This form provides the Energy Commission with basic information about the applicant and the project. Each applicant must complete and sign this form. Each form may address only one Project Group. If an applicant submits multiple applications that address the same project group, each application must be for a distinct project (i.e., no overlap with respect to the tasks described in the Scope of Work, Attachment 6).

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| **Applicant’s Identification Information** |
| Legal Name |  |
| Status | [ ]  Private Company [ ]  Non-profit [ ]  California State Agency *(includes the University of California and California* *State University)*[ ]  Government Entity *(e.g., city, county, federal government, air/water/school district, joint power authority, out-of-state university)* |
| Federal Tax ID #  | **-** |
| Project Manager*(serves as the point of contact for all communications)* | Name |  |
| Street Address |  |
| City, State, and Zip Code |  |
| Phone/ Fax Numbers | Phone: ( ) - Fax: ( ) - |
| E-Mail Address |  |

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| **Project Title**  |
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| **Proposed Term** *(must fall within the dates specified in the “Key Activities Schedule” in Part I of the solicitation.)* |
| **Start Date: / /** | **End Date: / /** |

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| **Associated Phase I Project** *(Place a check in the box applicable to the proposed project.* ***Select only one Phase I project per application.*** *See the Part II of the solicitation for an explanation of each project group.)* |
| **[ ]  ~~Group 1: Advanced Energy Community; Northern California~~**~~[ ]  The Regents of the University of California – Berkeley: The Oakland EcoBlock – A ZNE, Low Water Use Retrofit Neighborhood Demonstration Project~~~~[ ]  Natural Capitalism Solutions dba Clean Coalition: Peninsula Advanced Energy Community~~~~[ ]  City of Berkeley: Berkeley Energy Assurance Transformation (BEAT) Project~~**~~[ ]  Group 2: Advanced Energy Community; Southern California~~** **~~[ ]~~** ~~The Zero Net Energy (ZNE) Alliance: Lancaster Advanced Energy Community (AEC) Project~~**~~[ ]~~** ~~City of Santa Monica: Santa Monica Advanced Energy District~~**[ ]  Group 3: Advanced Energy Community Located in a Disadvantaged Community;****Northern California****[ ]** Biodico, Inc.: Zero Net Energy Farms**[ ]** The Zero Net Energy (ZNE) Alliance: Richmond Advanced Energy Community Project[ ]  Local Government Commission: Integrated Community Resource Marketplace**[ ]  Group ~~4~~: Advanced Energy Community Located in a Disadvantaged Community;****Southern California** **[ ]** Regents of the University of California – Los Angeles: Accelerating AEC Deployment Around Existing Buildings in Disadvantaged Communities Through Unprecedented Data Analysis and Comprehensive Community Engagement[ ]  Groundwork San Diego – Chollas Creek: EnSEED (Encanto Social-Economic and Environmental Education Development)[ ]  Charge Bliss, Inc.: The Charge Bliss Advanced Energy Community for Disadvantaged Southern California Community[ ]  Advanced Power and Energy Program, University of California, Irvine: Huntington Beach Advanced Energy Community Blueprint |

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| **Project Location** |
| Street Address |  |
| City, State, and Zip Code |  |
| ***Pilot Testing/Demonstration/Deployment Projects Only:*** Specify the location of the pilot test, demonstration, or deployment site(s) below, if different from above. Expand this chart if necessary. |
| Street Address |  |
| City, State, and Zip Code |  |
|  IOU Service Territory | [ ]  Pacific Gas and Electric Co. [ ]  Southern California Edison Co.[ ]  San Diego Gas and Electric Co.  |

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| **Project Description** *(brief paragraph)*  |
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| **Funding** *(See the “Funding” section in Part I of the solicitation)* |
| Project Group *(select only* ***one****)* | **[ ]  ~~Group 5: Advanced Energy Community; Northern California~~****~~[ ]  Group 6: Advanced Energy Community; Southern California~~** **[ ]  Group 7: Advanced Energy Community Located in a** **Disadvantaged Community; Northern California****[ ]  Group 8: Advanced Energy Community Located in a** **Disadvantaged Community; Southern California** |
| ~~Amount Requested~~ ~~Groups 5 and 6:~~ **~~$5,000,000 maximum~~**Groups 7 and 8: **$10,000,000 maximum** | **$**  |
| Match Funding *(The amount must be consistent with the amount or dollar value described in any match funding commitment letters. See Attachment 11.)* | **$**  |
| **[ ]** Cashin hand**[ ]** Equipment**[ ]** Materials **[ ]** Information technology services | **[ ]** Travel**[ ]** Subcontractor costs**[ ]** Contractor/ projectpartner in-kind labor costs**[ ]** Advanced practice costs |

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| **California Environmental Quality Act (CEQA) Compliance** *(for an explanation of CEQA requirements, see Attachment 8,* ***CEQA Compliance Form*** *or* [*http://www.resources.ca.gov/ceqa/*](http://www.resources.ca.gov/ceqa/). ***Complete and sign Attachment 8 regardless of whether the answers to the questions below are “yes” or “no.”****)* |
| **1.** | **Are the proposed activities considered a “project” under CEQA (i.e., do they have the potential to cause a direct or a reasonably foreseeable indirect physical change in the environment)?** *See California Public Resources Code Section 21065 and 14 California Code of Regulations Section 15378 for a definition of “project.”* |
|  | [ ]  Yes: skip to question 2.[ ]  No: complete the sentence below. |
|  | The activities funded by the agreement will not cause a direct physical change in the environment or a reasonably foreseeable indirect physical change in the environment because … *[complete the sentence]*. |
| **2.** | **If the proposed activities are considered a “project” under CEQA and are not exempt, has the required environmental review been completed?** |
|  | [ ]  Yes (provide the documentation required in Attachment 8, CEQA Compliance Form)[ ]  No (explain why no documentation has been prepared where indicated on Attachment 8) |

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| **Subcontractors** *(If subcontractors will perform work for the project, insert the legal name of* *each subcontractor below.)*  |
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| **Past Agreements** *(Complete the table below if the applicant and/or its subcontractors have any active or past (within the last three years) agreements with the Energy Commission, any other California state agency, California utilities, and/or the U.S. Department of Energy. If the number of agreements for the applicant or subcontractor exceeds ten, list at least ten of the applicant or subcontractor’s most recent agreements, in order of date and relevance to the proposed project.)* |
| **Name of Applicant or Subcontractor** | **Name of Entity that Issued the Agreement, Contact Name, and Phone Number** | **Description of Project and Status** |
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| **Certifications**1. I am authorized to complete and sign this form on behalf of the applicant.
2. I authorize the California Energy Commission to make any inquiries necessary to verify the information presented in this application.
3. I authorize the California Energy Commission to obtain business credit reports and make any inquiries necessary to verify and evaluate the financial condition of the applicant.
4. I have read and understand the terms and conditions contained in this solicitation on behalf of the applicant, and the applicant is willing to enter into an agreement with the Energy Commission to conduct the proposed project according to the terms and conditions without negotiation.
5. To the best of my knowledge, and under the penalty of perjury, the information contained in this application is correct and complete.
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| Signature of Authorized Representative |  | Date: |
| Printed Name of Authorized Representative |  |