtask. If “(draft and final)” does not appear after the product name, only a final version of the product is required. With respect to due dates within this Scope of Work, “days” means working days.

The Recipient shall:

For products that require a draft version, including the Final Report Outline and Final Report
- Submit all draft products to the CAM for review and comment in accordance with the Project Schedule (Part V). The CAM will provide written comments to the Recipient on the draft product within 15 days of receipt, unless otherwise specified in the task/subtask for which the product is required.
- Consider incorporating all CAM comments into the final product. If the Recipient disagrees with any comment, provide a written response explaining why the comment was not incorporated into the final product.
- Submit the revised product and responses to comments within 10 days of notice by the CAM, unless the CAM specifies a longer time period, or approves a request for additional time.

For products that require a final version only
- Submit the product to the CAM for acceptance. The CAM may request minor revisions or explanations prior to acceptance.

For all products
- Submit all data and documents required as products in accordance with the following:

Instructions for Submitting Electronic Files and Developing Software:

- **Electronic File Format**
  - Submit all data and documents required as products under this Agreement in an electronic file format that is fully editable and compatible with the Energy Commission’s software and Microsoft (MS)-operating computing platforms, or with any other format approved by the CAM. Deliver an electronic copy of the full text of any Agreement data and documents in a format specified by the CAM, such as memory stick or CD-ROM.
Exhibit A
Scope of Work
Imperial Western Products, Inc. A California Corporation

The following describes the accepted formats for electronic data and documents provided to the Energy Commission as products under this Agreement, and establishes the software versions that will be required to review and approve all software products:

- Data sets will be in MS Access or MS Excel file format (version 2007 or later), or any other format approved by the CAM.
- Text documents will be in MS Word file format, version 2007 or later.
- Documents intended for public distribution will be in PDF file format.
- The Recipient must also provide the native Microsoft file format.
- Project management documents will be in Microsoft Project file format, version 2007 or later.

Software Application Development

Use the following standard Application Architecture components in compatible versions for any software application development required by this Agreement (e.g., databases, models, modeling tools), unless the CAM approves other software applications such as open source programs:

- Microsoft ASP.NET framework (version 3.5 and up). Recommend 4.0.
- Microsoft Internet Information Services (IIS), (version 6 and up) Recommend 7.5.
- C# Programming Language with Presentation (UI), Business Object and Data Layers.
- SQL (Structured Query Language).
- XML (external interfaces).

Any exceptions to the Electronic File Format requirements above must be approved in writing by the CAM. The CAM will consult with the Energy Commission’s Information Technology Services Branch to determine whether the exceptions are allowable.

MEETINGS

Subtask 1.2 Kick-off Meeting
The goal of this subtask is to establish the lines of communication and procedures for implementing this Agreement.

The Recipient shall:

- Attend a “Kick-off” meeting with the CAM, the Commission Agreement Officer (CAO), and any other Energy Commission staff relevant to the Agreement. The Recipient will bring its Project Manager and any other individuals designated by the CAM to this meeting. The administrative and technical aspects of the Agreement will be discussed at the meeting. Prior to the meeting, the CAM will provide an agenda to all potential meeting participants. The meeting may take place in person or by electronic conferencing (e.g., WebEx), with approval of the CAM.
Exhibit A
Scope of Work
Imperial Western Products, Inc. A California Corporation

The administrative portion of the meeting will include discussion of the following:
- Terms and conditions of the Agreement;
- Administrative products (subtask 1.1);
- CPR meetings (subtask 1.3);
- Match fund documentation (subtask 1.6);
- Permit documentation (subtask 1.7);
- Subcontracts (subtask 1.8); and
- Any other relevant topics.

The technical portion of the meeting will include discussion of the following:
- The CAM’s expectations for accomplishing tasks described in the Scope of Work;
- An updated Project Schedule;
- Technical products (subtask 1.1);
- Progress reports and invoices (subtask 1.5);
- Final Report (subtask 1.6); and
- Any other relevant topics.

- Provide an Updated Project Schedule, List of Match Funds, and List of Permits, as needed to reflect any changes in the documents.

The CAM shall:
- Designate the date and location of the meeting.
- Send the Recipient a Kick-off Meeting Agenda.

Recipient Products:
- Updated Project Schedule (if applicable)
- Updated List of Match Funds (if applicable)
- Updated List of Permits (if applicable)

CAM Product:
- Kick-off Meeting Agenda

Subtask 1.3 Critical Project Review (CPR) Meetings
The goal of this subtask is to determine if the project should continue to receive Energy Commission funding, and if so whether any modifications must be made to the tasks, products, schedule, or budget. CPR meetings provide the opportunity for frank discussions between the Energy Commission and the Recipient. As determined by the CAM, discussions may include project status, challenges, successes, final report preparation, and progress on technical transfer and production readiness activities (if applicable). Participants will include the CAM and the Recipient, and may include the CAO and any other individuals selected by the CAM to provide support to the Energy Commission.

CPR meetings generally take place at key, predetermined points in the Agreement, as determined by the CAM and as shown in the Task List on page 1 of this Exhibit. However, the CAM may schedule additional CPR meetings as necessary. The budget will be reallocated to cover the additional costs borne by the Recipient, but the overall Agreement amount will not increase. CPR meetings generally take place at the Energy Commission, but they may take place at another
Exhibit A
Scope of Work
Imperial Western Products, Inc. A California Corporation

The Recipient shall:
- Prepare a CPR Report for each CPR meeting that: (1) discusses the progress of the Agreement toward achieving its goals and objectives; and (2) includes recommendations and conclusions regarding continued work on the project.
- Submit the CPR Report along with any other Task Products that correspond to the technical task for which the CPR meeting is required (i.e., if a CPR meeting is required for Task 2, submit the Task 2 products along with the CPR Report).
- Attend the CPR meeting.
- Present the CPR Report and any other required information at each CPR meeting.

The CAM shall:
- Determine the location, date, and time of each CPR meeting with the Recipient’s input.
- Send the Recipient a CPR Agenda and a List of Expected CPR Participants in advance of the CPR meeting. If applicable, the agenda will include a discussion of match funding and permits.
- Conduct and make a record of each CPR meeting. Provide the Recipient with a Schedule for Providing a Progress Determination on continuation of the project.
- Determine whether to continue the project, and if so whether modifications are needed to the tasks, schedule, products, or budget for the remainder of the Agreement. If the CAM concludes that satisfactory progress is not being made, this conclusion will be referred to the Deputy Director of the Energy Research and Development Division.
- Provide the Recipient with a Progress Determination on continuation of the project, in accordance with the schedule. The Progress Determination may include a requirement that the Recipient revise one or more products.

Recipient Products:
- CPR Report(s)
- Task Products (draft and/or final as specified in the task)

CAM Products:
- CPR Agenda
- List of Expected CPR Participants
- Schedule for Providing a Progress Determination
- Progress Determination

Subtask 1.4 Final Meeting
The goal of this subtask is to complete the closeout of this Agreement.

The Recipient shall:
- Meet with Energy Commission staff to present project findings, conclusions, and recommendations. The final meeting must be completed during the closeout of this Agreement. This meeting will be attended by the Recipient and CAM, at a minimum. The (meeting may occur in person or by electronic conferencing (e.g., WebEx), with approval of the CAM.
Exhibit A
Scope of Work
Imperial Western Products, Inc. A California Corporation

The technical and administrative aspects of Agreement closeout will be discussed at the meeting, which may be divided into two separate meetings at the CAM’s discretion.

- The technical portion of the meeting will involve the presentation of findings, conclusions, and recommended next steps (if any) for the Agreement. The CAM will determine the appropriate meeting participants.
- The administrative portion of the meeting will involve a discussion with the CAM and the CAO of the following Agreement closeout items:
  - Disposition of any state-owned equipment.
  - Need to file a Uniform Commercial Code Financing Statement (Form UCC-1) regarding the Energy Commission’s interest in patented technology.
  - The Energy Commission’s request for specific “generated” data (not already provided in Agreement products).
  - Need to document the Recipient’s disclosure of “subject inventions” developed under the Agreement.
  - “Surviving” Agreement provisions such as repayment provisions and confidential products.
  - Final invoicing and release of retention.

- Prepare a Final Meeting Agreement Summary that documents any agreement made between the Recipient and Commission staff during the meeting.
- Prepare a Schedule for Completing Agreement Closeout Activities.
- Provide All Draft and Final Written Products on a CD-ROM or USB memory stick, organized by the tasks in the Agreement.

Products:
- Final Meeting Agreement Summary (if applicable)
- Schedule for Completing Agreement Closeout Activities
- All Draft and Final Written Products

REPORTS AND INVOICES

Subtask 1.5 Progress Reports and Invoices
The goals of this subtask are: (1) periodically verify that satisfactory and continued progress is made towards achieving the project objectives of this Agreement; and (2) ensure that invoices contain all required information and are submitted in the appropriate format.

The Recipient shall:
- Submit a quarterly Progress Report to the CAM. Each progress report must:
  - Summarize progress made on all Agreement activities as specified in the scope of work for the preceding month, including accomplishments, problems, milestones, products, schedule, fiscal status, and an assessment of the ability to complete the Agreement within the current budget and any anticipated cost overruns. See the Progress Report Format Attachment for the recommended specifications.
- Submit a quarterly Invoice that follows the instructions in the “Payment of Funds” section of the terms and conditions, including a financial report on Match Fund and in-state expenditures.

Products:
- Progress Reports
Exhibit A
Scope of Work
Imperial Western Products, Inc. A California Corporation

- Invoices

Subtask 1.6 Final Report
The goal of this subtask is to prepare a comprehensive Final Report that describes the original purpose, approach, results, and conclusions of the work performed under this Agreement. The CAM will review the Final Report according to the Project Schedule. When creating the Final Report, the Recipient must use the Style Manual provided by the CAM.

The Recipient shall:
1) Prepare a Final Report for this Agreement in accordance with the Style Manual, and Final Report Template provided by the CAM with the following considerations:
   - Ensure that the report includes the following items, in the following order:
     - Cover page (required)
     - Credits page on the reverse side of cover with legal disclaimer (required)
     - Acknowledgements page (optional)
     - Table of Contents (required, followed by List of Figures and List of Tables, if needed)
     - Executive summary (required) following the Executive Summary Template
     - Include a summary table that includes the following information, but is not limited to (required):
       - Recipient name;
       - Project description;
       - Project location(s);
       - Census tract;
       - Dates: project selected and completed;
       - GGRF dollars allocated;
       - Leveraged and/or match funds;
       - Estimated/actual total project GHG emission reductions;
       - Estimated/actual energy saved (kWh, therms, or other fuels) for energy efficiency projects;
       - Estimated/actual energy generated (kWh or therm equivalents) for renewable energy projects;
       - Other benefits or results;
       - Other market sectors that can benefit from the project
       - Benefits to priority populations.
   - Appendices - Include a copy of the M&V report for each demonstration site funded by the Energy Commission grant) (required)
     - Follow the Style Guide format requirements for headings, figures/tables, citations, and acronyms/abbreviations.
     - Ensure that the document omits subjective comments and opinions. However, recommendations in the conclusion of the report are allowed.
   - Submit a draft of the report to the CAM for review and comment according to the project schedule. The CAM will provide written comments to the Recipient on the draft product within 15 days of receipt
   - Consider incorporating all CAM comments into the Final Report. If the Recipient disagrees
Exhibit A
Scope of Work
Imperial Western Products, Inc. A California Corporation

with any comment, provide a written response explaining why the comment was not incorporated into the final product

- Submit the revised Final Report and responses to comments within 10 days of notice by the CAM, unless the CAM specifies a longer time period or approves a request for additional time.
- Submit one bound copy of the Final Report to the CAM along with Written Responses to Comments on the Draft Final Report.

Products:
- Final Report (draft and final)
- Written Responses to Comments on the Draft Final Report

CAM Product:
- Written Comments on the Draft Final Report

MATCH FUNDS, PERMITS, AND SUBCONTRACTS

Subtask 1.7 Match Funds
The goal of this subtask is to ensure that the Recipient obtains any match funds planned for this Agreement and applies them to the Agreement during the Agreement term.

While the costs to obtain and document match funds are not reimbursable under this Agreement, the Recipient may spend match funds for this task. The Recipient may only spend match funds during the Agreement term, either concurrently or prior to the use of Energy Commission funds. Match funds must be identified in writing, and the Recipient must obtain any associated commitments before incurring any costs for which the Recipient will request reimbursement.

The Recipient shall:
- Prepare a Match Funds Status Letter that documents the match funds committed to this Agreement. If no match funds were part of the proposal that led to the Energy Commission awarding this Agreement and none have been identified at the time this Agreement starts, then state this in the letter.

If match funds were a part of the proposal that led to the Energy Commission awarding this Agreement, then provide in the letter:
  - A list of the match funds that identifies:
    - The amount of cash match funds, their source(s) (including a contact name, address, and telephone number), and the task(s) to which the match funds will be applied.
    - The amount of each in-kind contribution, a description of the contribution type (e.g., property, services), the documented market or book value, the source (including a contact name, address, and telephone number), and the task(s) to which the match funds will be applied. If the in-kind contribution is equipment or other tangible or real property, the Recipient must identify its owner and provide a contact name, address, telephone number, and the address where the property is located.
    - If different from the solicitation application, provide a letter of commitment from an authorized representative of each source of match funding that the funds or contributions have been secured.
Exhibit A
Scope of Work

Imperial Western Products, Inc. A California Corporation

- At the Kick-off meeting, discuss match funds and the impact on the project if they are significantly reduced or not obtained as committed. If applicable, match funds will be included as a line item in the progress reports and will be a topic at CPR meetings.
- Provide a Supplemental Match Funds Notification Letter to the CAM of receipt of additional match funds.
- Provide a Match Funds Reduction Notification Letter to the CAM if existing match funds are reduced during the course of the Agreement. Reduction of match funds may trigger a CPR meeting.

Products:
- Match Funds Status Letter
- Supplemental Match Funds Notification Letter (if applicable)
- Match Funds Reduction Notification Letter (if applicable)

Subtask 1.8 Permits
The goal of this subtask is to obtain all permits required for work completed under this Agreement in advance of the date they are needed to keep the Agreement schedule on track. Permit costs and the expenses associated with obtaining permits are not reimbursable under this Agreement, with the exception of costs incurred by University of California recipients. Permits must be identified and obtained before the Recipient may incur any costs related to the use of the permit(s) for which the Recipient will request reimbursement.

The Recipient shall:
- Prepare a Permit Status Letter that documents the permits required to conduct this Agreement. If no permits are required at the start of this Agreement, then state this in the letter. If permits will be required during the course of the Agreement, provide in the letter:
  - A list of the permits that identifies: (1) the type of permit; and (2) the name, address, and telephone number of the permitting jurisdictions or lead agencies.
  - The schedule the Recipient will follow in applying for and obtaining the permits.

The list of permits and the schedule for obtaining them will be discussed at the Kick-off meeting (subtask 1.2), and a timetable for submitting the updated list, schedule, and copies of the permits will be developed. The impact on the project if the permits are not obtained in a timely fashion or are denied will also be discussed. If applicable, permits will be included as a line item in progress reports and will be a topic at CPR meetings.
- If during the course of the Agreement additional permits become necessary, then provide the CAM with an Updated List of Permits (including the appropriate information on each permit) and an Updated Schedule for Acquiring Permits.
- Send the CAM a Copy of Each Approved Permit.
- If during the course of the Agreement permits are not obtained on time or are denied, notify the CAM within 5 days. Either of these events may trigger a CPR meeting.

Products:
- Permit Status Letter
- Updated List of Permits (if applicable)
- Updated Schedule for Acquiring Permits (if applicable)
- Copy of Each Approved Permit (if applicable)
Subtask 1.9 Subcontracts
The goals of this subtask are to: (1) procure subcontracts required to carry out the tasks under this Agreement; and (2) ensure that the subcontracts are consistent with the terms and conditions of this Agreement.

The Recipient shall:
- Manage and coordinate subcontractor activities in accordance with the requirements of this Agreement.
- Incorporate this Agreement by reference into each subcontract.
- Include any required Energy Commission flow-down provisions in each subcontract, in addition to a statement that the terms of this Agreement will prevail if they conflict with the subcontract terms.
- If required by the CAM, submit a draft of each Subcontract required to conduct the work under this Agreement.
- Submit a final copy of the executed subcontract.
- Notify and receive written approval from the CAM prior to adding any new subcontractors (see the discussion of subcontractor additions in the terms and conditions).

Products:
- Subcontracts \textit{(draft if required by the CAM)}
Exhibit A
Scope of Work
Imperial Western Products, Inc. A California Corporation

IV. TECHNICAL TASKS

Products that require a draft version are indicated by marking “(draft and final)” after the product name in the “Products” section of the task/subtask. If “(draft and final)” does not appear after the product name, only a final version of the product is required. Subtask 1.1 (Products) describes the procedure for submitting products to the CAM.

TASK 2 SITE PREPARATION AND EQUIPMENT PROCUREMENT

The goal of this task is to procure the necessary equipment and materials for this project, and to prepare for its installation at each of the four proposed facility sites. Site preparation will include physical preparation at each project site, including removal of old equipment, as well as finalization of key equipment specifications and other parameters needed for the procurement process.

The Recipient shall:

- **Coachella Facility**
  - Assemble and develop final equipment and performance specifications.
  - Procure equipment based on existing bids:
    - High Efficiency Motors
    - High Efficiency Boiler
    - Boiler Economizers
    - Automatic Blowdown System
    - Compressors
  - Arrange for shipment, delivery, and receipt of equipment prior to the initiation of construction activities.
  - Secure permits from local permitting agency. All permits will be completed prior to the initiation of site preparation. Permits for the Coachella facility may include updated air permits (permit to construct and permit to operate) for the boiler upgrades, and municipal building permits.
  - Prepare site for installation of new equipment by removing all equipment that will be replaced. Site preparation for the new boiler and economizers will also include minor updates to pipeline (i.e., water feed and steam output) connections, flue venting, electrical connections, and fuel supply as relevant. The Recipient will initiate site preparation upon completion of all procurement for the Coachella facility, immediately before installation of the proposed replacement equipment.

- **Mira Loma**
  - Assemble and develop final equipment and performance specifications.
  - Procure equipment based on existing bids:
    - High Efficiency Motors
  - Arrange for shipment, delivery, and receipt of equipment prior to the initiation of construction activities.
  - Secure permits from local permitting agency. All permits will be completed prior to the initiation of site preparation. Permits for the Mira Loma facility will be limited to municipal building permits.
  - Prepare site for installation of new equipment by removing all equipment that will be replaced. The Recipient will initiate site preparation upon completion of all procurement for the Mira Loma facility.
Exhibit A
Scope of Work

Imperial Western Products, Inc. A California Corporation

procurement for the Mira Loma facility, immediately prior to installation of the proposed replacement equipment.

- **San Bernardino**
  - Assemble and develop final equipment and performance specifications.
  - Procure equipment based on existing bids:
    - High Efficiency Motors
  - Arrange for shipment, delivery, and receipt of equipment prior to the initiation of construction activities.
  - Secure permits from local permitting agency. All permits will be completed prior to the initiation of site preparation. Permits for the San Bernardino facility will be limited to municipal building permits.
  - Prepare site for installation of new equipment by removing all equipment that will be replaced. The Recipient will initiate site preparation upon completion of all procurement for the San Bernardino facility, immediately before installation of the proposed replacement equipment.

- **Selma**
  - Assemble and develop final equipment and performance specifications.
  - Procure equipment based on existing bids:
    - High Efficiency Motors
  - Arrange for shipment, delivery, and receipt of equipment prior to the initiation of construction activities.
  - Secure permits from local permitting agency. All permits will be completed prior to the initiation of site preparation. Permits for the Selma facility will be limited to municipal building permits.
  - Prepare site for installation of new equipment by removing all equipment that will be replaced. The Recipient will initiate site preparation upon completion of all procurement for the Selma facility, immediately prior to installation of the proposed replacement equipment.

- **Provide a Site Preparation and Equipment Procurement Memo** that shall include, but not be limited to:
  - Summary of the steps to prepare each site including equipment, electrical, and installation requirements;
  - Copy of the performance specifications for each equipment purchased by the grant for each site;
  - Summary of the bids received and from whom;
  - Copy of purchase orders for all equipment;
  - Copies of all required permits needed for installation at each site;
  - Status of the planned installation including preliminary schedule for equipment delivery and installation for each site.

- **Prepare a Critical Project Review (CPR) Report** and participate in CPR meeting per Subtask 1.3.

**Products:**
- Site Preparation and Equipment Procurement Memo
- CPR Report #1
Exhibit A  
Scope of Work  
Imperial Western Products, Inc. A California Corporation  

TASK 3 EQUIPMENT INSTALLATION  
The goal of this task is to install the necessary equipment for this project.  

The Recipient shall:  
- For each facility identified under Task 2:  
  - Install all proposed equipment as identified under Task 2.  
  - Complete cold commissioning of each piece of installed equipment, at each facility.  
    - Cold commissioning will include, but will not be limited to:  
      - Testing of all connections and interfaces;  
      - Testing of all communications and control systems;  
      - Testing of all electricity, gas, water, wastewater, and/or other utility connections as applicable;  
      - Verification of proper operation for all equipment, gauges, actuators, and other installed elements and facilities.  
  - Following cold commissioning, make adjustments or other updates as warranted to ensure proper operation of all equipment.  
  - Start up and complete hot commissioning for each piece of installed equipment.  
    - Hot commissioning will include, but not be limited to:  
      - Testing of each piece of equipment under normal working/operational conditions to ensure proper function.  
      - Testing to verify its operation according to specification, and to verify that it is operating properly in context with all other equipment on site.  
  - Following hot commissioning, make adjustments or other updates as warranted so that all equipment is performing to specification.  
- Provide an Equipment Installation Memo that shall include, but not be limited to:  
  - Summary of the equipment installation requirements for each demonstration site;  
  - Identification of barriers involved during installation and discuss the steps taken to overcome those barriers;  
  - Discuss results of equipment start-up and commissioning at each site with respect to whether the equipment as installed meets the stated performance specifications.  
- Prepare a Critical Project Review (CPR) Report and participate in CPR meeting per Subtask 1.3.  

Products:  
- Equipment Installation Memo (draft and final)  
- CPR Report #2  

TASK 4 MEASUREMENT AND VERIFICATION  
The goal of this task is to report the benefits resulting from this project. Project team may use in-house expertise of the project demonstration site or use third party vendor for measurement and verification (M&V) of greenhouse gas and energy consumption reduction.  

The Recipient shall:  
- Enter into agreement with M&V subcontractor per Task 1.9.  
- Coordinate site visits with the M&V subcontractor at each demonstration site.
Exhibit A
Scope of Work

Imperial Western Products, Inc. A California Corporation

- Develop M&V protocol for **pre-installation** measurement (and calculations) following Section II.B.2 of the grant solicitation manual:
  - Electric, natural gas and/or other fossil fuel consumption and greenhouse gas emissions (use appropriate emissions factor from Attachment 8 of the grant solicitation) of the equipment/process/system(s)/sub-system(s) that are to be upgraded and/or replaced and/or modified.
  - If necessary, ensure installation of sub-metering equipment and data loggers for pre/post data analysis.
- Prepare and provide a detailed *Measurement and Verification Plan* for each project demonstration site to include but not be limited to:
  - A description of the monitoring equipment and instrumentation which will be used.
  - A description of the key input parameters and output metrics which will be measured.
  - A description of the M&V protocol and analysis methods to be employed.
  - A description of the independent, third-party measurement and verification services to be employed, if applicable.
- Perform three months (or shorter period as approved in writing by the CAM) of pre-installation measurements (and calculations) based on the M&V protocol for pre-installation.
- Prepare and provide a *Pre-Installation M&V Findings Report* for each demonstration site that includes M&V protocol, pre-install measurements (and calculations), analysis, and results performed in this task.
- Develop M&V protocol for **post-installation** measurements (and calculations) of:
  - Electric, natural gas and/or other fossil fuel consumption and greenhouse gas emissions (use appropriate emissions factor from Attachment 8 of the grant solicitation) of the equipment/process/system(s)/sub-system(s) that will be upgraded and/or replaced and/or modified.
- Perform 12 months (or shorter period as approved in writing by the CAM) of post-installation measurements based on M&V protocol for post-installation.
- Provide a summary of post-installation M&V progress in Progress Report(s) (see subtask 1.5) which shall include but not be limited to:
  - A narrative on operational highlights from the reporting period, including any stoppages in operation and why; and
  - A summary of M&V findings from the reporting period.
- Analyze post-installation electrical, natural gas and/or other fossil fuel consumption and greenhouse gas emissions.
- Prepare and provide a *Post-Installation Measurement and Verification Findings Report* for each demonstration site that includes M&V protocol, pre and post install measurements (and calculations), analysis, and results performed in this task. Results should at a minimum report on the reduction of electricity, natural gas and/or other fossil fuel usage and reductions of greenhouse gas emissions that directly result from this project.
  - Provide all key assumptions used to estimate and determine energy and greenhouse gas reductions (and additions, if applicable).
  - Provide all key assumptions used to estimate projected benefits, including targeted market sector (e.g., population and geographic location), projected market penetration, baseline and projected energy use and cost, operating conditions, and emission reduction calculations.
Exhibit A
Scope of Work
Imperial Western Products, Inc. A California Corporation

Products:
- Measurement and Verification Plan (draft and final)
- Pre-Installation Measurement and Verification Findings Report (draft and final)
- Post-Installation Measurement and Verification Findings Report (draft and final)

TASK 5 TECHNOLOGY/KNOWLEDGE TRANSFER ACTIVITIES
The goal of this task is to make the knowledge gained, project results, and lessons learned available to the public and key decision makers.

The Recipient shall:
- When directed by the CAM, develop Presentation Materials for an Energy Commission-sponsored conference/workshop(s) on the project.
- When directed by the CAM, participate in workshops symposium(s) sponsored by the California Energy Commission.
- Provide at least (6) six High Quality Digital Photographs (minimum resolution of 1300x500 pixels in landscape ratio) of pre and post technology installation at the project site(s) or related project photographs.

Products:
- Presentation Materials (draft and final)
- High Quality Digital Photographs

TASK 6 OUTREACH TO DISADVANTAGED AND LOW-INCOME COMMUNITIES
The goal of this task is to conduct outreach to disadvantaged and low-income communities, in order to provide direct benefits to members of those communities.

The Recipient shall:
- Include as a condition of all subcontracts, that the equipment installer for each site shall seek to new hire workers from nearby disadvantaged and low-income communities, in order to supply labor to complete installation of all proposed equipment. Subcontractors shall solicit workers through direct postings in those communities, and by soliciting workers through local employment development departments and other employment-focused organizations. Subcontractors shall only hire from outside of these target communities upon demonstration and verification by the Recipient that reasonable attempts were made to hire from within the target communities.
- Provide tours of the upgraded Coachella facility, including the installed high efficiency equipment, to local community schools. Tours will include education regarding the benefits of energy efficiency, especially with respect to improved maintenance requirements, reduced fuel/energy costs, reduced emissions, and jobs development.
- Provide a Disadvantaged and Low-Income Community Outreach Report that shall include, but not be limited to:
  - Summary of steps taken to solicit workers from target communities at each demonstration site;
  - Identification of barriers involved during outreach and discussion of the steps taken to overcome those barriers;
Exhibit A  
Scope of Work  
Imperial Western Products, Inc. A California Corporation  

- Discussion of results of outreach conducted at each site.

Products:  
- Disadvantaged and Low-Income Community Outreach Report

V. PROJECT SCHEDULE

Please see the attached Excel spreadsheet.
RESOLUTION NO: 2019-0220-9f

STATE OF CALIFORNIA

STATE ENERGY RESOURCES
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: IMPERIAL WESTERN PRODUCTS, INC., A CALIFORNIA CORPORATION

RESOLVED, that the State Energy Resources Conservation and Development Commission (Energy Commission) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

RESOLVED, that the Energy Commission approves Agreement FPI-18-010 with Imperial Western Products, Inc., a California Corporation for a $459,624 grant to fund energy efficiency upgrades, including compressors and economizers, at four existing food processing facilities, and adopting staff’s determination that this action is exempt from the California Environmental Quality Act. The project will replace existing conventional, aging equipment with high-efficiency equipment to reduce greenhouse gas emissions, energy use, and operating costs; and

FURTHER BE IT RESOLVED, that the Executive Director or his/her designee shall execute the same on behalf of the Energy Commission.

CERTIFICATION

The undersigned Secretariat to the Commission does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the California Energy Commission held on February 20, 2019.

AYE: [List of Commissioners]
NAY: [List of Commissioners]
ABSENT: [List of Commissioners]
ABSTAIN: [List of Commissioners]

Cody Goldthrite,
Secretariat