A) New Agreement 800-17-001 (To be completed by CGL Office)

<table>
<thead>
<tr>
<th>800 Energy Assessments Division</th>
<th>Contractor’s Legal Name</th>
<th>Federal ID Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lynn Marshall</td>
<td>University of California, Berkeley, Energy Institute at Haas School of Business</td>
<td>-</td>
</tr>
</tbody>
</table>

**2018 POWER Conference on Energy Research and Policy**

<table>
<thead>
<tr>
<th>Proposed Business Meeting Date</th>
<th>Amount</th>
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<tbody>
<tr>
<td>02 / 24 / 2018</td>
<td>$ 0</td>
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<tr>
<td>05 / 26 / 2018</td>
<td>$ 12,500</td>
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**Agenda Item Subject and Description**

Proposed resolution approving Agreement 800-17-001 with the University of California, Berkeley, Energy Institute at Haas School of Business for a $12,500 contract to co-sponsor the 2018 POWER Conference on Energy Research and Policy scheduled in Berkeley, California on March 23, 2018. The 2018 POWER Conference will be the 23rd annual conference focusing on electricity markets and systems. (ERPA funding) Contact: Lynn Marshall

**CEQA Compliance**

1. Is Agreement considered a “Project” under CEQA?
   - Yes (skip to question 2)
   - No (complete the following (PRC 21065 and 14 CCR 15378)):
   - Explain why Agreement is not considered a “Project”:
     - Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because

2. If Agreement is considered a “Project” under CEQA:
   - a) Agreement IS exempt. (Attach draft NOE)
   - b) Agreement IS NOT exempt. (Consult with the legal office to determine next steps.)
   - Check all that apply
     - Initial Study
     - Environmental Impact Report
     - Negative Declaration
     - Statement of Overriding Considerations
     - Mitigated Negative Declaration

**Legal Company Name:**

<table>
<thead>
<tr>
<th>Legal Company Name</th>
<th>Budget</th>
<th>SB</th>
<th>MB</th>
<th>DVBE</th>
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<tbody>
<tr>
<td></td>
<td>$ 0</td>
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<tr>
<td></td>
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<tr>
<td></td>
<td>$ 0</td>
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</tbody>
</table>
**Legal Company Name:**
Southern California Edison, California ISO, CPUC, Pacific Gas & Electric, San Diego Gas & Electric

<table>
<thead>
<tr>
<th>Funding Source</th>
<th>Funding Year of Appropriation</th>
<th>Budget List No.</th>
<th>Amount</th>
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<tr>
<td>State - ERPA</td>
<td>2017-2018</td>
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<td>$12,500</td>
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<td>Funding Source</td>
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<td>$</td>
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<tr>
<td>Funding Source</td>
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<td>$</td>
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</tbody>
</table>

R&D Program Area: Select Program Area $12,500

Explanation for “Other” selection

Reimbursement Contract #: Federal Agreement #: 

<table>
<thead>
<tr>
<th>Name:</th>
<th>Energy Institute at Haas</th>
<th>Name:</th>
<th>Karen Notsund</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address:</td>
<td>319 Giannini Hall University of California, Berkeley</td>
<td>Address: 319 Giannini Hall University of California, Berkeley</td>
<td></td>
</tr>
<tr>
<td>City, State, Zip:</td>
<td>Berkeley, CA 94720-5180</td>
<td>City, State, Zip:</td>
<td>Berkeley, CA 94720-5180</td>
</tr>
<tr>
<td>Phone:</td>
<td>510-642-6590</td>
<td>Phone:</td>
<td>510-642-9590</td>
</tr>
<tr>
<td>E-Mail:</td>
<td><a href="mailto:ei@haas.berkeley.edu">ei@haas.berkeley.edu</a></td>
<td>E-Mail:</td>
<td><a href="mailto:Karen.Notsund@haas.berkeley.edu">Karen.Notsund@haas.berkeley.edu</a></td>
</tr>
</tbody>
</table>

- Solicitation Select Type Solicitation #: - - - # of Bids: - - Low Bid? No Yes
- Non Competitive Bid attach CEC 96
- Exempt Co-Sponsorship

- Private Company (including non-profits)
- CA State Agency (including UC and CSU)
- Government Entity (i.e. city, county, federal government, air/water/school district, joint power authorities, university from another state)

If yes, check appropriate box: SB MB DVBE

- Not Applicable (Agreement is with a CA State Entity or a membership/co-sponsorship)
- Public Resources Code 25620, et seq., authorizes the Commission to contract for the subject work. (PIER)
- The Services Contracted:
  - are not available within civil service
  - cannot be performed satisfactorily by civil service employees
  - are of such a highly specialized or technical nature that the expert knowledge, expertise, and ability are not available through the civil service system.
- The Services are of such an:
  - urgent
  - temporary, or
  - occasional nature
  - that the delay to implement under civil service would frustrate their very purpose.

**Justification:**

- A. Reimbursement in arrears based on:
  - Itemized Monthly
  - Itemized Quarterly
  - Flat Rate
  - One-time

- B. Advanced Payment

- C. Other, explain:
### Q) Retention

1. Is Agreement subject to retention?  ☒ No  ☐ Yes

   If Yes, Will retention be released prior to Agreement termination?  ☐ No  ☒ Yes

### R) Justification of Rates

### S) Disabled Veteran Business Enterprise Program (DVBE)

1. ☐ Exempt (Interagency/Other Government Entity)

2. ☐ Meets DVBE Requirements  
   DVBE Amount: $ 0  
   DVBE %: __________
   ☐ Contractor is Certified DVBE
   ☐ Contractor is Subcontracting with a DVBE: Name of DVBE Company

3. ☐ Contractor selected through CMAS or MSA with no DVBE participation.

4. ☐ Requesting DVBE Exemption (attach CEC 95)

### T) Miscellaneous Agreement Information

1. Will there be Work Authorizations?  ☒ No  ☐ Yes

2. Is the Contractor providing confidential information?  ☒ No  ☐ Yes

3. Is the contractor going to purchase equipment?  ☒ No  ☐ Yes

4. Check frequency of progress reports
   ☐ Monthly  ☐ Quarterly  ☒ One-time only

5. Will a final report be required?  ☐ No  ☒ Yes

6. Is the Agreement, with amendments, longer than a year? If yes, why?  ☒ No  ☐ Yes

### U) The following items should be attached to this CRF

1. Exhibit A, Scope of Work  ☐ N/A  ☒ Attached

   2. Exhibit B, Budget Detail  ☐ N/A  ☒ Attached

   3. CEC 96, NCB Request  ☐ N/A  ☐ Attached

   4. CEC 95, DVBE Exemption Request  ☐ N/A  ☐ Attached

   5. CEQA Documentation  ☐ N/A  ☐ Attached

   6. Resumes  ☐ N/A  ☐ Attached

   7. CEC 105, Questionnaire for Identifying Conflicts  ☐ Attached
Exhibit A

Project Summary & Scope of Work

☒ Contract ☐ Grant

Project Summary/Abstract
The purpose of this agreement is to co-sponsor the 2018 POWER Conference on Energy Research and Policy, scheduled in Berkeley, California on March 23, 2018. The 2018 POWER Conference will be the 23rd annual conference focusing on electricity markets and systems. It brings together researchers from around the nation and some foreign countries to present the results of their studies of electricity markets. The purpose is to bring together outstanding scholars and practitioners from around the world to exchange ideas and research results on topics related to electricity markets and regulation.

If Third-Party Confidential Information is to be provided by the State:
☐ Performance of the Scope of Work is anticipated to involve use of third-party Confidential Information and is subject to the terms of this Agreement; OR
☐ A separate CNDA between the University and third-party is required by the third-party and is incorporated in this Agreement as Exhibit A7.

Scope of Work

PURPOSE
The purpose of this Agreement is to co-sponsor the 2018 POWER Conference on Energy Research and Policy, scheduled in Berkeley, California on March 23, 2018.

The 2018 POWER Conference will be the 23rd annual conference focusing on electricity markets and systems. It brings together researchers from around the nation and some foreign countries to present the results of their studies of electricity markets. The purpose is to bring together outstanding scholars and practitioners from around the world to exchange ideas and research results on topics related to electricity markets and regulation.

The co-sponsorship affords the Energy Commission recognition as a supporter of this valuable conference and demonstrates California's leadership in developing an electricity market in which preferred resources play a prominent role and environmental stewardship is paramount.

The Contractor shall:
- Conduct an Annual Research Conference on electricity markets, various market designs, and assessments of system operations.
- Gather academic researchers, policy-makers, and industry practitioners for a one-day intensive examination of new research on electricity industry restructuring.
- Facilitate the use and adaptation of project research results to the relevant policy questions of the day
- Feature Energy Commission co-sponsorship in conference materials.
- Allow five (5) Energy Commission staff to attend without paying the customary registration fee.
- Disseminate conference results through a set of proceedings and a series of public forums that will engage policy makers and industry participants in critical, careful, public debate of the application of the results to important, contemporary issues

Deliverables:
- Research Conference Agenda
- Final Report consisting of a digital copy of the Annual Research Conference Proceedings, which includes all presentations made at the conference. No draft is required.
STATE OF CALIFORNIA

STATE ENERGY RESOURCES
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: UNIVERSITY OF CALIFORNIA, BERKELEY, ENERGY INSTITUTE AT HAAS SCHOOL OF BUSINESS

RESOLVED, that the State Energy Resources Conservation and Development Commission (Energy Commission) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

RESOLVED, that the Energy Commission approves Agreement 800-17-001 with the University of California, Berkeley, Energy Institute at Haas School of Business for a $12,500 contract to co-sponsor the 2018 POWER Conference on Energy Research and Policy scheduled in Berkeley, California on March 23, 2018. The 2018 POWER Conference will be the 23rd annual conference focusing on electricity markets and systems; and

FURTHER BE IT RESOLVED, that the Executive Director or his/her designee shall execute the same on behalf of the Energy Commission.

CERTIFICATION

The undersigned Secretariat to the Commission does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the California Energy Commission held on January 17, 2018.

AYE: [List of Commissioners]
NAY: [List of Commissioners]
ABSENT: [List of Commissioners]
ABSTAIN: [List of Commissioners]

Cody Goldthrite, Secretariat