Office of Administrative Law

In re: California Energy Commission

Regulatory Action:

Title 20, California Code of Regulations

Adopt sections: Amend sections: 2402 Repeal sections: NOTICE OF FILING AND PRINTING ONLY

Government Code Section 11343.8

OAL Matter Number: 2023-0403-01

OAL Matter Type: File and Print Only (FP)

This is a Conflict-of-Interest code that has been approved by the Fair Political Commission and is being submitted for filing with the Secretary of State and printing only.

OAL filed this regulation(s) or order(s) of repeal with the Secretary of State and will publish the regulation(s) or order(s) of repeal in the California Code of Regulations.

Date: April 25, 2023

Rhea A. Moyer V Associate Governmental Program Analyst

For: Kenneth J. Pogue Director

Original: Drew Bohan, Executive Director Copy: Josephine Crosby

STATE OF CALIFORNIA-OFFICE OF ADMINISTRAT			iņs uctio reverse)	
OAL FILE NOTICE FILE NUMBER NUMBERS 7 2022-0012-01 REGULATOR ACTION OUMER 0 3 - 0 4 EMERGENCY NUMBER			ENDORSED - FILED	
NUMBERS Z-2022-0812			FT	in the office of the Secretary of State of the State of California
	For use by Office of Admi	Inistrative Law (OAL) only		APR 25 2023
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		OFFICE OF ADI 2023 APR 3		
NOTICE		R	EGULATIONS	
agency with rulemaking authority California Energy Commissio	n			AGENCY FILE NUMBER (If any)
A. PUBLICATION OF NOTIC	E (Complete for pu		-	
1. SUBJECT OF NOTICE		TITLE(S)	FIRST SECTION AFFECTE	2. REQUESTED PUBLICATION DATE
3. NOTICE TYPE Notice re Proposed		ONTACT PERSON	TELEPHONE NUMBER	FAX NUMBER (Optional)
OAL USE ACTION ON PROPOSED			NOTICE REGISTER NUME	
ONLY Approved as Submitted	Approved as Modified	Disapproved/ Withdrawn	2022, 34-	2 8/26/2022
B. SUBMISSION OF REGUL	ATIONS (Complete v	when submitting reg	ulations)	
1a. SUBJECT OF REGULATION(S)			1b. ALL PREVIOUS	RELATED OAL REGULATORY ACTION NUMBER(S)
Conflict-of-Interest Code				
SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.) TITLE(S)	AMEND Section 2402 REPEAL		×	
Title 20				
 TYPE OF FILING Regular Rulemaking (Gov. Code §11346) Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §§11349.3, 11349.4) 	below certifies that this a provisions of Gov. Code	e: The agency officer named agency complied with the §§11346.2-11347.3 either gulation was adopted or equired by statute.	Emergency Readopt (r Code, §11346.1(h))	Gov. Changes Without Regulatory Effect (Cal. Code Regs., title 1, § 100) Print Only
Emergency (Gov. Code, §11346.1(b))	Resubmittal of disapprov emergency filing (Gov. C		Other (Specify)	
4. ALL BEGINNING AND ENDING DATES OF AV			E RULEMAKING FILE (Cal. Code Re	gs. title 1, §44 and Gov. Code §11347.1)
5. EFFECTIVE DATE OF CHANGES (Gov. Code, §1 Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a)) 6. CHECK IF THESE REGULATIONS REQ Department of Finance (Form STD	Effective on filing Secretary of Sta UIRE NOTICE TO, OR REVIEW,	g with te \$100 Changes Wi Regulatory Effect CONSULTATION, APPROVAL OR	other (Specify)	30 days after SOS filing per 2 CCR 18750 ER AGENCY OR ENTITY State Fire Marshal
Other (Specify) 7. CONTACT PERSON Josephine Crosby		TELEPHONE NUMBER (916) 907-4278	FAX NUMBER (Op	tional) E-MAIL ADDRESS (Optional)
 8. I certify that the attach of the regulation(s) ide is true and correct, and or a designee of the heat 	ntified on this form, th I that I am the head of	ion(s) is a true and corr nat the information spe the agency taking this	cified on this form action,	For use by Office of Administrative Law (OAL) only AUTHORIZED FOR FILING AND PRINTING
SIGNATURE OF AGENCY HEAD OR DES	IGNEE	DATE 3/29/2		APR 2 5 2023
Linda Spical TYPED NAME AND TITLE OPSIGNATOR Linda Spiegel	hief Deputy	Director	peragency Pm	Office of Administrative Law
	-		request	

Title 20. Public Utilities and Energy Division 2. State Energy Resources Conservation and Development Commission Chapter 7. Administration Article 1. Conflict-of-Interest Code

§ 2401. General Provisions.

The Political Reform Act, (Government Code Sections 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. Sect. 18730) that contains the terms of a standard conflict-of-interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 Cal. Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict-of-interest code of the Resources Conservation Development Commission (Energy State Energy and Commission).

Individuals holding designated positions shall file their statements of economic interests with the Energy Commission, which will make the statements available for public inspection and reproduction. (Gov. Code Section 81008.) Upon receipt of the statement for the Executive Director, the Energy Commission will make and retain a copy and forward the original statement to the Fair Political Practices Commission. The Energy Commission shall forward copies of statements for appointed members of the Energy Commission to the Fair Political Practices Commission. All other statements will be retained by the Energy Commission.

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Title 20. Public Utilities and Energy Division 2. State Energy Resources Conservation and Development Commission Chapter 7. Administration Article 1. Conflict-of-Interest Code Section 2402

Proposed new language appears as underline (<u>example</u>) and proposed deletions appear as strikeout (example). Existing language appears as plain text.

§ 2402. Appendix.

(a) Designated Positions	Assigned Disclosure Categories
ALL OFFICES AND DIVISIONS	
CEA Positions (All levels)	1
Exempt Positions	*
Special Consultant	1
Interjurisdictional Exchange (any office or division)	1
COMMISSIONERS' OFFICES Advisor to a Commissioner (All Classifications)	1
OFFICE OF EXECUTIVE DIRECTOR	
Information Officer II (Supervisory) (Media)	1
Information Officer I (Specialist) (Media)	7,9
Graphic Designer (Media)	B
Staff Management Auditor	10
Staff Services Manager I (Specialist)	<u>2</u>
Energy Commission Specialist III (IEPR)	1
Energy Commission Specialist II (IEPR)	2,7,9
Energy Commission Specialist I (IEPR)	2,7

Energy Commission Specialist (All levels) (Compliance and 2,4,7 Enforcement

Associate Energy Specialist (Compliance and Enforcement)	4 ,7
Research Specialist III (Economics)	4
Environmental Scientist (Nuclear)	4 ,5
Training Officer II	2
MEDIA AND PUBLIC COMMUNICATIONS OFFICE	
Information Officer II (Supervisory)	<u>1</u>
Information Officer (Specialist) (All levels)	<u>7,9</u>
Staff Services Manager II (Supervisory)	<u>1</u>
OFFICE OF COMPLIANCE ASSISTANCE AND	
ENFORCEMENT	
Energy Resources Specialist III (Supervisory)	<u>1</u>
Energy Commission Specialist (All levels)	<u>2,4,7</u>
Associate Energy Specialist	4,7
Associate Governmental Program Analyst	<u>2</u>
Electrical Engineer (All levels)	<u>2,4,7</u>
OFFICE OF GOVERNMENTAL AND INTERNATIONAL AFFAIRS	
Staff Services Manager I (Supervisory)	<u>1</u>
Staff Services Manager I (Specialist)	<u>1</u>
ENERGY DATA AND ANALYTICS OFFICE	
Energy Commission Specialist III	<u>1</u>

Research	Data	Specialist II

<u>2,3,5,6</u>

OFFICE OF CHIEF COUNSEL

Chief Counsel	1
Assistant Chief Counsel	1
Attorney (All levels)	1
Hearing Adviser (All levels)	1
<u>Staff Services Manager I</u>	<u>2</u>

OFFICE OF THE PUBLIC ADVISERPUBLIC PARTICIPATION, ENERGY EQUITY AND TRIBAL AFFAIRS

Public Adviser	4
Associate Public Adviser	1
Information Officer II	<u>2,9</u>
Energy Resources Specialist III (Supervisory)	1

ADMINISTRATIVE AND FINANCIAL MANAGEMENT SERVICES DIVISION

HUMAN RESOURCES AND SUPPORT SERVICES BRANCH

Staff Services Manager III	2
Associate Governmental Program Analyst-Recruitment & Outreach	2
BUSINESS SERVICES OFFICE	
Business Services Assistant	2

Business Services Officer (All levels) 2

<u>Associate Governmental Program Analyst-Business</u> <u>Services</u>	2
SELECTION AND EEO OFFICE	
Staff Services Manager-II (Supervisor) (All levels)	2
INFORMATION TECHNOLOGY SERVICES BRANCH	
Information Systems Analyst (Assistant, Associate, Staff, and Senior)	3
Data Processing Manager (All levels)	3
Programmer Analyst (All levels)	3
System Software Specialist (All levels)	3
Information Technology Manager I	<u>3</u>
Information Technology Technician	<u>3</u>
Information Technology Associate (All levels)	<u>3</u>
Information Technology Specialist (All levels)	<u>3</u>
BUDGET, CONTRACTS, GRANTS, AND LOANS	
Staff Services Manager III	<u>1</u>
Staff Services Manager II-Contracts, Grants, and Loans	1
Staff Services Manager I-Contracts, Grants, and Loans	<u>2.7</u>
Staff Services Manager II-Budget	2,7
Associate Governmental Program Analyst	2,7
Staff Services Analyst	2,7
EFFICIENCY DIVISION	
<u>Energy Resources Specialist III (Managerial)-Assistant</u> <u>Deputy Director</u>	<u>1</u>

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Staff Services Manager I

APPLIANCES OFFICE BRANCH

Energy Resources Specialist III (Managerial)	2,4,7
Energy Resources Specialist III (Supervisory)	2,4,7
Electric Generation Systems Program Specialist III	2,4,7
Energy Commission Supervisor II	2,4,7
Energy Commission Specialist (All levels)	2,4,7
Electric Generation System Specialist (All levels)	<u>4,7</u>
Associate Energy Specialist Mechanical Engineer (All levels)	4,7 4,7
Electrical Engineer (All levels) Civil Engineer (All levels)	4,7 4,7
BUILDING STANDARDS OFFICE BRANCH	
Energy Resources Specialist III (Managerial)	<u>2,4,7,8</u>
Energy Resources Specialist III (Supervisory)	<u>2,4,7,8</u>
Energy Commission Specialist (All levels)	<u>2,4,7,8</u>
<u>Associate Energy Specialist</u> <u>Mechanical Engineer (All levels)</u>	<u>4,7,8</u> <u>4,7,8</u>
<u>Electrical Engineer (All levels)</u> <u>Civil Engineer (All levels)</u>	<u>4,7,8</u> <u>4,7,8</u>
EXISTING BUILDINGS OFFICE BRANCH	
Energy Resources Specialist III (Managerial) Energy Resources Specialist III (Supervisory) Energy Commission Specialist (All levels) Associate Energy Specialist Mechanical Engineer (All levels)	2,4,7,8 2,4,7,8 4,7,8 4,7,8 4,7,8

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<u>Electrical Engineer (All levels)</u> Civil Engineer (All levels)	<u>4,7,8</u> <u>4,7,8</u>
STANDARDS COMPLIANCE OFFICE BRANCH Energy Resources Specialist III (Managerial) Energy Resources Specialist III (Supervisory) Energy Commission Specialist (All levels) Associate Energy Specialist Mechanical Engineer (All levels) Electrical Engineer (All levels) Civil Engineer (All levels)	2,4,7,8 2,4,7,8 2,4,7,8 4,7,8 4,7,8 4,7,8 4,7,8 4,7,8 4,7,8
RENEWABLE ENERGY DIVISION <u>Energy Resources Specialist III (Managerial)-Assistant</u> <u>Deputy Director</u>	<u>1</u>
RENEWABLE PORTFOLIO OFFICE BRANCH	
Energy Resources Specialist III (Managerial)	<u>2,4,5,7</u>
Energy Resources Specialist III (Supervisory)	<u>2,4,5,7</u>
Energy Commission Specialist (All levels)	<u>2,4,5,7</u>
Electric Generation Systems Program Specialist (All levels)	<u>2,4,5,7</u>
Electric Generation Systems Specialist (All levels)	2,4,5,7
Associate Energy Specialist	<u>4,5,7</u>
LOCAL ASSISTANCE AND FINANCING OFFICE BUILDING DECARBONIZATION AND INCENTIVES BRANCH/ SPECIAL PROJECTS BRANCH	
Energy Resources Specialist III (Managerial)	2,4,7, <u>8</u>
Energy Resources Specialist III (Supervisory)	2,4,7, <u>8</u>
Energy Commission Specialist (All levels)	2,4,7, <u>8</u>
Energy Electric Generation Systems Specialist (All levels)	2,4,7, <u>8</u>
Associate Energy Specialist	4,7, <u>8</u>
Mechanical Engineer (All levels)	4,7, <u>8</u>

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Engineering Geologist	2,4,7
Associate Governmental Program Analyst	<u>2</u>
TECHNOLOGY AND INCENTIVES OFFICE BRANCH	
Energy Resources Specialist III (Managerial)	2,4,7
Energy Resources Specialist III (Supervisory)	<u>2,4,7</u>
Energy Commission Specialist (All levels)	<u>2,4,7</u>
Electric Generation System Specialist (All levels)	2,4,7
Associate Energy Specialist	<u>4,7</u>
Mechanical Engineer (All levels)	<u>4,7</u>
Associate Governmental Program Analyst	<u>2</u>
CLEAN ENERGY OFFICE BRANCH	
Energy Resources Specialist III (Managerial)	<u>2,4,5,7</u>
Energy Resources Specialist III (Supervisory)	<u>2,4,5,7</u>
Energy Commission Specialist (All levels)	<u>2,4,5,7</u>
Electric Generation System Program Specialist (All levels)	<u>2,4,5,7</u>
Electric Generation System Specialist (All levels)	<u>2,4,5,7</u>
Associate Energy Specialist	<u>4,5,7</u>
SCHOOL STIMULUS OFFICE BRANCH	
Energy Resources Specialist III (Managerial)	<u>2,4,7,8</u>
Energy Resources Specialist III (Supervisory)	<u>2,4,7,8</u>
Energy Commission Specialist (All levels)	<u>2,4,7,8</u>
Electric Generation System Specialist (All levels)	<u>2,4,7,8</u>

Associate Energy Specialist	<u>4,7,8</u>
Mechanical Engineer (All levels)	4,7,8
Associate Governmental Program Analyst	<u>2</u>
SITING, TRANSMISSION, AND ENVIRONMENTAL PROTECTION DIVISION	
<u>Energy Resources Specialist III (Managerial)-Assistant</u> <u>Deputy Director</u>	<u>1</u>
Energy Resources Specialist III (Managerial)	1
Energy Resources Specialist III (Supervisory)	5,6,7,8
Planner Energy Facilities Siting (All levels)	5,6,7,8
Planner – Compliance Office (All levels)	<u>5,6,8</u>
Planner – Siting and Environmental Office (All levels)	<u>5,6,8</u>
Electric Transmission System s Program Specialist (All levels)	5,6,8
Electric Generation Systems Program Specialist (All levels)	5,6,8
Energy Commission Specialist (All levels)	5,6,8
Staff Toxicologist	5,6,8
Electrical Engineer (All levels)	5,6,8
Mechanical Engineer (All levels)	5,6,8
Engineering Geologist (All levels)	5,6,8
Civil Engineer (All levels)	5,6,8
Air Resources Supervisor I	5,6
<u>Air Resources Supervisor I</u>	<u>5,6</u>
Air Resources Engineer	5,6

ENERGY RESEARCH AND DEVELOPMENT DIVISION

Energy Resources Specialist III (Managerial)	1
Energy Resources Specialist III (Supervisory)	<u>5,6,7,9</u>
Energy Commission Supervisor II	5,6,7,9
Energy Commission Specialist (All levels)	5,6,7,9
Associate Automotive Equipment Standards Engineer	5,6,7
Electric Generation System Specialist (All levels)	5,6,7
Associate Energy Specialist	5,6,7
Associate Geologist	5,6,7
Mechanical Engineer (All levels)	5,6,7
Electrical Engineer (All levels)	5,6,7
Electric Generation System s Program Specialist I	5,6,7
Associate Governmental Program Analyst-Technology Scale Up & Outreach Office	<u>5,6,7</u>
<u>Air Resources Engineer</u>	<u>5,6,7</u>
<u>Utilities Engineer</u>	<u>5,6,7</u>
Electric Transmission System Program Specialist	<u>5,6,7</u>
<u>Air Pollution Specialist</u>	<u>5,6,7</u>
FUELS AND TRANSPORTATION DIVISION	
<u>Energy Resources Specialist III (Managerial) –</u> <u>Deputy Division Chief</u>	<u>1</u>
Energy Resources Specialist III (Managerial)	2,6,7 <u>,8</u>
Energy Resources Specialist III (Supervisory)	<u>6,7,8</u>
Energy Commission Supervisor II	6,7
Air Pollution Specialist	6,7 <u>,8</u>

Energy Commission Specialist (All levels)	6,7 <u>,8</u>
Automotive Equipment Standards Engineer (All levels)	6,7
Associate Energy Specialist	6,7 <u>,8</u>
Air Resources Engineer	6,7 <u>,8</u>
ENERGY ASSESSMENTS DIVISION	
Energy Resources Specialist III (Managerial)-Assistant Deputy Director	<u>1</u>
Energy Resources Specialist III (Managerial)	2,5,6
Energy Resources Specialist III (Supervisory)	<u>2,5,6</u>
Energy Commission Specialist (All levels)	5,6
Energy Commission Supervisor II	5,6
Electric Generation System Specialist <u>I & II (All levels)</u>	5,6
Electric Generation System Specialist III	<u>2,5,6</u>
Mechanical Engineer (All levels)	5,6
Electric Generation System Program Specialist (All levels)	5,6
Electric Transmission System Program Specialist (All levels)	5,6
Electrical Engineer (All levels)	5,6
Engineering Geologist	5,6
Research Data Specialist (All levels)	<u>2,5,6</u>
Research Specialist III & IV	5,6
Associate Automotive Equipment Standards Engineer	5,6

CONSULTANTS/NEW POSITIONS

Consultants/New Positions

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* Exempt Positions are included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code (Category 1), <u>subjectsubject</u> to the following limitation: The Executive Director may determine in writing that a particular exempt position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the exempt position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-Interest code. (Gov't Code § 81008.)

** Consultants/New Positions are included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code (Category 1), subject to the following limitation: The Executive Director may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-Interest code. (Gov't Code § 81008.)

Officials Covered By Government Code Section 87200

The following positions are not covered by the conflict-of-interest code because they must file a statement of economic interests under Government Code Section 87200 and therefore, are listed for informational purposes only:

Commissioner, State Energy Resources Conservation and Development Commission

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Section 87200.

(b) Disclosure Categories

For purposes of the following categories, "business entity" means any organization or enterprise operated for profit, including a proprietorship, partnership, firm, business trust, joint venture, syndicate, corporation or association. "Business position" refers to status as a director, officer, partner, trustee, employee, or holder of a position of management in any business entity.

Category 1

Designated positions assigned to this category must report all interests in real property, sources of income, including receipt of gifts, loans, and travel payments, and investments and business positions in business entities.

Category 2

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities that provide services (including training or consulting services), supplies, materials, machinery, or equipment of the type purchased, leased, or obtained by contract by the Energy Commission for use by the Energy Commission, its members, employees, or consultants.

Category 3

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities that manufacture, sell, distribute, or otherwise provide computers, computer hardware, computer software, computer services, computer models, or computer-related supplies, materials, machinery, or equipment of the type utilized by the Energy Commission.

Category 4

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities engaged in the design, manufacture, sale, distribution, assessment, calibration, evaluation, or testing of any appliance, equipment, product, program, service, or structure required to be approved by or to meet standards set by the Energy Commission.

Category 5

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in,

• business entities that engage in the design, development, construction, sale, application for certification, acquisition of facilities that generate electricity, including, wind, solar, geothermal, hydroelectric, ocean, garbage, and biomass; and

• sources that are subject to the regulatory, permit or licensing authority of, or have an application for a license or permit pending before, the Energy Commission.

Category 6

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in,

• business entities that are energy or environmental consultants, research firms, or engineering firms,

• business entities that design, build, manufacture, sell, distribute, or service equipment of the type that is utilized by electric power suppliers, including, wind, solar, geothermal, hydroelectric, ocean, garbage, and biomass, or

• any energy-producing entity that is a party to an Energy Commission proceeding; and

• sources that are subject to the regulatory, permit or licensing authority of, or have an application for a license or permit pending before, the Energy Commission.

Category 7

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities of the type that have applied for or received any loan or grant from the Energy Commission.

Category 8

Designated positions assigned to this category must report all interests in real property located within the State of California, that may be affected by or subject to any provisions, guidelines, rules, regulations, programs, contracts, grants, loans, incentives, licenses, permits, or other entitlement for use, or policies adopted, enforced or promulgated, by the Division or Office employing the public official. that is zoned or used primarily for industrial or commercial purposes.

Category 9

Designated positions assigned to this category must report income, including receipt of gifts, loans, and travel payments, from, and investments and business positions in, business entities of the type that have contracted with the Energy Commission to provide services related to the design, editing, production, drafting, artwork, printing, publication, or distribution of an Energy Commission document.

Category 10

Designated positions assigned to this category must report whether, during the reporting period, he/she had a financial interest in any of his/her assignments. If he/she had no such interest, they shall file Fair Political Practices Commission Form 700-A. Otherwise, they shall disclose their pertinent financial interest on the schedules for Fair Political Practices Commission Form 700.

Note: Authority cited: Sections 87300 and 87306, Government Code. Reference: Sections 87200 and 87302, Government Code.

This is the last page of the conflict of interest code for the CA Energy Commission



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict of interest code for theCA Energy Commissionwas approved on 3/7/23

Sukhdip Brar Digitally signed by Sukhdip Brar Date: 2023.03.07 10:51:42 -08'00'

Sukhi K. Brar Assistant Chief Counsel

· Fair Political Practices Commission

Pursuant to Government Code Section 11346.2:

Secretary of State Filing Date:

Effective: