(See the [Energy Storage System Listing Request Instructions](https://www.energy.ca.gov/media/3904) for Listing Requirements) **ID # \_\_\_\_ (For Internal Use Only)**

**NOTE: Please do not submit any proprietary or confidential information; all submitted information is public record.**

| **Manufacturer Information** |
| --- |
| **Manufacturer Name:**  |
| **Manufacturer Currently has equipment on Energy Storage System List: Yes** **[ ]  No** **[ ]**  |
| **Update Manufacturer Name of Current Listings (if different) to above name[[1]](#footnote-2): Yes [ ]  No [ ]**  |
| **Request Type**: **Add** [ ]  **Revise** [ ]   |
| **Reason for Revision** (or indicate N/A):       |
| **Manufacturer Contact Name:**  |
| **Address:**  |
| **Phone Number:**  |
| **Email Address:**  |

| **Energy Storage System Model Number** | **New/ Existing** | **Technology** | **Brief Description** | **Maximum Continuous Discharge Rate[[2]](#footnote-3)****(kW)** | **Nominal Voltage****(Vac)** | **Nameplate Power (kW)** | **Nameplate Energy Capacity (kWh)** |
| --- | --- | --- | --- | --- | --- | --- | --- |
|       |       |       |       |       |       |       |       |

| **UL 9540 Safety Certification** |  |  |
| --- | --- | --- |
| Is the test lab a Nationally Recognized Testing Laboratory (NRTL) recognized by the Occupational Safety and Health Administration (OSHA)?3 | YES [ ]   | NO [ ]  |
| Is the UL 9540 certificate of compliance (or Authorization to Mark) from a NRTL and for the requested equipment model number(s)? | YES [ ]   | NO [ ]  |
| What edition of the UL 9540 standard is the equipment certified to? |       | Intentionally Blank |
| Was the test equipment calibrated when the test was performed? | YES [ ]   | NO [ ]  |
| Is a specification sheet (spec sheet) submitted for the requested model number?2 | YES [ ]   | NO [ ]  |

| **UL 1741 Safety Certification including Supplement SA (Optional)** |  |  |
| --- | --- | --- |
| Is the test lab a Nationally Recognized Testing Laboratory (NRTL) whose Scope of Recognition under the Occupational Safety and Health Administration (OSHA) includes UL 1741?[[3]](#footnote-4) | YES [ ]   | NO [ ]  |
| Identify the equipment that is certified to UL 1741* If certification is for an inverter, the model number[[4]](#footnote-5) of the inverter:
 | Inverter [ ]   | ESS [ ]  |
| Was compliance with the current California Rule 21 Source Requirement Document (SRD) confirmed by the tests?* Identify compliance with any other SRDs:
 | YES [ ]   | NO [ ]  |
| Was the Volt-VAr curve tested with reactive power priority enabled during testing in accordance with UL 1741, Volt-VAr (SA13)? | YES [ ]   | NO [ ]  |
| In which submitted document(s) does the NRTL verify that the Volt-VAr test (SA13) was done with reactive power priority enabled? | Document:        | Page:       |
| Did the testing for UL 1741 Supplement SA include Frequency-Watt (SA14) and Volt-Watt (SA15) test procedures? | YES [ ]  | NO [ ]  |
| Did the testing for UL 1741 Supplement SA include Disable Permit Service (SA17) and Limit Active Power (SA18) test procedures? | YES [ ]  | NO [ ]  |
| Was the test equipment calibrated when the test was performed? | YES [ ]   | NO [ ]  |
| Have test report(s) for each model number been submitted? | YES [ ]   | NO [ ]  |

| **UL 1741 Safety Certification including Supplement SB (Optional)** |  |  |
| --- | --- | --- |
| Is the test lab a Nationally Recognized Testing Laboratory (NRTL) whose Scope of Recognition under the Occupational Safety and Health Administration (OSHA) includes UL 1741?[[5]](#footnote-6) | YES [ ]   | NO [ ]  |
| Identify the equipment that is certified to UL 1741If certification is for an inverter, the model number[[6]](#footnote-7) of the inverter:       | Inverter [ ]   | ESS [ ]  |
| Does the UL 1741 certificate of compliance for the requested inverter model number(s) Include Supplement SB? (UL 1741-3rd Edition must be utilized) | YES [ ]   | NO [ ]  |
| Was compliance with the current California Rule 21 Source Requirement Document (SRD) confirmed by the tests? * Identify compliance with any other SRDs:
 | YES [ ]   | NO [ ]  |
| Does the UL 1741 certificate of compliance for the requested inverter model number(s) mention compliance with IEEE 1547:2018 and IEEE 1547.1:2020 standards? | YES [ ]  | NO [ ]  |
| Was the test equipment calibrated when the test was performed? | YES [ ]   | NO [ ]  |

| **Communications Conformance (Optional)** |  |  |
| --- | --- | --- |
| Is the requested model number certified to the Common Smart Inverter Profile (CSIP)? | YES [ ]  | NO [ ]  |
| If the answer to previous question is “No”: Is the integrated inverter certified to the Common Smart Inverter Profile (CSIP)? | YES [ ]  | NO [ ]  |
| If the answer to previous question is “No”: Was the requested inverter tested for compatibility with a CSIP-compliant gateway? | YES [ ]  | NO [ ]  |
| Identify the entity that issued the CSIP certification for the ESS, inverter, or external device: |       | Intentionally Blank |
| Has an attestation for Scheduling and Monitor Key Data been submitted for the requested ESS or integrated inverter? | YES [ ]  | NO [ ]  |

| **JA12 (Optional)** |  |  |
| --- | --- | --- |
| Is the JA12 declaration form completed and submitted? | YES [ ]   | NO [ ]  |

| **Notes:** |
| --- |
| (If you checked “No” for any of the questions above, please explain and attach supporting documentation)(Please provide any other pertinent information, excluding marketing statements) |
|       |

1. Deviation of manufacturer name or legal name from the name on the certification will require the manufacturer to submit a one-time signed letter on official letterhead explaining the relationship between names and which name to use for their listings. [↑](#footnote-ref-2)
2. Maximum continuous discharge rate as stated by the manufacturer on the spec sheet. [↑](#footnote-ref-3)
3. A current list of NRTLs approved by OSHA can be found on the OSHA website: https://www.osha.gov/dts/otpca/nrtl/nrtllist.html [↑](#footnote-ref-4)
4. If inverter does not have a model number, provide the manufacturer part number from the certificate. [↑](#footnote-ref-5)
5. A [current list of NRTLs](https://www.osha.gov/dts/otpca/nrtl/nrtllist.html) approved by OSHA can be found on the OSHA website: https://www.osha.gov/dts/otpca/nrtl/nrtllist.html [↑](#footnote-ref-6)
6. If inverter does not have a model number, provide the manufacturer part number from the certificate. [↑](#footnote-ref-7)