Loan Amendment Request Form (LARF)

State of California
California Energy Commission

Original Agreement # 002-15-ECD Amendment # 3
Division Agreement Manager: MS- Phone 400 Efficiency Renewable Energy Division Monica Rudman 23 916-654-4462
Recipient's Legal Name Federal ID Number
City of Santa Cruz 94-6000427

Term Extension
New End Date: / / Include revised schedule and complete items A, B, C, & F below.
Budget Augmentation
Amendment Amount: $ Include revised budget and complete items A, B, C, D, & F below.
Budget Reallocation
Scope of Work Revision
Change in Project Location or Demonstration Site
Novation/Name Change of Prime Contractor/Recipient
Terms and Conditions Modification

Business Meeting approval is not required for the following types of Agreements:

Minor amendments delegated to Executive Director per December 2013 Resolution

Proposed Business Meeting Date January 17, 2018
Consent Discussion
Business Meeting Presenter Monica Rudman Time Needed: 10 minutes
Please select one list serve. Financing (Energy Efficiency Financing)

Agenda Item Subject and Description
Proposed resolution approving Amendment 3 to ECAA Loan Agreement 002-15-ECD with the City of Santa Cruz to increase the budget for a Comprehensive Mechanical System Upgrade at the City Hall Annex building to $531,000, to delete two measures from the project scope (Exhaust Fans at the City Hall –Historic building and Attic Insulation at the Fire Administration building) and to augment the loan by $127,652.

Legal Company Name: Budget (to Date)
Aircon Energy $ 132,000
Other Contractors (See attached spreadsheet for details) $ 323,000
Equipment Vendors $ 75,000

Legal Company Name:
Pacific Gas & Electric Company; AMBAG Energy Watch/Ecology Action

Funding Source Funding Year of Appropriation Budget List No. Amount
ECAA $ R&D Program Area: Select Program Area $ Explanation for “Other” selection
Reimbursement Contract #: Federal Agreement #:
E) California Environmental Quality Act (CEQA) Compliance

1. Is Agreement considered a “Project” under CEQA?
   ☑ Yes (skip to question 2)   ☐ No (complete the following (PRC 21065 and 14 CCR 15378)):

   Explain why Agreement is not considered a “Project”:
   Agreement will not cause direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because .

2. If Agreement is considered a “Project” under CEQA:
   ☐ a) Agreement IS exempt. (Attach draft NOE)
          Statutory Exemption.  List PRC and/or CCR section number:
          ☑ Categorical Exemption.  List CCR 14 CCR 15301 section number:
          ☐ Common Sense Exemption.  14 CCR 15061 (b) (3)

   Explain reason why Agreement is exempt under the above section:
   The proposed amendment would increase the budget for a measure and drop some measures from the scope of work. These changes will not result in any impacts to the environment not already considered by the lead agency, the City of Santa Cruz, and do not constitute a substantial change or new information of substantial importance under California Code of Regulations, title 14, section 15162.

   ☐ b) Agreement IS NOT exempt. (Consult with the legal office to determine next steps.)
   Check all that apply
   ☐ Initial Study   ☐ Environmental Impact Report
   ☐ Negative Declaration   ☐ Statement of Overriding Considerations
   ☐ Mitigated Negative Declaration

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F) The following items should be attached to this ARF (as applicable)

1. Exhibit A, Scope of Work   N/A   Attached
2. Exhibit B, Budget Detail   N/A   Attached
3. CEQA Documentation   N/A   Attached
4. Novation Documentation   N/A   Attached
5. CEC 105, Questionnaire for Identifying Conflicts   ☑ Attached

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Agreement Manager  Date  Office Manager  Date  Deputy Director  Date
RESOLUTION NO: 18-0117-5

STATE OF CALIFORNIA
STATE ENERGY RESOURCES
CONSERVATION AND DEVELOPMENT COMMISSION

RESOLUTION - RE: CITY OF SANTA CRUZ

RESOLVED, that the State Energy Resources Conservation and Development Commission (Energy Commission) adopts the staff CEQA findings contained in the Agreement or Amendment Request Form (as applicable); and

RESOLVED, that the Energy Commission approves Amendment 3 to ECAA Loan Agreement 002-15-ECD with the City of Santa Cruz to increase the budget for a Comprehensive Mechanical System Upgrade at the City Hall Annex building to $531,000, to delete two measures from the project scope (Exhaust Fans at the City Hall – Historic building and Attic Insulation at the Fire Administration building) and to increase the project cost by $127,652; and

FURTHER BE IT RESOLVED, that the Executive Director or his/her designee shall execute the same on behalf of the Energy Commission.

CERTIFICATION

The undersigned Secretariat to the Commission does hereby certify that the foregoing is a full, true, and correct copy of a Resolution duly and regularly adopted at a meeting of the California Energy Commission held on January 17, 2018.

AYE: [List of Commissioners]
NAY: [List of Commissioners]
ABSENT: [List of Commissioners]
ABSTAIN: [List of Commissioners]

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Cody Goldthrite,
Secretariat