



**California Energy Commission
September 09, 2026 Business Meeting**

Backup Materials for Amendments to the CEC's Conflict of Interest Code

The following backup materials for the above-referenced agenda item are available as described below:

1. Proposed Resolution, attached below.
2. California Environmental Quality Act (CEQA) Memorandum, attached below.
3. Proposed Conflict of Interest Code Changes- Strikeout Version, attached below.
4. Explanations for Conflict of Interest Code Changes, attached below.
5. Proposed Conflict of Interest Code Changes- Clean Version, attached below

Proposed new language appears as underline (example) and proposed deletions appears as strikeout (~~example~~). Existing language appears as plain text.

CALIFORNIA ENERGY COMMISSION

**PROPOSED RESOLUTION: APPROVAL OF PROPOSED AMENDMENTS TO
CONFLICT OF INTEREST CODES AND AUTHORIZATION TO EXECUTIVE
DIRECTOR TO COMPLETE THE AMENDMENT PROCESS**

RESOLUTION NO: 26-0909-XX

WHEREAS, the California Energy Commission (Commission) has a Conflict of Interest Code which identifies Commission officials who are required to report certain financial interests because they make or participate in making governmental decisions; and

WHEREAS, the Political Reform Act requires state agencies to update their Conflict of Interest Code when the agency has added positions, eliminated positions, or modified its organizational structure; and

WHEREAS, the Commission's Conflict of Interest Code was last amended on August 10, 2022; and

WHEREAS, since August 10, 2022, the Commission has undergone organizational changes that require the Conflict of Interest Code be amended, including the addition of new positions and the elimination of previous positions; and

WHEREAS, Commission staff has proposed amendments to the current Conflict of Interest Code to reflect these organizational changes, and to also reflect updates to the title of certain job classifications for existing positions; and

WHEREAS, the Commission staff has considered the application of the California Environmental Quality Act (CEQA) to the Conflict of Interest Code and finds that amending the Conflict of Interest Code does not meet the definition of a "project" under Public Resources Code section 21065, because it does not have the potential for resulting in either a direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment.

THEREFORE, BE IT RESOLVED, that the Commission hereby adopts staff's finding, pursuant to staff's memorandum, that amending the Conflict of Interest Code is not subject to CEQA because this activity will not cause a physical change in the environment; and

FURTHER BE IT RESOLVED, that the Commission approves the proposed amendments so that they may be noticed for a public comment period, and authorizes the agency Executive Director to sign the Declaration of Chief Executive Officer and the form STD. 400 required to complete the amendment process and take any other action needed to make effective the amended Conflict of Interest Code.

APPROVED AND ADOPTED this 9th day of September 2026, by the following vote:

AYE:

NAY:

ABSENT:

ABSTAIN:

CERTIFICATION

The undersigned Secretariat to the California Energy Commission does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the California Energy Commission held on September 9, 2026.

Dated:

Kim Todd
Secretariat



California
ENERGY COMMISSION



MEMORANDUM

TO: California Energy Commission Officer and Employees

FROM: Rachel Shuen, Attorney, California Energy Commission

SUBJECT: Basis for Finding that the California Energy Commission's (Commission) proposed amendments to the Conflict of Interest Code is not a project under the California Environmental Quality Act (CEQA)

DATE: September 9, 2026

I. CEQA

CEQA (Public Resources Code sections 21000 *et seq.*, see also CEQA Guidelines, California Code of Regulations, Title 14, sections 15000 *et seq.*) requires that state agencies consider the environmental impact of certain discretionary decisions.

II. Conflict of Interest Code

The Political Reform Act requires every state and local agency to adopt a conflict-of-interest code that identifies all officials and employees within the agency who make governmental decisions based on the positions they hold. The individuals in the designed positions must then disclose their financial interests as specified in the agency's conflict of interest code. The Commission's Conflict of Interest Code is located at Title 20, California Code of Regulations, sections 2401 and 2402.

Further, the Political Reform Act requires state agencies to consider updates to the Conflict of Interest Code biennially and update their Conflict of Interest Code when the agency has added positions, eliminated positions, or modified its organizational structure (Government Code section 87306).

III. The Proposed Action

On October 21, 2025, the Commission submitted proposed amendments to its Conflict of Interest Code, at Title 20, California Code of Regulations, sections 2401 and 2402, as directed by Government Code section 87306.

On July 23, 2026, the Fair Political Practices Commission (FPPC) preliminarily approved the proposed amendments to the Commission's Conflict of Interest Code.

The Commission is considering approving the proposed amendments to the Conflict Interest Code at its September 9, 2026, Commission business meeting, which would authorize the initiation of a public comment period on the proposed amendments and submission of the proposed amendments to the FPPC for approval per the Political Reform Act.

IV. The Commission's proposed amendments to the Conflict of Interest Code are not a project.

CEQA only applies to an action "that has a potential for resulting in either a direct physical change in the environment, or a reasonably foreseeable indirect physical change in the environment" (California Code of Regulations, Title 14, section 15061(a)).

As discussed above, the Commission considers updates to the Conflict of Interest Code on a biennial basis and when the agency has added positions, eliminated positions, or modified its organizational structure. These amendments ensure that the Conflict of Interest Code properly identifies officials and employees who make or participate in making governmental decisions and should therefore be filing Statements of Economic Interests (Form 700s). Amendments to the Conflict of Interest Code simply alter what economic interests certain designated employees must disclose to the public. As such, amendments to the Conflict of Interest Code do not have the potential for resulting in either a direct physical change in the environment, or a reasonably foreseeable indirect physical change in the environment. Accordingly, the amendments to the Conflict of Interest Code do not meet the definition of a project under CEQA.

V. Conclusion

As shown above, the Commission's amendments to the Conflict of Interest Code are not a project because they do not have any potential for resulting in either a direct or indirect physical change in the environment.

Title 20. Public Utilities and Energy
Division 2. State Energy Resources Conservation and Development Commission
Chapter 7. Administration
Article 1. Conflict_of_Interest Code

Proposed new language appears as underline (example) and proposed deletions appears as ~~strikeout (example)~~. Existing language appears as plain text.

~~§ 2401. General Provisions.~~ **§ 2401. General Provisions.**

The Political Reform Act, (Government Code Sections 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict_of-interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. California Code of Regs. ~~Sect. Regulations~~ Section 18730) that contains the terms of a standard conflict_of-interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 Cal. California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict_of-interest code of the State Energy Resources Conservation and Development Commission ~~(Energy Commission).~~ (Energy Commission).

~~Individuals Commission Members, the Executive Director, and members of all other multi-member boards and commissions must file their statements of economic interests electronically with the Fair Political Practices Commission. All other Individuals holding designated positions shall file their statements of economic interests with the Energy Commission, which will make the statements~~ Energy Commission. All statements must be made available for public inspection and reproduction .(Gov. under Government Code Section 81008.) Upon receipt of the statement for the Executive Director, the Energy Commission will make and retain a copy and forward the original statement to the Fair Political Practices Commission. The Energy Commission shall forward the original statements for appointed members of the Energy Commission to the Fair Political Practices Commission. The Energy Commission shall forward copies of statements for appointed members of all other multi-member boards and commissions to the Fair Political Practices Commission. All other statements will be retained by the Energy Commission.

NOTE: Authority cited: Sections 81008, 87300, and 87306, Government Code. Reference: Section 87302, Government Code.

Title 20. Public Utilities and Energy
Division 2. State Energy Resources Conservation and Development Commission
Chapter 7. Administration
Article 1. Conflict of Interest Code
Section 2402

~~§ 2402. Appendix.~~ **2402. Appendix.**

(a) Designated Positions

ALL OFFICES AND DIVISIONS

Assigned Disclosure Categories	Assigned Disclosure Categories
CEA Positions (All levels)	<u>1</u>
<u>Consultants/New Positions</u>	<u>*</u>
Exempt Positions	*
<u>Interjurisdictional Exchange</u>	<u>1</u>
Special Consultant	1
<u>Interjurisdictional Exchange (any office or division)</u>	

ADMINISTRATIVE AND FINANCIAL MANAGEMENT SERVICES DIVISION

HUMAN RESOURCES AND SUPPORT SERVICES BRANCH

Designated Position	Assigned Disclosure Categories
<u>Analyst II/I- Business Services</u>	<u>2</u>
<u>Business Services Assistant</u>	<u>2</u>
<u>Business Services Officer (All levels)</u>	<u>2</u>
<u>Supervisor (All levels)</u>	<u>2</u>
<u>Manager II</u>	<u>2</u>

INFORMATION TECHNOLOGY SERVICES BRANCH

Designated Position	Assigned Disclosure Categories
<u>Information Technology Associate (All levels)</u>	<u>3</u>
<u>Information Technology Manager (All levels)</u>	<u>3</u>
<u>Information Technology Specialist (All levels)</u>	<u>3</u>
<u>Information Technology Supervisor II</u>	<u>3</u>
<u>Information Technology Technician</u>	<u>3</u>

FINANCIAL MANAGEMENT BRANCH

Designated Position	Assigned Disclosure Categories
<u>Accounting Administrator II</u>	<u>5, 7</u>
<u>Analyst I, II</u>	<u>2, 7</u>
<u>Supervisor I</u>	<u>2, 7</u>
<u>Supervisor II</u>	<u>1</u>
<u>Manager II</u>	<u>1</u>

CHIEF COUNSEL'S OFFICE

Designated Position	Assigned Disclosure Categories
<u>Assistant Chief Counsel</u>	<u>1</u>
<u>Attorney and Attorney Supervisor (All levels)</u>	<u>1</u>
<u>Graduate Legal Assistant</u>	<u>1</u>
<u>Analyst I</u>	<u>2</u>
<u>Supervisor I</u>	<u>2</u>

~~COMMISSIONERS' OFFICES~~COMMISSIONERS' OFFICES

Designated Position	Assigned Disclosure Categories
Advisor to a Commissioner (All cClassifications)	1
<u>Analyst II- Chair's Office</u>	<u>2</u>

~~OFFICE OF EXECUTIVE DIRECTOR~~

Designated Position	Assigned Disclosure Categories
Staff Management Auditor	10
Staff Services Manager I (Specialist)	2
Energy Commission Specialist III (IEPR)	1
Energy Commission Specialist II (IEPR)	2,7,9
Energy Commission Specialist I (IEPR)	2,7
Training Officer II	2

~~MEDIA AND PUBLIC COMMUNICATIONS OFFICE~~

Designated Position	Assigned Disclosure Categories
Information Officer II (Supervisory)	1
Information Officer (Specialist) (All levels)	7,9
Staff Services Manager II (Supervisory)	1

~~OFFICE OF COMPLIANCE ASSISTANCE AND ENFORCEMENT~~COMPLIANCE AND ENFORCEMENT

Designated Position	Assigned Disclosure Categories
Energy Resources Specialist III (Supervisory)	1
Energy Commission Specialist (All levels)	2,4,7
Associate Energy Specialist	4,7
Associate Governmental Program Analyst II	2,4,7
Electrical Generation Systems Specialist I	2,4,7
Electrical Engineer (All levels)	2,4,7
Energy Commission Specialist (All levels)	2,4,7
Energy Resources Specialist III (Supervisory)	2,4,7
<u>Mechanical Engineer</u>	<u>2,4,7</u>

~~OFFICE OF GOVERNMENTAL AND INTERNATIONAL AFFAIRS~~

Designated Position	Assigned Disclosure Categories
Staff Services Manager I (Supervisory)	1
Staff Services Manager I (Specialist)	1

~~ENERGY DATA AND ANALYTICS OFFICE~~

Designated Position	Assigned Disclosure Categories
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Energy Commission Specialist III	1
Research Data Specialist II	2,3,5,6

~~OFFICE OF CHIEF COUNSEL~~

Designated Position	Assigned Disclosure Categories
Chief Counsel	1
Assistant Chief Counsel	1
Attorney (All levels)	1
Hearing Adviser (All levels)	1
Staff Services Manager I	2

~~OFFICE OF PUBLIC PARTICIPATION, ENERGY EQUITY AND TRIBAL AFFAIRS~~

Designated Position	Assigned Disclosure Categories
Information Officer II	2,9
Energy Resources Specialist III (Supervisory)	1

~~ADMINISTRATIVE AND FINANCIAL MANAGEMENT SERVICES DIVISION~~

~~HUMAN RESOURCES AND SUPPORT SERVICES BRANCH~~

Designated Position	Assigned Disclosure Categories
Staff Services Manager III	2
Associate Governmental Program Analyst- Recruitment & Outreach	2
Business Services Assistant	2
Business Services Officer (All levels)	2
Associate Governmental Program Analyst-Business Services	2
Staff Services Manager (All levels)	2

~~INFORMATION TECHNOLOGY SERVICES BRANCH~~

Designated Position	Assigned Disclosure Categories
Information Technology Manager I	3
Information Technology Technician	3
Information Technology Associate (All levels)	3
Information Technology Specialist (All levels)	3

~~BUDGET, CONTRACTS, GRANTS, AND LOANS~~

Designated Position	Assigned Disclosure Categories
Staff Services Manager III	1
Staff Services Manager II-Contracts, Grants, and Loans	1
Staff Services Manager I-Contracts, Grants, and Loans	2,7
Staff Services Manager II-Budget	27
Associate Governmental Program Analyst	27
Staff Services Analyst	27

~~EFFICIENCY DIVISION~~ EFFICIENCY DIVISION

Designated Position	Assigned Disclosure Categories
~~Energy Resources Specialist III (Managerial)~~ Assistant Deputy Director	~~1~~
<u>Electric Generation System Program Specialist III</u>	<u>2, 4, 7, 8</u>
<u>Program and Project Supervisor</u>	<u>2, 4, 7, 8, 9</u>
<u>Program Manager</u>	<u>4, 7, 8, 9</u>
~~Staff Services Manager~~ Supervisor I	~~4~~

~~APPLIANCES BRANCH~~ APPLIANCE EFFICIENCY BRANCH AND LOAD FLEXIBILITY BRANCH

Designated Position	Assigned Disclosure Categories
~~Energy Resources Specialist III (Managerial)~~	~~2, 4, 7~~
~~Air Resources Engineer~~	~~2, 4, 7~~
~~Associate Energy Specialist~~	~~4, 7~~
<u>Electric Generation System Specialist (All levels)</u>	<u>2, 4, 7</u>
<u>Electrical Engineer (All levels)</u>	<u>2, 4, 7</u>
<u>Energy Commission Specialist (All levels)</u>	<u>2, 4, 7</u>
<u>Energy Resources Specialist III (Supervisory)</u>	<u>2, 4, 7, 9</u>
<u>Mechanical Engineer (All levels)</u>	<u>2, 4, 7</u>
~~Energy Resources Specialist III (Supervisory)~~	~~2, 4, 7~~
~~Energy Generation Systems Specialist (All levels)~~	~~2, 4, 7~~
~~Energy Commission Specialist (All levels)~~	~~2, 4, 7~~
~~Electric Generation System Specialist (All levels)~~	~~4, 7~~
~~Associate Energy Specialist~~	~~4, 7~~
~~Mechanical Engineer (All levels)~~	~~4, 7~~
~~Electrical Engineer (All levels)~~	~~4, 7~~
~~Civil Engineer (All levels)~~	~~4, 7~~

~~BUILDING STANDARDS BRANCH~~

Designated Position	Assigned Disclosure Categories
~~Energy Resources Specialist III (Managerial)~~	~~2, 4, 7, 8~~
~~Energy Resources Specialist III (Supervisory)~~	~~2, 4, 7, 8~~
~~Energy Commission Specialist (All levels)~~	~~2, 4, 7, 8~~
~~Associate Energy Specialist~~	~~4, 7, 8~~
~~Mechanical Engineer (All levels)~~	~~4, 7, 8~~
~~Electrical Engineer (All levels)~~	~~4, 7, 8~~
~~Civil Engineer (All levels)~~	~~4, 7, 8~~

~~EXISTING BUILDINGS BRANCH~~ EXISTING BUILDINGS BRANCH & BUILDING STANDARDS BRANCH

Designated Position	Assigned Disclosure Categories
<u>Air Pollution Specialist</u>	<u>4, 7, 8</u>
<u>Associate Energy Specialist</u>	<u>4, 7, 8</u>
<u>Civil Engineer (All levels)</u>	<u>2, 4, 7, 8</u>
<u>Electric Generation System Specialist I</u>	<u>2, 4, 7</u>
<u>Electric Generation System Program Specialist I</u>	<u>2, 4, 7, 8</u>
<u>Electrical Engineer (All levels)</u>	<u>2, 4, 7, 8</u>
<u>Energy Commission Specialist (All levels)</u>	<u>4, 7, 8</u>

Energy Resources Specialist III (Supervisory)	2, 4, 7, 8, 9
Mechanical Engineer (All levels)	2, 4, 7, 8
Energy Resources Specialist III (Managerial)	2, 4, 7, 8
Energy Resources Specialist III (Supervisory)	2, 4, 7, 8
Energy Commission Specialist (All levels)	4, 7, 8
Associate Energy Specialist	4, 7, 8
Mechanical Engineer (All levels)	4, 7, 8
Electrical Engineer (All levels)	4, 7, 8
Civil Engineer (All levels)	4, 7, 8

~~STANDARDS COMPLIANCE BRANCH~~STANDARDS COMPLIANCE BRANCH

Designated Position	Assigned Disclosure Categories
Associate Energy Specialist	4, 7, 8
Electric Generation Systems Specialist I	2, 4, 7
Electrical Engineer (All levels)	4, 7, 8
Energy Commission Specialist (All levels)	2, 4, 7, 8
Energy Resources Specialist III (Managerial & Supervisory)	2, 4, 7, 8
Mechanical Engineer (All levels)	4, 7, 8
Energy Resources Specialist III (Managerial)	2, 4, 7, 8
Energy Resources Specialist III (Supervisory)	2, 4, 7, 8
Energy Commission Specialist (All levels)	2, 4, 7, 8
Associate Energy Specialist	4, 7, 8
Mechanical Engineer (All levels)	4, 7, 8
Electrical Engineer (All levels)	4, 7, 8
Civil Engineer (All levels)	4, 7, 8

REWABLE ENERGY DIVISION

Designated Position	Assigned Disclosure Categories
Energy Resources Specialist II (Managerial) Assistant Deputy Director	1

RENEWABLE PORTFOLIO BRANCH

Designated Position	Assigned Disclosure Categories
Energy Resources Specialist III (Managerial)	2,4,5,7
Energy Resources Specialist III (Supervisory)	2,4,5,7
Energy Commission Specialist (All Levels)	2,4,5,7
Electric Generation Systems Program Specialist (All Levels)	2,4,5,7
Electric Generation Systems Specialist (All Levels)	2,4,5,7
Associate Energy Specialist	4,5,7

BUILDING DECARBONIZATION AND INCENTIVES BRANCH/SPECIAL PORJECTS BRANCH

Designated Position	Assigned Disclosure Categories
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Energy Resources Specialist III (Managerial)	2,4,7,8
Energy Resources Specialist II (Supervisory)	2,4,7,8
Energy Commission Specialist (All Levels)	2,4,7,7
Electric Generation Systems Specialist (All Levels)	2,4,7,8
Associate Energy Specialist	4,7,8
Mechanical Engineer (All Levels)	4,7,8
Associate Governmental Program Analyst	2

TECHNOLOGY AND INCENTIVES BRANCH

Designated Position	Assigned Disclosure Categories
Energy Resource Specialist III (Managerial)	2,4,7
Energy Resources Specialist III (Supervisor)	2,4,7
Energy Commission Specialist (All Levels)	2,4,7
Electric Generation System Specialist (All Levels)	2,4,7
Associate Energy Specialist	4,7
Mechanical Engineer (All Levels)	4,7
Associate Governmental Program Analyst	2

CLEAN ENERGY BRANCH

Designated Position	Assigned Disclosure Categories
Energy Resource Specialist III (Managerial)	2,4,5,7
Energy Resources Specialist III (Supervisory)	2,4,5,7
Energy Commission Specialist (All Levels)	2,4,5,7
Electric Generation System Program Specialist (All Levels)	2,4,5,7
Associate Energy Specialist	4,5,7

SCHOOL STIMULUS BRANCH

Designated Position	Assigned Disclosure Categories
Energy Resources Specialist III (Managerial)	2,4,7,8
Energy Resources Specialist III (Supervisory)	2,4,7,8
Energy Commission Specialist (All Levels)	2,4,7,8
Electric Generation System Specialist (ALL Levels)	2,4,7,8
Associate Energy Specialist	4,7,8
Mechanical Engineer (All Levels)	4,7,8
Associate Governmental Program Analyst	2

SITTING, TRANSMISSION, AND ENVIROMENTAL PROTECTION DIVISION

Designated Position	Assigned Disclosure Categories
Energy Resources Specialist III (Managerial) – Assistant Deputy Director	1
Energy Resources Specialist III (Managerial)	1
Energy Resources Specialist III (Supervisory)	5,6,7,8
Planner – Compliance Office (All Levels)	5,6,8

Planner—Sitting and Environmental Office (All Levels)	5,6,8
Electric Transmission Systems Program Specialist (All Levels)	5,6,8
Electric Generation Systems Program Specialist (All Levels)	5,6,8
Energy Commission Specialist (All Levels)	5,6,8
Mechanical Engineer (All Levels)	5,6,8
Engineering Geologist (All Levels)	5,6,8
Civil Engineer (All Levels)	5,6,8
Air Resources Supervisor I	5,6
Air Resources Engineer	5,6

ENERGY RESEARCH AND DEVELOPMENT DIVISION

Designated Position	Assigned Disclosure Categories
Energy Resources Specialist III (Managerial)	1
Energy Resources Specialist III (Supervisory)	5,6,7,9
Energy Commission Specialist II (All Levels)	5,6,7,9
Energy Generation System Specialist (All Levels)	5,6,7
Associate Energy Specialist (All Levels)	5,6,7
Mechanical Engineer (All Levels)	5,6,7
Electrical Engineer (All Levels)	5,6,7
Electrical Generation Systems Program Specialist I	5,6,7
Associate Governmental Program Analyst-Technology Scale Up & Outreach Office	5,6,7
Air Resources Engineer	5,6,7
Utilities Engineer	5,6,7
Electric Transmission System Program Specialist	5,6,7
Air Pollution Specialist	5,6,7

FUELS AND TRANSPORTATION DIVISION

Designated Position	Assigned Disclosure Categories
Energy Resources Specialist III (Managerial) — Deputy Division Chief	1
Energy Resources Specialist III (Managerial)	2,6,7,8
Energy Resources Specialist III (Supervisory)	6,7,8
Air Pollution Specialist	6,7,8
Energy Commission Specialist (All Levels)	6,7,8
Associate Energy Specialist	6,7,8
Air Resources Engineer	6,7,8

~~ENERGY ASSESSMENTS DIVISION~~ENERGY ASSESSMENTS DIVISION

TRANSPORTATION FUELS MARKET UNIT

Designated Position	Assigned Disclosure Categories
<u>Electric Generation Unit System Specialist I</u>	<u>2, 5, 6, 11</u>
<u>Energy Commission Specialist (All levels) (TED)</u>	<u>5, 6, 11</u>
<u>Program and Project Supervisor</u>	<u>5, 6, 9, 11</u>
<u>Research Data Specialist III</u>	<u>2, 5, 6, 11</u>
<u>Supervisor I (Specialist)</u>	<u>11</u>
~~Energy Resources Specialist III (Managerial)-~~ ~~Assistant Deputy Director~~	<u>1</u>
~~Energy Resources Specialist III (Managerial)~~	<u>2,5,6</u>
~~Energy Resources Specialist III (Supervisory)~~	<u>2,5,6</u>
~~Energy Commission Specialist (All levels)~~	<u>5,6</u>
~~Electric Generation System Specialist I & II~~	<u>5,6</u>
~~Electric Generation System Specialist III~~	<u>2,5,6</u>
~~Mechanical Engineer (All levels)~~	<u>5,6</u>
~~Electric Generation System Program Specialist (All levels)~~	<u>5,6</u>
~~Electric Transmission System Program Specialist (All levels)~~	<u>5,6</u>
~~Electrical Engineer (All levels)~~	<u>5,6</u>
~~Engineering Geologist~~	<u>5,6</u>
~~Research Data Specialist (All levels)~~	<u>2,5,6</u>

ALL OTHER ENERGY ASSESSMENTS DIVISION ORGANIZATIONAL UNITS

Designated Position	Assigned Disclosure Categories
<u>Electric Generation System Program Specialist (All levels)</u>	<u>5, 6</u>
<u>Electric Generation System Specialist I & II</u>	<u>5, 6</u>
<u>Electric Generation System Specialist III</u>	<u>2, 5, 6</u>
<u>Electric Transmission System Program Specialist (All levels)</u>	<u>5, 6</u>
<u>Electrical Engineer (All levels)</u>	<u>5, 6</u>
<u>Energy Commission Specialist (All levels)</u>	<u>5, 6</u>
<u>Energy Resources Specialist III (Managerial & Supervisory)</u>	<u>2, 5, 6</u>
<u>Engineering Geologist</u>	<u>5, 6</u>
<u>Mechanical Engineer (All levels)</u>	<u>5, 6</u>
<u>Program and Project Supervisor</u>	<u>5, 6, 9</u>
<u>Program Manager</u>	<u>5, 6, 9</u>
<u>Research Data Specialist (All levels)</u>	<u>2, 5, 6</u>

ENERGY RESEARCH AND DEVELOPMENT DIVISION

Designated Position	Assigned Disclosure Categories
<u>Air Pollution Specialist</u>	<u>5, 6, 7</u>
<u>Air Resources Engineer</u>	<u>5, 6, 7</u>

<u>Associate Energy Specialist</u>	<u>5, 6, 7</u>
<u>Analyst II Technology Scale Up & Outreach Office</u>	<u>5, 6, 7</u>
<u>Electric Generation System Specialist (All levels)</u>	<u>5, 6, 7</u>
<u>Electric Generation System Program Specialist I</u>	<u>5, 6, 7</u>
<u>Electric Transmission System Program Specialist</u>	<u>5, 6, 7</u>
<u>Electrical Engineer (All levels)</u>	<u>5, 6, 7</u>
<u>Energy Analyst</u>	<u>5, 6, 7</u>
<u>Energy Commission Specialist (All levels)</u>	<u>5, 6, 7, 9</u>
<u>Energy Resources Specialist III (Managerial)</u>	<u>1</u>
<u>Energy Resources Specialist III (Supervisory)</u>	<u>5, 6, 7, 9</u>
<u>Mechanical Engineer (All levels)</u>	<u>5, 6, 7</u>
<u>Program and Project Supervisor</u>	<u>5, 6, 7, 9</u>
<u>Program Manager</u>	<u>5, 6, 7, 9</u>
<u>Utilities Engineer</u>	<u>5, 6, 7</u>

EXECUTIVE OFFICE

Designated Position	Assigned Disclosure Categories
<u>Research Data Specialist II</u>	<u>2, 4, 5, 6</u>
<u>Supervisor I and Supervisor I (Specialist)</u>	<u>2</u>
<u>Supervisor II (Specialist)</u>	<u>2, 4, 5, 6</u>
<u>Supervisor II</u>	<u>2</u>

FUELS AND TRANSPORTATION DIVISION

Designated Position	Assigned Disclosure Categories
<u>Air Pollution Specialist</u>	<u>6, 7, 8, 9</u>
<u>Air Resources Engineer</u>	<u>6, 7, 8, 9</u>
<u>Associate Energy Specialist</u>	<u>6, 7, 8, 9</u>
<u>Electric Generation System Program Specialist (All levels)</u>	<u>6, 7, 8, 9</u>
<u>Electric Generation System Specialist (All levels)</u>	<u>6, 7, 8, 9</u>
<u>Energy Commission Specialist (All levels)</u>	<u>6, 7, 8, 9</u>
<u>Energy Resources Specialist III (Managerial)</u>	<u>2, 6, 7, 8, 9</u>
<u>Program and Project Supervisor</u>	<u>6, 7, 8, 9</u>
<u>Program Manager</u>	<u>6, 7, 8, 9</u>
<u>Supervisor I (Specialist & Supervisory)</u>	<u>2, 6, 7, 8, 9</u>

MEDIA AND PUBLIC COMMUNICATIONS OFFICE

Designated Position	Assigned Disclosure Categories
<u>Energy Commission Specialist II (TED)</u>	<u>7, 9</u>
<u>Information Officer (All levels)</u>	<u>7, 9</u>
<u>Supervisor I, II</u>	<u>1</u>
<u>Television Specialist</u>	<u>7, 9</u>

OFFICE OF GOVERNMENTAL AND INTERNATIONAL AFFAIRS

Designated Position	Assigned Disclosure Categories
<u>Supervisor I</u>	<u>1</u>

OFFICE OF THE PUBLIC ADVISOR, ENERGY EQUITY AND TRIBAL AFFAIRS

Designated Position	Assigned Disclosure Categories
<u>Supervisor I (Specialist)</u>	<u>7, 10</u>

PETROLEUM MARKET OVERSIGHT DIVISION

Designated Position	Assigned Disclosure Categories
<u>Analyst II</u>	<u>2, 11</u>
<u>Attorney and Attorney Supervisor (All levels)</u>	<u>1</u>
<u>Research Data Specialist (All levels)</u>	<u>8, 11</u>
<u>Supervisor I (Specialist)</u>	<u>2, 11</u>

RELIABILITY, RENEWABLE ENERGY, AND DECARBONIZATION INCENTIVES DIVISION

Designated Position	Assigned Disclosure Categories
<u>Associate Energy Specialist</u>	<u>4, 5, 7, 8</u>
<u>Associate Governmental Program Analyst</u>	<u>2</u>
<u>Electric Generation System Program Specialist (All levels)</u>	<u>2, 4, 5, 7</u>
<u>Electric Generation System Specialist (All levels)</u>	<u>2, 4, 5, 7, 8</u>
<u>Energy Commission Specialist (All levels)</u>	<u>2, 4, 5, 7, 8</u>
<u>Energy Resources Specialist III (Managerial & Supervisory)</u>	<u>2, 4, 5, 7, 8</u>
<u>Mechanical Engineer (All levels)</u>	<u>4, 5, 7, 8</u>
<u>Program and Project Supervisor</u>	<u>2, 4, 5, 7, 8</u>
<u>Program Manager</u>	<u>2, 4, 5, 7, 8</u>
<u>Supervisor I (Specialist)</u>	<u>2</u>

SITING, TRANSMISSION, AND ENVIRONMENTAL PROTECTION DIVISION

Designated Position	Assigned Disclosure Categories
<u>Air Resources Engineer</u>	<u>5, 6</u>
<u>Air Resources Supervisor I</u>	<u>5, 6</u>
<u>Civil Engineer (All levels)</u>	<u>5, 6, 8</u>
<u>Electric Generation System Program Specialist (All levels)</u>	<u>5, 6, 8</u>
<u>Electric Generation System Specialist I- Program and Project Integration Unit</u>	<u>5, 6, 8</u>
<u>Electric Transmission System Program Specialist (All levels)</u>	<u>5, 6, 8</u>
<u>Energy Commission Specialist (All levels)</u>	<u>5, 6, 8</u>
<u>Energy Resources Specialist III (Managerial)</u>	<u>1</u>
<u>Energy Resources Specialist III (Supervisory)</u>	<u>5, 6, 7, 8</u>
<u>Engineering Geologist (All levels)</u>	<u>5, 6, 8</u>
<u>Mechanical Engineer (All levels)</u>	<u>5, 6, 8</u>
<u>Planner (All levels)</u>	<u>5, 6, 8</u>
<u>Program and Project Supervisor</u>	<u>5, 6, 7, 8</u>
<u>Program Manager</u>	<u>5, 6, 7, 8</u>
<u>Senior Electrical Engineer</u>	<u>5, 6</u>

CONSULTANTS/NEW POSITIONS

Designated Position	Assigned Disclosure Categories
Consultants/New Positions	

Exempt Positions

~~**Exempt Positions are included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code (Category 1), subject to the following limitation: The Executive Director may determine in writing that a particular exempt position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the exempt position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of Interest code. (Gov't Code § 81008.)~~ Consultants/New Positions are included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code (Category 1), subject to the following limitation: The Executive Director may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of Interest code. (Gov't Code § 81008.)

Consultants/New Positions

~~***Consultants/new pPositions are, and exempt positions shall be~~ included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code ~~(Category 1),~~ subject to the following limitation: ~~The Executive Director may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of Interest code. (Gov't Code § 81008.)~~ The Executive Director may determine in writing that a particular consultant, new position, or exempt position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to comply fully with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's, new position's, or exempt position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code Sec. 81008.)

~~Officials Covered By Government Code Section 87200~~ OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

The following positions are ~~not~~ NOT covered by the conflict ~~_of_~~ -of- interest code because they must file ~~a statement of economic interests under Government Code Section 87200 and therefore, 87200.~~ Those who file under Government Code Section 87200 are required to file electronically with the Fair Political Practices Commission, therefore, these positions are listed for informational purposes only:

Commissioner, State Energy Resources Conservation and Development Commission

An individual holding one of the ~~above-listed~~ above-listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Government Code Section 87200.

~~(b) Disclosure Categories~~ ***(b) Disclosure Categories***

~~For purposes of the following categories, "business entity" means any organization or enterprise operated for profit, including a proprietorship, partnership, firm, business trust, joint venture, syndicate, corporation or association. "Business position" refers to status as a director, officer, partner, trustee, employee, or holder of a position of management in any business entity.~~

Category 1

~~Designated positions assigned to this category must report all interests in real property, sources of income, including receipt of gifts, loans, and travel payments, and investments and business positions in business entities.~~ investments and business positions in business entities, sources of income (including receipt of gifts, loans, and travel payments) and real property located in the State of California.

Category 2

~~Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities that provide services (including training or consulting services), supplies, materials, machinery, or equipment of the type purchased, leased, or obtained by contract by the Energy Commission for use by the Energy Commission, its members, employees, or consultants.~~ investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source provides leased facilities, products, equipment, vehicles, machinery or services (including training or consulting services) of the type utilized by the Energy Commission.

Category 3

~~Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities that manufacture, sell, distribute, or otherwise provide computers, computer hardware, computer software, computer services, computer models, or computer-related supplies, materials, machinery, or equipment of the type utilized by the Energy Commission.~~ investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source provides information technology or telecommunications goods, products or services including computer hardware or software companies, computer consultant services, IT training companies, data processing firms and media services.

Category 4

Designated positions assigned to this category must report ~~income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities~~ investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source is engaged in the design, manufacture, sale, distribution, assessment, calibration, evaluation, or testing of any appliance, equipment, product, program, service, or structure required to be approved by, or to meet standards set by, the Energy Commission.

Category 5

Designated positions assigned to this category must report ~~income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source~~

- ~~business entities that engages~~ in the design, development, construction, sale, application for certification, acquisition of facilities that generate electricity, including, wind, solar, geothermal, hydroelectric, ocean, garbage, and biomass; and
- ~~sources that are~~ is subject to the regulatory, permit or licensing authority of, or have an application for a license or permit pending before, the Energy Commission.

Category 6

Designated positions assigned to this category must report ~~income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source is both:~~

- Subject to the regulatory, permit or licensing authority of, or have an application for a license or permit pending before, the Energy Commission; and
- One of the following:
 - ~~business entities that are an~~ energy or environmental consultants, research firms, or engineering firms;
 - ~~business entities that engaged in the~~ design, build, manufacture, ~~sell, sale, distribute, distribution~~ or ~~service provides~~ equipment of the type ~~that is~~ utilized by electric power suppliers, including, wind, solar, geothermal, hydroelectric, ocean, garbage, and biomass, or
 - ~~any an~~ energy-producing entity that is a party to an Energy Commission proceeding; and
- ~~sources that are subject to the regulatory, permit or licensing authority of, or have an application for a license or permit pending before, the Energy Commission.~~

Category 7

Designated positions assigned to this category must report ~~income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities of the type that have applied for or received any loan or grant from~~ investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source is of the type to receive grants or other funding from or through the Energy Commission.

Category 8

Designated positions assigned to this category must report all interests in real property located within the State of California that may be affected by or subject to any provisions, guidelines, rules, regulations, programs, contracts, grants, loans, incentives, licenses, permits, or other entitlement for use, or policies adopted, enforced or promulgated, by the position's Division or Office, ~~employing the public official.~~

Category 9

Designated positions assigned to this category must report ~~income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities~~ investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source is of the type that have contracted with the Energy Commission to provide services related to the design, editing, production, drafting, artwork, printing, publication, or distribution of an of the type utilized by the Energy Commission. ~~document.~~

Category 10

Designated positions assigned to this category must report ~~whether, during the reporting period, he/she had a financial interest in any of his/her assignments. If he/she had no such interest, they shall file Fair Political Practices Commission Form 700-A. Otherwise, they shall disclose their pertinent financial interest on the schedules for Fair Political Practices Commission Form 700.~~ investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source was the subject of an assignment during the reporting period. Use Fair Political Practices Commission Form 700-A if there was no financial interest to report. Otherwise, disclose the financial interest(s) on the schedules of Fair Political Practices Commission Form 700.

Category 11

Designated positions assigned to this category must report investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source is both:

- Subject to the regulatory authority of the Energy Commission; and
- One of the following:
 - petroleum, renewable fuels, energy, or environmental consultants, research firms, or engineering firms,
 - participate in the California petroleum or transportation fuels market, or
 - any petroleum or transportation fuels business entity or source that is subject to an Energy Commission proceeding, rulemaking, data collection requirement, or other regulatory activity.

Credits

Note: Authority cited: Sections 87300 and 87306, Government Code. Reference: Sections 87200 and 87302, Government Code.

Explanations of Conflict of Interest Code Changes (2025)

California Energy Commission

Key for the Proposed Changes

A = Abolished (position no longer exists)

D = Deleted (position has been removed from the code - justification included)

M = Moved (position included in a different department)

N = New (position created since last code amendment)

[Blank] = No Change (disclosure categories remain the same for the position)

NC/Edit = No Change/Edit (no change to position or category/administrative edit for improved organization of the code)

R = Renamed

CC = Category Changed (position assigned to a different category - justification included)

Proposed new language appears as underline (example) and proposed deletions appears as strikethrough (~~example~~). Existing language appears as plain text.

(a) Designated Positions

ALL OFFICES AND DIVISIONS

- **CEA Positions (All levels)**

- Assigned Disclosure Category: 1
- Proposed Change: [blank]
- Justification: n/a
- Consultants/New Positions
- Assigned Disclosure Category: **
- Proposed Change: NC/Edit
- Justification: n/a
- Assigned Disclosure Category: *
- Proposed Change: NC/Edit
- Justification: n/a
- Interjurisdictional Exchange
- Assigned Disclosure Category: 1
- Proposed Change: NC/Edit
- Justification: n/a

- Special Consultant
- Assigned Disclosure Category: 1
- Proposed Change: [blank]
- Justification: n/a

ADMINISTRATIVE AND FINANCIAL MANAGEMENT SERVICES DIVISION

- **HUMAN RESOURCES AND SUPPORT SERVICES BRANCH**
 - Assigned Disclosure Category: 2
 - Proposed Change: R
 - Justification: CalHR reclassification.
- Associated Governmental Program Analyst-Analyst II/Analyst I- Business Services
 - Assigned Disclosure Category: 2
 - Proposed Change: N, R
 - Justification: CalHR reclassification of Associate Governmental Program Analyst to Analyst II. The Analyst I in the Business Service Unit processes, reviews, and recommends approval of complex non-IT acquisition requests, and makes recommendations for effective procurement of equipment, furniture, and system procurements related to space management, participating in the making of agency decisions regarding these procurements. The disclosure category is aligned with the existing Analyst II position in the same unit. See attached Duty Statement.
- Business Services Assistant
 - Assigned Disclosure Category: 2
 - Proposed Change: N
 - Justification: n/a
- Business Services Officer (All Levels)
 - Assigned Disclosure Category: 2
 - Proposed Change: N
 - Justification: n/a
- ~~Staff Services Manager~~ Supervisor (All Levels)
 - Assigned Disclosure Category: 2
 - Proposed Change: N, R
 - Justification: CalHR reclassification.

- **INFORMATION TECHNOLOGY SERVICES BRANCH**

- Information Technology Manager-4 (All Levels)
- Assigned Disclosure Category: 3
- Proposed Change: N
- Justification: This position is being updated to “information Technology Manager (All Levels)” to also include the new “information Technology (IT) Manger II” position. The duties of the IT Manger II position include developing and implementing policies and practices for the delivery of IT products and services. Assigning category 3 is in line with the designation for IT Manager I. See attached Duty Statement for the IT Manager II position.

- Information Technology Associate (All Levels)

- Assigned Disclosure Category: 3
- Proposed Change: [blank]
- Justification: n/a

- Information Technology Specialist (All Levels)

- Assigned Disclosure Category: 3
- Proposed Change: [blank]
- Justification: n/a

- Information Technology Supervisor II

- Assigned Disclosure Category: 3
- Proposed Change: N
- Justification: The duties of the “Information Technology Supervisor II” include participating in vendor and product selection, procurement, contract oversight, and the advancement of web technology. Category 3 is aligned with the disclosure category of other positions in the information technology Service Branch’s management team. See attached Duty Statement.

- Information Technology Technician

- Assigned Disclosure Category: 3
- Proposed Change: [blank]
- Justification: n/a

- ~~BUDGET, CONTRACTS, GRANTS, AND LOANS~~ FINACIAL MANAGEMENT BRANCH

- Assigned Disclosure Category: n/a
- Proposed Change: R
- Justification: Now the “Financial Management Branch.”

- ~~Staff Services Manager II Budget~~
 - ~~Assigned Disclosure Category: 2,7~~
 - Proposed Change: D
 - Justification: The “Staff Services Manager II Budget” is being deleted from the code because the new “Staff Services Manager II” position encompasses all Staff Services Manager II positions.
- Accounting Administrator II
 - Assigned Disclosure Category: 5,7
 - Proposed Change: N
 - Justification: See attached Duty Statement incorrectly indicates that the position does not participate in the making of government decisions. The duties of the position include: supervising accounting office staff; completing all monthly reconciliation and reports; distributing invoices to power plants and loan repayment entities; and negotiating sensitive issues with Control Agencies. Category 5 is appropriate because it covers business entities that may be subject to permitting or licensing, and category 7 appropriate if working with grants and loans.
- Analyst II
 - Assigned Disclosure Category: 2,7
 - Proposed Change: R
 - Justification: CalHR reclassification.
- ~~Staff Services Analyst~~ Analyst I
 - Assigned Disclosure Category: 2,7
 - Proposed Change: R
 - Justification: CalHR reclassification.
- ~~Staff Services Manager I~~ Supervisor I
 - Assigned Disclosure Category: 2,7
 - Proposed Change: N, R
 - Justification: CalHR reclassification. This designated position is being updated to remove the explicit reference to the Supervisor I-Contracts, Grants, and Loans position so that it more broadly captures all Supervisor I positions in the branch. See attached Duty Statements for Supervisor I and Supervisor I (Supervisory).
- ~~Staff Services Manager II~~ Supervisor II (Supervisory)
 - Assigned Disclosure Category: 1
 - Proposed Change: N
 - Justification: This designated position is being updated to remove the explicit reference to the Staff Services Manager II (SSM II) Contracts, Grants, and

Loans position so that it more broadly captures all Supervisor II (Supervisory) positions in the branch. Although the organization chart lists these positions as Supervisor II, they are actually Supervisor II (Supervisory) positions. See attached Duty Statement.

- ~~Staff Services Manager III~~ Manager II
 - Assigned Disclosure Category: 1
 - Proposed Change: R
 - Justification: CalHR reclassification

~~OFFICE OF CHIEF COUNSEL~~ CHIEF COUNSEL'S OFFICE

- Assigned Disclosure Category: n/a
- Proposed Change: R
- Justification: Now "Chief Council's Office."
- ~~Hearing Adviser (All levels)~~
 - Assigned Disclosure Category: -4
 - Proposed Change: A
 - Justification: n/a
- Assistant Chief Counsel
 - Assigned Disclosure Category: 1
 - Proposed Change: [blank]
 - Justification: n/a
- **Attorney and Attorney Supervisor (All levels)**
 - Assigned Disclosure Category: 1
 - Proposed Change: N
 - Justification: Adding "Attorney Supervisor" for clarity that the position is included as part of "Attorney (All levels)." See attached Duty Statement for Attorney Supervisor."
- ~~Chief Counsel~~
 - Assigned Disclosure Category: 4
 - Proposed Change: NC/Edit
 - Justification: The "Chief Counsel" position is a CEA, which is designated above in All Offices and Divisions and does not need to be identified here.
- **Graduate Legal Assistant**
 - Assigned Disclosure Category: 1
 - Proposed Change: N

- Justification: The duties of the “Graduate Legal Assistant” are similar to that of the Attorneys, so Category 1 is appropriate. See attached Duty Statement.
- ~~Staff Services Analyst~~ Analyst I
 - Assigned Disclosure Category: 2
 - Proposed Change: N, R
 - Justification: The duties of the “Analyst I” includes analyzing and managing department-wide contracts like the court reporter contract, translation/interpretation contract, and Westlaw contract. Since the position involves work on businesses that provide services obtained by contract by the CEC, category 2 is appropriate. See attached Duty Statement; the Duty Statement incorrectly indicates that the position does not participate in the making of governmental decisions.
- ~~Staff Services Manager I~~ Supervisor I
 - Assigned Disclosure Category: 2
 - Proposed Change: R
 - Justification: CalHR reclassification.
- COMMISSONERS’ OFFICES
- **Advisor to a Commissioner (All Classifications)**
 - Assigned Disclosure Category: 1
 - Proposed Change: [blank]
 - Justification: n/a
- ~~Administrative Assistant II~~ Analyst II - Chair’s Office
 - Assigned Disclosure Category: 2
 - Proposed Change: N, R
 - Justification: CalHR reclassification. See attached Duty Statement

OFFICE OF COMPLIANCE ASSISTANCE AND ENFORMENT COMPLIANCE AND ENFORCEMENT

- Assigned Disclosure Category: n/a
- Proposed Change: R
- Justification: Now “Compliance and Enforcement.”
- ~~Associate Energy Specialist~~
 - Assigned Disclosure Category: 4,7
 - Proposed Change: A
 - Justification: n/a

- ~~Associate Governmental Program Analyst~~ Analyst II
- Assigned Disclosure Category: 2,4,7
- Proposed Change: CC, R
- Justification: CalHR reclassification. Categories 4 & 7 are being added for Analyst II because it is in line with the disclosure categories of other positions in Compliance and Enforcement. The duties of Analyst IIs in this office include acting as contract manager and preparing solicitations and or work authorization documents, conduct bidders' conferences, score and select proposals, negotiate scopes of work, deliverables, budgets, schedules, and preparing contracts.
- Electric Generation System Specialist I
 - Assigned Disclosure Category: 2,4,7
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Electrical Engineer (All levels)
 - Assigned Disclosure Category: 2,4,7
 - Proposed Change: [blank]
 - Justification: n/a
- Energy Commission Specialist (All levels)
 - Assigned Disclosure Category: 2,4,7
 - Proposed Change: [blank]
 - Justification: n/a
- Energy Resources Specialist III (Supervisory)
 - Assigned Disclosure Category: ~~4~~2,4,7
 - Proposed Change: CC
 - Justification: The "Energy Resources Specialist II (Supervisory)" position is being changed to categories 2,4, and 7. Category 2 is appropriate because this position works alongside consultants on contracts and ensures that those entities providing consulting services follow the Energy Commission's program regulations. Category 4 is appropriate because the position works on improving an energy efficiency test laboratory audit program to verify compliance with relevant standards that procedures in applicable state and federal regulations and referenced test procedures. Category 7 is appropriate because the position supervises contract administration managers assigned to various of the office's contracts and agreements. This change aligns with the reporting categories for other positions in this office.

- Mechanical Engineer
 - Assigned Disclosure Category: 2,4,7
 - Proposed Change: N
 - Justification: See attached Duty Statement

EFFIECNCY DIVISION

- ~~Energy Resources Specialist III (Managerial) Assistant Deputy~~
 - Assigned Disclosure Category: 4
 - Proposed Change: A
 - Justification: n/a
- Electric Generation System Program Specialist III
 - Assigned Disclosure Category: 2,4,7,8
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Program and Project Supervisor
 - Assigned Disclosure Category: 2,4,7,8,9
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Program Manager
 - Assigned Disclosure Category: 4
 - Proposed Change: [blank]
 - Justification: n/a
- ~~Staff Services Manager I~~ Supervisor I
 - Assigned Disclosure Category: 4
 - Proposed Change: R
 - Justification: CalHR reclassification

APPLIANCES BRANCH APPLIANCE EFFICENCY BRANCH AND LOAD FLEXIBILITY BRANCH

- Assigned Disclosure Category: n/a
- Proposed Change: R
- Justification: Now "Appliance Efficiency Branch and Load Flexibility Branch."
- ~~Energy Resource Specialist III (Managerial)~~
 - Assigned Disclosure Category: 2,4,7
 - Proposed Change: A

- Justification: n/a
- Air Resources Engineer
 - Assigned Disclosure Category: 2,4,7
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Associate Energy Specialist
 - Assigned Disclosure Category: 4,7
 - Proposed Change: [blank]
 - Justification: n/a
- ~~Civil Engineer (All levels)~~
 - Assigned Disclosure Category: 4,7
 - Proposed Change: A
 - Justification: n/a
- **Electric Generation System Specialist (All levels)**
 - Assigned Disclosure Category: 2,4,7
 - Proposed Change: CC
 - Justification: Category 2 is being added for "Electric Generation System Specialist (All levels)" because category 2 addresses provider services (including training or consulting services), and this position works with other subject matter experts working under a consultant services agreement to provide capacity or subject matter expertise to the division. The addition of category 2 also aligns with the reporting categories of other positions in these two branches. Much of the work includes working with other subject matter experts working under a consultant services agreement to provide capacity or subject matter expertise to the division.
- Electrical Engineer (All levels)
 - Assigned Disclosure Category: 2,4,7
 - Proposed Change: CC
 - Justification: Category 2 is being added for "Electrical Engineer (All levels)" because category 2 addresses provider services (including training or consulting services), and this position works with other subject matter experts working under a consultant services agreement to provide capacity or subject matter expertise to the division. The addition of category 2 also aligns with the reporting categories of other positions in these two branches.

- **Energy Commission Specialist (All levels)**
 - Assigned Disclosure Category: 2,4,7
 - Proposed Change: [blank]
 - Justification: n/a
- ~~Energy Generation System Specialist (All levels)~~
 - Assigned Disclosure Category: 4,7
 - Proposed Change: A
 - Justification: n/a
- **Energy Resources Specialist III (Supervisory)**
 - Assigned Disclosure Category: 2,4,7,9
 - Proposed Change: CC
 - Justification: Category 9 is being added for this "Energy Resources Specialist III (Supervisory)" position because the category addresses income in business entities that have contracted with the Energy Commission to provide services related to the design, editing, production, drafting, artwork, printing, publication, or distribution of an Energy Commission document. This position works with entities that do technical work for the Energy Commission by editing consultant reports.
- **Mechanical Engineer (All levels)**
 - Assigned Disclosure Category: 2,4,7
 - Proposed Change: CC
 - Justification: Category 2 is being added for this "Mechanical Engineer (All levels)" position because category 2 addresses provider services (including training or consulting services), and this position works with other subject matter experts working under a consultant services agreement to provide capacity or subject matter expertise to the division. The addition of category 2 also aligns with the reporting categories of other positions in these two branches. The addition of category 2 also aligns with the reporting categories of other positions in these two branches.

~~BUILDING STANDARD BRANCH~~

- Assigned Disclosure Category: n/a
- Proposed Change: M
- Justification: The entire "Building Standards Branch" is being combined with the "Existing Buildings Branch."

- ~~Associate Energy Specialist~~
 - Assigned Disclosure Category: 4,7,8
 - Proposed Change: M
 - Justification: n/a
- ~~Civil Engineer (All Levels)~~
 - Assigned Disclosure Category: 4,7,8
 - Proposed Change: M
 - Justification: n/a
- ~~Electrical Engineer (All levels)~~
 - Assigned Disclosure Category: 4,7,8
 - Proposed Change: M
 - Justification: n/a
- ~~Energy Commission Specialist (All levels)~~
 - Assigned Disclosure Category: 2,4,7,8
 - Proposed Change: M
 - Justification: n/a
- ~~Energy Resources Specialist III (Managerial)~~
 - Assigned Disclosure Category: 2,4,7,8
 - Proposed Change: M
 - Justification: n/a
- ~~Energy Resources Specialist III (Supervisory)~~
 - Assigned Disclosure Category: 2,4,7,8
 - Proposed Change: M
 - Justification: n/a
- ~~Mechanical Engineer (All Levels)~~
 - Assigned Disclosure Category: 4,7,8
 - Proposed Change: M
 - Justification: n/a

EXISTING BUILDING BRANCH EXISTING BUILDINGS BRANCH & BUILDING STANDARDS BRANCH

- Assigned Disclosure Category: n/a
- Proposed Change: R

- Justification: The "Existing Buildings Branch" is being combined with the "Building Standards Branch" since both branches do work with building standards and the positions share the same disclosure categories.
- Air Pollution Specialist
 - Assigned Disclosure Category: 4,7,8
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Associate Energy Specialist
 - Assigned Disclosure Category: 4,7,8
 - Proposed Change: n/a
 - Justification: n/a
- Civil Engineer (All levels)
 - Assigned Disclosure Category: 2,4,7,8
 - Proposed Change: CC
 - Justification: Category 2 is being added for "Civil Engineer (All levels)" because category 2 addresses provider services (including training or consulting services), and this position works with other subject matter experts working under a consultant services agreement to provide capacity or subject matter expertise to the division. The addition of category 2 also aligns with the reporting categories of other positions in these two branches.
- Electric Generation System Specialist I
 - Assigned Disclosure Category: 2,4,7
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Electric Generation System Program Specialist I
 - Assigned Disclosure Category: 2,4,7,8
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Electrical Engineer (All levels)
 - Assigned Disclosure Category: 2,4,7,8
 - Proposed Change: CC
 - Justification: Category 2 is being added for this "Electrical Engineer (All levels)" position because the category addresses business entities that provide services, supplies, materials, machinery, or equipment used by the Energy Commission, which includes vendor contracts, service providers, and retailers.

This position plans, organizes, checks work, gives assistance, prepares reports, and makes technical decisions in coordination with engineers, scientists, and contractors who develop and support the Energy Code. The addition of category 2 also aligns with the reporting categories of other positions in these two branches.

- Energy Commission Specialist (All levels)
 - Assigned Disclosure Category: 4,7,8
 - Proposed Change: [blank]
 - Justification: n/a
- ~~Energy Resources Specialist III (Managerial)~~
 - Assigned Disclosure Category: ~~2,4,7,8~~
 - Proposed Change: A
 - Justification: n/a
- Energy Resources Specialist III (Supervisory)
 - Assigned Disclosure Category: 2,4,7,8,9
 - Proposed Change: CC
 - Justification: Category 9 is being added for this "Energy Resources Specialist III (Supervisory)" position because the category addresses income in business entities that have contracted with the Energy Commission to provide services related to the design, editing, production, drafting, artwork, printing, publication, or distribution of an Energy Commission document. This position works with entities that do technical work for the Energy Commission by editing consultant reports.
- Mechanical Engineer (All levels)
 - Assigned Disclosure Category: 2,4,7,8
 - Proposed Change: CC
 - Justification: Category 2 is being added for this "Civil Engineer (All levels)" position because the category addresses business entities that provide services, supplies, materials, machinery, or equipment used by the Energy Commission, and the duties of the position include working with contractors who develop, enhance, and maintain software tools and compliance manuals in support of the California Energy Code and statewide building decarbonization policies. The addition of category 2 also aligns with the reporting categories of other positions in these two branches.

STANDARDS COMPLIANCE BRANCH

- Associate Energy Specialist
 - Assigned Disclosure Category: 4,7,8
 - Proposed Change: [blank]
 - Justification: n/a
- ~~Civil Engineer (All levels)~~
 - Assigned Disclosure Category: ~~4,7,8~~
 - Proposed Change: A
 - Justification: n/a
- Electric Generation Systems Specialist I
 - Assigned Disclosure Category: 2,4,7
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Electrical Engineer (All levels)
 - Assigned Disclosure Category: 4,7,8
 - Proposed Change: [blank]
 - Justification: n/a
- Energy Commission Specialist (All levels)
 - Assigned Disclosure Category: 2,4,7,8
 - Proposed Change: [blank]
 - Justification: n/a
- Energy Resources Specialist III (Managerial & Supervisory)
 - Assigned Disclosure Category: 2,4,7,8
 - Proposed Change: NC/Edit
 - Justification: Combined the two Energy Resources Specialist III positions so it's now "Energy Resources Specialist III (Managerial & Supervisory)" since they share the same assigned disclosure categories.
- ~~Energy Specialist III (Managerial & Supervisory)~~
 - Assigned Disclosure Category: ~~2,4,7,8~~
 - Proposed Change: M
 - Justification: Moved to be combined with the other Energy Resources Specialist III position since they have the same assigned disclosure categories.

- Mechanical Engineer (All levels)
 - Assigned Disclosure Category: 4,7,8
 - Proposed Change: [blank]
 - Justification: n/a

ENERGY ASSESSMENTS DIVISION

Transportation Fuels Market Unit

- **Electric Generation System Specialist I**
 - Assigned Disclosure Category: 2,5,6,11
 - Proposed Change: CC
 - Justification: Category 11 is being added because the positions in the Transportation Fuels Market Unit work on Energy Commission matters related to petroleum and other transportation fuels. Category 11 is a newly created disclosure category to address economic interests related to petroleum and other transportation fuels.
- **Energy Commission Specialist (All levels) (TED & FO)**
 - Assigned Disclosure Category: 5,6,11
 - Proposed Change: CC
 - Justification: Category 11 is being added because the positions in the Transportation Fuels Market Unit work on Energy Commission matters related to petroleum and other transportation fuels. Category 11 is a newly created disclosure category to address economic interests related to petroleum and other transportation fuels.
- **Program and Project Supervisor**
 - Assigned Disclosure Category: 5, 6, 9, 11
 - Proposed Change: N
 - Justification: See attached Duty Statement
- **Research Data Specialist III**
 - Assigned Disclosure Category: 2, 5, 6, 11
 - Proposed Change: CC
 - Justification: Category 11 is being added because the positions in the Transportation Fuels Market Unit work on Energy Commission matters related to petroleum and other transportation fuels. Category 11 is a newly created disclosure category to address economic interests related to petroleum and other transportation fuels.

- ~~Staff Services Manager I~~ Supervisor I (Specialist)
- Assigned Disclosure Category: 11
- Proposed Change: CC, R
- Justification: CalHR reclassification. Category 11 is being added because the positions in the Transportation Fuels Market Unit work on Energy Commission matters related to petroleum and other transportation fuels. Category 11 is a newly created disclosure category to address economic interests related to petroleum and other transportation fuels.

All Other Energy Assessments Division Organizational Units

- ~~Energy Resources Specialist III (Managerial) Assistant Deputy Director~~
 - Assigned Disclosure Category: 1
 - Proposed Change: A
 - Justification: n/a
- Electric Generation System Program Specialist (All levels)
 - Assigned Disclosure Category: 5, 6
 - Proposed Change: [blank]
 - Justification: n/a
- Electric Generation System Specialist I & II
 - Assigned Disclosure Category: 5, 6
 - Proposed Change: [blank]
 - Justification: n/a
- Electric Generation System Specialist III
 - Assigned Disclosure Category: 2, 5, 6
 - Proposed Change: [blank]
 - Justification: n/a
- Electric Transmission System Program Specialist (All levels)
 - Assigned Disclosure Category: 5, 6
 - Proposed Change: [blank]
 - Justification: n/a
- Electrical Engineer (All levels)
 - Assigned Disclosure Category: 5, 6
 - Proposed Change: [blank]
 - Justification: n/a

- Energy Commission Specialist (All levels)
 - Assigned Disclosure Category: 5, 6
 - Proposed Change: [blank]
 - Justification: n/a
- Energy Resources Specialist III (Managerial & Supervisory)
 - Assigned Disclosure Category: 2, 5, 6
 - Proposed Change: NC/Edit
 - Justification: Combined the two Energy Resources Specialist III positions so it's now "Energy Resources Specialist III (Managerial & Supervisory)" since they share the same assigned disclosure categories.
- ~~Energy Resources Specialist III (Supervisory)~~
 - Assigned Disclosure Category: ~~2, 5, 6~~
 - Proposed Change: M
 - Justification: Moved to be combined with the other Energy Resources Specialist III position since they have the same assigned disclosure categories.
- Engineering Geologist
 - Assigned Disclosure Category: 5, 6
 - Proposed Change: [blank]
 - Justification: n/a
- Mechanical Engineer (All levels)
 - Assigned Disclosure Category: 5, 6,
 - Proposed Change: [blank]
 - Justification: n/a
- Program and Project Supervisor
 - Assigned Disclosure Category: 5, 6, 9
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Program Manager
 - Assigned Disclosure Category: 5, 6, 9
 - Proposed Change: N
 - Justification: See attached Duty Statement

- Research Data Specialist (All levels)
 - Assigned Disclosure Category: 2, 5, 6
 - Proposed Change: [blank]
 - Justification: n/a

~~ENERGY DATA AND ANALYTICS OFFICE~~

- Assigned Disclosure Category: n/a
- Proposed Change: D
- Justification: Deleting this office from the code because it is currently a 1-person office, and that position is a CEA.
- ~~Energy Commission Specialist III~~
 - Assigned Disclosure Category: ~~4~~
 - Proposed Change: A
 - Justification: n/a
- ~~Research Data Specialist II~~
 - Assigned Disclosure Category: ~~2, 3, 5, 6,~~
 - Proposed Change: A
 - Justification: n/a

ENERGY RESERCH AND DEVELOPMENT DIVISION

- Air Pollution Specialist
 - Assigned Disclosure Category: 5, 6, 7
 - Proposed Change: [blank]
 - Justification: n/a
- Air Resources Engineer
 - Assigned Disclosure Category: 5, 6, 7
 - Proposed Change: [blank]
 - Justification: n/a
- Associate Energy Specialist
 - Assigned Disclosure Category: 5, 6, 7
 - Proposed Change: [blank]
 - Justification: n/a

- ~~Associate Governmental Program Analyst~~ Analyst II- Technology Scale Up & Outreach Office
 - Assigned Disclosure Category: 5, 6, 7
 - Proposed Change: R
 - Justification: CalHR reclassification.
- Electric Generation System Specialist (All levels)
 - Assigned Disclosure Category: 5, 6, 7
 - Proposed Change: [blank]
 - Justification: n/a
- Electric Generation System Program Specialist I
 - Assigned Disclosure Category: 5, 6, 7
 - Proposed Change: [blank]
 - Justification: n/a
- Electric Transmission System Program Specialist
 - Assigned Disclosure Category: 5, 6, 7
 - Proposed Change: [blank]
 - Justification: n/a
- Electrical Engineer (All levels)
 - Assigned Disclosure Category: 5, 6, 7
 - Proposed Change: [blank]
 - Justification: n/a
- Energy Analyst
 - Assigned Disclosure Category: 5, 6, 7
 - Proposed Change: CC
 - Justification: See attached Duty Statement; the Duty Statement incorrectly indicates that the position does not participate in the making of a governmental decision. The duties of the "Energy Analyst" position include assisting on solicitations and serving as the contract administration manager.
- Energy Commission Specialist (All Levels)
 - Assigned Disclosure Category: 5, 6, 7, 9
 - Proposed Change: [blank]
 - Justification: n/a

- Energy Resources Specialist III (Managerial)
 - Assigned Disclosure Category: 1
 - Proposed Change: [blank]
 - Justification: n/a
- Energy Resources Specialist III (Supervisory)
 - Assigned Disclosure Category: 5, 6, 7, 9
 - Proposed Change: [blank]
 - Justification: n/a
- Mechanical Engineer (All levels)
 - Assigned Disclosure Category: 5, 6, 7
 - Proposed Change: [blank]
 - Justification: n/a
- Program and Project Supervisor
 - Assigned Disclosure Category: 5, 6, 7, 9
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Program Manager
 - Assigned Disclosure Category: 5, 6, 7, 9
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Utilities Engineer
 - Assigned Disclosure Category: 5, 6, 7
 - Proposed Change: [blank]
 - Justification: n/a

OFFICE OF EXECUTIVE DIRECTOR EXECUTIVE OFFICE

- Assigned Disclosure Category: n/a
- Proposed Change: R
- Justification: n/a
- ~~Staff Management Auditor~~
 - Assigned Disclosure Category: 10
 - Proposed Change: A
 - Justification: n/a

- ~~Energy Commission Specialist I (IEPR)~~
 - Assigned Disclosure Category: ~~2, 7~~
 - Proposed Change: A
 - Justification: n/a
- ~~Energy Commission Specialist II (IEPR)~~
 - Assigned Disclosure Category: ~~2, 7, 9~~
 - Proposed Change: A
 - Justification: n/a
- ~~Energy Commission Specialist III (IEPR)~~
 - Assigned Disclosure Category: ~~4~~
 - Proposed Change: A
 - Justification: n/a
- Research Data Specialist II
 - Assigned Disclosure Category: 2, 4, 5, 6
 - Proposed Change: N
 - Justification: See attached Duty Statement
- ~~Staff Services Manager I~~ Supervisor I (Specialist & Supervisory)
 - Assigned Disclosure Category: 2
 - Proposed Change: CC, R
 - Justification: CalHR reclassification. Currently, only Supervisor I (Specialist) is listed in the code. Adding Supervisor I (Supervisory) as well because the duties of this position include implementing leadership training for supervisors and managers and integrating training resources; reviewing, directing, and supervising the work performed by the training office including, training consultation and facilitation services. Category 2 requires reporting income in business entities that provide services (including training or consulting services) purchased, leased, or obtained by contract by the Energy Commission for use by the Energy Commission, its members, employees, or consultants. See attached Duty Statement for Supervisor I (Supervisory).
- ~~Staff Services Manager II~~ Supervisor II (Specialist)
 - Assigned Disclosure Category: 2, 4, 5, 6
 - Proposed Change: N, R
 - Justification: CalHR reclassification. See attached Duty Statement

- ~~Staff Services Manager II~~ Supervisor II (Supervisory)
 - Assigned Disclosure Category: 2
 - Proposed Change: N, R
 - Justification: CalHR reclassification. See attached Duty Statement
- ~~Training Officer II~~
 - Assigned Disclosure Category: ~~2~~
 - Proposed Change: A
 - Justification: n/a

FUELS AND TRANSPORTATION DIVISION

- ~~Energy Resources Specialist III (Managerial) Deputy Division Chief~~
 - Assigned Disclosure Category: 1
 - Proposed Change: A
 - Justification: n/a
- Air Pollution Specialist
 - Assigned Disclosure Category: 6, 7, 8, 9
 - Proposed Change: CC
 - Justification: Category 9 is being added for this "Air Pollution Specialist" position because the category addresses income in business entities that have contracted with the Energy Commission to provide services related to the design, editing, production, drafting, artwork, printing, publication, or distribution of an Energy Commission document, and the duties of the position include working with entities that do technical work for the Energy Commission, and editing and drafting of those consultant reports.
- Air Resources Engineer
 - Assigned Disclosure Category: 6, 7, 8, 9
 - Proposed Change: CC
 - Justification: Category 9 is being added for this "Air Resources Engineer" position because the category addresses income in business entities that have contracted with the Energy Commission to provide services related to the design, editing, production, drafting, artwork, printing, publication, or distribution of an Energy Commission document, and the duties of the position include working with entities that do technical work for the Energy Commission, and editing and drafting of those consultant reports.
- Associate Resources Specialist
 - Assigned Disclosure Category: 6, 7, 8, 9

- Proposed Change: CC
- Justification: Category 9 is being added for this "Associate Energy Specialist" position because the category addresses income in business entities that have contracted with the Energy Commission to provide services related to the design, editing, production, drafting, artwork, printing, publication, or distribution of an Energy Commission document, and the duties of the position include working with entities that do technical work for the Energy Commission, and editing and drafting of those consultant reports.
- Electric Generation System Program Specialist (All levels)
 - Assigned Disclosure Category: 6, 7, 8, 9
 - Proposed Change: N
 - Justification: See attached Duty Statements (for Electric Generation Systems Program Specialist I and II).
- Electric Generation System Specialist (All levels)
 - Assigned Disclosure Category: 6, 7, 8, 9
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Energy Commission Specialist (All levels)
 - Assigned Disclosure Category: 6, 7, 8, 9
 - Proposed Change: CC
 - Justification: Category 9 is being added for this "Energy Commission Specialist (All levels)" position because the category addresses income in business entities that have contracted with the Energy Commission to provide services related to the design, editing, production, drafting, artwork, printing, publication, or distribution of an Energy Commission document, and the duties of the position include working with entities that do technical work for the Energy Commission, and editing and drafting of those consultant reports.
- Energy Resources Specialist III (Managerial)
 - Assigned Disclosure Category: 2,6,7,8,9
 - Proposed Change: CC
 - Justification: Category 9 is being added for this "Energy Resources Specialist III (Managerial)" position because the category addresses income in business entities that have contracted with the Energy Commission to provide services related to the design, editing, production, drafting, artwork, printing, publication, or distribution of an Energy Commission document, and the duties

of the position include working with entities that do technical work for the Energy Commission, and editing and drafting of those consultant reports.

- ~~Energy Resources Specialist III (Supervisory)~~
 - Assigned Disclosure Category: ~~6, 7, 8,~~
 - Proposed Change: A
 - Justification: n/a
- Project and Project Supervisor
 - Assigned Disclosure Category: 6, 7, 8, 9
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Program Manager
 - Assigned Disclosure Category: 6, 7, 8, 9
 - Proposed Change: N
 - Justification: See attached Duty Statement
- ~~Staff Services Manager I~~ Supervisor I (Specialist & Supervisory)
 - Assigned Disclosure Category: 2, 6, 7, 8, 9
 - Proposed Change: N, R
 - Justification: CalHR reclassification. See attached Duty Statements for both positions. Although the Supervisor I (Specialist) Duty Statement is marked "no" for whether the position participates in the making of governmental decisions, the form was marked incorrectly. The duties of the Supervisor I (Specialist) include managing active and upcoming grant funding solicitations; reviewing agreement issues and recommending actions taken to resolve issues; and overseeing development, preparation, maintenance, review, and control of program dollars.

MEDIA AND PUBLIC COMMUNICATIONS OFFICE

- ~~Information Officer II (Supervisory)~~
 - Assigned Disclosure Category: 4
 - Proposed Change: D
 - Justification: Combined with "Information Officer (All levels)"- see justification below.
- Energy Commission Specialist II (TED)
 - Assigned Disclosure Category: 7, 9

- Proposed Change: N
- Justification: See attached Duty Statement
- Information Officer (Specialist) (All levels)
 - Assigned Disclosure Category: 7, 9
 - Proposed Change: N
 - Justification: The existing position "Information Officer II (Specialist) (All levels)" is being expanded so that all Information Officers (All levels) in this office need to file. Information Officer I and II are new positions. See attached Duty Statements for Information Officer I and II.
- ~~Staff Services Manager~~ Supervisor (All levels) ~~II (Supervisory)~~
 - Assigned Disclosure Category: 1
 - Proposed Change: N, R
 - Justification: CalHR reclassification. Instead of only "Supervisor II (Supervisory)" being required to file, this code change expands the category to include all Supervisors I and II. The Media and Public Communications Office works with the Governor's Office and often has access to sensitive decisionmaker information, so category 1 is appropriate. See attached Duty Statement for Supervisor I (a new position).
- Television Specialist
 - Assigned Disclosure Category: 7, 9
 - Proposed Change: CC
 - Justification: See attached Duty Statement. The Duty Statement incorrectly marks this position as not participating in the making of governmental decisions. This position is being asked to file under categories 7 and 9 because their duties including writing scripts for Energy Commission videos, which shapes the messaging of the Energy Commission and is considered to be participating in the making of governmental decisions.

OFFICE OF GOVERNMENTAL AND INTERNATIONAL AFFAIRS

- ~~Staff Services Manager I~~ Supervisor I (Supervisory & Specialist)
 - Assigned Disclosure Category: 1
 - Proposed Change: NC/Edit, R
 - Justification: CalHR reclassification. Combined the two Supervisor I positions so it is listed together as "Supervisor I (Supervisory & Specialist) since the assigned disclosure categories are the same.

- ~~Staff Services Manager I (Specialist)~~
 - Assigned Disclosure Category: 4
 - Proposed Change: M
 - Justification: Moved to be combined with the other Supervisor I position since they have the same assigned disclosure categories.

~~OFFICE OF PUBLIC PARTICIPATION, ENERGY EQUITY AND TRIBAL AFFAIRS~~
OFFICE OF THE PUBLIC ADVISOR, ENERGY EQUITY AND TRIBAL AFFAIRS

- Assigned Disclosure Category: n/a
- Proposed Change: R
- Justification: n/a
- ~~Information Officer II~~
 - Assigned Disclosure Category: 2,9
 - Proposed Change: [blank]
 - Justification: n/a
- ~~Energy Resources Specialist III (Supervisory)~~
 - Assigned Disclosure Category: 4
 - Proposed Change: A
 - Justification: n/a
- ~~Staff Services Manager I~~ Supervisor I (Specialist) (Tribal)
 - Assigned Disclosure Category: 1
 - Proposed Change: N, R
 - Justification: CalHR reclassification. See attached Duty Statement.
- ~~Staff Services Manager I~~ Supervisor I (Specialist)
 - Assigned Disclosure Category: 7, 10
 - Proposed Change: CC, R
 - Justification: CalHR reclassification.
 - Category 7 is appropriate because the position provides equity recommendations and work with entities that receive grants or loans from the Energy Commission. Category 10 is appropriate because it requires reporting on any financial interests in any assignments during the reporting period, and this position does outreach and engagement for siting projects. See attached Duty Statement.

PETROLEUM MARKET OVERSIGHT DIVISION

- ~~Administrative Assistant II Analyst II~~
 - Assigned Disclosure Category: 2, 11
 - Proposed Change: N, R
 - Justification: CalHR reclassification. See attached Duty Statement
- ~~Attorney (All levels)~~
 - Assigned Disclosure Category: 1
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Research Data Specialist (All levels)
 - Assigned Disclosure Category: 8, 11
 - Proposed Change: N
 - Justification: See attached Duty Statements for Research Data Specialist II, III, and IV.
- ~~Staff Services Manager I~~ Supervisor I (Specialist)
 - Assigned Disclosure Category: 2, 11
 - Proposed Change: N, R
 - Justification: CalHR reclassification. See attached Duty Statement.

RENEWABLE ENERGY DIVISION RELIABILITY, RENEWABLE ENERGY, AND DECARBIZATION INCENTIVES DIVISION

- ~~Energy Resources Specialist III (Managerial) Assistant Deputy Director~~
 - Assigned Disclosure Category: 4
 - Proposed Change: A
 - Justification: n/a
- Associate Energy Specialist
 - Assigned Disclosure Category: 4, 5, 7, 8
 - Proposed Change: M/CC
 - Justification: Moved from "Building Decarbonization and Incentives Branch/Special Projects Branch" and "Clean Energy Branch." This position was already a category 5 filer under the Clean Energy Branch and was already a category 8 filer under the Building Decarbonization and Incentives Branch/Special Projects Branch. Those branches still exist, but due to the highly dynamic and interrelated nature of the work and funding sources each legislative year of the Reliability, Renewable Energy, and Decarbonization Incentives Division, we are reorganizing the positions so that they all fall under

the Division rather than separate branches. This will create more uniformity across the Division in terms of the disclosure categories, which is needed due to the likelihood that new programs will impose potentially new economic interests that will be reportable.

- ~~Associate Governmental Program Analyst~~ Analyst II
 - Assigned Disclosure Category: 2
 - Proposed Change: M, R
 - Justification: CalHR reclassification. Moved from "Building Decarbonization and Incentives Branch/Special Projects Branch" and "Clean Energy Branch."
- Electric Generation System Program Specialist (All levels)
 - Assigned Disclosure Category: 2, 4, 5, 7
 - Proposed Change: M
 - Justification: Moved from "Building Decarbonization and Incentives Branch/Special Projects Branch" and "Clean Energy Branch."
- Electric Generation System Specialist (All levels)
 - Assigned Disclosure Category: 2, 4, 5, 7, 8
 - Proposed Change: M/CC
 - Justification: Moved from "Building Decarbonization and Incentives Branch/Special Projects Branch" and "Clean Energy Branch." This position was already a category 5 filer under the Clean Energy Branch and was already a category 8 filer under the Building Decarbonization and Incentives Branch/Special Projects Branch. Those branches still exist, but due to the highly dynamic and interrelated nature of the work and funding sources each legislative year of the Reliability, Renewable Energy, and Decarbonization Incentives Division, we are reorganizing the positions so that they all fall under the Division rather than separate branches. This will create more uniformity across the Division in terms of the disclosure categories, which is needed due to the likelihood that new programs will impose potentially new economic interests that will be reportable.
- Energy Commission Specialist (All levels)
 - Assigned Disclosure Category: 2, 4, 5, 7, 8
 - Proposed Change: M/CC
 - Justification: Moved from "Building Decarbonization and Incentives Branch/Special Projects Branch" and "Clean Energy Branch." This position was already a category 5 filer under the Clean Energy Branch and was already a category 8 filer under the Building Decarbonization and Incentives Branch/Special Projects Branch. Those branches still exist, but due to the

highly dynamic and interrelated nature of the work and funding sources each legislative year of the Reliability, Renewable Energy, and Decarbonization Incentives Division, we are reorganizing the positions so that they all fall under the Division rather than separate branches. This will create more uniformity across the Division in terms of the disclosure categories, which is needed due to the likelihood that new programs will impose potentially new economic interests that will be reportable.

- Energy Resources Specialist III (Managerial & Supervisory)
 - Assigned Disclosure Category: 2, 4, 5, 7, 8
 - Proposed Change: M/CC
 - Justification: Moved from "Building Decarbonization and Incentives Branch/Special Projects Branch" and "Clean Energy Branch." This position was already a category 5 filer under the Clean Energy Branch and was already a category 8 filer under the Building Decarbonization and Incentives Branch/Special Projects Branch. Those branches still exist, but due to the highly dynamic and interrelated nature of the work and funding sources each legislative year of the Reliability, Renewable Energy, and Decarbonization Incentives Division, we are reorganizing the positions so that they all fall under the Division rather than separate branches. This will create more uniformity across the Division in terms of the disclosure categories, which is needed due to the likelihood that new programs will impose potentially new economic interests that will be reportable.
- Mechanical Engineer (All levels)
 - Assigned Disclosure Category: 4, 5, 7, 8
 - Proposed Change: M/CC
 - Justification: Moved from "Building Decarbonization and Incentives Branch/Special Projects Branch" and "Clean Energy Branch." This position was already a category 5 filer under the Clean Energy Branch. That branch still exists, but due to the highly dynamic and interrelated nature of the work and funding sources each legislative year of the Reliability, Renewable Energy, and Decarbonization Incentives Division, we are reorganizing the positions so that they all fall under the Division rather than separate branches. This will create more uniformity across the Division in terms of the disclosure categories, which is needed due to the likelihood that new programs will impose potentially new economic interests that will be reportable.
- Program and Project Supervisor
 - Assigned Disclosure Category: 2, 4, 5, 7, 8
 - Proposed Change: N

- Justification: See attached Duty Statement
- Program Manager
 - Assigned Disclosure Category: 2, 4, 5, 7, 8
 - Proposed Change: N
 - Justification: See attached Duty Statement
- ~~Staff Service Manager I~~ Supervisor I (Specialist)
 - Assigned Disclosure Category: 2
 - Proposed Change: N, R
 - Justification: CalHR reclassification. See attached Duty Statement

RENEWABLE PORTFOLIO BRANCH

- ~~Associate Energy Specialist~~
 - Assigned Disclosure Category: ~~4,5,7~~
 - Proposed Change: A
 - Justification: n/a
- ~~Electric Generation Systems Program Specialist (All Levels)~~
 - Assigned Disclosure Category: ~~2,4,5,7~~
 - Proposed Change: A
 - Justification: n/a
- ~~Electric Generation Systems Specialist (All levels)~~
 - Assigned Disclosure Category: ~~2,4,5,7~~
 - Proposed Change: A
 - Justification: n/a
- ~~Energy Commission Specialist (All levels)~~
 - Assigned Disclosure Category: ~~2,4,5,7~~
 - Proposed Change: A
 - Justification: n/a
- ~~Resources Specialist II (Managerial)~~
 - Assigned Disclosure Category: ~~2,4,5,7~~
 - Proposed Change: A
 - Justification: n/a
- ~~Resources Specialist III (Supervisory)~~
 - Assigned Disclosure Category: ~~2,4,5,7~~

- Proposed Change: A
- Justification: n/a

~~BUILDING DECARBONIZATION AND INCENTIVES BRANCH/SPECIAL PROJECTS BRANCH~~

- Assigned Disclosure Category: n/a
- Proposed Change: R
- Justification: The positions in this branch now fall directly under the "Reliability, Renewable Energy, and Decarbonization Incentives Division."
- ~~Associate Energy Specialist~~
 - Assigned Disclosure Category: ~~4, 7, 8~~
 - Proposed Change: M
 - Justification: Moved to "Reliability, Renewable Energy, and Decarbonization Incentives Division."
- ~~Associate Governmental Program Analyst~~
 - Assigned Disclosure Category: ~~2~~
 - Proposed Change: M
 - Justification: Moved to "Reliability, Renewable Energy, and Decarbonization Incentives Division."
- ~~Electric Generation Systems Specialist (All levels)~~
 - Assigned Disclosure Category: ~~2, 4, 7, 8~~
 - Proposed Change: M
 - Justification: Moved to "Reliability, Renewable Energy, and Decarbonization Incentives Division."
- ~~Energy Commission Specialist (All levels)~~
 - Assigned Disclosure Category: ~~2, 4, 7, 8~~
 - Proposed Change: M
 - Justification: Moved to "Reliability, Renewable Energy, and Decarbonization Incentives Division."
- ~~Energy Resources Specialist III (Managerial)~~
 - Assigned Disclosure Category: ~~2, 4, 7, 8~~
 - Proposed Change: M
 - Justification: Moved to "Reliability, Renewable Energy, and Decarbonization Incentives Division."

- ~~Energy Resources Specialist III (Supervisory)~~
 - Assigned Disclosure Category: ~~2, 4, 7, 8~~
 - Proposed Change: M
 - Justification: Moved to "Reliability, Renewable Energy, and Decarbonization Incentives Division."
- ~~Mechanical Engineer (All levels)~~
 - Assigned Disclosure Category: ~~4, 7, 8~~
 - Proposed Change: M
 - Justification: Moved to "Reliability, Renewable Energy, and Decarbonization Incentives Division."

TECHNOLOGY AND INCENTIVES BRANCH

- Assigned Disclosure Category: n/a
- Proposed Change: A
- Justification: n/a
- ~~Associate Energy Specialist~~
 - Assigned Disclosure Category: ~~4, 7~~
 - Proposed Change: A
 - Justification: n/a
- ~~Associate Governmental Program Analyst~~
 - Assigned Disclosure Category: 2
 - Proposed Change: A
 - Justification: n/a
- ~~Electric Generation System Specialist (All levels)~~
 - Assigned Disclosure Category: ~~2, 4, 7~~
 - Proposed Change: A
 - Justification: n/a
- ~~Electric Commission Specialist (All levels)~~
 - Assigned Disclosure Category: ~~2, 4, 7~~
 - Proposed Change: A
 - Justification: n/a
- ~~Energy Resources Specialist III (Managerial)~~
 - Assigned Disclosure Category: ~~2, 4, 7~~
 - Proposed Change: A

- Justification: n/a
- ~~Energy Resources Specialist III (Supervisory)~~
 - Assigned Disclosure Category: ~~2,4,7~~
 - Proposed Change: A
 - Justification: n/a
- ~~Mechanical Engineer (All levels)~~
 - Assigned Disclosure Category: ~~4,7~~
 - Proposed Change: A
 - Justification: n/a

CLEAN ENERGY BRANCH

- Assigned Disclosure Category: n/a
- Proposed Change: R
- Justification: The positions in this branch now fall directly under the "Reliability, Renewable Energy, and Decarbonization Incentives Division."
- ~~Associate Energy Specialist~~
 - Assigned Disclosure Category: ~~4,5,7~~
 - Proposed Change: M
 - Justification: Moved to "Reliability, Renewable Energy, and Decarbonization Incentives Division."
- ~~Electric Generation System Program Specialist (All levels)~~
 - Assigned Disclosure Category: ~~2, 4,5,7~~
 - Proposed Change: M
 - Justification: Moved to "Reliability, Renewable Energy, and Decarbonization Incentives Division."
- ~~Electric Generation System Specialist (All levels)~~
 - Assigned Disclosure Category: ~~2, 4,5,7~~
 - Proposed Change: M
 - Justification: Moved to "Reliability, Renewable Energy, and Decarbonization Incentives Division."
- ~~Energy Commission Specialist (All levels)~~
 - Assigned Disclosure Category: ~~2, 4,5,7~~
 - Proposed Change: M
 - Justification: Moved to "Reliability, Renewable Energy, and Decarbonization Incentives Division."

- ~~Energy Resources Specialist III (Managerial)~~
 - Assigned Disclosure Category: ~~2, 4, 5, 7~~
 - Proposed Change: M
 - Justification: Moved to "Reliability, Renewable Energy, and Decarbonization Incentives Division."
- ~~Energy Resources Specialist III (Supervisory)~~
 - Assigned Disclosure Category: ~~2, 4, 5, 7~~
 - Proposed Change: M
 - Justification: Moved to "Reliability, Renewable Energy, and Decarbonization Incentives Division."

SCHOOLS STIMULUS BRANCH

- Assigned Disclosure Category: n/a
- Proposed Change: A
- Justification: n/a
- ~~Associate Energy Specialist~~
 - Assigned Disclosure Category: ~~4, 7, 8~~
 - Proposed Change: A
 - Justification: n/a
- ~~Associate Governmental Program Analyst~~
 - Assigned Disclosure Category: 2
 - Proposed Change: A
 - Justification: n/a
- ~~Electric Generation System Specialist (All levels)~~
 - Assigned Disclosure Category: ~~2, 4, 7, 8~~
 - Proposed Change: A
 - Justification: n/a
- ~~Energy Commission Specialist (All levels)~~
 - Assigned Disclosure Category: ~~2, 4, 7, 8~~
 - Proposed Change: A
 - Justification: n/a
- ~~Energy Resources Specialist III (Managerial)~~
 - Assigned Disclosure Category: ~~2, 4, 7, 8~~
 - Proposed Change: A

- Justification: n/a
- ~~Energy Resources Specialist III (Supervisory)~~
 - Assigned Disclosure Category: ~~2,4,7,8~~
 - Proposed Change: A
 - Justification: n/a
- ~~Mechanical Engineer (All levels)~~
 - Assigned Disclosure Category: ~~4,7,8~~
 - Proposed Change: A
 - Justification: n/a

SITING, TRANSMISSION, AND ENVIRONMENTAL PROTECTION DIVISION

- ~~Planner – Siting and Environmental Office (All levels)~~
 - Assigned Disclosure Category: ~~5,6,8~~
 - Proposed Change: D
 - Justification: Combined with "Planner (All levels)"- see justification below.
- ~~Energy Resources Specialist III (Managerial) Assistant Deputy Director~~
 - Assigned Disclosure Category: 1
 - Proposed Change: A
 - Justification: n/a
- Air Resources Engineer
 - Assigned Disclosure Category: 5,6
 - Proposed Change: [blank]
 - Justification: n/a
- Air Resources Supervisor I
 - Assigned Disclosure Category: 5,6
 - Proposed Change: [blank]
 - Justification: n/a
- Civil Engineer (All levels)
 - Assigned Disclosure Category: 5,6,8
 - Proposed Change: [blank]
 - Justification: n/a

- Electric Generation System Program Specialist (All levels)
 - Assigned Disclosure Category: 5,6,8
 - Proposed Change: [blank]
 - Justification: n/a
- Electric Generation System Specialist I- Program and Project Integration Unit
 - Assigned Disclosure Category: 5,6,8
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Electric Transmission Systems Program Specialist (All levels)
 - Assigned Disclosure Category: 5,6,8
 - Proposed Change: [blank]
 - Justification: n/a
- Energy Commission Specialist (All levels)
 - Assigned Disclosure Category: 5,6,8
 - Proposed Change: [blank]
 - Justification: n/a
- Energy Resources Specialist III (Managerial)
 - Assigned Disclosure Category: 1
 - Proposed Change: [blank]
 - Justification: n/a
- Energy Resources Specialist III (Supervisory)
 - Assigned Disclosure Category: 5,6,7,8
 - Proposed Change: [blank]
 - Justification: n/a
- Engineering Geologist (All levels)
 - Assigned Disclosure Category: 5,6,8
 - Proposed Change: [blank]
 - Justification: n/a
- Mechanical Engineer (All levels)
 - Assigned Disclosure Category: 5,6,8
 - Proposed Change: [blank]
 - Justification: n/a

- ~~Planner — Compliance Office~~ (All levels)
 - Assigned Disclosure Category: 5,6,8
 - Proposed Change: R
 - Justification: Now "Planner (All levels)" instead of separating out different Planner positions within the division.
- Program and Project Supervisor
 - Assigned Disclosure Category: 5,6,7,8
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Program Manager
 - Assigned Disclosure Category: 5,6,7,8
 - Proposed Change: N
 - Justification: See attached Duty Statement
- Senior Electrical Engineer
 - Assigned Disclosure Category: 5,6
 - Proposed Change: N
 - Justification: See attached Duty Statement

CONSULTANTS/NEW POSITIONS

- ~~Consultants/New Positions~~
 - Assigned Disclosure Category: **
 - Proposed Change: M
 - Justification: Moved to "All Offices and Divisions."

* Exempt Positions are included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code (Category 1), subject to the following limitation: The Executive Director may determine in writing that a particular exempt position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the exempt position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-Interest code. (Gov't Code § 81008.)

** Consultants/New Positions are included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code (Category 1), subject

to the following limitation: The Executive Director may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-Interest code. (Gov't Code § 81008.)

(b) Disclosure Categories

For purposes of the following categories, “business entity” means any organization or enterprise operated for profit, including a proprietorship, partnership, firm, business trust, joint venture, syndicate, corporation or association. “Business position” refers to status as a director, officer, partner, trustee, employee, or holder of a position of management in any business entity.

Category 1

Designated positions assigned to this category must report all interests in real property **located within the State of California**, sources of income, including receipt of gifts, loans, and travel payments, and investments and business positions in business entities.

- Justification: The addition of "located within the State of California" is being added for clarity and to align with the description of real property in category 6. This change is being made here because the discrepancy in the wording of categories 1 and 6 made it unclear to some Energy Commission staff whether that meant category 1 filers needed to report interests in all real property, even outside of California.

Category 2

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities that provide services (including training or consulting services), supplies, materials, machinery, or equipment of the type purchased, leased, or obtained by contract by the Energy Commission for use by the Energy Commission, its members, employees, or consultants.

Category 3

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities that manufacture, sell, distribute, or otherwise provide computers,

computer hardware, computer software, computer services, computer models, or computer-related supplies, materials, machinery, or equipment of the type utilized by the Energy Commission.

Category 4

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities engaged in the design, manufacture, sale, distribution, assessment, calibration, evaluation, or testing of any appliance, equipment, product, program, service, or structure required to be approved by or to meet standards set by the Energy Commission.

Category 5

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities that engage in the design, development, construction, sale, application for certification, acquisition of facilities that generate electricity, including, wind, solar, geothermal, hydroelectric, ocean, garbage, and biomass; and Sources that are subject to the regulatory, permit or licensing authority of, or have an application for a license or permit pending before, the Energy Commission.

Category 6

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, sources that are both: Subject to the regulatory, permit or licensing authority of, or have an application for a license or permit pending before, the Energy Commission; and One of the following: business entities that are energy or environmental consultants, research firms, or engineering firms, business entities that design, build, manufacture, sell, distribute, or service equipment of the type that is utilized by electric power suppliers, including, wind, solar, geothermal, hydroelectric, ocean, garbage, and biomass, or any energy-producing entity that is a party to an Energy Commission proceeding. ~~Sources that are subject to the regulatory permit or licensing authority of or have an application for a license or permit pending before, the Energy Commission.~~

- Justification: This has been re-ordered for clarity.

Category 7

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in,

business entities of the type that have applied for or received any loan or grant from the Energy Commission.

Category 8

Designated positions assigned to this category must report all interests in real property located within the State of California, that may be affected by or subject to any provisions, guidelines, rules, regulations, programs, contracts, grants, loans, incentives, licenses, permits, or other entitlement for use, or policies adopted, enforced or promulgated, by the Division or Office employing the public official.

Category 9

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities of the type that have contracted with the Energy Commission to provide services related to the design, editing, production, drafting, artwork, printing, publication, or distribution of an Energy Commission document.

Category 10

Designated positions assigned to this category must report whether, during the reporting period, he/she had a financial interest in any of his/her assignments. If he/she had no such interest, they shall file Fair Political Practices Commission Form 700-A. Otherwise, they shall disclose their pertinent financial interest on the schedules for Fair Political Practices Commission Form 700.

Category 11

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in sources that are both: Subject to the regulatory authority of the Energy Commission; and One of the following: business entities that are petroleum, renewable fuels, energy, or environmental consultants, research firms, or engineering firms, business entities that participate in the California petroleum or transportation fuels market, or any petroleum or transportation fuels entity that is subject to an Energy Commission proceeding, rulemaking, data collection requirement, or other regulatory activity.

- Justification: Category 11 is being added to address the Energy Commission's new and high-profile work on petroleum and other transportation fuels as the industry transitions away from petroleum.

Title 20. Public Utilities and Energy
Division 2. State Energy Resources Conservation and Development Commission
Chapter 7. Administration
Article 1. Conflict of Interest Code

§ 2401. General Provisions.

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 California Code of Regulations Section 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the State Energy Resources Conservation and Development Commission (**Energy Commission**).

Commission Members, the Executive Director, and members of all other multi-member boards and commissions must file their statements of economic interests electronically with the **Fair Political Practices Commission**. All other Individuals holding designated positions shall file their statements of economic interests with the **Energy Commission**. All statements must be made available for public inspection and reproduction under Government Code Section 81008.

NOTE: Authority cited: Sections 81008, 87300, and 87306, Government Code. Reference: Section 87302, Government Code.

Title 20. Public Utilities and Energy
Division 2. State Energy Resources Conservation and Development Commission
Chapter 7. Administration
Article 1. Conflict of Interest Code
Section 2402

2402. Appendix.

(a) Designated Positions

ALL OFFICES AND DIVISIONS

Designated Position	Assigned Disclosure Category
CEA Positions (All levels)	1
Consultants/New Positions	-
Exempt Positions	*
Interjurisdictional Exchange	1
Special Consultant	1

ADMINISTRATIVE AND FINANCIAL MANAGEMENT SERVICES DIVISION

HUMAN RESOURCES AND SUPPORT SERVICES BRANCH

Designated Position	Assigned Disclosure Category
Analyst II/I- Business Services	2
Business Services Assistant	2
Business Services Officer (All levels)	2
Supervisor (All levels)	2
Manager II	2

INFORMATION TECHNOLOGY SERVICES BRANCH

Designated Position	Assigned Disclosure Category
Information Technology Associate (All levels)	3
Information Technology Manager (All levels)	3
Information Technology Specialist (All levels)	3
Information Technology Supervisor II	3
Information Technology Technician	3

FINANCIAL MANAGEMENT BRANCH

Designated Position	Assigned Disclosure Category
Accounting Administrator II	5, 7
Analyst I, II	2, 7
Supervisor I	2, 7
Supervisor II	1
Manager II	1

CHIEF COUNSEL'S OFFICE

Designated Position	Assigned Disclosure Category
Assistant Chief Counsel	1

Attorney and Attorney Supervisor (All levels)	1
Graduate Legal Assistant	1
Analyst I	2
Supervisor I	2

COMMISSIONERS' OFFICES

Designated Position	Assigned Disclosure Category
Advisor to a Commissioner (All classifications)	1
Analyst II- Chair's Office	2

COMPLIANCE AND ENFORCEMENT

Designated Position	Assigned Disclosure Category
Analyst II	2, 4, 7
Electrical Generation Systems Specialist I	2, 4, 7
Electrical Engineer (All levels)	2, 4, 7
Energy Commission Specialist (All levels)	2, 4, 7
Energy Resources Specialist III (Supervisory)	2, 4, 7
Mechanical Engineer	2, 4, 7

EFFICIENCY DIVISION

Designated Position	Assigned Disclosure Category
Electric Generation System Program Specialist III	2, 4, 7, 8
Program and Project Supervisor	2, 4, 7, 8, 9
Program Manager	4, 7, 8, 9
Supervisor I	4

APPLIANCE EFFICIENCY BRANCH AND LOAD FLEXIBILITY BRANCH

Designated Position	Assigned Disclosure Category
Air Resources Engineer	2, 4, 7
Associate Energy Specialist	4, 7
Electric Generation System Specialist (All levels)	2, 4, 7
Electrical Engineer (All levels)	2, 4, 7
Energy Commission Specialist (All levels)	2, 4, 7
Energy Resources Specialist III (Supervisory)	2, 4, 7, 9
Mechanical Engineer (All levels)	2, 4, 7

EXISTING BUILDINGS BRANCH & BUILDING STANDARDS BRANCH

Designated Position	Assigned Disclosure Category
Air Pollution Specialist	4, 7, 8
Associate Energy Specialist	4, 7, 8
Civil Engineer (All levels)	2, 4, 7, 8
Electric Generation System Specialist I	2, 4, 7
Electric Generation System Program Specialist I	2, 4, 7, 8
Electrical Engineer (All levels)	2, 4, 7, 8
Energy Commission Specialist (All levels)	4, 7, 8
Energy Resources Specialist III (Supervisory)	2, 4, 7, 8, 9
Mechanical Engineer (All levels)	2, 4, 7, 8

STANDARDS COMPLIANCE BRANCH

Designated Position	Assigned Disclosure Category
Associate Energy Specialist	4, 7, 8
Electric Generation Systems Specialist I	2, 4, 7
Electrical Engineer (All levels)	4, 7, 8
Energy Commission Specialist (All levels)	2, 4, 7, 8
Energy Resources Specialist III (Managerial & Supervisory)	2, 4, 7, 8
Mechanical Engineer (All levels)	4, 7, 8

ENERGY ASSESSMENTS DIVISION TRANSPORTATION FUELS MARKET UNIT

Designated Position	Assigned Disclosure Category
Electric Generation Unit System Specialist I	2, 5, 6, 11
Energy Commission Specialist (All levels) (TED)	5, 6, 11
Program and Project Supervisor	5, 6, 9, 11
Research Data Specialist III	2, 5, 6, 11
Supervisor I (Specialist)	11

ALL OTHER ENERGY ASSESSMENTS DIVISION ORGANIZATIONAL UNITS

Designated Position	Assigned Disclosure Category
Electric Generation System Program Specialist (All levels)	5, 6
Electric Generation System Specialist I & II	5, 6
Electric Generation System Specialist III	2, 5, 6
Electric Transmission System Program Specialist (All levels)	5, 6
Electrical Engineer (All levels)	5, 6
Energy Commission Specialist (All levels)	5, 6
Energy Resources Specialist III (Managerial & Supervisory)	2, 5, 6
Engineering Geologist	5, 6
Mechanical Engineer (All levels)	5, 6
Program and Project Supervisor	5, 6, 9
Program Manager	5, 6, 9
Research Data Specialist (All levels)	2, 5, 6

ENERGY RESEARCH AND DEVELOPMENT DIVISION

Designated Position	Assigned Disclosure Category
Air Pollution Specialist	5, 6, 7
Air Resources Engineer	5, 6, 7
Associate Energy Specialist	5, 6, 7
Analyst II Technology Scale Up & Outreach Office	5, 6, 7
Electric Generation System Specialist (All levels)	5, 6, 7
Electric Generation System Program Specialist I	5, 6, 7

Electric Transmission System Program Specialist	5, 6, 7
Electrical Engineer (All levels)	5, 6, 7
Energy Analyst	5, 6, 7
Energy Commission Specialist (All levels)	5, 6, 7, 9
Energy Resources Specialist III (Managerial)	1
Energy Resources Specialist III (Supervisory)	5, 6, 7, 9
Mechanical Engineer (All levels)	5, 6, 7
Program and Project Supervisor	5, 6, 7, 9
Program Manager	5, 6, 7, 9
Utilities Engineer	5, 6, 7

EXECUTIVE OFFICE

Designated Position	Assigned Disclosure Category
Research Data Specialist II	2, 4, 5, 6
Supervisor I and Supervisor I (Specialist)	2
Supervisor II (Specialist)	2, 4, 5, 6
Supervisor II	2

FUELS AND TRANSPORTATION DIVISION

Designated Position	Assigned Disclosure Category
Air Pollution Specialist	6, 7, 8, 9
Air Resources Engineer	6, 7, 8, 9
Associate Energy Specialist	6, 7, 8, 9
Electric Generation System Program Specialist (All levels)	6, 7, 8, 9
Electric Generation System Specialist (All levels)	6, 7, 8, 9
Energy Commission Specialist (All levels)	6, 7, 8, 9
Energy Resources Specialist III (Managerial)	2, 6, 7, 8, 9
Program and Project Supervisor	6, 7, 8, 9
Program Manager	6, 7, 8, 9
Supervisor I (Specialist & Supervisory)	2, 6, 7, 8, 9

MEDIA AND PUBLIC COMMUNICATIONS OFFICE

Designated Position	Assigned Disclosure Category
Energy Commission Specialist II (TED)	7, 9
Information Officer (All levels)	7, 9
Supervisor I, II	1
Television Specialist	7, 9

OFFICE OF GOVERNMENTAL AND INTERNATIONAL AFFAIRS

Designated Position	Assigned Disclosure Category
Supervisor I	1

OFFICE OF THE PUBLIC ADVISOR, ENERGY EQUITY AND TRIBAL AFFAIRS

Designated Position	Assigned Disclosure Category
Supervisor I (Specialist)	7, 10

PETROLEUM MARKET OVERSIGHT DIVISION

Designated Position	Assigned Disclosure Category
Analyst II	2, 11
Attorney and Attorney Supervisor (All levels)	1
Research Data Specialist (All levels)	8, 11
Supervisor I (Specialist)	2, 11

RELIABILITY, RENEWABLE ENERGY, AND DECARBONIZATION INCENTIVES DIVISION

Designated Position	Assigned Disclosure Category
Associate Energy Specialist	4, 5, 7, 8
Associate Governmental Program Analyst	2
Electric Generation System Program Specialist (All levels)	2, 4, 5, 7
Electric Generation System Specialist (All levels)	2, 4, 5, 7, 8
Energy Commission Specialist (All levels)	2, 4, 5, 7, 8
Energy Resources Specialist III (Managerial & Supervisory)	2, 4, 5, 7, 8
Mechanical Engineer (All levels)	4, 5, 7, 8
Program and Project Supervisor	2, 4, 5, 7, 8
Program Manager	2, 4, 5, 7, 8
Supervisor I (Specialist)	2

SITING, TRANSMISSION, AND ENVIRONMENTAL PROTECTION DIVISION

Designated Position	Assigned Disclosure Category
Air Resources Engineer	5, 6
Air Resources Supervisor I	5, 6
Civil Engineer (All levels)	5, 6, 8
Electric Generation System Program Specialist (All levels)	5, 6, 8
Electric Generation System Specialist I- Program and Project Integration Unit	5, 6, 8
Electric Transmission System Program Specialist (All levels)	5, 6, 8
Energy Commission Specialist (All levels)	5, 6, 8
Energy Resources Specialist III (Managerial)	1
Energy Resources Specialist III (Supervisory)	5, 6, 7, 8
Engineering Geologist (All levels)	5, 6, 8
Mechanical Engineer (All levels)	5, 6, 8
Planner (All levels)	5, 6, 8
Program and Project Supervisor	5, 6, 7, 8

Program Manager	5, 6, 7, 8
Senior Electrical Engineer	5, 6

Consultants/new positions

*Consultants/new positions, and exempt positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Executive Director may determine in writing that a particular consultant, new position, or exempt position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to comply fully with the disclosure requirements described in this section. Such written determination shall include a description of the consultant’s, new position’s, or exempt position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code Sec. 81008.)

OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

The following positions are NOT covered by the conflict of interest code because they must file under Government Code Section 87200. Those who file under Government Code Section 87200 are required to file electronically with the Fair Political Practices Commission, therefore, these positions are listed for informational purposes only:

Commissioner, State Energy Resources Conservation and Development Commission

An individual holding one of the above-listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Government Code Section 87200.

(b) Disclosure Categories

Category 1

Designated positions assigned to this category must report all investments and business positions in business entities, sources of income (including receipt of gifts, loans, and travel payments) and real property located in the State of California.

Category 2

Designated positions assigned to this category must report investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source provides leased facilities, products, equipment, vehicles, machinery or services (including training or consulting services) of the type utilized by the Energy Commission.

Category 3

Designated positions assigned to this category must report investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source provides information technology or telecommunications goods, products or services including computer hardware or software companies, computer consultant services, IT training companies, data processing firms and media services.

Category 4

Designated positions assigned to this category must report investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source is engaged in the design, manufacture, sale, distribution, assessment, calibration, evaluation, or testing of any appliance, equipment, product, program, service, or structure required to be approved by, or to meet standards set by, the Energy Commission.

Category 5

Designated positions assigned to this category must report investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source

- engages in the design, development, construction, sale, application for certification, acquisition of facilities that generate electricity, including, wind, solar, geothermal, hydroelectric, ocean, garbage, and biomass; and
- is subject to the regulatory, permit or licensing authority of, or have an application for a license or permit pending before, the Energy Commission.

Category 6

Designated positions assigned to this category must report investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source is both:

- Subject to the regulatory, permit or licensing authority of, or have an application for a license or permit pending before, the Energy Commission; and
- One of the following:
 - an energy or environmental consultant, research firm, or engineering firm,
 - engaged in the design, build, manufacture, sale, distribution or provides equipment of the type utilized by electric power suppliers, including, wind, solar, geothermal, hydroelectric, ocean, garbage, and biomass, or
 - an energy-producing entity that is a party to an Energy Commission proceeding.

Category 7

Designated positions assigned to this category must report investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source is of the type to receive grants or other funding from or through the Energy Commission.

Category 8

Designated positions assigned to this category must report all interests in real property located within the State of California that may be affected by or subject to any provisions, guidelines, rules, regulations, programs, contracts, grants, loans, incentives, licenses, permits, or other entitlement for use, or policies adopted, enforced or promulgated, by the position's Division or Office.

Category 9

Designated positions assigned to this category must report investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source is of the type that provides services related to the design, editing, production, drafting, artwork, printing, publication, or distribution of the type utilized by the Energy Commission.

Category 10

Designated positions assigned to this category must report investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source was the subject of an assignment during the reporting period. Use Fair Political Practices Commission Form 700-A if there was no financial interest to report. Otherwise, disclose the financial interest(s) on the schedules of Fair Political Practices Commission Form 700.

Category 11

Designated positions assigned to this category must report investments and business positions in business entities and sources of income (including receipt of gifts, loans, and travel payments) if the business entity or source is both:

- Subject to the regulatory authority of the Energy Commission; and
- One of the following:
 - petroleum, renewable fuels, energy, or environmental consultants, research firms, or engineering firms,
 - participate in the California petroleum or transportation fuels market, or
 - any petroleum or transportation fuels business entity or source that is subject to an Energy Commission proceeding, rulemaking, data collection requirement, or other regulatory activity.